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## Functional Connectivity Between the Thalamus and Visual Cortex Under Eyes Closed and Eyes Open Conditions: A Resting-State fMRI Study

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## Abstract

The thalamus and visual cortex are two key components associated with the alpha power of electroencephalography. However, their functional relationship remains to be elucidated. Here, we employ resting-state functional MRI to investigate the temporal correlations of spontaneous fluctuations between the thalamus [the whole thalamus and its three largest nuclei (bilateral mediodorsal, ventrolateral and pulvinar nuclei)] and visual cortex under both eyes open and eyes closed conditions. The whole thalamus show negative correlations with the visual cortex and positive correlations with its contralateral counterpart in eyes closed condition, but which are significantly decreased in eyes open condition, consistent with previous findings of electroencephalography desynchronization during eyes open resting state. Furthermore, we find that bilateral thalamic mediodorsal nuclei and bilateral ventrolateral nuclei have remarkably similar connectivity maps, and resemble to those of the whole thalamus, suggesting their crucial contributions to the thalamus-visual correlations. The bilateral pulvinar nuclei are found to show distinct functional connectivity patterns, compatible with previous findings of the asymmetry of anatomical and functional organization in the nuclei. Our data provides evidence for the associations of intrinsic spontaneous neuronal activity between the thalamus and visual cortex under different resting conditions, which might have implications on the understanding of the generation and modulation of the alpha rhythm.

## Keywords

resting state; functional connectivity; anticorrelation; thalamus; visual cortex; alpha activity; ICA

## INTRODUCTION

Studies have shown that the posterior visual regions have maximal amplitude of alpha rhythm in electroencephalography (EEG) [da Silva et al., 1973], while the thalamus plays a critical role in generating and coordinating alpha activity [Andersen and Andersson, 1968; Lopes da Silva et al., 1980; Morison and Bassett, 1945]. With combined recordings of EEG and

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functional neuroimaging techniques such as positron emission tomography (PET) or functional magnetic resonance imaging (fMRI), studies in humans have demonstrated that the alpha power was positively correlated with the cerebral blood flow, glucose metabolism, and blood oxygenation level dependent (BOLD) signals in the thalamus, and negatively correlated with those in the visual regions [Danos et al., 2001; Feige et al., 2005; Goldman et al., 2002; Goncalves et al., 2006; Moosmann et al., 2003; Sadato et al., 1998]. However, several inconsistent results have also been reported. For example, the alpha activity was found to have negative correlations with the BOLD signals of the thalamus [Larson et al., 1998; Lindgren et al., 1999], positive correlations with that of the visual regions [Schreckenberger et al., 2004] and no correlations with the thalamus or visual regions [Laufs et al., 2003a,b]. Nonetheless, all abovementioned studies have indicated that both the thalamus and visual areas are key components associated with the alpha power. However, little is known about a direct relationship between the neuronal activities of the two regions. The investigation of their functional relationship would be important for further understanding the alpha activity in the brain.

Functional connectivity methods based upon slow (<0.08 Hz), spontaneous BOLD fluctuations in resting fMRI provide a powerful tool to characterize intrinsically functional associations among brain regions [Biswal et al., 1995]. Many studies have demonstrated that the spontaneous fluctuations are highly coherent within multiple functional brain networks, such as motor, visual, auditory, and memory systems [for a review, see Fox and Raichle, 2007]. Using independent component analysis (ICA) on resting-state fMRI data, researchers have shown that both the thalamus and visual regions were located in the same component, however, contradictory results were yielded in different studies, one suggesting positive correlations [Beckmann et al., 2005] and the other suggesting negative correlations [Mantini et al., 2007] between the two regions. Several other fMRI studies have also investigated the correlation patterns of spontaneous activity with "seed" regions in the visual cortex [Cordes et al., 2000, 2001; Kiviniemi et al., 2000, 2004; Lowe et al., 1998; Tian et al., 2007] or thalamus [Stein et al., 2000]. While the "seed" regions of either visual cortex or thalamus showed widespread functional connectivity with other brain areas, no significant correlations were found between them [Cordes et al., 2000, 2001; Kiviniemi et al., 2000, 2004; Li et al., 2000; Lowe et al., 1998; Stein et al., 2000; Tian et al., 2007]. The relationship between the thalamus and visual regions remains to be further elucidated. The first goal of the current study was thus to investigate the temporal correlations of spontaneous low-frequency fluctuations between the two regions.

