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## COVID-19 in Patients with CKD in New York City

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### Abstract

**Background**—COVID-19 has affected millions of people, and several chronic medical conditions appear to increase the risk of severe COVID-19. However, our understanding of COVID-19 outcomes in patients with CKD remains limited.

**Methods**—This was a retrospective cohort study of patients with and without CKD consecutively admitted with COVID-19 to three affiliated hospitals in New York City. Pre-COVID-19 CKD diagnoses were identified by billing codes and verified by manual chart review. In-hospital mortality was compared between patients with and without underlying CKD. Logistic regression was used to adjust this analysis for confounders and to identify patient characteristics associated with mortality.

**Results**—We identified 280 patients with CKD, and 4098 patients without CKD hospitalized with COVID-19. The median age of the CKD group was 75 (65–84) years, and age of the non-CKD group 62 (48–75) years. Baseline (pre-COVID-19) serum creatinine in patients with CKD was 1.5 (1.2–2.2) mg/dl. In-hospital mortality was 30% in patients with CKD versus 20% in patients without CKD ( $P<0.001$ ). The risk of in-hospital death in patients with CKD remained higher than in patients without CKD after adjustment for comorbidities (hypertension, diabetes

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#### Author Contributions

A.P. Licona-Freudenstein, M. Greenbaum, and S. Biswas were responsible for data curation; J. Choi and P. Goyal were responsible for conceptualization, investigation, methodology, and reviewed and edited the manuscript; K. Meza was responsible for formal analysis, investigation, and visualization; M.E. Choi was responsible for conceptualization, investigation, methodology, resources, supervision, validation, and reviewed and edited the manuscript; O. Akchurin was responsible for conceptualization, data curation, formal analysis, investigation, methodology, project administration, supervision, validation, visualization, wrote the original draft of the manuscript, and reviewed and edited the manuscript; and all authors approved the final version of the manuscript.

#### Supplemental Material

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mellitus, asthma, and chronic obstructive pulmonary disease), adjusted OR 1.4 (95% CI, 1.1 to 1.9),  $P=0.01$ . When stratified by age, elderly patients with CKD (age >70 years) had higher mortality than their age-matched control patients without CKD. In patients with CKD, factors associated with in-hospital mortality were age (adjusted OR, 1.09 [95% CI, 1.06 to 1.12]),  $P<0.001$ , baseline and admission serum phosphorus (adjusted OR, 1.5 [95% CI, 1.03 to 2.1],  $P=0.03$  and 1.4 [95% CI, 1.1 to 1.7],  $P=0.001$ ), serum creatinine on admission >0.3 mg/dl above the baseline (adjusted OR 2.6 [95% CI, 1.2 to 5.4]  $P=0.01$ ), and diagnosis of acute on chronic kidney injury during hospitalization (adjusted OR 4.6 [95% CI, 2.3 to 8.9],  $P<0.001$ ).

**Conclusions**—CKD is an independent risk factor for COVID-19-associated in-hospital mortality in elderly patients. Acute-on-chronic kidney injury increases the odds of in-hospital mortality in patients with CKD hospitalized with COVID-19.

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## Introduction

The COVID-19 global pandemic has affected millions of people. In spring 2020, New York City (NYC) was the epicenter of the COVID-19 pandemic in the United States. Several chronic medical conditions, such as hypertension, diabetes, and obesity, have been reported as risk factors for severe COVID-19. CKD affects approximately 15% of the adult US population (1). It is associated with immune activation, marked by systemic inflammation, and immune deficiency, manifesting as increased susceptibility to infections (2–4). Patients with CKD have higher mortality from non-COVID-19 associated pneumonia compared with patients with preserved renal function (5). The US Centers for Disease Control and Prevention warns that having CKD of any stage increases the risk for severe illness from COVID-19 (6). However, studies of COVID-19 outcomes in patients with predialysis CKD remain scarce (7–9). Herein, we characterize a cohort of patients with CKD and a control cohort of patients without CKD who were hospitalized with COVID-19 in spring and summer 2020 in NYC.

