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## Maternal and infant immune repertoire sequencing analysis identifies distinct Immunoglobulin and T cell receptor development in term and preterm infants.

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### Abstract

Preterm labor (PTL) is the leading cause of neonatal morbidity and mortality worldwide. While many studies have investigated the maternal immune responses that cause PTL, fetal immune cell activation has recently been raised as an important contributor to the pathogenesis of PTL. Here, we analyzed lymphocyte receptor repertoires in maternal and cord blood from 14 term and 10 preterm deliveries, hypothesizing that the high incidence of infection in patients with PTL may result in specific changes in the T-cell and B-cell repertoires. We analyzed T-cell receptor beta chain (TCR- $\beta$ ) and immunoglobulin heavy chain (IgH) diversity, CDR3 lengths, clonal sharing, and preferential usage of variable and joining gene segments. Both TCR- $\beta$  and IgH repertoires had shorter CDR3s compared to maternal blood. In cord blood samples, we found that CDR3 lengths correlated with gestational age, with shorter CDR3s in preterm neonates suggesting a less-developed repertoire. Preterm cord blood displayed preferential usage of a number of genes. In preterm pregnancies, we observed significantly higher prevalence of convergent clones between mother/baby pairs than in term. Together, our results suggest the repertoire of preterm infants displays a combination of immature features and convergence with maternal TCR- $\beta$  clones compared to term infants. The higher clonal convergence in PTL could represent mother and fetus

#### AUTHOR CONTRIBUTIONS

B.L., R.S., S.B., T.M., and M.S. designed and coordinated the study. R.S., Q.N., and T.M. collected the specimens. S.N., J.-Y. L., and S.B. sequenced the samples. B.L. and S.P. developed and implemented the analysis pipeline. All the authors contributed to making figures, writing and editing the manuscript.

#### CODE AND DATA AVAILABILITY

The datasets presented in this study can be found in online repositories. The name of the repository and the accession number are: ImmPort SDY1769. Code for data analysis is available upon request.

both responding to a shared stimulus like an infection. These data provide a detailed analysis of the maternal-fetal immune repertoire in term and preterm patients, and contribute to a better understanding of neonate immune repertoire development and potential changes associated with preterm labor.

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## INTRODUCTION

Preterm birth (delivery before 37 weeks' gestational age) is a worldwide clinical concern with approximately 15 million cases annually, and is the leading cause of neonatal deaths worldwide(1). Preterm premature rupture of membranes (PPROM) is a common cause of spontaneous preterm labor (PTL) and is associated with intraamniotic infection in many cases(2, 3). Despite remarkable improvements in prenatal care over the past three decades, rates of PPRM and subsequent preterm delivery have worsened(2). Several epidemiological and clinical factors are considered precursors to PPRM, including reproductive tract infections, behavioral factors, and obstetric complications(4-6). Environmental factors (e.g. stress and toxin exposure) and genetic predisposition have also been proposed(4-7). Approximately 70% of PPRM cases are associated with intraamniotic infection, as documented by positive amniotic fluid cultures or by clinical evidence of infection(2, 3). While many studies have focused on investigating changes associated with maternal immune responses during PTL, recent studies have indicated an important role of the fetal immune system in the pathogenesis of PPRM(8, 9).

To further investigate neonatal immunity in the context of PTL, we have focused on the adaptive immune responses in term and preterm neonates, and its association with PTL pathogenesis. We recently demonstrated that fetal T-cells have a robust, proliferative, and proinflammatory response to maternal antigens leading to myometrium contractility in cases of PTL including PPRM, revealing the fetal immune system as an important contributor to the pathogenesis of PTL(8). In addition, we reported a transcriptomic meta-analysis of term and preterm moms and neonates, identifying genetic signatures in preterm neonates associated with spontaneous preterm birth(10) and leveraged that signature for drug discovery(11). In another study, we further demonstrated via DNA sequencing the presence of relevant microbial cell-free DNA in maternal plasma and umbilical cord plasma from patients with clinical PPRM, suggesting *in utero* fetal exposure to pathogens associated with PPRM(12). These studies highlight the significance of sequencing-based methodologies in the field and how they may contribute to the knowledge advancement of fetal immune activation and PTL.

We have previously studied the adaptive immunity of infants to environmental factors and infectious diseases(13). Adaptive immunity relies on the expression of a largely diversified set of antigen-specific T-cell receptors (TCRs), and immunoglobulins (Igs) or B-cell receptors (BCRs), defining the immune repertoire(13-15). The BCR contains a pair of heavy chains (IgH) and light chains (Ig $\kappa$  or Ig $\lambda$ ), whereas the TCR consists of a paired set of  $\alpha$ - and  $\beta$ -chains (TCR- $\alpha$  and TCR- $\beta$ ) or  $\gamma$ - and  $\delta$ -chains (TCR- $\gamma$  and TCR- $\delta$ ). The constant domains of these receptors are encoded by germline DNA and are thus invariable. Production of TCR and BCR molecules requires V(D)J recombination which

involves genomic rearrangement of variable (V), diversity (D, present in IgH and TCR- $\beta$ ), and joining (J) gene elements at the TCR and Ig loci(16). These receptors are heterodimeric proteins that can be divided into constant domains, which encode effector function, and variable domains, which endow antigen specificity.

There are five different antibody isotypes: the first antibodies to be produced in a humoral immune response are always IgM and quickly progress to the production of all the different isotypes, IgD, IgA, IgG, and IgE. IgD can be co-expressed with IgM. The primary sequence of the variable domains of BCR and TCR can be divided into four framework regions (FRs) of relatively conserved sequence and three complementarity-determining regions (CDR1, CDR2, and CDR3) of hypervariable sequence. Together, the six CDRs of the two-paired chains form the classic antigen-binding site. The CDRs rest on top of a scaffold that is created by the folding of the FRs. CDR1 and CDR2 are entirely encoded by the V gene segment and are located on the perimeter of the antigen-binding site, whereas CDR3 (the region containing part of the V gene, all of the D gene, and part of the J gene) lies at the center of the antigen-binding site and thus play an essential role in defining the specificity of the receptor. Therefore, features of TCR/BCR gene arrangements such as sequence, length and gene segment usage can be studied during immune response to pathogens or self-antigens.

Previous analysis has suggested that term neonate TCR and BCR repertoires have shorter CDR3 lengths and skewed distribution of gene segment usage at various developmental stages when compared to adults(17). Further, a recent immune BCR repertoire study in extremely preterm neonates (24-28 weeks) demonstrated slower postnatal maturation of the IgG IgH repertoires than what was observed in term neonates(18). Preterm neonate repertoire studies have focused mainly in extremely preterm cases not associated with PPROM. However, we hypothesized that the high incidence of infection in patients with PPROM may result in specific changes in the TCR and BCR repertoires.

Here, we performed a pilot study of 24 pregnancies to analyze TCR- $\beta$  and IgH repertoires in maternal and cord blood from 14 term and 10 preterm deliveries due to PPROM, focusing on TCR- $\beta$  and IgH diversity, somatic hypermutation (SHM) rates, average CDR3 lengths, clonal sharing, and preferential usage of V, D, and J gene segments. Our findings provide evidence of unique immune repertoire features in infants born preterm secondary to PPROM when compared to term infants which we hope to probe further in follow-up studies.

## MATERIALS AND METHODS

### Specimen collection

Maternal blood samples and cord blood samples from 14 term pregnancies and 10 PPROM pregnancies were collected at UCSF at the time of delivery after IRB approval (10-00350). PBMCs were isolated by Ficoll-Paque PLUS (GE Healthcare) density gradient centrifugation and cryopreserved in 90% FBS and 10% DMSO in liquid nitrogen.