Many previous studies have suggested that the alpha power is related to different resting conditions. Berger [1929,1930] showed that the alpha rhythm had largest amplitude when one was in an awake and relaxed state with eyes closed (EC), and it was blocked by opening eyes or by certain mental efforts. Using an EC and eyes open (EO) block design, Feige et al. [2005] found that there were significant positive correlations between the alpha power and thalamic BOLD signals within the EC sections, but the correlations were lessened to a smaller extent within the EO sections [Feige et al., 2005]. These studies implied that the relationship between the alpha power and the activity of the thalamus or the visual regions might be modulated by different physiological conditions. Thus the second goal of this study was to investigate whether the correlation patterns of spontaneous activity between the two regions were altered across different resting conditions.

To address these issues, the current study employed resting-state fMRI to examine the temporal correlations of spontaneous fluctuations between the thalamus and visual cortex. We sought to determine whether there were significant negative correlations between the two regions, and whether the correlations could be altered across different conditions (EC vs. EO). Considering that (1) the mediodorsal nucleus (MD), ventrolateral nucleus (VL) and pulvinar (P) are three

largest thalamic nuclei with different anatomical connectivity patterns [Behrens et al., 2003; Guillery, 1995; Guillery and Sherman, 2002], and (2) EEG studies in animals and EEG/fMRI studies in humans mainly reported the observation of alpha activity in the three thalamic nuclei and visual cortex [Chatila et al., 1992; da Silva et al., 1973; Feige et al., 2005; Goldman et al., 2002; Moosmann et al., 2003; Rougeul-Buser and Buser, 1997]. In the current study, we focused on functional relationship between MD, VL, P, and visual cortex using resting state fMRI.

## MATERIALS AND METHODS

#### Subjects

Twenty right-handed subjects (aged 18–24 years, 10 females) participated in the study. All subjects had no history of neurological and psychiatric disorders. Subjects were recruited by advertisements in the campus of Beijing Normal University. Our study was approved by the ethics committee of state key laboratory of cognitive neuroscience and learning, Beijing Normal University. Written informed consent was obtained from each subject.

#### Data Acquisitions

MR images were collected using a SIEMENS TRIO 3-Tesla scanner in the imaging center for brain research, Beijing Normal University. Subjects lay supine with head snugly fixed by belt and foam pads to minimize head movement. The functional images were obtained by using an echo-planar imaging sequence with the following parameters: 33 axial slices, thickness/gap = 3/0.6 mm, in-plane resolution =  $64 \times 64$ , repetition time (TR) = 2000 ms, echo time (TE) = 30 ms, flip angle =  $90^{\circ}$ , field of view (FOV) =  $200 \times 200$  mm<sup>2</sup>. In addition, a 3D T1-weighted magnetization-prepared rapid gradient echo (MPRAGE) image was acquired with the following parameters: 128 sagittal slices, slice thickness/gap = 1.33/0 mm, in-plane resolution =  $256 \times 192$ , TR = 2530 ms, TE = 3.39 ms, inversion time (TI) = 1100 ms, flip angle =  $7^{\circ}$ , FOV =  $226 \times 256$  mm<sup>2</sup>.

There were totally six scanning sessions for each subject. Briefly, the subjects underwent an EC resting-state scan first, and then followed by four sessions (three for resting-state and one for task-state) counterbalanced across subjects: (1) EC, (2) eyes open with no fixation (EO), (3) EO with a fixation, and (4) rapid event-related simple visual response task. At the end there was a block-designed task session. Each of the six sessions lasted for 8 min. During all the resting-state sessions, the subjects were instructed to keep as still as possible and not to think systematically. During the fixation session, the subjects were instructed to fixate on the black crosshair in the center of a white screen. Immediately after each scanning session, the experimenter had a short communication with the subjects. All subjects reported that they had never fallen asleep during the scanning. Only the resting-state EC and EO conditions (EO without fixation) were used for the present study.

#### **Data Preprocessing**

For both the EC and EO conditions, the first 10 volumes of the functional images were discarded to avoid transient signal changes before the longitudinal magnetization reached a steady-state and to allow subjects to get used to the fMRI scanning noise. Slice timing, head motion correction, and spatial normalization were conducted by using SPM2 (http://www.fil.ion.ucl.ac.uk/spm). No subject had head motion more than 2.0 mm maximum displacement in any direction of x, y, and z or 1.5° of any angular motion throughout the course of scan. Table I represents the maximum and average head motion under EC and EO conditions for each subject. An in-house software Resting-State fMRI Data Analysis Toolkit (REST, by SONG Xiaowei et al., http://resting-fmri.sourceforge.net) was then used for removing the linear trend of time series and temporally band-pass filtering (0.01–0.08 Hz) [Biswal et al.,

1995;Lowe et al., 1998]. After that, spatial smoothing was conducted with a Gaussian kernel of a 4-mm full-width at half-maximum in AFNI [Cox, 1996].

