

NIH Public Access

Author Manuscript

Neonatology. Author manuscript; available in PMC 2009 October 22

Published in final edited form as: *Neonatology*. 2009 ; 95(2): 117–124. doi:10.1159/000153095.

Developmental and Genetic Regulation of Human Surfactant Protein-B <u>In Vivo</u>

Aaron Hamvas, M.D.¹, Hillary B. Heins, B.S.¹, Susan H. Guttentag, M.D.⁴, Daniel J. Wegner, M.S.¹, Michelle A. Trusgnich, M.S.¹, Kate W. Bennet¹, Ping Yang, M.S.¹, Christopher S. Carlson, Ph.D.³, Ping An, M.D.², and F. Sessions Cole, M.D.¹

¹Division of Newborn Medicine, the Edward Mallinckrodt Department of Pediatrics and St. Louis Children's Hospital, St. Louis, MO

²Division of Statistical Genomics, Department of Genetics, Washington University School of Medicine, St. Louis, MO

³Division of Public Health Sciences, the Fred Hutchinson Cancer Research Center, University of Washington, Seattle, WA

⁴Division of Neonatology, Department of Pediatrics, The Children's Hospital of Philadelphia and the University of Pennsylvania School of Medicine, Philadelphia, PA

Abstract

Background—Genetic and developmental disruption of SP-B expression causes neonatal respiratory distress syndrome.

Objectives—To assess developmental and genetic regulation of SP-B expression in vivo

Methods—To assess <u>in vivo</u> developmental regulation of SP-B, we used immunoblotting to compare frequency of detection of mature and pro-SP-B peptides in developmentally distinct cohorts: 24 amniotic fluid samples, unfractionated tracheal aspirates from 101 infants \geq 34 weeks gestation with (75) and without (26) neonatal respiratory distress syndrome (RDS), and 6 non-smoking adults. To assess genetic regulation, we used univariate and logistic regression analyses to detect associations between common surfactant protein B (*SFTPB*) genotypes and SP-B peptides in the neonatal RDS cohort.

Results—We found pro-SP-B peptides in 24/24 amniotic fluid samples and in 100/101 tracheal aspirates from newborn infants but none in bronchoalveolar lavage from normal adults (0/6) (p<0.001). We detected an association (p=0.0011) between pro-SP-B peptides (M_r 40 and 42 kd) and genotype of a non synonymous single nucleotide polymorphism (SNP) at genomic position 1580 that regulates amino-terminus glycosylation.

Conclusions—Pro-SP-B peptides are more common in developmentally less mature humans. Amino terminus glycosylation of SP-B is regulated genetically <u>in vivo</u> by a SNP at genomic position 1580.

Keywords

Neonatal respiratory diseases; respiratory distress syndrome; surfactant proteins

Corresponding author: Aaron Hamvas, M.D., Division of Newborn Medicine, St. Louis Children's Hospital, One Children's Place, St. Louis, Missouri 63110, office: 314-454-6148, facsimile: 314-454-4633, hamvas@kids.wustl.edu.

These data were presented at the Pediatric Academic Societies' Meetings in San Francisco, May, 2004 and 2006, and in Washington, D.C., May, 2005 (E-PAS 2006:59:2610.4; Pediatr Res 2005; 57:2502; and Pediatr Res 2004; 55:2664)

Introduction

The pulmonary surfactant is a phospholipid-protein complex synthesized by alveolar type II cells that lowers surface tension and maintains alveolar expansion at end-expiration [1]. A developmentally regulated, quantitative deficiency of pulmonary surfactant phospholipids results in surfactant dysfunction and respiratory distress syndrome (RDS) in premature newborns with increasing risk and severity associated with decreasing gestational age [2,3]. However, the importance of genetic regulation of surfactant function has been suggested by increased risk of respiratory distress in males and European descent infants and by disruption of pulmonary surfactant function by completely penetrant, recessive, loss of function mutations in the surfactant protein B gene (*SFTPB*) [4-8].

Surfactant protein B (SP-B) is a lung-specific, hydrophobic peptide [9] encoded in a 9.7 kb gene (*SFTPB*; GeneID: 6439; Locus tag: <u>HGNC:10801</u>; <u>MIM: 178640</u>) that directs the synthesis of a 381 amino acid, 40 kD preproprotein. Pro-SP-B undergoes co-translational, amino- and carboxy-terminus glycosylation and a series of amino and carboxy terminus proteolytic cleavages to yield the 79 amino acid mature SP-B peptide [10-12].

