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## Plasticity between neuronal pairs in layer 4 of visual cortex varies with synapse state

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### Abstract

In neocortex, the induction and expression of long-term potentiation (LTP) and depression (LTD) vary depending on cortical area and laminae of pre- and postsynaptic neurons. Layer 4 (L4) is the initial site of sensory afference in barrel cortex and primary visual cortex (V1) where excitatory inputs from thalamus, layer 6 (L6) and neighboring L4 cells are integrated. However, little is known about plasticity within L4. We studied plasticity at excitatory synaptic connections between pairs and triplets of interconnected L4 neurons in guinea pig V1 using a fixed delay pairing protocol. Plasticity outcomes were heterogeneous, with some connections undergoing LTP (n=7/42), some LTD (n=19/42) and some not changing (NC, n=16/42). While quantal analysis revealed both pre- and postsynaptic plasticity expression components, reduction in quantal size (a postsynaptic property) contributing to LTD was ubiquitous whereas in some cell pairs this change was over-ridden by an increase in the probability of neurotransmitter release (a presynaptic property) resulting in LTP. These changes depended on the initial reliability of the connections: highly reliable connections depressed with contributions from pre- and postsynaptic effects; unreliable connections potentiated due to the predominance of presynaptic enhancement. Interestingly, very strong, reliable pairs of connected cells showed little plasticity. Pairs of connected cells with a common pre- or postsynaptic L4 cell behaved independently, undergoing plasticity of different or opposite signs. Release probability of a connection with initial 100% failure rate was enhanced after pairing, potentially avoiding silencing of the presynaptic terminal and maintaining L4-L4 synapses in a broader dynamic range.

### Keywords

LTP; LTD; plasticity; visual cortex; release probability; quantal; patch clamp

### INTRODUCTION

Synaptic plasticity in mammalian neocortex contributes to important functions including sensory map reorganization and refinement during development (Miller et al., 1989; Shatz, 1990; Kirkwood et al., 1995), functional reorganization after imbalanced or impoverished early sensory experience (Wiesel and Hubel, 1963; Dews and Wiesel, 1970; Chapman et al., 1986; Kind et al., 2002) or injury (Kaas et al., 1990; Gilbert and Wiesel, 1992; Chino et al., 2001) and perceptual learning (Schoups et al., 2001; Super et al., 2001; Li et al., 2008). These changes in functional cortical circuitry often manifest as persistent up- or down-regulation of synaptic strength (Crozier et al., 2007; Smith et al., 2009). Such plasticity occurs between and within most cortical layers (Egger et al., 1999; Heynen and Bear, 2001; Sjostrom et al., 2001; Ismailov et al., 2004; Hardingham et al., 2007; Liu et al., 2008). It is important to understand these

processes at the earliest site of sensory processing within cortex - layer 4 (L4). L4 neurons integrate excitatory input from the thalamus, layer 6 (L6) and neighboring L4 neurons (Ahmed et al., 1994; Tarczy-Hornoch et al., 1999; Bannister et al., 2002). While the thalamocortical pathway has been extensively studied (Feldman et al., 1999; Heynen and Bear, 2001), there are few reports regarding capacity for plasticity of intracortical synapses within L4 (Egger et al., 1999). This represents a substantial gap in understanding cortical processing, particularly in light of the numerical preponderance of these types of synaptic connections onto L4 cells (Ahmed et al., 1994; Peters et al., 1994; Binzegger et al., 2004).

In barrel cortex, L4-L4 synaptic connections only undergo long-term depression (LTD) in response to coincident pre- and postsynaptic activation (Egger et al., 1999), even at temporal delays usually associated with the induction of long-term potentiation (LTP) according to spike-timing dependent plasticity (STDP) rules (Bi and Poo, 1998; Dan and Poo, 2004). In visual cortex, the amplitude of unitary L4-L4 synaptic connections is increased after monocular deprivation (Maffei et al., 2004), but LTP of individual L4-L4 connections has not been reported. Interestingly, L4-L4 excitatory synapses in visual cortex have a wider range of baseline strengths than in barrel cortex, including very weak, unreliable connections (Saez and Friedlander, 2009). Thus, if as suggested, the initial state of the synapse contributes to the plasticity response (Larkman et al., 1992; Bi and Poo, 1998; Montgomery et al., 2001; Ward et al., 2006; Hardingham et al., 2007), L4-L4 connections may have a wider operating range and capacity for plasticity than previously realized. To evaluate this hypothesis, we recorded from pairs and triplet sets of synaptically connected L4 neurons to eliminate contamination by other excitatory (Stratford et al., 1996; Tarczy-Hornoch et al., 1999) and neuromodulatory inputs (Bear and Singer, 1986; Seol et al., 2007). We found that plasticity between L4 excitatory cells is a function of the initial state of the synapses, uniformly exhibiting a reduction in quantal size postsynaptically that is sometimes over-ridden by presynaptic changes resulting in a more dynamic excitatory synaptic network within L4.