#### **Definition of Regions of Interest (ROIs)**

Previous work has suggested that the alpha activity is mainly associated with the thalamus and visual regions [Brodmann area (BA) 17, 18, and 19] [Feige et al., 2005; Moosmann et al., 2003]. Thus, the current study focused only on exploring the relationship between the two regions. To do this, a mask covering the thalamus and the visual areas (Fig. 1A) was first generated by using the MRIcro software (by Chris Rorden,

http://www.sph.sc.edu/comd/rorden/mricro.html). The thalamus was extracted using the automated anatomical labeling (AAL) template [Tzourio-Mazoyer et al., 2002] and the visual areas were extracted using the Brodmann template [Brodmann, 1909]. Notably, in the Brodmann template, a small part of the vermis was misclassified into the visual areas. This part was thus manually delineated and excluded from the current mask. To further explore whether the specific nuclei of the thalamus exhibit different functional connectivity patterns with the visual areas, in this study, we also selected three largest thalamus nuclei including bilateral MD, VL, and P nuclei. According to the coordinates in the Talairach and Tournoux atlas [Talairach and Tournoux, 1988], six seed voxels were selected at the center of each nucleus as follows: MD [±6, 15, 9], VL [±15, 12, 9] and P [±15, 30, 6] nuclei. The locations of these thalamic nuclei were shown in a schematic drawing of the thalamus according to the Talairach and Tournoux atlas (Fig. 1B). In this study, the functional data was preprocessed by the SPM2 and the masks of the thalamus and visual cortex were obtained by the AAL and Brodmann templates, both of which were in the montreal neurological institute (MNI) space. However, the seed voxels for the three thalamic nuclei were selected according to the Talairach and Tournoux atlas. For consistency, both the preprocessed functional data and masks were further spatially normalized from the MNI space to Talairach and Tournoux (TT) space. To do this, we first transformed a high resolution 3D anatomical image (Colin27, http://imaging.mrc-cbu.cam.ac.uk/downloads/Colin/) from the MNI space to TT space using a landmark-based method in AFNI [Cox, 1996]. The resultant transformation information was recorded and then applied to the preprocessed functional data and masks. The functional data and masks were further spatially resampled to 3-mm cubic voxels. Finally, all data were in the TT space for further functional connectivity analysis.

#### Functional Connectivity Analysis

To examine the functional connectivity between the thalamus and visual areas under EC and EO conditions, respectively, linear correlation analysis was performed [Biswal et al., 1995]. For each subject, there were totally four seed time series in each hemisphere, one being the averaged time series from the entire thalamus and three from seed voxels in the specific thalamic nuclei defined above. Before the correlation analysis, several types of possible sources of spurious variance were removed from the data using a linear regression analysis [Fox et al., 2005]. They were: (1) six head motion parameters, (2) the averaged time series of the whole brain, (3) the averaged time series over the cerebrospinal fluid (CSF) mask, and (4) the averaged time series over the white matter mask. These masks were acquired using a priori probability template provided by SPM2, and thresholded at 0.6 (brain mask), 0.6 (CSF mask) and 0.9 (white matter mask).

#### **Statistical Analysis**

Individual correlation coefficients were first converted to *z*-scores by using Fisher's *r*-to-*z* transformation to improve the normality [Press et al., 1992]. To reveal within-condition (EC or EO) connectivity patterns, one-sample *t*-tests were performed on individual *z* maps to determine whether the *z*-scores significantly differed from the value of zero. To reveal between-

condition (EC vs. EO) differences, paired *t*-tests were performed. To control Type I error in the resultant statistical maps, Monte Carlo simulations were performed using the AFNI AlphaSim program (parameters were: individual voxel *P* value = 0.05, 1,000 simulations, FWHM = 4 mm, with the mask of thalamus and visual cortex). By iterating the process of random image generation, spatial correlation of voxels, thresholding and cluster identification, the program provides an estimate of the overall significance level achieved for various combinations of individual voxel probability threshold and cluster size threshold [Poline et al., 1997]. Using this program, a corrected significance level of P < 0.05 for the resultant statistical maps was obtained by clusters with a minimum volume of 810 mm<sup>3</sup> at an uncorrected individual voxel height threshold of P < 0.05.

## RESULTS

#### **Functional Connectivity of the Entire Thalamus**

Under both EC and EO conditions, the bilateral thalamus exhibited negative correlations with the visual cortex and positive correlations with their contralateral counterpart (P < 0.05, corrected) (Fig. 2 and Fig. 3). Visual inspection indicated that the functional connectivity maps of bilateral thalamus were similar under either EC or EO condition (see Fig. 2). However, further statistical analysis revealed significantly between-condition differences (P < 0.05, corrected) in the functional connectivity of the thalamus. There were greater negative correlations between the thalamus and visual cortex and greater positive correlations between the bilateral thalamus in the EC condition as compared to the EO condition (Fig. 2 and Fig. 3). The between-condition difference in functional connectivity patterns was very similar for the left and right thalamus (see Fig. 2).

