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## Predictive Accuracy of a Polygenic Risk Score for Postoperative Atrial Fibrillation After Cardiac Surgery

Miklos D. Kertai, MD, PhD<sup>1</sup>, Jonathan D. Mosley, MD, PhD<sup>2</sup>, Jing He, MS<sup>3</sup>, Abinaya Ramakrishnan, BA<sup>4</sup>, Mark Abdelmalak, BA<sup>4</sup>, Yurim Hong, BA<sup>4</sup>, M. Benjamin Shoemaker, MD<sup>5</sup>, Dan M. Roden, MDCM<sup>6</sup>, Lisa Bastarache, MS<sup>3</sup>

<sup>1</sup>Department of Anesthesiology, Vanderbilt University Medical Center, Nashville, TN

<sup>2</sup>Department of Medicine, Vanderbilt University Medical Center, Nashville, TN

<sup>3</sup>Department of Biomedical Informatics, Vanderbilt University Medical Center, Nashville, TN;

<sup>4</sup>Vanderbilt University, Nashville, TN;

<sup>5</sup>Division of Cardiovascular Medicine, Nashville VA Medical Center & Vanderbilt University, Nashville, TN;

<sup>6</sup>Department of Medicine, Department of Pharmacology, Department of Biomedical Informatics, Vanderbilt University Medical Center, Nashville, TN

## Abstract

**Background** ---Postoperative atrial fibrillation (PoAF) remains a significant risk factor for increased morbidity and mortality after cardiac surgery. The ability to accurately identify patients at risk through clinical risk factors is limited. There is growing evidence that polygenic risk contributes significantly to PoAF, and incorporating measures of genetic risk could enhance prediction.

**Methods** — A retrospective cohort study of 1,047 patients of white European ancestry who underwent either coronary artery bypass grafting (CABG) or valve surgery at a tertiary academic center, and were free from a history or persistent preoperative AF. The primary outcome was defined as PoAF based on postoperative ECG reports, medical record documentation, and changes in medication. The exposure was a polygenic risk score (PRS) comprising 2,746 SNPs previously associated with AF risk. The prediction of PoAF risk was assessed using measures of model discrimination, calibration, and net reclassification improvement (NRI).

**Results** ----A total of 259 patients (24.7%) developed PoAF. The PRS was significantly associated with a higher risk for PoAF (OR = 1.63 per standard deviation increase in PRS; 95%

**Correspondence:** Miklos D. Kertai, MD, PhD, Professor of Anesthesiology, Director - Perioperative Precision Medicine Program, Division of Adult Cardiothoracic Anesthesiology, Department of Anesthesiology, Vanderbilt University Medical Center, 1211 21<sup>st</sup> Avenue South, Medical Arts Building, Office 526, Nashville, TN, 37212, Tel: 615.322.4650, miklos.kertai@vumc.org.

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Supplemental Materials: Supplemental Methods Supplemental Figures I-II References 22–31

CI, 1.41–1.90). Addition of PRS to patient- and procedure-related predictors of PoAF significantly increased the C statistic from 0.742 to 0.782 (change in C statistic, 0.040; 95% CI, 0.021–0.060) while maintaining good calibration. The addition of the PRS to patient- and procedure-related predictors of postoperative AF improved model fit (likelihood ratio test  $p = 2.8 \times 10^{-15}$ ) and significantly improved measures of reclassification (NRI, 0.158; 95% CI, 0.066–0.274).

**Conclusions** ----The PRS for PoAF was associated with improved discrimination, calibration, and risk reclassification compared to conventional clinical predictors suggesting that a PoAF PRS may enhance risk prediction of PoAF in patients undergoing CABG or valve surgery.

#### Journal Subject Terms:

Cardiovascular Surgery; Genetic; Association Studies; Atrial Fibrillation; Complications; Quality and Outcomes

#### Keywords

atrial fibrillation; candidate genes; cardiovascular outcomes; cardiac surgery; surgery; polygenic risk score

#### Introduction

Postoperative atrial fibrillation (PoAF) is a common and significant complication following cardiac surgery that occurs in as many as 30–50% of patients.<sup>1–3</sup> It is associated with an increased risk for postoperative neurological events, congestive heart failure, myocardial infarction, perioperative mortality, prolonged hospital length of stay and increased hospital costs.<sup>4</sup> Therefore, accurately identifying patients at high risk for PoAF would allow development of targeted treatment modalities and reduce the risk for subsequent complications and mortality.<sup>5, 6</sup>