## Materials and Methods

This was a retrospective cohort study that was conducted at a major academic center and three affiliated hospitals in NYC. The study was approved by the Institutional Review Board of Weill Cornell Medicine. Patients with a COVID-19 diagnosis (by reverse-transcriptase PCR of nasopharyngeal swab specimens) that required in-patient admission were included. Patients who were diagnosed with COVID-19 in the emergency department but did not require admission were not included. We included all hospitalized patients with COVID-19 who were discharged by August 31, 2020. Data were abstracted electronically using an institutional reporting database (10). The cohort was screened for patients with CKD using the International Statistical Classification of Diseases tenth revision (ICD-10) diagnostic code of CKD (N18) in electronic medical records at any point since 2015 pre-COVID-19 diagnosis. CKD status was validated by manual chart review. Health care provider-recorded diagnosis of CKD either in prior medical records or in the emergency department was used for validation. Comorbid conditions were identified by their ICD-10 codes.

## Statistical Analyses

Continuous variables are presented as median (interquartile range). A *t* test was used to determine the significance of differences between normally distributed continuous variables and a chi-squared test was used for the proportions. Covariates included in the multivariate analysis of the effect of CKD on mortality included sex, race and ethnicity, and the presence of comorbidities—hyper-tension, diabetes, asthma, and chronic obstructive pulmonary disease. To determine risk factors for in-hospital death in patients with COVID-19 and underlying CKD, we used logistic regression. Adjusted odds ratios (ORs) with 95% confidence intervals (95% CIs) were calculated for variables significant in univariate analysis; adjustment covariates included age, sex, race, and ethnicity. We used STATA for statistical analyses.

## Results

Our two cohorts included 280 patients with CKD and 4098 patients without a pre-existing diagnosis of CKD (Table 1). The CKD group was older than the non-CKD group (median age 75 versus 62 years) and had higher percentage of males (63% versus 55%). The percentage of Black patients was higher in the CKD group than in non-CKD group (19% versus 10%), indicating disproportionate vulnerability of Black patients to COVID-19, consistent with previous reports (9). Comorbid conditions included hypertension, diabetes, asthma, chronic obstructive pulmonary disease, and congestive heart failure; all of these conditions were more common in patients with pre-existing CKD than in patients without CKD.

Patients with CKD required mechanical ventilation more frequently than patients without CKD (Table 1). Patients with CKD were also more frequently diagnosed with septic shock. AKI was diagnosed in 54% of patients with CKD versus in 22% of those without underlying CKD. In-hospital mortality was 30% in patients with CKD and 20% in patients without CKD (unadjusted  $P < 0.001$ ) (Figure 1A, Table 1). After adjustment for demographic characteristics and comorbid conditions (Supplemental Table 1), CKD remained a significant risk factor for in-hospital mortality (adjusted OR, 1.4 [95% CI, 1.1 to 1.9]). Importantly, when both CKD and non-CKD cohorts were stratified by age, patients aged >70 years had higher mortality than their age-matched counterparts without CKD (Figure 1B).

Within the CKD cohort, the subgroup of patients who died in the hospital was older than the subgroup of those who survived (Figure 2, Table 2). As expected, higher in-hospital mortality was observed with higher CKD stages (Supplemental Figure 1). In univariate analysis, other factors associated with increased odds of mortality were: having primary language other than English (OR, 1.9 [95% CI, 1.1 to 3.1]), body mass index (BMI) <25 kg/m<sup>2</sup> (OR, 1.8 [95% CI, 1.1 to 3.0]), pre-COVID-19 BUN (OR, 1.03 [95% CI, 1.01 to 1.05]), serum phosphorus on admission (OR, 1.3 [95% CI, 1.1 to 1.5]), C-reactive protein (OR, 1.1 [95% CI, 1.05 to 1.2]), elevated serum creatinine on admission (OR, 2.9 [95% CI, 1.6 to 5.6]), and diagnosis of AKI during hospitalization (OR, 3.9 [95% CI, 2.2 to 7.1]). Baseline use of angiotensin receptor blockers and higher lymphocyte count on admission were associated with increased odds of survival (OR, 0.5 [95% CI, 0.3 to 0.9] and 0.5 [95%