#### Functional Connectivity of the Six Nuclei of Thalamus

The negative functional connectivity patterns of bilateral MD and VL nuclei resembled to those of the entire thalamus, i.e. negatively correlated with the visual regions in both resting conditions (P < 0.05, corrected), and the correlations were significantly greater under EC condition than EO condition (P < 0.05, corrected) (Fig. 4A,B, and Fig. 5A,C).

The correlation patterns of the bilateral P nuclei were inconsistent. The left P nucleus, with its patterns in both within-condition functional connectivity and between-condition differences, resembled those of the entire thalamus (P < 0.05, corrected) (Fig. 4C and Fig. 5E). The right P nucleus was negatively correlated with the lateral visual regions in EC, but positively correlated in EO, which resulted in obviously between-condition differences. There were positive correlations between the right P nucleus and the medial visual regions under both conditions, and the positive correlations were significantly weaker in EC than EO (Fig. 4C and Fig. 5E).

Under both EC and EO conditions, each of the six nuclei (three in each side) showed significantly positive correlations with both ipsilateral and contralateral counterparts of the thalamus (P < 0.05, corrected). Although the findings were highly similar in the two different resting states across the six nuclei, statistical analysis revealed significantly greater correlations under EC condition than EO condition (P < 0.05, corrected) (Fig. 4 and Fig. 5B,D,F).

#### DISCUSSION

There were three major findings in our study. Firstly, negative correlations were found between the thalamus and visual cortex, and positive correlations between bilateral thalamus during rest. Secondly, the thalamus showed stronger negative correlations with visual regions, and stronger positive correlations with its contralateral counterpart under EC condition than EO

condition. Thirdly, the correlation patterns with visual cortex varied across different nuclei, in which the bilateral MD and bilateral VL nuclei showed similar patterns to that of the entire thalamus, while the P didn't.

#### **Correlations Between the Thalamus and the Visual Cortex**

We provided direct evidences for negative correlations of spontaneous BOLD fluctuations between the thalamus and visual cortex in the resting state. Many previous EEG/fMRI studies [de Munck et al., 2007; Feige et al., 2005; Goldman et al., 2002; Goncalves et al., 2006; Martinez-Montes et al., 2004; Moosmann et al., 2003] have provided indirect evidences for negative correlations between these two regions. For instance, by studying the relationship between the spontaneous variations of the alpha rhythm and the BOLD signals, researchers found that alpha power was negatively correlated with BOLD signal in the visual cortex and positively correlated with BOLD signal in the thalamus [Feige et al., 2005; Goldman et al., 2002; Goncalves et al., 2006; Moosmann et al., 2003]. Using a multiway partial least-squares analysis, Martinez-Montes et al. [2004] also presented similar results: a positive correlation between thalamic BOLD activity and alpha activity, and a negative correlation between occipital BOLD activity and alpha activity. Additionally, de Munck et al. [2007] detected the temporal patterns of the alpha band response function (ARF), and found that the ARF of the thalamus was mainly positive whereas the ARF at the occipital regions was negative. Goldman et al. [2002] suggested that the visual regions showed low activity as there is little visual input in the resting state, while the thalamus was likely to produce this stand-by state. Thus, our finding of the negative correlations between the two regions could be associated with the notion that anticorrelated regions have differential task-related responses [Greicius et al., 2003] that subserve opposite goals or competing representations [Fox et al., 2005]. Notably, there has been little direct evidence concerning the negative correlations between the thalamus and visual cortex (BA 17, 18, 19) before. Several previous studies have investigated functional connectivity patterns of the visual cortex [Cordes et al., 2000, 2001; Kiviniemi et al., 2000, 2004; Li et al., 2000; Lowe et al., 1998; Tian et al., 2007] or the thalamus [Stein et al., 2000], however, no significant correlations were found between the two regions. One possible explanation was that relative high statistical thresholds were used in the previous studies. The other possible explanation was that these studies didn't remove the global BOLD signal, which could lead to strong correlations throughout the entire brain as indicated previously [Laurienti, 2004; Tian et al., 2007]. Several earlier studies of resting fMRI have suggested that the observations of negative correlations among brain regions were strongly associated with the global signal removal, such as the well known negative correlations between the default mode network and attention network [Fox et al., 2005; Fransson, 2005]. Recently, Fox et al. [2008] argued that the large anticorrelated networks emerged after global signal removal were more likely to reflect a true physiological relationship of intrinsic fluctuations of the human brain, rather than an artifact of processing methodology. In the current study, we compared the results with and without global signal removal. With the global signal removal, we found that the thalamus and the visual cortex were negatively correlated under both EC and EO condition, and the negative correlations were greater under EC than EO (see Fig. 2). Without the global time course removal, we found that the thalamus and visual cortex became positively correlated under both EC and EO, and the positive correlations were greater under EO than EC (see Fig. 6), which were not consistent with previous EEG/fMRI studies. The results indicated that the global signal removal played an important role in the negative correlations between the thalamus and the visual cortex. However, biological mechanisms underlying the global trend need to be further studied.