Older age, a history of AF, chronic obstructive pulmonary disease, valve surgery, and discontinuation of beta-blocker or angiotensin-converting enzyme inhibitor therapy are risk factors for PoAF after cardiac surgery.<sup>4, 7</sup> Several comprehensive clinical risk indices incorporating these risk factors have been developed to predict PoAF risk and identify potential preventative strategies.<sup>7–10</sup> However, the performance and the generalizability of these risk indices for PoAF is modest.<sup>10</sup> Previous candidate gene and genome-wide association studies have identified multiple genetic loci and common SNP variants that predispose to PoAF.<sup>11–13</sup> However, the contributions of these individual common genetic variants beyond conventional clinical risk factors for PoAF has been minimal.<sup>11–13</sup> Recently, there has been a growing interest in using polygenic risk scores (PRS) that incorporate multiple common genetic variants associated with AF to identify individuals in the general population who are at increased risk for developing AF.<sup>14</sup> To date, the relationship between polygenic variation to post-cardiac surgery AF susceptibility has not been studied. Moreover, the additional predictive value of such a PRS in addition to conventional clinical risk factors for PoAF has remained unexplored.

To address these knowledge gaps, using a large, real-world clinical data set of patients who underwent cardiac surgery at Vanderbilt University Medical Center, we tested the hypothesis that a polygenic risk score (PRS) for AF risk would enhance risk prediction of PoAF, as compared to a validated clinical predictive model.

## Methods

The authors will make the data, methods used in the analysis, and materials used to conduct the research available to any qualified researcher trained in human subject confidentiality protocols for purposes of reproducing the results or replicating the procedure. This study was approved by the Institutional Review Board (IRB) at Vanderbilt University Medical Center (VUMC). Given the retrospective design of the study and the use of de-identified data the need for an informed patient consent was waived by the VUMC IRB Committee. Full methods are available in Supplemental Material.

## Results

The final study population comprised 1047 subjects (Figure 1). The median age was 63.9 years (interquartile range [IQR] 55.6 – 71.6), 340 (39%) were women, and 744 (71%) underwent coronary artery bypass grafting surgery. Postoperative AF developed in 259 (24.7%) individuals. As compared to controls, patients with PoAF were older, had a history of chronic obstructive pulmonary disease, underwent heart valve surgery, had a history of preoperative angiotensin-converting enzyme inhibitor use and nonsteroidal anti-inflammatory drug use compared with patients without PoAF (Table 1).

## Models for Predicting Postoperative AF

Cases, as compared to controls had higher PoAF PRS values (Figure 2). After adjusting for gender, age, type and year of cardiac surgery, clinical and procedural predictors of PoAF, and four principle components the PoAF PRS was significantly associated with PoAF (OR = 1.92, 95% CI, 1.63 to  $2.29, p = 6.8 \times 10^{-14}$ ). Adding the AF PRS to the standard model with clinical predictors also significantly increased the C-index from 0.742 to 0.782 (difference=0.040, 95% CI: 0.019–0.060) (Table 2). A comparison of the two models using the likelihood ratio test demonstrated a significant improvement in model fit (Chi-square test =  $62.4, p = 2.83 \times 10^{-15}$ ) while maintaining good calibration (Supplementary Figure I and II). In sum, the addition of the AF PRS improved discrimination, as compared to a model comprising clinical predictors.

## **Net Reclassification Improvement**

The IDI, a continuous measure of reclassification enhancement, was significant (IDI= 0.06, 95% CI= 0.04 to 0.07) for a model that included the PRS, as compared to a model comprising clinical and procedural characteristics. The NRI was used to assess reclassification among low- (<17%), intermediate- (17% to 52%) and high ( 52%) risk categories. Among individuals who developed PoAF, addition of the PRS to the clinical model increased the proportion of subjects categorized as high risk from 18% to 29.0% (Table 3). Among controls, the proportion categorized as low risk increased from 48% to

54%. Thus, addition of the PRS improved reclassification primarily by increasing risk estimates among cases who fell in the intermediate risk categories, and decreasing risk estimates for the intermediate risk patients among controls. The NRI estimates for cases and controls were 0.112 and 0.047, respectively, and the overall NRI estimate was significant (NRI= 0.159, 95% CI = 0.066 to 0.274).

## Discussion

To our knowledge, this study examined for the first time whether a polygenic predictor for AF risk enhanced risk stratification for incident AF in a real-world clinical population of patients undergoing cardiac surgery. The PoAF PRS was strongly associated with incident AF risk, independent of conventional clinical predictors for postoperative AF. Furthermore, the PoAF PRS classifier enhance the discrimination and reclassification of a predictive risk model. Collectively, our findings support the concept that incorporating PoAF PRS along with conventional clinical predictors of PoAF may enhance risk prediction for postoperative AF in patients undergoing CABG or valve surgery.