CI, 0.2 to 0.9] respectively). After adjustment for demographic characteristics (Figure 2), variables that remained significantly associated with mortality were age (OR, 1.09 [95% CI, 1.06 to 1.12]), admission serum phosphorus (OR, 1.1 [95% CI, 1.4 to 1.7]), admission C-reactive protein (OR, 1.05 [95% CI, 1.02 to 1.11]), admission serum creatinine above baseline (OR, 2.6 [95% CI, 1.2 to 5.4]), and diagnosis of AKI during hospitalization (OR, 4.6 [95% CI, 2.3 to 8.9]). In addition, in multivariate analysis baseline serum phosphorus was significantly associated with mortality (adjusted OR, 1.5 [95% CI, 1.03 to 2.1]).

## Discussion

Identification and stratification of risk factors for severe COVID-19 disease are important for the development of effective preventative strategies and interventions. Although emerging data support the role of hypertension, diabetes, and coronary artery disease as risk factors for severe COVID-19 (11), the significance of CKD as an underlying condition for severe COVID-19 remains less well understood. In a meta-analysis of early reports from China, no study individually found CKD as significant predictor of severe COVID-19. However, when data of individual studies were pooled, a significant association between CKD and severe COVID-19 was observed (OR, 3.03 [95% CI, 1.09 to 8.47]) (7). In a cohort of 5700 patients from the NYC area hospitalized with COVID-19, the reported prevalence of CKD was 5% and ESKD 3.5% on the basis of the available ICD-10 diagnostic codes in medical history (12). COVID-19 in patients on dialysis received close attention, mainly from the standpoint of developing preventative guidelines, given frequent aggregation of these patients in hemodialysis units (13). However, data on the outcomes of COVID-19 in patients who are predialysis with CKD remain scarce (8). In a recent multicenter study of patients admitted to the intensive care units across the United States, the presence of pre-existing kidney failure treated by maintenance dialysis was strongly associated with in-hospital death, whereas pre-existing nondialysis CKD had an intermediate association, compared with no pre-existing CKD (9).

In this study, we reported the role of CKD in COVID-19-associated in-hospital mortality on the basis of the cohort of 4378 patients hospitalized with COVID-19, 280 of whom carried the diagnosis of CKD before the COVID-19 pandemic. Patients with CKD had approximately 50% higher in-hospital mortality than patients without CKD. In a cohort of 1603 patients admitted with COVID-19 in Spain, underlying CKD was a risk factor for in-hospital death with a hazard ratio 1.59 (1.06–2.37) (14). In a multicenter study of 4264 patients with COVID-19 admitted to the intensive care units in the United States, CKD had a slightly lower risk of in-hospital death, hazard ratio 1.25 (1.08–1.44) (9). Although in both studies associations were adjusted for age, a stratification of mortality by age was not reported. It has been well established that COVID-19 disproportionately affects the elderly within the general population, whereas the role of age in patients with CKD and COVID-19 remained less well understood. In our cohort, the overall difference in mortality between CKD and non-CKD cohorts was most pronounced in elderly patients. In the subgroup of patients younger than 70, we did not observe differences in mortality between patients with and without underlying CKD. Our results highlight that CKD may be a particularly significant risk factor for mortality in elderly patients with COVID-19 and warrant further

analysis of the role of CKD as risk factor for adverse outcomes in COVID-19 separately in the younger, middle age, and elderly population.