EC and EO are both natural states during which the subjects are not engaged in specific tasks. Most fMRI studies employed EC condition as resting state [Cordes et al., 2000, 2001; Li et al., 2000; Lowe et al., 1998; Beckmann et al., 2005; Mantini et al., 2007], but some others used

EO as resting state [Castellanos et al., 2008; Uddin et al., 2008; van de Ven et al., 2004]. In the present investigation, we studied functional connectivity between the thalamus and visual cortex, two regions related to alpha rhythm, and found that their negative correlations were significantly higher under EC than EO (Fig. 2-5). Our finding can be supported by previous studies. For example, Hampson et al. [2004] found that functional correlations between the MT/V5 and thalamus were modulated from an eye closed state to a continuous visual task condition [Hampson et al., 2004]. They demonstrated strong negative correlations between the MT/V5 and thalamus in EC, but the correlations were decreased significantly during the continuous visual motion processing task. The decreased negative correlations likely reflect lessened competing relationship between the two regions under EO as compared to EC. Although both EC and EO are resting conditions, our results indicate that one should be cautious to compare results across different studies in which different resting-state conditions were used.

The thalamus comprised multiple distinct nuclei, such as the MD, VL and P nuclei, with different patterns of anatomical connectivity, as shown by previous animal [Guillery, 1995; Guillery and Sherman, 2002] and human studies [Behrens et al., 2003; Johansen-Berg et al., 2005]. The MD nuclei have direct anatomical connections with prefrontal cortex [Hoover and Vertes, 2007; Kuroda et al., 1998; Roberts et al., 2007], the VL nuclei project to the motor system such as primary motor cortex [Stepniewska et al., 2007], while the P nuclei project to posterior parietal cortex, occipital lobe and the temporal lobe [Guillery et al., 2001; Maunsell and van Essen, 1983; Qi et al., 2002; Raczkowski and Rosenquist, 1983]. However, the patterns of functional connectivity of different thalamic nuclei are seldom reported. Specifically, in the present study, we explored the functional relationship between these thalamic nuclei and the visual cortex.

Although MD and VL nuclei don't share the same projections to cortical regions, it was not surprising to observe the consistent correlation patterns of the two nuclei in both the withincondition and between-condition results (Fig. 4A,B). Firstly, the MD nuclei has the same projections to the dorsal and ventral premotor cortex as the VL nuclei [Stepniewska et al., 2007], and are also involved in the motor function [Oyoshi et al., 1996]. Secondly, both the VL and MD nuclei played a primary role in the frontal cortical-basal ganglia circuits [Haber and McFarland, 2001; Haber, 2003; McFarland and Haber, 2002]. Thirdly, Bellebaum et al. [2005] demonstrated that the two types of nuclei coacted in the processing of corollary discharge information. There were also evidences that activities in the MD [Watanabe and Funahashi, 2004] and VL [Wyder et al., 2004] nuclei were involved in the spatial information about target location and saccade goals. In addition, the similar findings of MD and VL nuclei were also supported by earlier EEG/MRI studies, which yielded consistent results that the alpha power showed positive correlations with the MD and VL nuclei, and negative correlations with the visual area [Feige et al., 2005; Goldman et al., 2002; Moosmann et al., 2003]. Specially, Feige et al. [2005] reported the Talairach and Tournoux coordinates of MD (-4, 13, 7) and VL (-14, 17, 12) nuclei that most significantly correlated with alpha rhythm, which seemed quite close to the seed voxels of MD (-6, 15, 9) and VL (-15, 12, 9) selected in the present study [Feige et al., 2005]. All the evidences stated above agree with our findings of consistent correlation patterns of MD and VL nuclei. In addition, the consistent within-condition and between-condition functional connectivity patterns of MD and VL nuclei, which were similar to those of the whole thalamus, might also indicate their important roles as generator of alpha rhythm.