## Extraction, library preparation and high-throughput sequencing of IGH and TCRB samples

Total RNA was extracted from frozen PBMC pellets using the AllPrep DNA/RNA kit (Qiagen) according to manufacturer's guidelines. For each sample, total RNA was reverse-transcribed to cDNA using Superscript III RT (Invitrogen) with random hexamer primers (Promega). cDNA corresponding to 100ng of total RNA was used for each of the isotype PCRs using IGHV FR1 primers based on the BIOMED-2 design(19) and isotype specific primers located in the first exon of the constant region for each isotype category (IgM, IgD, IgE, IgA, IgG). Primers contain additional sequence representing the first part of the Illumina linkers. The different isotypes were amplified in separate reaction tubes. Eight-nucleotide barcode sequences were included in the primers to indicate sample identity. Four randomized bases were included upstream of the barcodes on the constant region primer for Illumina clustering. PCR was carried out with AmpliTaq Gold (Applied Biosystems) following the manufacturer's instructions, and used a program of: 95°C 7 min; 35 cycles of 94°C 30 sec, 58°C 45 sec, 72°C 120 sec; and final extension at 72°C for 10 min. A second round of PCR using Qiagen's Multiplex PCR Kit was performed to complete the Illumina sequencing adapters at the 5' and 3' ends of amplicons; cycling conditions were: 95°C 15 min; 12 cycles of 95°C 30 sec, 60°C 45 sec, 72°C 90 sec; and final extension at 72°C for 10 min.

cDNA corresponding to 100ng of total RNA was used for each TCR- $\beta$  library and libraries were prepped using TCR- $\beta$  primers from the ImMunoGeneTics (IMGT) database. As previously described, PCR amplification was performed by using a Multiplex PCR kit (Qiagen) and used a program of: 95°C 15 min; 30 cycles of 94°C 30 sec, 59°C 90 sec, 72°C 120 sec; and final extension at 72°C for 10 min(20). For both IgH and TCR- $\beta$  libraries, PCR products were subsequently pooled, gel purified (Qiagen), and quantified with the Qubit fluorometer (Invitrogen). Samples were sequenced on the Illumina MiSeq: PE300 for IGH and PE150 for TCR- $\beta$ , using 600 and 300 cycle kits, respectively.

## Sequence quality assessment, filtering, and analysis

Paired-end reads were merged using FLASH(21), demultiplexed (100% barcode match), and primer trimmed. The V, D, and J gene segments and V-D (N1), and D-J (N2) junctions were identified using the IgBLAST alignment program(22). For cDNA-templated IgH reads, isotypes and subclasses were called by exact matching to the constant region gene sequence upstream from the primer. Sequences accepted for further analysis were productive reads (sequences with no internal frame shift and no in-frame stop codons) with identified V and J segments and a CDR3 region, with a minimum V gene alignment score of 200. Alignment scores were calculated by counting alignment matches and mismatches (+5 for match, -4 for mismatch) along the V region(22), with higher scores denoting better alignment between input sequences and reference sequences. For IgH, somatic hypermutation events were determined by counting mismatches in the IGHV sequence. Clonal identities within each subject were inferred using single-linkage clustering and the following definitions: for same V and J genes (disregarding allele call), equal CDR3 length, and minimum 90% or 95% CDR3 nucleotide identity for IgH or TCR- $\beta$ , respectively. In the context of IgH, the threshold is set to 90% to account for SHM; for TCR- $\beta$ , 95% is to account for potential mismatches due to sequencing error.

### Splitting IgH into isotypes and unmutated/mutated

The IgH data also recorded isotype information, with reads present for each of IgA, IgD, IgE, IgG, and IgM. To separately analyze sequences likely to be derived from naïve and memory B-cells, we used a cutoff in the rate of somatic hypermutation (SHM) based on prior work(23). Here, we used the rate of SHM of the nucleotides of the IGHV region to split IgD and IgM into two groups – unmutated (SHM rate < 0.01) and mutated (SHM rate 0.01).

### Downsampling read count

The number of total reads and clones per sample varied (Tables S1 and S2). In order to adjust for read depth, we applied a downsampling procedure. For TCR- $\beta$ , we used the minimum number of reads (205,164) as a baseline, and randomly sampled that number of reads from each sample. For BCR, we repeated the same procedure for each isotype split: IgA (1,268 reads), IgD unmutated (20,491), IgD mutated (4,760), IgG (1,649), IgM unmutated (10,514), IgM mutated (6,185). Due to variation in the number of reads, 1 preterm mother/baby pair was excluded from the IgM data. For IgA, 6 term pairs were excluded due to low read counts, while for IgG, 1 term pair and 1 preterm pair were excluded. We repeated the downsampling procedure 10 additional times (for a total of 11) and aggregated the results by taking the mean value from each repetition.

### Diversity analysis – entropy, rate of SHM, CDR3 length

As a measure of the diversity of the repertoires, we calculated Shannon's entropy ( $H$ ) for the clonal landscape of each sample, given by  $H = - \sum_{i=1}^n p_i \log p_i$ .  $p_i$  was the proportion of reads belonging to the  $i^{\text{th}}$  clone. We also calculated the number of somatic hypermutations for each of the IGH reads. This measure was calculated by comparing mismatches in nucleotides. The CDR3 length was measured as the number of nucleotides in the CDR3 region. We calculated the average CDR3 length for each sample by taking the mean CDR3 length across all reads for that sample. We used the Wilcoxon rank-sum test to test for significant differences between distributions (e.g. term maternal vs. term fetal).

### Gene usage

We calculated gene usages for each sample, with usage defined as the number of unique clones expressing a particular gene divided by the total number of unique clones. To calculate this, we utilized the full dataset without downsampling, and reduced the data down to only unique clones (regardless of read count). We calculated the V gene usage, D gene usage, and J gene usage of each sample per isotype. For comparisons between groups of samples, we calculated p-values using the Mann-Whitney test and adjusted using the Benjamini-Hochberg procedure. Clustering of gene usage was performed by hierarchical clustering (with Euclidean distance as the measure).

### Public clone analysis

We examined the presence of public clones in samples within the two groups (preterm or term) as well as clones present in paired mother-infant samples. To identify clones across individuals, we defined similar clones as having the same V and J genes, equal

CDR3 length, and an 85% (IgH) or 100% (TCR) matching amino acid identity. We used amino acids here as clones of different individuals can be similar because they recognize the same antigens. The lower threshold for IgH was used to account for SHMs. To test for significance, we performed permutation analysis by randomly shuffling the tags of the samples. After shuffling, the public clones measures were once again calculated among the new, synthetic groups. We repeated this procedure 10,000 times to assemble a distribution of scores expected by chance, with p-values calculated as the likelihood of a random score being greater than or equal to the actual score.

## RESULTS

Maternal blood samples and cord blood samples were collected at delivery for 14 term and 10 PPRM pregnancies (Figure 1, Table 1). Both TCR- $\beta$  and IgH (Figure 2A) were sequenced and aligned, with initial quality control steps used to filter the data resulting in a dataset of over 35 million reads (Table S1). IgH libraries for each isotype (IgA, IgD, IgE, IgG, and IgM) were amplified, sequenced and analyzed separately. IgE read counts were close to 0 for every sample and were excluded from further analysis. IgD and IgM were further split into “unmutated” (<1% V gene SHM) and “mutated” groups to distinguish between sequences likely derived from naïve and memory B-cells, respectively. Data per sample were downsampled to adjust for read depth. For each of the read transcripts, we extracted the associated V, D, and J gene and nucleotide length of the CDR3 (Figure 2A). The rate of SHM in IGHV gene segments was also calculated for IgH data. Reads were assigned to clones, with two reads belonging to the same clone if they had the same V gene, same J gene, identical CDR3 length, and a minimum threshold of matching CDR3 nucleotides (90% for IgH, 95% for TCR). The results of the analyses are summarized in Tables 2 and 3.