CKD-specific patient characteristics that may be responsible for adverse outcomes of COVID-19 have received little attention to date. In addition to advanced age, several CKD complications were associated with in-hospital death in patients with CKD in our cohort. It has been well established that obesity is a risk factor for adverse outcomes of COVID-19 (15,16). In our univariate analysis, BMI appeared to follow the reverse epidemiology in patients with CKD. Indeed, patients with a BMI  $<25$  kg/m<sup>2</sup> were at higher risk for mortality. This phenomenon has been described for all-cause mortality in patients with CKD (17). Our findings warrant further investigation of nutritional parameters in patients with CKD and COVID-19 and their role in outcomes.

Although most patients in our CKD cohort did not have severe hyperphosphatemia, pre-COVID-19 and admission serum phosphorus were independently associated with in-hospital death in patients with CKD. Distorted phosphorus homeostasis, a hallmark of CKD-mineral and bone disorder, has profound vascular effects in patients with CKD (18). Severe COVID-19 frequently leads to endothelial injury (19). In future studies, it would be of interest to clarify if vascular component of CKD mineral and bone disorder predisposes patients with CKD to more severe vascular injury from COVID-19.

AKI is a common complication of COVID-19 in hospitalized patients (20). It was suggested that early evaluation of renal reserve in the course of COVID-19 may inform therapeutic interventions (21). In patients with CKD, episodes of acute on chronic kidney injury have been characterized as novel risk factors for disease progression (22). At the same time, the role of acute on chronic kidney injury in outcomes of COVID-19 in patients with CKD has not been fully elucidated. In our cohort of patients hospitalized with COVID-19, patients with CKD were diagnosed with AKI 2.5 times more frequently than patients without CKD. Patients with CKD who died in the hospital had AKI more frequently than those patients with CKD who survived. The diagnosis of AKI during hospitalization was the strongest predictor of in-hospital death in patients with CKD and COVID-19 among the potential risk factors that we analyzed (adjusted OR, 4.6 [95% CI, 2.3 to 8.9]). In future studies, it is important to investigate the mechanisms of acute on chronic kidney injury in patients with COVID-19 and underlying CKD, and to test the effectiveness of preventative measures (*e.g.*, early and aggressive fluid resuscitation in patients without oliguria) in improving COVID-19 outcomes in patients with CKD.

The strengths of our study included analysis of a large single-center cohort of consecutively hospitalized patients with COVID-19 who had underlying CKD, and comparing the outcomes with a control group of patients without CKD. Our study has limitations. Baseline laboratory data were not available for all patients. Our analysis was focused on in-hospital mortality and we did not follow patients after discharge. Electronic data abstraction may not have provided the degree of granularity that can be achieved in a fully manual chart review. Although we presented the largest single-center cohort of patients with COVID-19 and CKD to date, an even larger sample size would be required to render more precise estimates of the effects that baseline CKD-specific patient characteristics may have on outcomes.