Developmental regulation of SP-B expression has been documented in human amniotic fluid samples and immunohistochemical studies of human fetal lungs [13-15]. Although the aminoand carboxy-terminus cleavage fragments of pro-SP-B have also been detected in amniotic fluid and bronchoalveolar lavage fluid, the functions of these processing fragments are unknown [10,11,16]. Studies in mice that express truncated SP-B have demonstrated the importance of SP-B processing and itinerary for function of the pulmonary surfactant [17-19].

Genetic regulation of SP-B expression and surfactant function has been demonstrated in human newborn infants with rare, recessive, loss of function *SFTPB* mutations, in murine lineages with conditionally regulated *SFTPB* expression, and in cell culture systems with a non synonymous single nucleotide polymorphism (SNP) at *SFTPB* genomic position (g.) 1580 [20-22]. To characterize developmental and genetic regulation of SP-B expression <u>in vivo</u>, we compared the frequencies of mature and pro-SP-B peptides in developmentally distinct cohorts and interrogated associations between common *SFTPB* genotypes and SP-B peptides in term and near term infants with and without neonatal RDS. We observe pro-SP-B peptides in fetuses and newborn infants but not in adults, and we report an association between *SFTPB* genotype at g. 1580 and 2 pro-SP-B peptides (M_r 40 kd and 42 kd) predicted by <u>in vitro</u> studies of aminoterminus glycosyltation. These data suggest that <u>in vivo</u>, proteolytic processing of SP-B is developmentally regulated and that amino-terminus glycosylation is genetically regulated.

Materials and Methods

Patient populations and sample acquisition

To evaluate developmental regulation of SP-B, we obtained 24 archived, anonymized amniotic fluid samples from pregnancies at gestational ages ranging from 33 to 39 weeks (mean 36 ± 2 weeks gestation), tracheal aspirates from a neonatal RDS cohort which included infants ≥ 34 weeks gestation with (N=75) (obtained >24 hours after the last dose of surfactant replacement therapy) and without (N=26) neonatal RDS (median 5 days (range 1-222 days) chronological age, mean 38 ± 4 weeks post-conceptional age) (Table 1), and bronchoalveolar lavage samples from 6 non smoking adult volunteers (the gift of Tomoko Betsuyaku, M.D., Hokkaido University School of Medicine, Kita-ku, Japan). Tracheal aspirate sample acquisition and processing are described in the on-line supplementary material. Infants with RDS had abnormal chest radiographs and need for mechanical ventilation and supplemental oxygen, while those without RDS required mechanical ventilation for non-pulmonary reasons with normal chest

radiographs and no requirement for supplemental oxygen. To confirm that SP-B peptide detection is independent of sample acquisition site, we compared SP-B peptides in 6 simultaneously acquired tracheal aspirate and BAL fluid specimens from older children who were undergoing diagnostic bronchoscopy (Figure E1 in the on line supplementary material).

To evaluate genetic regulation of *SFTPB* expression, we obtained DNA from peripheral blood white cells from infants in the neonatal RDS cohort (N=101). In view of the limited statistical power of the RDS cohort, we used a replication cohort (225 race and gestational age matched anonymized DNA samples (3 matched to each infant with RDS) from infants without RDS in a previously reported cohort) [23] to interrogate *SFTPB* genotype associations with RDS. The Washington University Human Research Protection Office approved this study.

Study Design and Methods

Developmental analysis and immunoblotting-To evaluate developmental regulation of SP-B in vivo, we used immunoblotting of samples on nitrocellulose membranes transferred after SDS polyacrylamide gel electrophoresis under non-reduced and reduced (2%-10% β mercaptoethanol) conditions and probed first with anti-serum to mature SP-B (Chemicon, Inc, Temecula, CA; #AB3780), and, secondly, after stripping nitrocellulose membranes using Re-Blot Plus Strong Antibody Stripping Solution (Chemicon #2504), re-probed with primary antiserum to pro-SP-B (Chemicon #AB3430). We confirmed specificity of SP-B peptide detection by abrogation of mature peptide signal after pre-incubation of the primary antibody with excess purified human SP-B (the gift of T. E. Weaver, Ph.D., from the University of Cincinnati) and by failing to detect mature or pro-SP-B peptides with non-immune serum or in tracheal aspirate or BAL samples from infants with genetic SP-B deficiency (data not shown). Anti-serum to pro-SP-B did not detect any peptides in purified human SP-B or in Infasurf (Figure 1). Each sample was adjusted to contain a mean of 3.0 ng (±2.6 ng S.D.) of SP-B based on prior slot blot analysis with antibody to mature SP-B. Immunoblotting of amniotic fluid, tracheal aspirate, and bronchoalveolar lavage samples fractionated by high speed centrifugation to separate surface active components $(27,000 \times g \text{ for } 60 \text{ minutes})[24]$ revealed SP-B peptides in both small aggregate and large aggregate fractions (described in the on-line supplementary material). To insure comprehensive detection of SP-B peptides, we performed immunoblotting on unfractionated samples.