Our findings support and extend prior observations that AF genetic risk is associated with PoAF after cardiac surgery. Several potential genetic factors have been studied and implicated including noncoding polymorphisms within the chromosome 4q25 region that have been associated with the development of AF in both ambulatory<sup>15, 16</sup> and cardiac surgery cohorts.<sup>11, 13</sup> We previously observed in a candidate-gene study an association between variants of G protein–coupled receptor kinase 5 (*GRK5*) gene polymorphisms and PoAF in patients who exclusively received perioperative beta-blocker therapy and underwent CABG surgery.<sup>12</sup> We also observed in GWAS an association between a variant in lymphocyte antigen 96 (*LY96*) with relevance to activation and modulation of innate immune responses and a decreased risk for PoAF after CABG surgery.<sup>11</sup>

In parallel to these studies that either identified a single genetic variation or validated a previously identified single genetic marker in the cardiac surgery setting, large-scale population-based studies observed that when several significant SNPs associated with AF were combined into a genetic risk score such scores showed a more profound association with AF independent from traditional clinical risk factors. Indeed, a 12-SNP AF genetic risk score that was based on 9 loci was associated with 4- to 5-fold increased risk between those in the highest versus lowest tails of the AF genetic risk score in case-referent and cohort studies.<sup>17</sup> Similarly, the Women's Genome Health Study reported an association between an AF genetic risk score based on 12 SNPs and a higher risk for incident AF.<sup>18</sup> Recently, Lubitz et al examined associations between AF genetic risk scores and incident AF in 5 prospective studies of 18919 population-based individuals of European ancestry.<sup>19</sup> They found that predictive models with AF PRSs with 25 to 129 SNPs were significantly associated with new-onset AF beyond associations for conventional clinical AF risk factors. Thus, by using our well-characterized cohort of cardiac surgery patients, our present findings extend these prior reports and demonstrate that AF polygenic risk is also associated with incident PoAF after cardiac surgery.

Our study also further highlights the ability of common genetic variations associated with AF genetic risk to predict PoAF and to capture complimentary information beyond the effects observed for well-characterized clinical- and procedure-related risk factors for postoperative AF.<sup>19</sup> Similar to established risk factors, the improvements associated with the PoAF PRS are incremental. Thus, our findings are similar to the observations made by the study of Lubitz et al<sup>19</sup> and underscore the challenges of improving clinical prediction models even when significantly associated predictors such as genetic predictors are included.<sup>20</sup>

Our observation that the PoAF PRS, which was constructed and selected from a pool of previously identified SNPs associated with AF, was associated with postoperative AF after cardiac surgery highlights the polygenic nature of post-cardiac surgery AF. These findings also indicate and reinforce previous observations that true PoAF PRS susceptibility variants are present among SNPs that did not achieve genome-wide significance in studies of patients undergoing cardiac surgery.<sup>11–13</sup> Given the relatively small number and sample size of the genetic studies for postoperative AF after cardiac surgery there is a need for future type of studies with merging contemporary cardiac surgery datasets with available genetic information to improve power, and to confirm previously identified genetic variations or discover additional susceptibility genes for postoperative AF after cardiac surgery. Until then, it remains to be determined whether assessment of PoAF PRS genetic risk using polygenic predictors derived from larger sample sizes will further increase the predictive accuracy and discriminative ability of clinical risk prediction models.

## Limitations

This study has several limitations. First, in our retrospective single-center study we identified potential cases of PoAF to review using ICD-9 and 10 codes, and thus, there is a potential possibility that cases with postoperative AF may have been underestimated. However, the frequency of PoAF observed in our study was similar to those reported from recent studies.<sup>11–13</sup> Second, in our study we used a previously validated methodology for developing our PoAF PRS, but the application of this PoAF PRS to predict postoperative AF will have to be externally validated to test for its performance and predictive accuracy in an independent cohort of cardiac surgery patients. The underlying etiology for postoperative AF, compared to AF, in the ambulatory setting could be multifactorial.<sup>11, 12</sup> Therefore, applying a PRS for AF that was originally developed in ambulatory subjects to patients undergoing cardiac surgery could not fully capture and characterize the genetic contribution to post-cardiac surgery AF. Thus, future studies are needed to develop and validate a PRS specifically for post-cardiac surgery AF, and characterize how such a PRS would compare to the one described in our study. Finally, patients enrolled in our study were whites with European descent, and therefore, our findings may not be generalizable to subjects of other ancestral groups.