In conclusion, our findings indicate predialysis CKD is an independent risk factor for in-hospital death in elderly patients with COVID-19. Ongoing control of CKD complications, and early and aggressive measures to prevent the development of acute-on-chronic kidney injury may serve as opportunities to improve the outcomes of COVID-19 in elderly patients with CKD. Further evaluation of the role that CKD may play in COVID-19 outcomes in different age groups is warranted.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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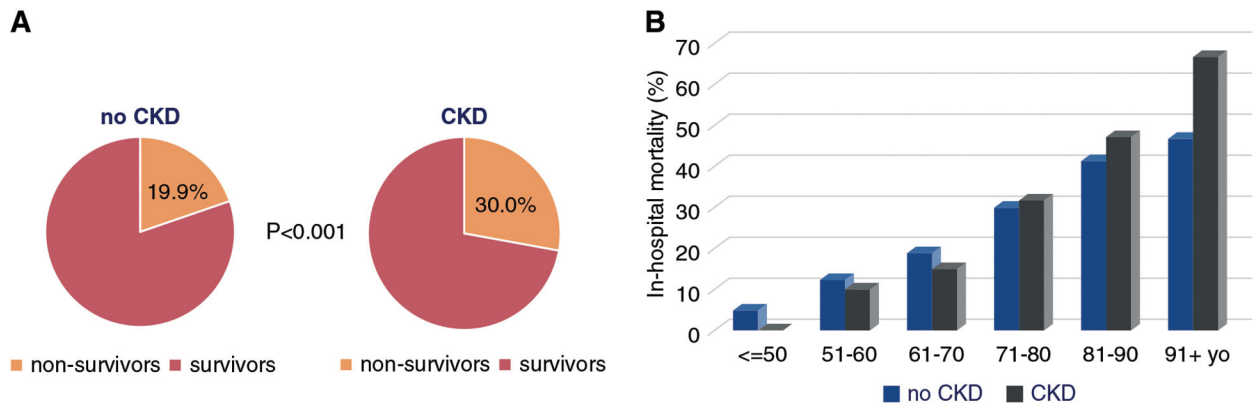
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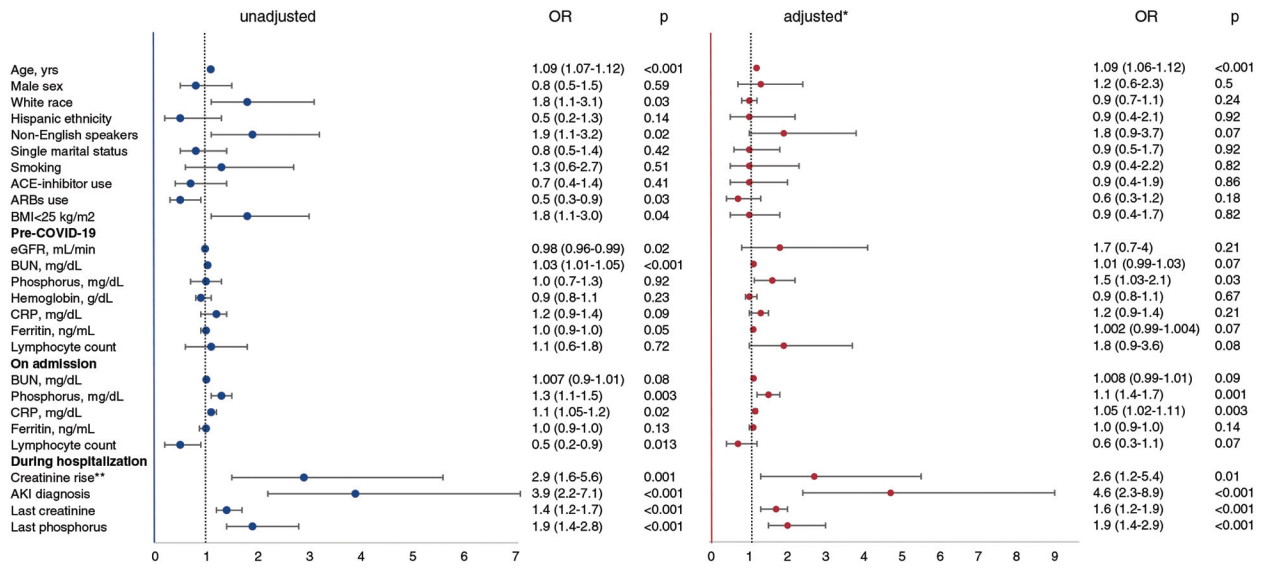
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**Figure 1. |. Elderly patients with CKD had higher COVID-19-associated in-hospital mortality than their counterparts without CKD.**  
 In-hospital mortality in patients with COVID-19 with and without CKD, overall (A) and stratified by age (B).



**Figure 2. |. Analysis of factors associated with in-hospital mortality in COVID-19 patients with CKD.**

ACE, angiotensin-converting enzyme; ARB, angiotensin receptor blocker; BMI, body mass index; CRP, C-reactive protein. \*Adjusted by age, sex, race, and ethnicity. \*\*Creatinine rise was defined as admission serum creatinine >0.3 mg/dl above the baseline (pre-COVID-19) serum creatinine.