## METHODS

### Slice preparation

All experiments were performed according to guidelines by the Institutional Animal Care and Use Committees (IACUC) of Baylor College of Medicine. Tri-color guinea pigs of ages p6–14 were deeply anesthetized with a mixture of 0.85mg/kg ketamine and 0.15mg/kg xylazine and decapitated. The brain was rapidly removed and cooled for at least 90 seconds in artificial cerebrospinal fluid (aCSF) containing (in mM) 124 NaCl, 2 KCl, 2 MgSO<sub>4</sub>, 2 CaCl<sub>2</sub>, 1.25 KH<sub>2</sub>PO<sub>4</sub>, 26 NaHCO<sub>3</sub>, and 11 dextrose, and saturated with 95% O<sub>2</sub>/5% CO<sub>2</sub> to a final pH of 7.4. Coronal slices of the visual cortex were cut at 300 μm with a Vibratome 1000 Plus (Technical Products International). Slices were incubated at 33–35°C for 45–60 min in a holding chamber in a heated water bath (Fisher Scientific) and then transferred to a room temperature bath until being transferred to a submerged recording chamber (Warner Instruments) and perfused continuously at 2–3 ml/min with oxygenated aCSF at 32–34°C. Neurons were visualized with a Zeiss upright microscope (Axioskope FS1; Zeiss) equipped with an Achroplan 40× 0.8 numerical aperture water immersion lens set up for Differential Interference Contrast (DIC) microscopy.

Glass micropipettes [Corning 7056 glass (1.5 OD, 1.12 ID); A-M Systems, Carlsborg, WA] were pulled on a vertical puller (PP-830; Narishige) to an open tip resistance of 2.5–4.0 MΩ and filled with a pipette solution containing (in mM) 115 K-gluconate, 20 KCl, 10 HEPES, 4 NaCl, 4 Mg-ATP, 0.3 Na-GTP, and 4 Phosphocreatine-Na, with the pH adjusted to 7.4 by KOH. Osmolarity was adjusted to 280–290 mOsm with mannitol.

## Electrophysiology

All recordings were made with two MultiClamp 700B amplifiers (Molecular Devices), and signals were digitized at 20 kHz with a Digidata digitizer 1440A and recorded using Clampex 9 or 10 software (Molecular Devices). Recordings were filtered on-line at 4 kHz with a four-pole Bessel low-pass filter. Layer 4 was identified under light and DIC microscopy in base to its differential opacity to transmitted light and the smaller size of L4 somata compared to L5 cells. Cells with membrane potentials more positive than  $-50$  mV and recordings with high access resistance ( $>40$  M $\Omega$  or  $>20\%$  the value of the input resistance for that cell) were discarded. The intrinsic firing properties of the patched cell were tested by injecting a 100 ms depolarizing current pulse; neurons that did not exhibit regular spiking typical of excitatory cells (McCormick et al., 1985) were considered as putative inhibitory neurons and discarded. Up to four cells were patched simultaneously. After a pair of cells were patch-clamped, one of them was held under current clamp and pairs of action potentials (APs) were elicited with a 30 ms interspike interval with two 5ms square-pulse depolarizing current injections (typically 300–800pA) at 0.2 Hz. The putative postsynaptic cell was held under voltage clamp at  $-70$ mV. If an evoked post-synaptic current (EPSC) response was not apparent immediately, at least 50 trials were collected, spike-trigger averaged phase locked to the peak of the action potential in the putative presynaptic cell and examined again. If a response was detected, data collection proceeded for at least 10 minutes (120 trials). If no response was observed, the configuration was reversed with the original putative postsynaptic cell tested for input to the original putative presynaptic cell. If a response was not observed in either direction, the cells were considered not connected and a third cell was patched and examined as a potential pre- and postsynaptic partner to the other two. If necessary, a fourth cell was patched and tried as many times as necessary until at least a synaptic connection was found. Occasionally, multiple synaptic connections were found and simultaneously (if possible) or sequentially recorded. Connections were analyzed off-line by placing a 0.4ms window (noise measurement) in the postsynaptic current trace 2–3ms before the action potential onset in the presynaptic channel and a second window, time-locked to the first one, around the peak of the average unitary EPSC response (uEPSC). Latency was measured from the AP peak to the onset of the average uEPSC trace as defined by 10% of the rise time to uEPSC peak. Failure rate was estimated using quantal analysis methods (see below). Analyses were performed in Clampfit (Molecular Devices), Igor Pro (Wavemetrics) and R 2.71 (R Development Core Team; Foundation for Statistical Computing).