Genetic analysis and sequencing—To evaluate genetic regulation of *SFTPB* expression in vivo, we prepared genomic DNA from blood samples of the neonatal RDS cohort, amplified and resequenced *SFTPB* (g. -880 in the 5' promoter region through g.7022 in intron 10), and assembled, analyzed, and edited chromatograms as described in the on-line supplementary material. We omitted 380 bps in intron 4 due to inability of BigDye terminator sequencing chemistry to resolve multiple CA dinucleotide repeats in this region [25] as well as intron 10, untranslated exon 11, and the 3' untranslated region.

Analysis

We linked all clinical, genotype, and immunoblot data and performed data analyses using the Statistical Analysis Package (SAS version 9.1.3 Service Pack 4, SAS Institute, Cary, NC, USA). We used exact logistic regression to assess associations between *SFTPB* variants and SP-B peptides in the entire neonatal RDS cohort and between *SFTPB* variants and RDS status separately in African and European descent infants and included gestational age and gender as covariates. We used the Bonferroni method to correct for multiple independent testing and p-values ≤ 0.01 to declare significant statistical inferences. To achieve sufficient statistical power (>0.8) to detect associations between *SFTPB* variants and specific SP-B peptides and also to detect a minimum 2-fold difference in allele frequencies between RDS and control infants, we

analyzed associations between 5 SNPs with minor allele frequencies (MAFs) ≥ 0.3 and the 4 most commonly detected (frequencies>0.4) SP-B peptides.

Results

Developmental regulation of SP-B peptide expression

To assess developmental regulation of SP-B in vivo, we compared frequencies of SP-B peptides in developmentally distinct groups. We detected both mature and pro-SP-B peptides (M_r 10-42 kD) in all 24 amniotic fluid samples and in 100 of 101 tracheal aspirate samples (Figure 1)(see Table E2 in the on-line supplementary material for complete list of SP-B peptides in RDS cohort). The diverse SP-B peptides detected in tracheal aspirates did not result from incompletely metabolized SP-B after surfactant replacement therapy: these peptides were detected by antibodies against both mature and pro-SP-B, while the antibody to pro-SP-B did not detect any peptides from Infasurf which all infants with RDS received (Figure 1). In contrast to the amniotic fluid and tracheal aspirate samples, we detected only mature SP-B peptides and no pro-SP-B peptides in the 6 bronchoalveolar samples from adults (Figure 1)(probability of observed distribution by chance p<0.0001)(Fisher's exact test). This observation suggests that proteolytic processing of pro-SP-B in vivo differs in developmentally distinct cohorts. To assess the possibility that mobilities of mature or pro-SP-B peptides resulted from disulfide mediated multimers or post acquisition proteolysis, we used reducing conditions (2%-10% β mercaptoethanol) or incubation at room temperature or 37°C. for 1, 3, and 6 hours. While purified, human SP-B migrated with Mr of 21 kD under non reducing and Mr of 8 kD under reducing conditions, most mature and pro-SP-B peptides from tracheal aspirates failed to resolve to an 8 kd peptide (Figure 2). In addition, incubation at varying time intervals failed to alter Mr of the pro-SP-B or mature SP-B peptides (data not shown). These observations suggest that disulfide mediated multimerization and post acquisition proteolysis did not contribute to the diversity of SP-B peptides we detected.