We found that the correlation patterns of the left P nucleus were different from those of the right P nucleus (Fig. 4C). The asymmetrical functional connectivity patterns of bilateral P nuclei were in line with the findings in the literature [Baker et al., 2006;Corbetta et al., 1991;Eidelberg and Galaburda, 1982;Highley et al., 2003]. Highley et al. [2003] found that the

volume and neuron number were larger in the right medial P nucleus than in the left. Corbetta et al. [1991] reported unilateral activation of right P nucleus during an attention task in a PET study, and Baker et al. [2006] reported that the BOLD activity in the P nuclei were rightward lateralized during voluntary eye movements. As to the right P nucleus, our findings of inconsistent correlations to the medial (mainly V1) and lateral (mainly V2) visual cortex were in agreement with the observations that the P nuclei projections to V2 were denser than to V1 [Adams et al., 2000;Rockland et al., 1999].

#### **Correlations Between the Bilateral Thalamus**

Our findings of the positive correlations between the bilateral thalamic nuclei (Fig. 2 and Fig. 4) were consistent with previous resting-state fMRI studies [Fukunaga et al., 2006; Stein et al., 2000]. It was first demonstrated by Biswal et al. [1995] that the spontaneous low frequency BOLD fluctuations  $(0.01 \sim 0.08 \text{ Hz})$  in the resting-state were highly synchronous between bilateral motor areas. In the last decade, such positive correlations between homologous brain regions were widely demonstrated in the motor [Fox and Raichle, 2007;Lowe et al., 1998], visual [Cordes et al., 2000], auditory [Cordes et al., 2000], language [Hampson et al., 2002] systems and subcortical areas such as the hippocampus [Kahn et al., 2008;Vincent et al., 2006]. Specifically, Stein et al. [2000] investigated functional connectivity of medial portion of the thalamus, and found positive correlations in both ipsilateral and contralateral thalamus. Similarly, using an ICA approach, Fukunaga et al. [2006] observed a component including the bilateral thalamic nuclei. In our study, we confirmed the findings that the spontaneous low frequency fluctuations between the bilateral thalamus were highly synchronous under both EC and EO conditions. Furthermore, we observed that the positive correlations were decreased under EO condition as compared to EC condition (Fig. 2 and Fig. 4). It has been reported that the EEG alpha rhythm decreased largely when eyes changed from close to open [Berger, 1929,1930; Chen et al., 2008]. Given that the thalamus acted as pacemaker and generator of EEG rhythms, our BOLD results thus provided support for EEG desynchronization [Rihs et al., 2007] from EC to EO.

#### The Validity of Our Results

In this study, we also performed group ICA analysis to validate the seed-based functional connectivity results. ICA is a fully data-driven method that is able to decompose the resting state BOLD signal into multiple spatially distributed signal and noise components without the need of a seed region [Calhoun et al., 2001]. Here, the group ICA was performed using the Group spatial ICA for fMRI Toolbox (GIFT, version 2.0a; http://icatb.sourceforge.net/gift/gift\_startup.php) on the EC and EO data separately (for details,

see legend of Fig. 7). We found that the thalamus and visual cortex belonged to a same component. The ICA results revealed anticorrelated (negative) relationship between these two regions under EC (see Fig. 7). However, such a spatial pattern was not found in the data under EO, indicating a weakened thalamic-visual correlation. The ICA results thus provided further support to our findings that thalamus and visual cortex were negatively correlated in EC, and the negative correlations were greater under EC than EO.

#### **Issues and Future Works**

Several issues need to be addressed. Firstly, in this study, we did not record EEG simultaneously with fMRI. With the high temporal resolution of EEG and high spatial resolution of fMRI recordings, one could better describe the relations between the EEG rhythms (e.g. alpha) and the BOLD signals of the thalamus and visual cortex. Future studies linking spontaneous EEG rhythms and low-frequency BOLD signals with simultaneous EEG/fMRI recordings in different resting states would be helpful to further our understanding of underlying mechanisms of brain activity. Secondly, we located the three specific thalamic

nuclei based on their coordinates in the Talairach and Tournoux atlas [Talairach and Tournoux, 1988] and selected only one voxel in the center of each specific thalamic nucleus as a seed ROI. In future studies, higher spatial resolution could be adopted to reduce partial volume effect, which allow us to select multiple voxels as seed ROIs. Additionally, it would be worthwhile to segment the thalamus into distinct thalamic nuclei based on their connectivity patterns to the cortex using diffusion tensor imaging techniques [Behrens et al., 2003; Johansen-Berg et al., 2005]. Thirdly, we used a relatively low sampling rate (TR = 2s) for acquisition of the entire brain. Respiratory and cardiac effects in the BOLD signals that occurred at higher frequencies may be aliased to lower frequencies (0.01–0.08 Hz). In future studies, we should try to record cardiac and respiratory signals simultaneously with fMRI scanning. Shorter TR (e.g. TR = 400 ms) could also help to reduce the physiological noise. Finally, in this study we did not explore the thalamic-visual correlations under EO with fixation condition. EO with fixation is a relatively complex condition as compared to the EC condition and EO without fixation condition. In EEG studies, alpha rhythm blockade in the EO without fixation is a very solid phenomenon, while the difference between EO with fixation and without fixation was seldom reported. We thus only focused on the comparisons of the EC condition and EO without fixation condition. In future studies, we hope to systematically investigate the relationship between the thalamus and visual cortex under the three conditions by using simultaneous EEG and fMRI recordings.