### Maternal repertoires exhibit higher rates of SHM and longer CDR3s than matched cord blood samples in term pregnancies

From the reads for each sample, we calculated average SHM rates and CDR3 lengths. SHMs help shape the IgH repertoire, generating BCRs with the potential for high-affinity antigen binding(13, 24). While this is a well described process occurring in immune competent individuals as part of successful adaptive immunity to a variety of pathogens, SHM during fetal development remains understudied. In term pregnancies, we measured and compared the rate of SHM between maternal blood and cord blood and found higher SHM rates in maternal blood for IgA, IgD, IgG, and IgM (Figure 2B and Table 2). We observed similar results in PPRM pregnancies (Figure S1).

The CDR3 regions of TCR- $\beta$  and IgH receptors play a critical role in antigen binding and recognition. To characterize the CDR3 sequences between maternal blood and cord blood, we first performed a comparative analysis of the mean CDR3 nucleotide sequence lengths (Figure 2C and Table 2), and observed significantly shorter TCR- $\beta$  CDR3 lengths in cord blood samples. For the IgH repertoire, the mean CDR3 lengths were significantly shorter for cord blood samples than maternal blood samples in unmutated IgD, mutated IgD, and unmutated IgM.



### **V, D, and J gene usage differences are identified in maternal vs. cord blood in term pregnancies**

We next examined whether there was preferential usage of specific gene segments in TCR- $\beta$  and IgH CDR3s and found skewed usage of specific gene segments. The analysis revealed a number of V, D, and J genes with either increased or decreased usage in term cord blood samples compared to term maternal blood samples. The significant ( $p_{\text{adj}} < 0.05$ ) results are represented in Table 3. Taken in combination, the V genes and J genes with significantly different usage results in two distinct sample clusters via hierarchical clustering, with maternal blood and cord blood samples perfectly separated ( $p = 1.55E-45$ ) (Figure 2D).

### **Term cord blood repertoires exhibit longer CDR3s in comparison to PPROM cord blood.**

Utilizing the same procedures as when comparing term maternal blood to term cord blood, we characterized differences between term cord blood and PPROM cord blood to further investigate the role of the immune repertoire in PTL (Table 2). We examined the IgH rates of SHM, and observed no differences between term and PPROM cord blood samples for any of the IgH isotypes (Figure 3A and Table 2); however, shorter CDR3 lengths in PPROM samples were observed in all the naïve-like B-cell compartments (IgD and IgM) as well as in TCR- $\beta$  (Figure 3B). Furthermore, we found that these differences in length (IgD, IgM, and TCR- $\beta$ ) correlated significantly ( $p < 0.05$ ) with gestational age recorded at the time of birth (Figure 3C).

### **Significant differences in V, D, and J gene usage are identified in term vs. PPROM**

To further investigate the impact of PPROM deliveries in the cord blood immune receptor repertoire, we analyzed different V, D, and J gene segment usage for the TCR- $\beta$  and IgH for term and PPROM cord blood. We found many V, D, and J genes with significantly ( $p_{\text{adj}} < 0.05$ ) higher or lower usage in preterm samples relative to term samples (Table 4). Three V genes (TRBV10-3, IGHV2-5, and IGHV3-64) and three J genes (IGHJ2, IGHJ5, and IGHJ6) were used at significantly different rates between preterm and term. Applying hierarchical cluster analysis, we observed separation into two clusters ( $p = 7.41E-107$ ), with significant ( $p = 0.035$ , Fisher's exact test) separation between term and preterm outcomes for the significant V genes and the significant J genes (Figure 4A).

Next, we investigated the differences in the maternal immune repertoire between pregnancies carried to term and pregnancies ending with preterm birth. When comparing term maternal blood samples to PPROM maternal blood samples, we found no differences in the entropy, rate of SHM, and mean CDR3 length (Figure S2 and Table 2). In contrast, analysis of gene segment usage of TCR- $\beta$  revealed significantly increased usage of TRBV7-8 in term pregnancies (Figure 4B).

### **Higher abundance of public clones in PPROM pregnancies**

Due to large recombinatorial biases of receptors, a small fraction of TCR- $\alpha$ , TCR- $\beta$ , or IgH chains are shared among most individuals. These "public" clones have been found to be prevalent in some infectious, autoimmune, and other immune responses(25, 26) and among preterm neonates(27). The investigation of public clones in normal and pathological pregnancies remains relatively understudied, and it may provide new insights in immune

dysregulation leading to disturbance of maternal-fetal tolerance and pathological delivery. We investigated the presence of public clones, defined as shared across at least two samples. Across individuals, clones can be similar by recognizing the same antigens, and were stimulated to expand and appear at higher frequencies in the repertoires of the two individuals with common antigen exposure. For examining clones across samples, we use a similar clone identification procedure as before, but instead of using nucleotide identity matching, we instead use amino acid identity matching. We thus defined two sequences as belonging to the same public clone if they shared the same V gene, same J gene, equal CDR3 length, and a minimum 85% (IgH) or 100% (TCR- $\beta$ ) amino acid identity match, with a lower threshold for IgH to account for SHMs. In order to rule out sample contamination, we checked the clones for 100% identical nucleotide sequences and found no fully identical clones at the nucleotide level between matched pairs of maternal blood and cord blood.

Across all term cord blood samples ( $n = 14$ ) and aggregated over multiple downsampling repetitions, an average of 7062 IgH clones were present in at least two samples, while across all preterm cord blood samples ( $n = 10$ ), there was an average of 9400 public clones. We compared these values to random distributions of expected public clone numbers generated by randomly shuffling (resampling) sample labels. For term cord blood samples, the number of public clones was on the upper end of the distribution, though not significant ( $p = 0.144$ ) (Figure 5A). However, for the PPROM cord blood samples, we observed significant enrichment in the number of public clones compared to the distribution obtained at random ( $p = 0.0001$ ) (Figure 5B). Next, we used a similar procedure to measure the number of public clones across matched mother/baby pairs, looking for public clones that were shared by both the maternal blood sample and the cord blood sample. In term pregnancies, we observed 468 unique clones present in both samples from at least one pair, significantly fewer compared to random ( $p = 0.0122$ ) (Figure 5C). In PPROM pregnancies, we observed an average of 714 unique clones from at least one pair ( $p = 0.983$ ) (Figure 5D). When comparing the distributions of shared clones between maternal blood and cord blood pairs that were term or PPROM, there was no significant difference between the two distributions (Figure 5E).

For public TCR clones in term cord blood, there was an average of 11,575 public clones ( $p = 0.266$ ) (Figure 6A). Across PPROM cord blood samples, there was an average of 26,751 public TCR clones, significantly more than random ( $p = 0.0016$ ) (Figure 6B). For public clones across paired samples, there were 3090 average clones across paired term samples, ( $p = 0.265$ ) (Figure 6C) and 7459 average clones across paired PPROM samples ( $p = 0.0022$ ) (Figure 6D). Comparing the distributions of public clones in individual pairs, we observed significantly more public clones in the preterm samples over the term samples ( $p = 0.02654$ , Wilcoxon rank-sum test) (Figure 6E).

## DISCUSSION

We studied the immune repertoires of mothers and babies delivered at term or prematurely by sequencing the TCR- $\beta$  and IgH of maternal blood and fetal cord samples taken at delivery. As a pilot study, we collected a limited number of samples, but were still able to find significant differences in immune repertoires between both maternal/cord blood



groupings and term/PPROM groupings. In term pregnancies we observed higher rates of SHM and longer CDR3s in maternal samples. For cord blood, fetal PPROM repertoires had shorter CDR3s than fetal term repertoires. We also found a number of V, D, and J gene usage differences across groupings. Finally, in examining public clones expressed among different samples, we found enriched numbers of clones in PPROM cord blood samples, as well as higher numbers of public clones appearing in PPROM maternal blood/cord blood pairs.