Genetic regulation of SP-B expression

To assess genetic regulation of SP-B expression in vivo with adequate statistical power (>0.8)to detect associations between SP-B peptides and SFTPB genotypes, we analyzed the 5 *SFTPB* genotypes with MAFs \ge 0.3 (g.-18, g.1013, g.1580, g.5028, and g.5796) and 4 of the most commonly detected (frequencies >0.4) SP-B peptides (M_r 21, 24, 40, and 42 kD) in the RDS cohort (N=101). Because of small cohort size, overrepresentation of rare SFTPB variants in this cohort (23 of 40 polymorphic sites (36 SNPs and 4 insertions or deletions) were detected in <3 infants), and multiple SP-B peptides, we had sufficient statistical power to interrogate only these associations (see Table E1 in the on-line supplementary material). We found 1 example of genetic regulation of SP-B expression: genotypes (CC vs. CT vs. TT) of the non synonymous SNP at g.1580 were significantly associated with presence of the M_r 42 kD (p=0.0011) or absence of the M_r 40 kD pro-SP-B peptide (p=0.0011), respectively, as predicted by the loss of a potential N-linked, amino-terminus glycosylation site of pro-SP-B if isoleucine (T allele) is substituted for threonine (C allele) at codon 131 (Table 2A) [22]. Although univariate analysis demonstrated that alleles at g.1013 (P=0.05) and g.5796 (P=0.1, rs2040349) were weakly associated with the expression of the Mr 24 kD pro-SP-B peptide, logistic regression analyses did not detect association between any other common SFTPB genotype and any common SP-B peptide (Table 2A).

We found no differences in frequencies of common *SFTPB* genotypes between the RDS and control groups or between the RDS and control infants in the replication cohort (Table 2B). Using logistic regression models that included the risk of RDS with respect to genotype, expression of the M_r 21 or 24 kD SP-B peptides, race, and gender, we found that the M_r 24kD SP-B peptide was consistently an independent contributor to the risk of RDS irrespective of

Neonatology. Author manuscript; available in PMC 2009 October 22.

genotype (p=0.02-0.04). These observations suggest that while *SFTPB* genotype at g.1580 regulates amino-terminus glycosylation of pro-SP-B, *SFTPB* genotypes do not contribute to developmental regulation of pro-SP-B proteolytic processing or to risk of RDS.

Discussion

Our data suggest 4 possible differences in developmental regulation of pro-SP-B proteolytic processing <u>in vivo</u> between fetuses or newborn infants and adults. First, proteases required for pro-SP-B processing may not be functional in fetuses and newborn infants. Secondly, pro-SP-B may be released without routing through the multivesicular/composite body/lamellar body pathway in fetuses and newborn infants [9]. Thirdly, fetal or neonatal alveolar epithelial cells may differ from adult cells in their secretory selectivity. Or, fourthly, pro-SP-B may be misprocessed by proteases not normally involved in pro-SP-B processing. We also cannot exclude the possibility that the pro-SP-B peptides we observed were released after alveolar type II cell death or by Clara cells. However, because we found these peptides in amniotic fluid, it is unlikely that they result from oxygen toxicity or barotrauma alone. Detection of pro-SP-B peptides may also result from adults with lung injury suggests that these SP-B peptides may also result from alveolar epithelial cell injury, the influence of inflammatory mediators, or proteolytic activity in airway effluent in older individuals [11,16].

Our study extends previous observations of regulation of human SP-B in fetal lung explant cultures, amniotic fluid, tracheal aspirate, and bronchoalveolar lavage [10,11,13-16]. In vitro studies of the subcellular processing, localization, and distribution of SP-B in human fetal alveolar type II cells suggest 3 steps in the proteolytic processing of pro-SP-B that include 2 distinct cleavages of the amino-terminus pro-peptide (from 42 or 40 kD pro-SP-B to 23 kD and from 9 kD to the 8 kD mature SP-B) and 1 cleavage of the carboxy-terminus pro-peptide (from 23 kD to 9 kD) [11,19]. Immunolocalization studies suggest that these proteolytic processing steps likely involving cathepsin H, napsin A, and pepsinogen C occur en route from the Golgi complex through the multivesicular and composite bodies to the lamellar bodies in alveolar type II cells [11,12,26-28]. In vitro studies have also suggested that the aminoterminus, co-translational glycosylation of pro-SP-B is regulated by the genotype of a common, non synonymous SNP at g. 1580 [22]. While our study is limited by statistical power to detect associations between rare SFTPB genotypes and SP-B peptides, it confirms in vivo genetic regulation of the amino-terminus glycosylation of SP-B and developmental regulation of SP-B proteolytic processing. Because of the multiple pro- and mature SP-B peptides we observed in tracheal aspirate samples, our study also demonstrates the importance of comprehensive proteomic resolution in evaluation of regulation of surfactant associated proteins. These observations suggest that pharmacologic strategies that increase SP-B proteolytic processing to produce mature SP-B may improve alveolar type II cell metabolism and pulmonary surfactant function without increased SFTPB transcription.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgements

We wish to thank Katherine K. Madden, B.S.N., for subject recruiting, Timothy E. Weaver, Ph.D., University of Cincinnati for providing purified human SP-B, Ann Gronowski, M.D., Department of Pathology, Washington University School of Medicine for providing amniotic fluid samples, and Tomoko Betsuyaku, M.D., Hokkaido University School of Medicine, Kita-ku, Japan, for providing adult bronchoalveolar lavage samples.