Access resistance was monitored during the experiment; cells in which  $R_a$  changed by more than  $\pm 20\%$  were discarded from the analysis. As an additional stability requirement, the pre-pairing time series was fitted with a linear fit. Experiments with an unstable baseline in which significant trends were obtained with a linear fit ( $p < 0.05$ ) were discarded. After the pre-pairing epoch was complete (120 stimuli at 0.2Hz), we used a pairing protocol for plasticity induction. The postsynaptic cell was switched from voltage to current clamp (for V-clamp experiments) or kept in I-clamp and a square current pulse was injected to produce a burst of APs (typically 6–9 APs, mean =  $7.85 \pm 1.6$  spikes) 10ms before the onset of the presynaptic stimulation (a 5ms square pulse to trigger a single AP in SCS experiments or a  $50\mu$ s current pulse in extracellular experiments). This pairing was repeated 60 times at 0.1Hz, after which the postsynaptic cell was reverted to voltage clamp and probing of the connection resumed for at least 10 minutes. To estimate changes in synaptic parameters [average uEPSC amplitude including failures (strength), excluding failures (potency) and failure rate] after pairing, the following formula was used:  $X_{\text{post}} - X_{\text{pre}} / X_{\text{post}} + X_{\text{pre}}$ , where X is the analyzed parameter during the pre- ( $X_{\text{pre}}$ ) or post-pairing ( $X_{\text{post}}$ ) epochs. We selected this method because it normalizes changes and avoids giving excessive weights to small or large connections, which would become predominant if percentage or absolute changes were used, respectively. This difference was referred to as the normalized difference in parameter X,  $\Delta_N X$ . The plasticity outcome of unitary connections

after pairing was classified as long-term potentiation (LTP) of long-term depression (LTD) if we observed a statistically significant increase or decrease, respectively, in strength after pairing (Wilcoxon test,  $\alpha < 0.05$ ). Since the distribution of uEPSC peak measurements for the majority of connections was multimodal and typically did not conform to a Gaussian distribution, we used a Wilcoxon test whenever pre- and post-pairing conditions were compared. Connections that did not reach statistical significance were considered NC.

Most statistical comparisons involve one or more data sets that do not conform to a normal distribution ( $p < 0.05$ , Shapiro-Wilks test), so throughout the study a non-parametric test (Wilcoxon test) was used. Throughout the text, values are reported as mean  $\pm$  SD; error bars in figures correspond to SEM. For comparison of plasticity outcomes between pairs with a common presynaptic cell (Supplementary figure 2), the differences in  $\Delta_N$  strength, potency and failure rate between pair of connections in a divergent triplet or a similar sample size of pairs of unrelated connections from our database ( $n=42$ ) were selected and compared. This test was repeated 1000 times and the cumulative distribution of the obtained significance values ( $p$ ) recorded. If more than 50% of the comparisons showed a significant difference ( $p < 0.05$ ), we concluded that the difference in plasticity outcomes between pairs with a common presynaptic cell was lower (or greater, as the case may be) than between any two L4 connections that do not share a common partner.