#### CONCLUSIONS

We have demonstrated negative correlations between the thalamus and visual cortex, and positive correlations between the bilateral thalamus in EC and EO. These correlations were stronger under EC condition than EO condition, both the positive ones and the negative ones. Furthermore, we demonstrated that the MD and VL nuclei had consistent correlation patterns in both within-condition and between-condition, which were similar to those of the whole thalamus. The P nucleus showed asymmetric correlation patterns, in which right P nucleus mainly positively correlated with the visual cortex and left P nucleus negatively correlated with that region. Our findings that the spontaneous BOLD activities of the thalamus were negatively correlated with visual cortex presented herein extended previous studies on thalamocortical relationship. The consistent correlation patterns of MD and VL nuclei with the visual cortex (also similar to the whole thalamus) might provide evidences for further identifying the generator of the alpha rhythm in the specific thalamic nuclei.

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#### Figure 1.

Masks of the thalamus and visual areas and seed thalamic ROIs. (**A**) Mask covering the thalamus and visual areas (BA 17, 18, and 19). The thalamus and visual areas was extracted using the automated anatomical labeling (AAL) template and the Brodmann template, respectively, in the MRIcro software. Notably, in the Brodmann template, a small part of the vermis was misclassified into the visual areas. This part was manually delineated and excluded from the current mask. The thalamus was displayed in yellow and the visual cortex in red. 'L' denotes the left hemisphere of the brain and 'R' denotes the right hemisphere. Z-axial coordinates in the Talairach and Tournoux space are from -24 to 31 mm in steps of 5 mm. (**B**) The locations of Pulvinar ('p' in the figure), Mediodorsal nucleus ('dm' in the figure) and Ventrolateral nucleus ('vl' in the figure) were shown in a schematic drawing of the thalamus according to the Talairach and Tournoux atlas (Talairach and Tournoux, 1988). The voxels we selected as seed ROI for P, MD, and VL were marked with plus signs.



#### Figure 2.

Within-condition and between-condition functional connectivity maps of the whole thalamus. Within-condition [eyes closed (EC) and eyes open (EO)] functional connectivity maps of the whole thalamus were shown on the left part. Between-condition differences of the functional connectivity maps between EC and EO were shown on the right part. Within-condition and between-condition maps of left thalamus were shown on the top row, and those of the right thalamus were shown on the bottom row. 'L' denotes the left hemisphere of the brain and 'R' denotes the right hemisphere. 'tha' denotes thalamus. The numbers below the images refer to the z coordinates in the Talairach and Tournoux space. Statistical threshold was set at a significance level of P < 0.05 by combining individual voxel P < 0.05 with a minimum cluster size of 810 mm<sup>3</sup> using a Monte Carlo simulation algorithm.



#### Figure 3.

Average correlation coefficients between the thalamus and the visual cortex, and between the bilateral thalamus. (A) Within- and between-condition connectivity values between the thalamus and visual cortex. (B) Within- and between-condition connectivity values between the bilateral thalamus. For EC and EO, the height of each bar represented mean correlation coefficient within each condition, and the error bar represented standard deviation. For EC-EO, the height of each bar represented the mean value of correlation differences between the conditions, and the error bar represented standard deviation. We also showed the *t* values and corresponding *P* values which indicated the significance of the mean values differing from zero. Each circle represented one subject in the figure. 'L' and 'R' denote seed regions in the left and right hemisphere, respectively. 'tha' denotes thalamus and 'vis' denotes visual cortex.