Support statement: This work was supported by grants from the National Heart, Lung, and Blood Institute (HL065174 and HL082747 to FSC, HL065385 to AH, and HL059959 to SHG), from the Children's Discovery Institute of St. Louis Children's Hospital (FSC and AH), and from the Saigh Foundation (FSC and AH).

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Neonatology. Author manuscript; available in PMC 2009 October 22.

Hamvas et al.

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Page 7

Hamvas et al.

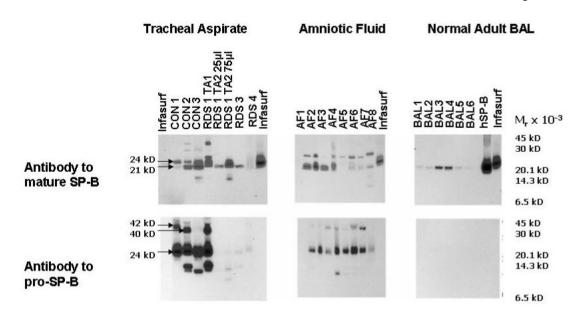


Figure 1.

Mature and pro-SP-B peptides from tracheal aspirate, amniotic fluid, and normal adult bronchoalveolar lavage (BAL) under non reducing conditions. Immunoblots of tracheal aspirate (TA) samples from 3 newborn infants with RDS (RDS 1,3,4), 3 control infants (CON 1,2,3), 8 amniotic fluid samples (AF 1-8), 6 bronchoalveolar lavage specimens from adults (BAL 1-6), purified human SP-B (hSP-B), and Infasurf were probed with antibody to mature or pro-SP-B. Locations of common mature and pro-SP-B peptides (M_r 42, 40, 24, and 21 kD) are indicated. TA samples from RDS 1 obtained 1 week apart (TA1 vs. TA2) demonstrate changes in mature and pro-SP-B peptide mobilities over time in the same infant. Antibody to pro-SP-B does not detect any pro-SP-B peptides in purified human SP-B or in Infasurf.

Hamvas et al.

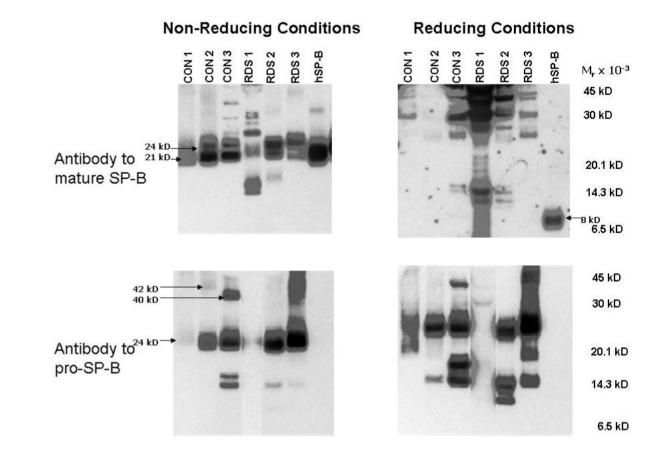


Figure 2.

Mature and pro-SP-B peptides from tracheal aspirates under non-reducing and reducing conditions. Immunoblots of tracheal aspirates from 3 infants without (CON 1, 2, 3) and with (RDS 1, 2, 3) respiratory distress syndrome and purified human SP-B (hSP-B) performed under non-reducing and reducing conditions (10% β -mercaptoethanol). Locations of common mature and pro-SP-B peptides (M_r 42, 40, 24, and 21 kD) and reduced, mature SP-B (8 kD) are indicated. Tracheal aspirates contain multiple mature and pro-SP-B peptides that do not resolve to an 8 kd peptide under reducing conditions.

Neonatology. Author manuscript; available in PMC 2009 October 22.