Demographic and clinic characteristics of hospitalized patients with COVID-19, with and without underlying CKD

**Table 1.**

Patient characteristics	No CKD (n=4098)	CKD (n=280)	P
Age, yr, median (interquartile range)	62 (48–75)	75 (65–84)	<0.001
Male sex, n (%)	2244 (55)	176 (63)	0.009
<b>Borough of residence, n (%)</b>			
Manhattan	742 (18)	100 (36)	<0.001
Queens	2657 (65)	111 (40)	<0.001
Brooklyn	454 (11)	41 (15)	0.06
The Bronx	154 (4)	19 (7)	0.01
<b>Race and ethnicity, n (%)</b>			
White	1099 (27)	87 (31)	0.11
Black	415 (10)	52 (19)	<0.001
Asian	635 (16)	42 (15)	0.83
Hispanic	1292 (32)	58 (21)	<0.001
<b>Comorbidities, n (%)</b>			
Hypertension	1684 (41)	232 (83)	<0.001
Diabetes mellitus	1052 (26)	155 (55)	<0.001
Congestive heart failure	339 (8)	109 (39)	<0.001
Asthma	815 (8)	38 (14)	0.003
COPD	233 (3)	44 (16)	<0.001
<b>Baseline laboratory characteristics, median (interquartile range)</b>			
Serum creatinine, mg/dl	0.8 (0.7–1.1)	1.5 (1.2–2.2)	<0.001
BUN, mg/dl	16 (12.5–21.2)	31 (20.2–44)	<0.001
Hemoglobin, g/dl	12.1 (10.7–13.5)	11.6 (9.8–13.1)	<0.001
<b>Outcomes, n (%)</b>			
Mechanical ventilation	329 (8)	37 (13)	0.002
Septic shock	169 (4)	27 (10)	<0.001
AKI	892 (22)	142 (54)	<0.001
In-hospital mortality	815 (20)	84 (30)	<0.001

COPD, chronic obstructive pulmonary disease.

**Table 2.**

Demographic and clinical characteristics of hospitalized COVID-19 patients with underlying CKD

Patient characteristics	All patients (n=280)	Survivors (n=196)	Nonsurvivors (n=84)	P
Age, yr, median (interquartile range)	75 (65–84)	70 (59–80)	86 (76–90)	<0.001
Male sex, n (%)	176 (63)	125 (64)	51 (61)	0.59
<b>Borough of residence: 0.187, n (%)</b>				
Manhattan	100 (36)	73 (37)	27 (32)	0.39
Queens	111 (40)	69 (35)	42 (50)	0.02
Brooklyn	41 (15)	31 (16)	10 (12)	0.39
The Bronx	19 (7)	15 (8)	4 (5)	0.37
<b>Race and ethnicity: 0.036, n (%)</b>				
White	87 (31)	53 (27)	34 (41)	0.02
Black	52 (19)	40 (20)	12 (14)	0.22
Asian	42 (15)	25 (13)	17 (20)	0.11
Hispanic	58 (21)	45 (23)	13 (16)	0.15
<b>Maintenance medications (pre-COVID-19), n (%)</b>				
ACE-inhibitors	62 (22)	46 (24)	16 (19)	0.41
Angiotensin receptor blockers	93 (33)	73 (37)	20 (24)	0.02
Diuretics	118 (42)	82 (42)	36 (43)	0.87
Sevelamer	23 (8)	15 (8)	8 (10)	0.61
Calcitriol	28 (10)	21 (11)	7 (8)	0.53
Antihyperlipidemic agents	186 (66)	133 (68)	53 (63)	0.43
<b>Comorbidities, n (%)</b>				
Hypertension	232 (83)	162 (83)	70 (83)	0.89
Diabetes mellitus	155 (55)	112 (57)	43 (51)	0.36
Congestive heart failure	109 (39)	72 (37)	37 (44)	0.25
Asthma	38 (14)	29 (15)	9 (11)	0.36
COPD	44 (16)	31 (16)	13 (15)	0.94
BMI, kg/m <sup>2</sup> , median (interquartile range)	25.7 (21.7–29.2)	26 (22.4–30.5)	24.2 (21.3–29.2)	0.40
<b>Baseline (pre-COVID-19) laboratory characteristics, median (interquartile range)</b>				
Creatinine, mg/dl, n=192	1.5 (1.2–2.2)	1.4 (1.1–2.0)	1.6 (1.3–2.7)	0.21