#### Figure 4.

Within-condition and between-condition functional connectivity maps of the six thalamic nuclei. Within-condition (EC and EO) functional connectivity maps of the mediodorsal (MD, Fig. 4A), ventrolateral (VL, Fig. 4B) and pulvinar (P, Fig. 4C) nuclei of thalamus were shown on the left part. Between-condition differences of the functional connectivity maps between EC and EO were shown on the right part. Top rows: within-condition and between-condition maps of left seeds, and bottom rows: within-condition and between-condition maps of right seeds. 'L' denotes the left hemisphere of the brain and 'R' denotes the right hemisphere. The numbers below the images refer to the z coordinates in the Talairach and Tournoux space. Statistical threshold was set at a significance level of P < 0.05 by combining individual voxel P < 0.05 with a minimum cluster size of 810 mm<sup>3</sup> using a Monte Carlo simulation algorithm. It should be noted that the between-condition difference maps of left MD, left P, and right VL nuclei were corrected by combining individual voxel P < 0.05 with a minimum cluster size of 405 mm<sup>3</sup> due to the less significant results.

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#### Figure 5.

Average correlation coefficients between the thalamic nuclei and the visual cortex, and between the thalamic nuclei and the thalamus. (A) Within- and between-condition connectivity values between the MD and visual cortex. (B) Within- and between-condition connectivity values between the MD and the thalamus. (C) Within- and between-condition connectivity values between the VL and visual cortex. (D) Within- and between-condition connectivity values between the VL and the thalamus. (E) Within- and between-condition connectivity values between the VL and the thalamus. (E) Within- and between-condition connectivity values between the P and visual cortex. (F) Within- and between-condition connectivity values between the P and the thalamus. For other details, see Figure 3.



#### Figure 6.

Within-condition and between-condition functional connectivity maps (without global signal removal) of the whole thalamus. The between-condition difference maps of right thalamus were corrected by combining individual voxel P < 0.05 with a minimum cluster size of 405 mm<sup>3</sup>. For other details, see Figure 2.



### Figure 7.

Group ICA results under EC. Using ICA, the functional data under EC condition was decomposed into 20 components using Infomax algorithm. We found that thalamus and visual cortex belonged to a single component. The ICA results revealed anti-correlated (negative) relationship between these two regions under EC (|t| > 2.9). Before the ICA analysis, several preprocessing steps [slice timing, motion correction, spatial normalization and spatial smoothing (FWHM = 4 mm)] were performed. While using a similar analysis of the functional data under EO condition, we did not find such a temporal relationship (data not shown).

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	Displacemer	nt (mm)	Rotation	(degree)	Displacem	ent (mm)	Rotation	(degree)
Subjects	EC	EO	EC	EO	EC	EO	EC	EO
-	0.27	0.31	0.27	0.81	0.05	0.10	0.10	0.26
2	0.29	0.76	0.71	0.57	0.07	0.11	0.24	0.16
3	0.15	0.39	0.14	0.11	0.02	0.07	0.05	0.04
4	1.26	0.73	0.62	0.66	0.30	0.09	0.26	0.21
5	0.28	0.45	0.31	0.29	0.06	0.11	0.08	0.10
6	0.57	11.1	1.09	0.48	0.17	0.16	0.40	0.06
7	0.17	0.15	0.44	0.16	0.05	0.07	0.18	0.04
8	0.85	0.46	0.57	0.29	0.21	0.12	0.25	0.13
6	0.23	0.20	0.10	0.28	0.10	0.04	0.04	0.10
10	0.48	0.16	0.54	0.53	0.12	0.05	0.16	0.12
11	0.17	0.23	0.27	0.54	0.04	0.05	0.08	0.16
12	0.43	0.31	0.56	0.48	0.13	0.07	0.13	0.12
13	1.63	0.75	0.61	0.56	0.36	0.20	0.07	0.10
14	0.18	0.40	0.46	0.80	0.05	0.09	0.08	0.23
15	0.36	0.43	0.27	0.15	0.07	0.05	0.10	0.05
16	0.70	1.20	0.66	0.59	0.13	0.15	0.17	0.22
17	0.23	0.20	0.42	0.52	0.06	0.04	0.20	0.14
18	0.30	0.11	06.0	0.25	0.09	0.03	0.47	0.06
19	0.63	0.15	0.26	0.39	0.07	0.05	0.04	0.12
20	0.36	0.69	0.87	0.19	0.07	0.12	0.22	0.05
						$\sum_{i=1}^{N}  x(i) $	+ y(i) + z(i)	
Maximum head displace	ment more than 1mm o	or rotation more than	1 degree was printed i	n bold. Average displa	cement or rotation is ca	lculated as $\frac{-i-1}{2}$	$\frac{3N}{3N}$ , whe	re $N$ is the number
of time points, and $N = 2$	30 in the current study	y. $x(i)$ , $y(i)$ , $z(i)$ are here	ad displacement along	(x, y, z) directions or ro	tation around x, y, z axi	s. There was no signif	icant between-condition	difference in
maximum translation ( $P$	= 0.88), maximum dis	splacement $(P = 0.35)$	, average translation (	P = 0.32) or average rc	tation $(P = 0.16)$ .			