We observed elevated rates of SHM in term maternal blood to term cord blood. These increases are consistent with previous results(28, 29). In past work studying the shaping of infant B-cell repertoires, we observed that IgM and IgD reach adult average SHM frequencies by 1 to 2 years of age, whereas class-switched isotypes (IgA and IgG) only reach ~60% of adult values by 3 years of age(13).

Our findings of shorter CDR3 lengths in term cord blood (versus term maternal blood) are similar to results previously described(17). These differences, while statistically significant, were small, especially in TCR- $\beta$  where maternal blood and cord blood differed by approximately 1 nucleotide. For the CDR3 differences in IgH, the increased usage of IGHJ6 in term cord blood can explain in part the CDR3 length differences, as IGHJ6 is among the longest IGHJ gene segments. We observed large variation in both the IgA and the IgG CDR3 lengths in cord blood, which is most likely due to the low number of IgA and IgG clones observed (Table S1).

The gene segments with significantly different usage between term maternal blood samples and term cord blood samples include IGHV6-1 (a J-proximal gene reported to have higher usage in fetal and cord blood samples(30) and IGHD7-27 (J-proximal and the shortest D gene with higher usage in cord blood samples(17) and fetal samples(31). These gene segments may represent combinatorial rearrangements specific to the fetal and neonate repertoire development. In comparing term cord blood and PPROM cord blood samples, we found three V genes with significantly different usage. Many of these V genes with significantly different usage in cord blood are novel in the context of preterm birth, including IGHV2-5 and TRBV10-3. We found few differences in maternal blood when comparing the lymphocyte receptor repertoires of term pregnancies to PPROM pregnancies. Only the V gene TRBV7-8 had significantly decreased usage in PPROM pregnancies (Figure 4B). TRBV7-8 usage has been reported as gene segment involved in TCR autoreactivity towards rare self-phospholipids such as phosphatidylglycerol(32), which rises in concentration in amniotic fluid as the pregnancy approaches term, an indicator of fetal maturity. The increased usage near term may suggest specific TCR gene segment usage involved in the physiology of term birth. Further functional TCR repertoire studies investigating the maternal TCR near term may point to new insights in T-cell response in term labor.

For paired mother/baby samples, we observed more clone sharing in the PPROM cases compared to term controls. Among PPROM cord blood samples, we observed a relative abundance of public clones. We speculate that the enriched abundance of public clones among PPROM infants might be due to a less developed repertoire and also as an increase

in microchimerism, potentially leading to a breakdown of maternal-fetal tolerance in PPROM(8). The increased public sharing is consistent with one report studying CD8 naïve T cell repertoire where preterm neonates showed higher clonal sharing when compared to term neonates(27). These results further support the hypothesis of increased maternal immune cell trafficking across the placenta and into the fetus in PTL cases, leading to increased microchimerism levels. This may disturb the immune balance between tolerance and rejection, leading to breakdown of maternal-fetal tolerance and PTL.

There were several limitations to our study that should be noted. This study was conceived as a pilot study, with the small number of samples collected used as a proof of concept for this type of analysis, limiting our power to detect subtle statistical and biological changes. Due to the small sample size, additional follow-up and verification is required especially for the preferential V, D, and J gene usages. Another limiting factor is the inability to reasonably study blood from unborn infants; the usage of cord blood as a surrogate may not be ideal in sequencing the immune repertoire of the baby at the time of delivery. We did not perform HLA typing, and there was a significance difference in the proportions of primigravid mother between the term and PPROM groups that could not be further resolved due to the small sample size. While the downsampling approach ensured the same number of reads per sample, this method also resulted in small read counts, especially for certain BCR isotypes. Samples could also be studied with more advanced recent technologies that allow BCR and TCR measurements at the single cell level. The public clone analyses would greatly benefit from a restricted design to eliminate sample contamination. As the samples were collected at the time of delivery, this is difficult to achieve in practice. However, we have carried out extensive analysis on the nucleotide sequence level of the public clones to make sure that while the amino acid sequences are concordant, there are mutations on the nucleotide level which ensure that these observations are not due to contamination.

In studying maternal blood and cord blood samples from pregnancies delivered at term or delivered early due to PPROM, we observed numerous differences in the adult and neonatal immune repertoire. Overall, infant immune repertoires were much more diverse and less developed than maternal immune repertoires as shown by increased SHM and longer CDR3s in the mothers. Moreover, the immune repertoires of term babies had evidence of more developed repertoires compared to PPROM babies as shown by longer TCR, IgD, and IgM CDR3s which correlated with gestational age. We also found a number of V genes and J genes differentiated between term and PPROM pregnancies, primarily in cord blood. Future studies will examine a larger number of samples, in addition to longitudinal maternal lymphocyte receptor profiling throughout pregnancy to gain a better understanding of the maternal and fetal immune repertoires during pregnancy and how they might impact early parturition. It will be of interest to explore the role that Ig genotypes infants and mothers play in this analysis. Future studies should further examine if repertoire changes associated with PTL may have an impact on adaptive immunity development.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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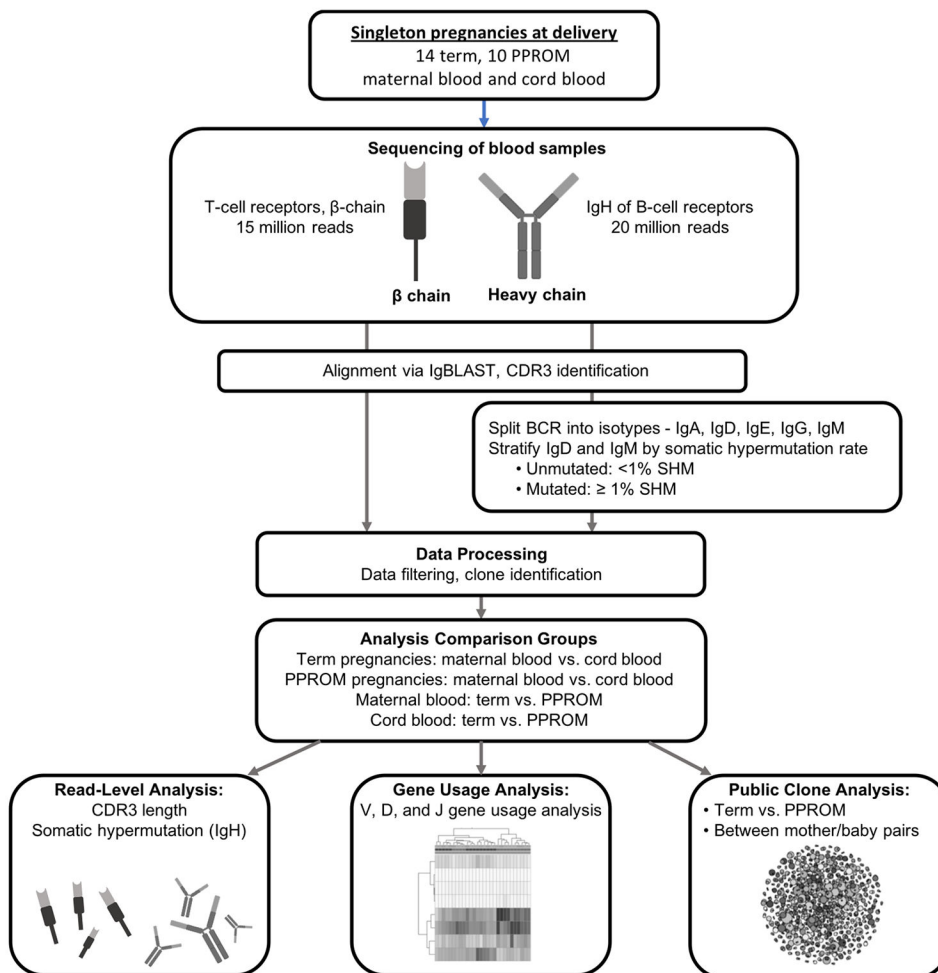
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**KEY POINTS**