Patient characteristics	All patients (n=280)	Survivors (n=196)	Nonsurvivors (n=84)	P
BUN, mg/dl, n = 189	31 (21–44)	29 (19–42)	35 (25–53)	0.01
eGFR (ml/min per 1.73 m <sup>2</sup> ), n=192	44 (27–59)	46 (29–60)	40.5 (23–52)	0.02
Hemoglobin, g/dl, n=173	11.6 (9.8–13.1)	11.8 (10–13.2)	11.3 (9.4–12.5)	0.23
Ferritin, ng/ml, n=64	160.5 (60–357.5)	104.1 (52.8–349)	262.9 (139–473)	0.02
Phosphorus, mg/dl, n=188	3.6 (3.2–4.2)	3.6 (3.2–4.1)	3.7 (3.2–4.3)	0.91
PTH, pg/ml, n=49	81.9 (57.8–120.5)	80.8 (60.1–96.7)	92.1 (46.2–197.9)	0.46
CRP, mg/dl, n=74	3.6 (0.8–4.8)	2.2 (0.6–4.6)	4.2 (2.4–5.6)	0.02
Lymphocyte count, ×10 <sup>3</sup> mm <sup>-3</sup> , n=173	1.2 (0.9–1.7)	1.2 (0.9–1.7)	1.2 (0.8–1.8)	0.72
<b>Laboratory characteristics on admission</b>				
Creatinine, mg/dl, n=268, median (interquartile range)	1.98 (1.4–3.2)	1.9 (1.3–3.1)	2.1 (1.6–3.4)	0.42
Creatinine >0.3 mg/dl from baseline, n=185 (%)	84 (45.4)	45 (36.8)	38 (63.3)	0.001
BUN, mg/dl, n=228, median (interquartile range)	43 (27–69)	38 (24–67)	46 (32–74)	0.07
Hemoglobin, g/dl, n=270, median (interquartile range)	11.8 (9.8–13.6)	11.9 (9.8–13.7)	11.6 (9.5–13.2)	0.29
Ferritin, ng/ml, n=185, median (interquartile range)	688.9 (351.2–1318.0)	688.9 (328.5–1258.0)	695 (437.4–1392.0)	0.10
Phosphorus, mg/dl, n=188, median (interquartile range)	3.85 (3.3–5)	3.75 (3.3–4.8)	4.5 (3.3–7)	0.0015
CRP, mg/dl, n=181, median (interquartile range)	10.4 (4.9–19.3)	10.2 (4.6–16.5)	11.7 (5.8–23.7)	0.02
Lymphocyte count, ×10 <sup>3</sup> mm <sup>-3</sup> , n=248, median (interquartile range)	0.8 (0.5–1.2)	0.9 (0.6–1.3)	0.7 (0.5–1.0)	0.01
<b>Laboratory characteristics at discharge, median (interquartile range)</b>				
Creatinine, mg/dl, n=153	1.8 (1.2–3.0)	1.4 (1.1–2.4)	3.0 (1.8–4.7)	<0.001
Phosphorus, mg/dl, n=85	4.1 (3.1–5.2)	3.7 (3.1–4.6)	6.4 (4.2–9.6)	<0.001

ACE, angiotensin-converting enzyme; COPD, chronic obstructive pulmonary disease; BMI, body mass index; PTH, parathyroid hormone.