## Conclusions

As highlighted by the current Society of Cardiovascular Anesthesiologists/European Association of Cardiothoracic Anaesthetists Practice Advisory for the Management of

Perioperative Atrial Fibrillation in Patients undergoing Cardiac Surgery improved risk stratification through risk score models allow stratification patients into risk groups and facilitates adherence to the evidence-based recommendations for the prevention of PoAF.<sup>21</sup> The findings of our study that the addition of PRS incrementally improved the ability to predict PoAF risk, above standard clinical predictors, after CABG or valve surgery further reinforces the recommendations of this practice advisory, and potentially highlights the opportunity to apply preventative measures, such a combination of prophylactic perioperative beta-blocker and amiodarone administration, selectively to patients at high-risk for PoAF.

The use of PRS to predict postoperative complications including PoAF after cardiac surgery will likely become increasing feasible in the future with the advancement and increasing frequency of genetic testing in clinical settings. Therefore, when genetic information is available, a PRS could be readily incorporated in order to enhance risk prediction for postoperative AF.

#### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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#### Nonstandard Abbreviations and Acronyms:

AF	Atrial Fibrillation
aOR	Adjusted Odds Ratio
AF	Atrial Fibrillation
СРТ	Current Procedural Terminology
EHR	Electronic Health Record
GWAS	Genome-Wide Association Studies
ICD	International Classification of Disease
PRS	Polygenic Risk Score
PoAF	Postoperative Atrial Fibrillation
SNP	Single-Nucleotide Polymorphism
VUMC	Vanderbilt University Medical Center

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Kertai et al.



Figure 1.

Flow diagram of the study population with inclusion and exclusion criteria



## Figure 2.

Boxplots summarizing the distributions of the PoAF PRS among cases and controls (Median and IQR for PRS, Cases 0.34 [-0.32-1.02], Controls -0.18 [-0.77-0.56])

#### Table 1.

#### Characteristics of the study population

Characteristics	Patients without atrial fibrillation (n=788)	Patients with atrial fibrillation (n=259)
Age, years (median and IQR)	61.9 [53.8; 68.9]	71.2 [62.7 – 76.0]
Female sex	261 (33.1%)	79 (30.1%)
Year of surgery	2010 [2007; 2013]	2010 [2008; 2012]
Chronic obstructive pulmonary disease	116 (14.7%)	60 (23.2%)
Coronary artery bypass graft surgery	566 (71.8%)	178 (68.7%)
Heart valve surgery	292 (37.1%)	121 (46.7%)
Preoperative medication:		
Angiotensin-converting enzyme inhibitor use	105 (13.3%)	51 (19.7%)
Beta-blocker use	620 (78.7%)	215 (83%)
Nonsteroidal anti-inflammatory drug use	13 (1.6%)	11 (4.2%)
Statin use	276 (35%)	92 (35.5%)

Continuous variables are presented as median [IQR, interquartile range], and frequency and percentage for the presentation of categorical variables.

#### Table 2.

C statistics evaluating the performance of the polygenic risk score in the study population

Model	C statistic (95% CI)
Standard model with clinical predictors only	0.742 (0.700 - 0.764)
Standard model with clinical predictors + PRS	0.782 (0.742 - 0.804)

PRS, polygenic risk score

The polygenic risk score was modeled as a continuous variable.

The standard model with clinical predictors included demographic (age, female sex) and clinical (chronic obstructive pulmonary disease, preoperative medications: angiotensin-converting enzyme inhibitor use, beta-blocker use, nonsteroidal anti-inflammatory drug use, statin use) and procedural (year of surgery, coronary artery bypass graft surgery, heart valve surgery) characteristics listed in Table 1.

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		Standard mod	el with clinical pre	dictors + PRS	
	Standard model with clinical predictors only	Low risk	Medium risk	High risk	Total No. (%) of patients
	Low risk	28	11	0	39 (15.1%)
	Medium risk	10	126	37	173 (66.8%)
ганент wun postoperative atriat погшанон	High risk	0	6	38	47 (18.2%)
	Total No. (%) of patients	38 (14.7%)	146 (56.4%)	75 (29.0%)	259 (100%)
	Low risk	320	58	0	378 (48.0%)
	Medium risk	106	248	24	378 (48.0%)
Fацент without postoperative atriat погнацон	High risk	0	13	19	32 (4.1%)
	Total No. (%) of patients	426 (54.1%)	319 (40.1%)	43 (5.5%)	788 (100%)
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52% similar to the risk thresholds as defined by the multicenter atrial fibrillation risk index after cardiac surgery (7). The net proportion of correct reclassifications for patients with postoperative atrial fibrillation is 4.7%. The overall Net Reclassification Improvement (NRI) is defined as the sum included demographic and clinical and procedural characteristics listed in Table 1. The threshold for low-, medium- and high risk for postoperative atrial fibrillation was defined, as <17%, 17% – 52%, and The base model of the net reclassifications for events and nonevents (e.g. 15.8%).