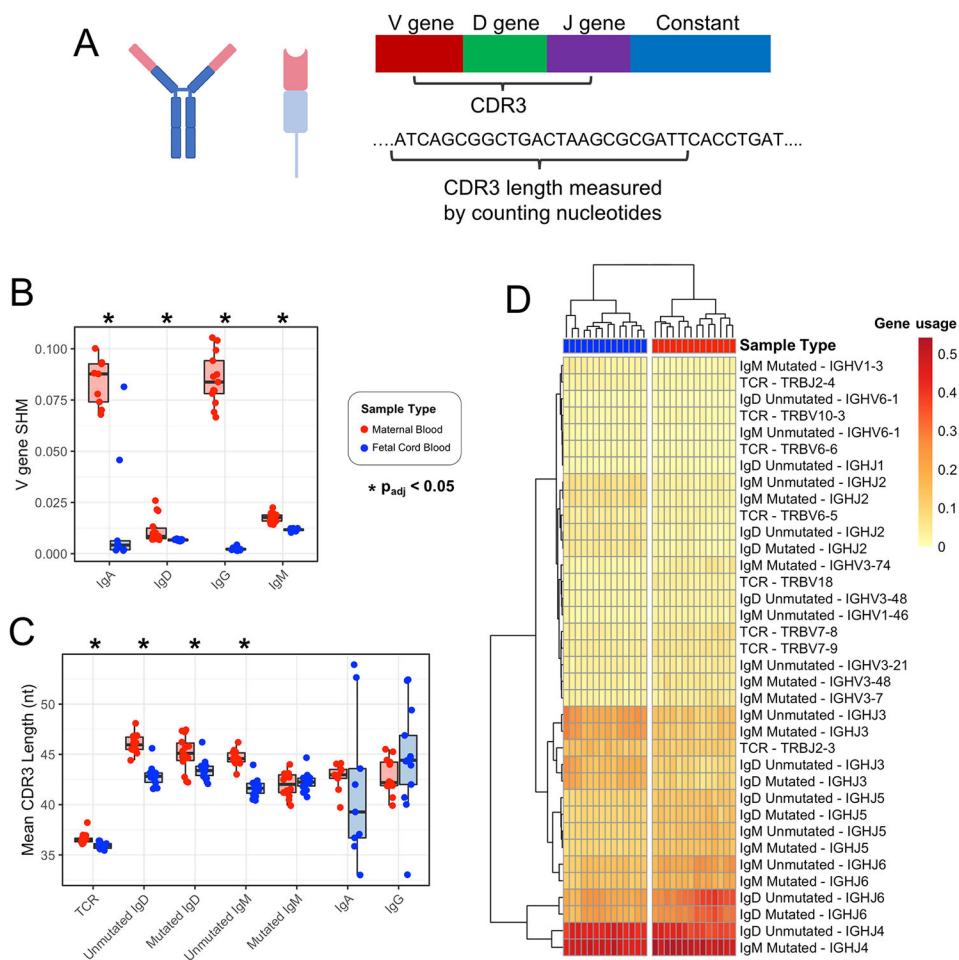
- Maternal-fetal immune repertoire analyses provide insights to preterm labor
- Clonal convergence suggests response to shared stimuli





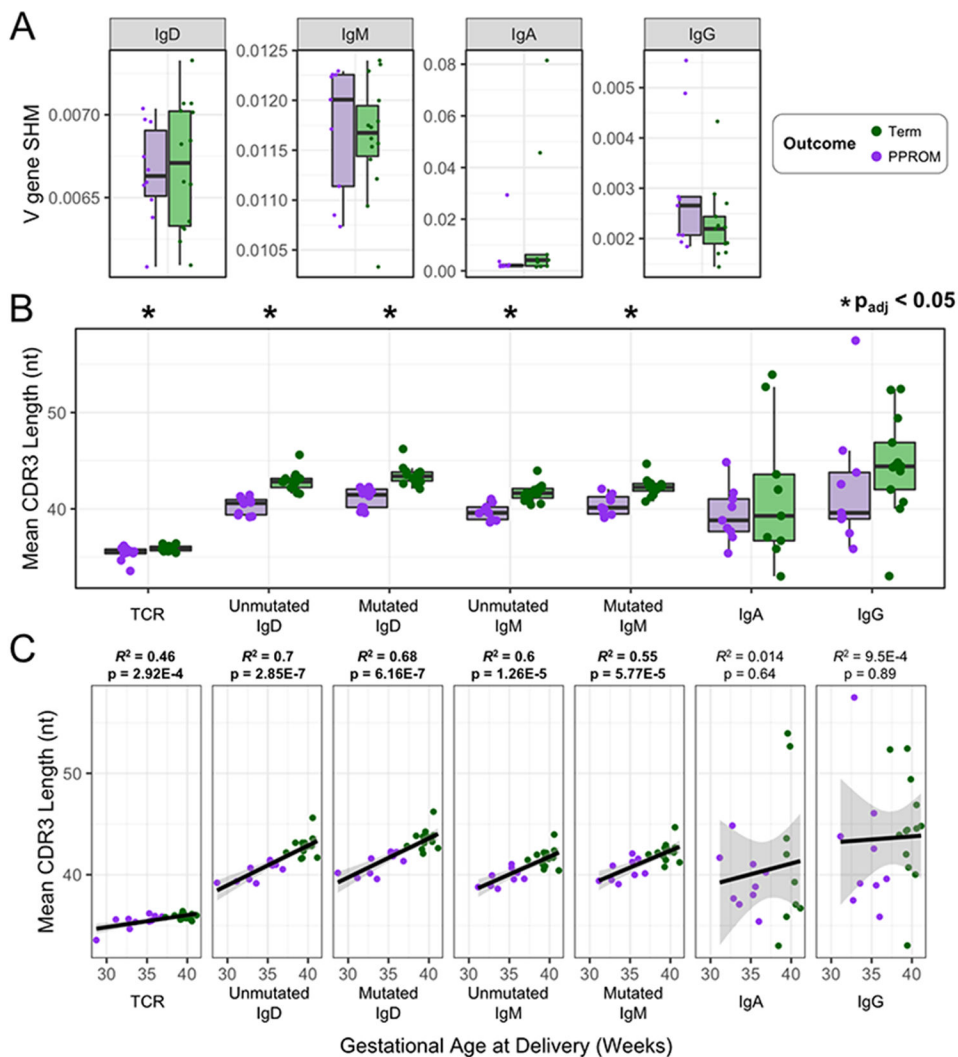
**Figure 1. Overview of analytical pipeline.**

Maternal blood and cord blood from singleton pregnancies were sequenced for T-cell receptors and B-cell receptors. After applying initial quality control steps (such as requiring a minimum V gene alignment score), read transcripts were assigned to clones based on V gene and J gene identities and CDR3 similarities. The samples were split into different groupings for comparative analysis: term maternal blood vs. term cord blood; term maternal blood vs. PPROM maternal blood; and term cord blood vs. PPROM cord blood. We analyzed CDR3 length and rate of SHM of the read transcripts, gene usage of the unique clones, and the presence of highly similar, public clones across samples.