Table 1

Neonatal RDS Cohort Characteristics

	RDS (n=75)	Control (n=26)	p-value ¹
Race (n (%))	14(19%)	5(19%)	0.9
African descent	61(81%)	21(81%)	
European descent			
Gender ²	M 47 (63%) / F 28(37%)	M 19 (73%) /F 7 (27%)	0.3
Gestational age (wks) ³	37 ± 2	37 ± 1.5	0.4
Birthweight ⁴	3.0 ± 0.7	3.0 ± 0.6	0.9
Age at TA ⁵ acquisition (days) ⁶	4 (1-48)	6 (2-222)	0.008
Duration of mechanical ventilation (days) ⁶	6 (1-193)	0 (0-55)	< 0.001
Duration of supplemental oxygen (days) ⁶	8 (1-193)		
Survived / died	67 / 4	23 / 0	0.2

¹ p-values of Chi square tests of RDS vs. control

 2 M = male, F = female

 3 Weeks, mean \pm SD

⁴ Kilograms

 5 TA = tracheal aspirate

⁶Median (range)

Table 2A SFTPB Genotype Association with SP-B Peptides

Mor P40 ¹ P42 ¹ M21 ¹ P24 ¹ etc 0.39 0.85 0.47 0.45 A 0.31 0.88 0.36 0.28 0.0011 ² 0.0011 0.00 0.88 0.10 0 0.31 0.88 0.12 0 0.011 0.00 0.88 0.12 0 0.81 0.10 0.88 0.12	We modeled the probability of the presence of each peptide band and calculated exact probability test p-values. The Bonferroni significance p-value was < 0.01 after correcting for 5 tests (5 polymorphic
P24 0.45 0.28 0.12 0.12	of each
M21 ⁷ M21 ⁷ 0.47 0.36 0.88 0.88 0.88	resence
P40 ¹ P42 ¹ M21 ¹ P24 ¹ 0.39 0.85 0.47 0.45 0.31 0.88 0.36 0.38 0.31 0.88 0.36 0.28 0.31 0.88 0.36 0.28 0.31 0.88 0.36 0.28 0.31 0.88 0.36 0.28 0.31 0.88 0.30 0.00 0.0011 ² 0.001 0.88 0.10 0.74 0.10 0.88 0.10 0.81 0.14 0.88 0.10	of the p
P40 ¹ P42 0.39 0.8 0.31 0.8 0.0011 ² 0.001 0.74 0.1	bability
Minor allele G C C C C C C C C C C C C C C C C C C C	the pro
Genomic Minor Genomic Minor Iotation allele -18 -18 -1013 A 1580 5028 6 5796	modeled
Rs number location Is number location P40 ^T P42 ^T M21 ^T P24 ^T Rs 2077079 -18 0.39 0.85 0.47 0.45 Rs 2077079 -18 0.39 0.85 0.47 0.45 Rs 3024798 1013 A 0.31 0.88 0.36 0.28 Rs 3024798 1013 A 0.31 0.88 0.36 0.28 Rs 1130866 1580 C 0.0011 ² 0.08 0.10 0.88 0.10 Rs 1130866 1580 C 0.0011 ² 0.010 0.88 0.10 Rs 1130866 5 0.74 0.10 0.88 0.10 Rs 2118177 5028 G 0.74 0.10 0.88 0.10	We

markers) for each peptide.

P40 = Mr 40 kD pro-SP-B peptide; P42 = Mr 42 kD pro-SP-B peptide; M21 = mature Mr 21 kD SP-B peptide; P24 = Mr 24 kD pro-SP-B peptide

¹Probability test p-values

² For Rs1130866, P40, 'CC' ('TT' as reference, p = 0.0052, $\beta = -1.17$) was associated with absence of the M_r 40 kD pro-SP-B peptide.

 3 For Rs1130866, P42, 'CT' ('TT' as reference, p = 0.04, β = 0.71) was associated with presence of the Mr 42 kD pro-SP-B peptide.

87 Barber 28 Anthor Manuscript

Hamvas et al.

l Replication cohort p-value ^I			0.16	0.54	0.48	0.47	0.77	
se-contro cohort	lue	EDJ	0.43	0.39	0.49	0.48	0.32	
Case-c coh	p-value ⁴	$AD^2 ED^3$	0.04	0.14 0.39	0.12 0.49	0.67	0.67 0.32	
Minor allele			С	Α	С	G	G	
GenomicMinor location allele			-18	1013	1580	5028	5796	
Rs numberGenomicMinorCase-control location allele cohort _j c			Rs2077079	Rs3024798	Rs1130866	Rs2118177	Rs2040349	

p-value for RDS vs. control or replication cohort

 2 AD = African descent

 \mathcal{F} ED = European descent