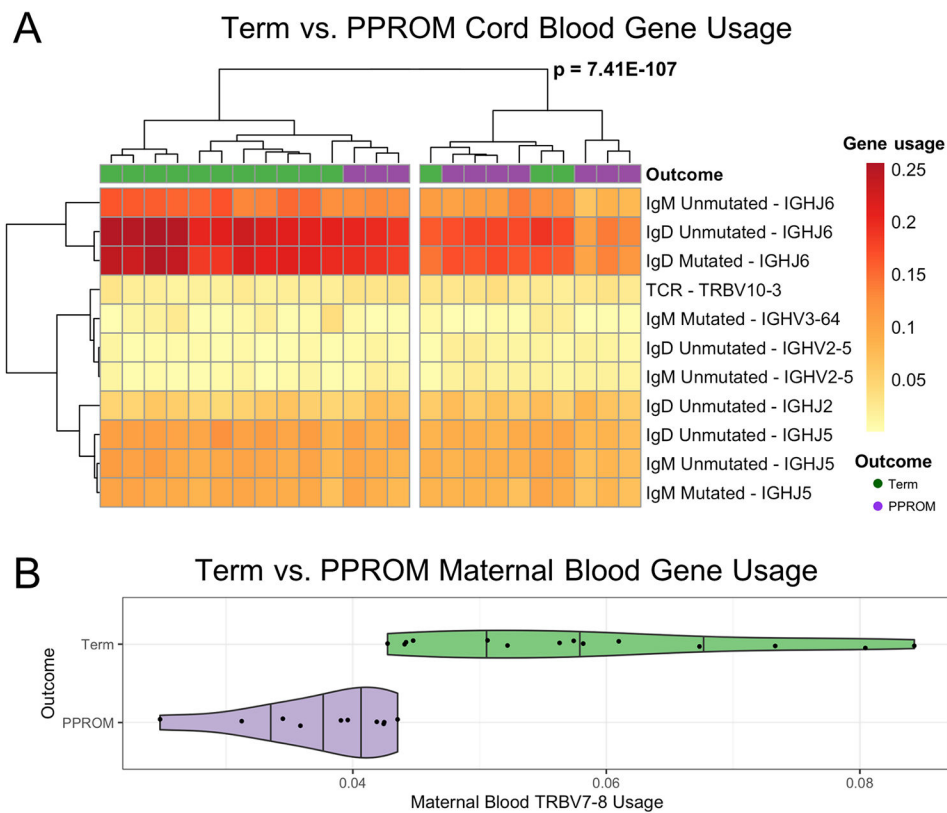


**Figure 2. Term maternal versus cord blood TCR-β and IgH comparison for SHM rates, CDR3 length, and gene usage.**

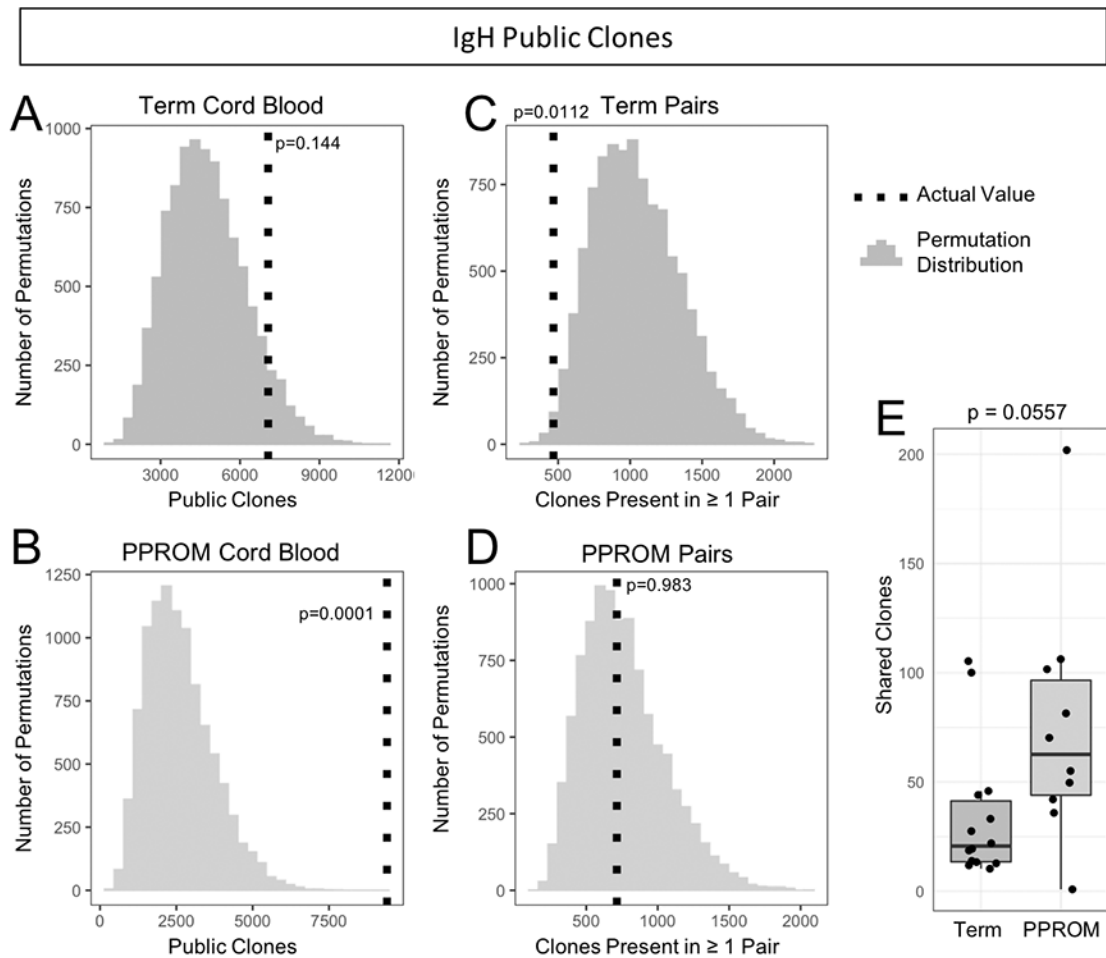
(A) Zoom-in of variable region for TCR-β and IgH. (B) V gene SHM rate in maternal vs cord blood. (C) Mean CDR3 length in maternal vs cord blood.  $*p_{adj} < 0.05$  by Wilcoxon rank-sum test and adjusted for multiple comparisons using the Benjamini-Hochberg procedure. (D) Applying hierarchical clustering to the V and J genes with significantly different usage results in perfect separation of maternal blood and cord blood samples into two clusters.



**Figure 3. Term versus PPROM cord blood TCR-β and IgH comparisons for rates of SHM and CDR3 length.**  
 (A) IgH V gene SHM rates for term and PPROM cord blood samples, separated by isotype.  
 (B) For each cord blood sample, the mean CDR3 lengths for TCR-β and each IgH isotype were measured to investigate the differences between term and PPROM groups. (C) The mean CDR3 length of each sample was plotted against the gestational age at delivery.

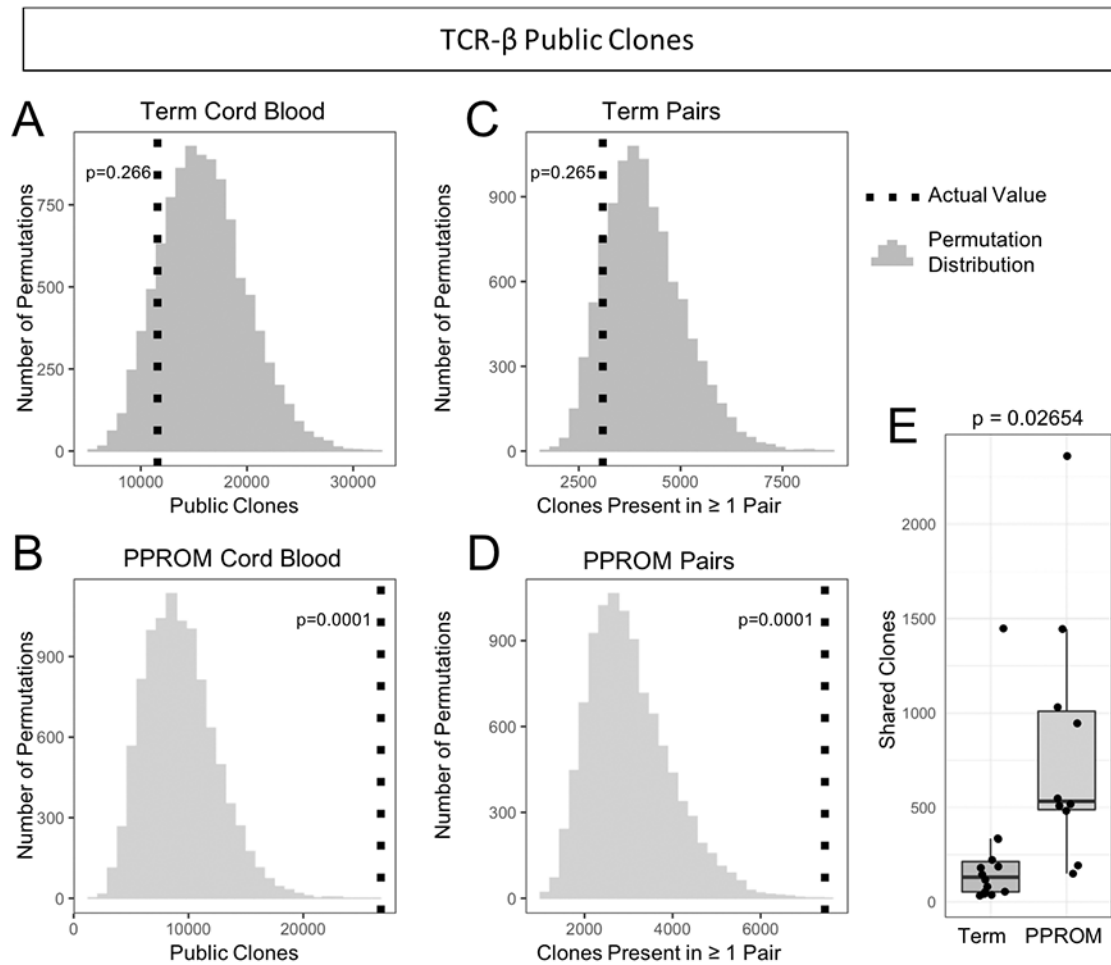


**Figure 4. TCR- $\beta$  and IgH gene usage heat map of term versus PPROM.** (A) For cord blood samples, hierarchical clustering of V genes and J genes with significantly different usage resulted in two sample clusters. (B) Violin plot (with overlaid scatterplot) of the usage of TRBV7-8 in maternal blood samples. The shape of the plot reflects the probability distribution, and the vertical bars denote the quartile boundaries (25%, 50%, 75%).



**Figure 5. Enrichment of IgH public clones observed in preterm cord blood samples.**

(A) Observed value and expected distribution of IgH public clones in term cord blood. The expected distribution obtained from 10,000 permutations is shown as a histogram, and the actual observed value of of public clones is overlaid as a vertical dotted line. The p-value is determined by comparing the observed value to the permutation distribution. (B) Observed value and expected distribution of IgH public clones in PPROM cord blood. (C) IgH public clones observed in both the maternal and fetal samples of matched pairs in term pregnancies. (D) IgH public clones observed in match pairs for PPROM pregnancies. (E) Numbers of shared IgH clones between paired maternal blood and cord blood samples.



**Figure 6. More TCR public clones observed in preterm cord blood samples and preterm paired samples.**

(A) Observed value and expected distribution of TCR public clones in term cord blood. The expected distribution obtained from 10,000 permutations is shown as a histogram, and the actual observed value of of public clones is overlaid as a vertical dotted line. The p-value is determined by comparing the observed value to the permutation distribution. (B) Observed value and expected distribution of TCR public clones in PPROM cord blood. (C) TCR public clones observed in both the maternal and fetal samples of matched pairs in term pregnancies. (D) TCR public clones observed in match pairs for PPROM pregnancies. (E) Numbers of shared TCR clones between paired maternal blood and cord blood samples. Preterm pregnancies had more public clones shared by both the maternal and fetal samples than term pregnancies.



**Table 1.**

Demographics table from clinical cases where maternal blood and cord blood samples were collected for repertoire analysis.

	<b>Control</b>	<b>PPROM</b>	<b>P-value</b>
Maternal age (y)	35.3 (31-39.6)	30 (24.1-35.9)	0.1097 (Mann-Whitney)
Race/Ethnicity			0.35 (Chi-squared)
African American	0%	10%	
Caucasian	64%	50%	
Hispanic	0%	30%	
Asian	14%	10%	
Other/Mixed	0%	0%	
Unknown	21%	0%	
Primigravid	7 (50%)	2 (20%)	<0.0001 (Fisher)
Gestational age at delivery (weeks)	39.65 (38.69-40.61)	34 (32.4-35.2)	<0.0001 (Fisher)
Cesarean delivery	1 (7%)	3 (30%)	0.2721 (Fisher)
Birth weight (g)	3396 (2934-3823)	2190 (1926-2640)	<0.0001 (Mann-Whitney)
Gender (male)	7 (50%)	6 (60%)	0.6968 (Fisher)

Data presented as N (%) or median (interquartile range). *P*-values calculated using Fisher exact or Mann-Whitney U test.

PPROM: Preterm premature rupture of fetal membranes

**Table 2.**  
**Summary of TCR- $\beta$  and IgH repertoire analysis from term and preterm pregnancies**  
**grouped by entropy, CDR3 length, and SHM.**

Comparisons between groups were assessed using the Wilcoxon rank-sum test.

		Term Maternal Blood	PPROM Maternal Blood	Term Cord Blood	PPROM Cord Blood	Term Maternal Blood vs. Term Cord Blood	PPROM Maternal Blood vs. PPROM Cord Blood	Term Maternal Blood vs. PPROM Maternal Blood	Term Cord Blood vs. PPROM Cord Blood
		Mean (SD)	Mean (SD)	Mean (SD)	Mean (SD)	p-value	p-value	p-value	p-value
TCR	Entropy (bit)	12.87 (1.64)	13.87 (0.93)	13.53 (0.79)	14.89 (0.99)	3.52E-01	2.32E-02	1.54E-01	3.84E-03
	CDR3 Length (nt)	36.60 (0.53)	36.44 (0.38)	35.91 (0.30)	35.37 (0.76)	1.35E-05	1.30E-04	7.09E-01	2.20E-02
IgD	SHM (rate)	1.16E-2 (6.38E-3)	9.63E-3 (2.78E-3)	6.69E-3 (3.9E-4)	6.65E-3 (3.0E-4)	2.53E-05	7.58E-05	7.52E-01	7.52E-01
IgD Unmutated	Entropy (bit)	10.88 (1.88)	12.20 (1.35)	12.18 (1.02)	12.90 (0.88)	3.95E-02	1.65E-01	9.56E-02	5.59E-02
	CDR3 Length (nt)	46.06 (0.92)	45.91 (0.74)	42.81 (1.02)	40.31 (0.90)	9.47E-07	1.08E-05	7.96E-01	1.02E-06
IgD Mutated	Entropy (bit)	9.54 (2.19)	10.66 (1.15)	11.82 (0.36)	11.98 (0.22)	3.38E-04	1.05E-03	1.72E-01	1.92E-01
	CDR3 Length (nt)	45.07 (1.75)	45.01 (1.44)	43.49 (0.99)	41.10 (1.09)	1.22E-02	1.08E-05	7.96E-01	7.14E-06
IgM	SHM (rate)	1.76E-2 (2.23E-3)	1.77E-2 (2.36E-3)	1.16E-2 (5.7E-4)	0.0117 (6.4E-4)	4.95E-08	4.11E-05	8.77E-01	8.77E-01
IgM Unmutated	Entropy (bit)	11.20 (1.46)	12.27 (0.98)	12.41 (0.55)	12.88 (0.4)	2.41E-02	1.13E-01	4.56E-02	1.58E-02
	CDR3 Length (nt)	44.67 (0.75)	44.82 (0.76)	41.70 (0.90)	39.70 (0.85)	9.97E-08	4.11E-05	5.16E-01	7.34E-05
IgM Mutated	Entropy (bit)	10.26 (1.35)	10.76 (0.99)	12.33 (0.19)	12.44 (0.16)	3.34E-06	1.65E-04	5.57E-01	6.22E-02
	CDR3 Length (nt)	41.97 (1.19)	42.43 (1.69)	42.27 (0.92)	40.43 (1.06)	8.04E-01	5.63E-03	6.88E-01	4.70E-04
IgA	Entropy (bit)	7.78 (1.12)	8.00 (0.81)	2.89 (2.54)	3.59 (1.76)	2.88E-04	4.11E-05	6.66E-01	6.66E-01
	SHM (rate)	8.36E-2 (1.14E-2)	8.07E-2 (7.9E-3)	1.68E-2 (2.8E-2)	5.21E-3 (9.08E-3)	4.94E-04	4.11E-05	2.97E-01	2.97E-01
	CDR3 Length (nt)	42.77 (1.39)	41.00 (1.30)	41.56 (7.38)	39.41 (2.85)	2.58E-01	1.13E-01	2.44E-02	9.31E-01
IgG	Entropy (bit)	6.80 (1.26)	7.69 (0.73)	2.22 (1.74)	3.75 (1.82)	2.31E-06	8.23E-05	2.52E-02	7.08E-02
	SHM (rate)	0.0852 (0.0126)	0.0745 (0.0102)	2.28E-3 (7.39E-4)	2.96E-3 (1.34E-3)	1.92E-07	4.11E-05	1.86E-01	1.86E-01

		<b>Term Maternal Blood</b>	<b>PPROM Maternal Blood</b>	<b>Term Cord Blood</b>	<b>PPROM Cord Blood</b>	<b>Term Maternal Blood vs. Term Cord Blood</b>	<b>PPROM Maternal Blood vs. PPRM Cord Blood</b>	<b>Term Maternal Blood vs. PPRM Maternal Blood</b>	<b>Term Cord Blood vs. PPRM Cord Blood</b>
		<b>Mean (SD)</b>	<b>Mean (SD)</b>	<b>Mean (SD)</b>	<b>Mean (SD)</b>	<b>p-value</b>	<b>p-value</b>	<b>p-value</b>	<b>p-value</b>
	CDR3 Length (nt)	42.80 (1.73)	42.36 (2.25)	44.53 (5.22)	42.32 (6.52)	2.04E-01	6.05E-01	6.47E-01	1.26E-01

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**Table 3.**  
**Summary of term maternal versus neonate analysis for TCR- $\beta$  and IgH V, D and J gene segment usage.**

In pregnancies carried to term, a substantial number of genes had significantly higher usage in cord blood (compared to maternal blood) or in maternal blood (compared to cord blood), across TCR and various IgH isotypes. The usage difference in fold-change is noted next to each gene.

		Variable (V) genes	Diversity (D) genes	Joining (J) genes
TCR	Higher cord blood usage	TRBV6-5 (1.42), TRBV6-6 (1.62), TRBV10-3 (1.31)	TRBD2 (1.07)	TRBJ2-3 (1.22), TRBJ2-4 (1.42)
	Higher maternal blood usage	TRBV7-8 (1.56), TRBV7-9 (1.33), TRBV18 (1.64)		
IgD unmutated	Higher cord blood usage	IGHV6-1 (2.71)	IGHD1-7 (1.74), IGHDI-26 (1.57), IGHD6-6 (1.93), IGHDI-27 (9.08)	IGHJ1 (1.47), IGHJ2 (2.49), IGHJ3 (1.88), IGHJ4 (1.09)
	Higher maternal blood usage	IGHV3-48 (1.37)	IGHD3-16 (1.29), IGHDI-17 (1.59), IGHD6-19 (1.43)	IGHJ5 (1.35), IGHJ6 (1.55)
IgD mutated	Higher cord blood usage		IGHDI-26 (1.55), IGHDI-6 (2.03), IGHDI-27 (5.97)	IGHJ2 (2.53), IGHJ3 (1.83)
	Higher maternal blood usage		IGHDI-15 (1.45), IGHDI-24 (1.53), IGHDI-19 (1.42)	IGHJ5 (1.46), IGHJ6 (1.48)
IgM unmutated	Higher cord blood usage	IGHV6-1 (2.81)	IGHDI-7 (1.59), IGHDI-26 (1.43), IGHDI-6 (1.93), IGHDI-13 (1.34), IGHDI-27 (7.52)	IGHJ2 (2.15), IGHJ3 (1.69)
	Higher maternal blood usage	IGHV1-46 (1.43), IGHV3-21 (1.23)	IGHDI-15 (1.62), IGHDI-10 (1.29), IGHDI-17 (1.56), IGHDI-9 (1.42)	IGHJ5 (1.52), IGHJ6 (1.54)
IgM mutated	Higher cord blood usage	IGHV1-3 (1.83)	IGHDI-26 (1.40), IGHDI-6 (2.07), IGHDI-13 (1.51), IGHDI-27 (5.68)	IGHJ2 (2.29), IGHJ3 (1.75)
	Higher maternal blood usage	IGHV3-7 (1.60), IGHV3-48 (1.56), IGHV3-74 (1.92)	IGHDI-15 (1.56), IGHDI-16 (1.33), IGHDI-17 (1.49), IGHDI-19 (1.53), IGHDI-25 (1.62)	IGHJ4 (1.06), IGHJ5 (1.58), IGHJ6 (1.37)

**Table 4.**  
**Summary of term versus PPROM neonate analysis for TCR- $\beta$  and IgH V, D, and J gene segment usage.**

A small number of genes were observed to have higher usage in PPROM cord blood (compared to term cord blood) or in term cord blood (compared to PPROM cord blood). The usage difference in fold-change is noted next to each gene.

		Variable (V) genes	Diversity (D) genes	Joining (J) genes
TCR	Higher PPROM usage	TRBV10-3 (1.44)		
	Higher term usage			
IgD unmutated	Higher PPROM usage	IGHV2-5 (2.03)	IGHD7-27 (1.55)	IGHJ2 (1.20)
	Higher term usage			IGHJ5 (1.15), IGHJ6 (1.30)
IgD mutated	Higher PPROM usage			
	Higher term usage			IGHJ6 (1.28)
IgM unmutated	Higher PPROM usage	IGHV2-5 (2.07)	IGHD7-27 (1.48)	
	Higher term usage			IGHJ5 (1.15), IGHJ6 (1.35)
IgM mutated	Higher PPROM usage			
	Higher term usage	IGHV3-64 (3.73)		IGHJ5 (1.14)