### Quantal analysis

Unbiased quantal analysis methods were used to analyze the PSC evoked by the first action potential in which  $\geq 100$  trials were collected. Collection of great number of data points for analysis is preferable in quantal analysis (Kullmann, 1989; Stricker and Redman, 2003), but we chose a limited numbers of trials ( $125 \pm 28.5$  trials pre-pairing and  $201.7 \pm 65.4$  trials post-pairing) over an average 37 minute recording period as a compromise between quantal analysis requirements and the potential untoward effects associated with longer recordings (i.e. cell health and recording stability and intracellular factor washout), which can affect the plasticity signaling cascades of neurons (Kato et al., 1993; Eder et al., 2002; Ismailov et al., 2004). A full account of the analysis methods used in this study has been described in others' studies (Stricker and Redman, 2003; Cowan and Stricker, 2004). Briefly, both the noise and uEPSC measurements were converted into probability density functions (PDFs) using a Gaussian kernel estimator by convolving each measurement with a normal distribution. The noise PDF, which is frequently skewed presumably due to contamination of the measurement by spontaneous currents, was fit by two Gaussian distributions by using an Expectation-Maximization (EM) algorithm. The resulting fit was considered as a "model peak" which accounts for intracellular and experimental noise. The average noise standard deviation across experiments was 1.53pA. Following current models of synaptic transmission in the cortex, the observed uEPSC response distribution was assumed to be due to a real fluctuation of the response between a number of possible discrete amplitudes (due to probabilistic release at an unknown number of release sites) superimposed with recording and intracellular noise. We therefore sought to determine the optimal number of underlying discrete amplitudes that account for the observed distribution. This was achieved first by sequentially fitting an increasing number  $K$  of different model peaks using an EM algorithm. Increasing the number of peaks increases the likelihood of the fit at the cost of introducing additional degrees of freedom in the model. Because we sought to obtain the minimal number of peaks that correctly fit the observed distribution without introducing unnecessary complexity, we compared the different models in pairs to determine if the fits were significantly different using a Wilks statistic computed from the log-likelihoods of the  $H_0$  (the model with the lower number of peaks) and  $H_1$  models:

$$\text{Wilks statistic} = -2 * (L_0 - L_1)$$

Thus, the log-likelihoods together with the difference in degrees of freedom provide the basis for statistical comparison of the competing models. Since this is a non-nested comparison between mixture models with different number of peaks, the Wilks statistic is not asymptotically distributed as a  $\chi^2$  random variable, so we performed Monte Carlo simulations to generate its distribution. By comparing pairs of models, eventually an optimal model of peaks is obtained. This initial fit was the unconstrained model, since no restrictions were imposed on the locations or weights of the peaks. Once this optimal  $K$  was obtained, a quantal model (in which the peaks are forced to be equally distanced by a separation  $q$ , corresponding to the quantal content of a synaptic vesicle) was fit to the uEPSC PDF and compared to the unconstrained model. Typically, the compared quantal and unconstrained models had a similar number of peaks, and the comparison could be handled with a  $\chi^2$  test instead of a Monte Carlo simulation. If  $H_0$  couldn't be rejected, a quantal model was assumed. Finally, a third model was tested in which the release sites mediating the connection were assumed to be independent and have the same release probability  $p$  (binomial model). Since no valid estimates could be made about the real number of release sites mediating connections with a failure rate of 0, these were not fitted to a binomial model. Again, a Monte Carlo simulation was used to obtain the distribution of the Wilks statistic. In this way, every analyzed synaptic connection was assigned to one of three models (unconstrained, quantal or binomial) and a different number of synaptic parameters were extracted depending on the model: number of peaks, failure rate, quantal size  $q$  (for quantal and binomial connections) and release probability  $p$  (for binomial connections). The proportion of connections optimally fit by fully unconstrained/quantal/binomial models in the prepairing condition was 9/42, 14/42 and 19/42, respectively.

## RESULTS

### Database

We analyzed the plasticity outcomes in response to a Hebbian pairing protocol between pairs of L4 excitatory cells ( $n=43$ ). To gain additional insights into the locus of synaptic plasticity, we applied quantal analysis. In a subset of experiments, we recorded simultaneous responses of multiple cells ( $n=7$ ) that shared a common pre- ( $n=4$ ) or postsynaptic ( $n=3$ ) element.

### Example recording and analysis

To identify unitary L4-L4 synaptic connections, we recorded from up to four adjacent L4 excitatory cells (Figure 1A) simultaneously and tested for possible synaptic connections between all possible pairs of cells (Saez and Friedlander, 2009). When a functional excitatory synaptic connection between a pair of cells was identified (see Methods) we recorded the pre-pairing baseline (uEPSCs evoked by pairs of presynaptic APs (30 ms interspike interval) for at least 10 minutes. Fig. 1C shows 30 consecutively recorded responses (top, black traces) and the average of all recorded responses (middle, black trace) in response to two presynaptic APs (bottom trace) during the pre-pairing condition from an example cell pair. After switching the postsynaptic recording to I-clamp in order to permit spiking during pairing, we applied the pairing protocol with a single presynaptic spike following the beginning of the induced postsynaptic burst of APs at  $-10$  ms (Figure 1B). After the pairing, the postsynaptic recording condition was returned to V-clamp and the post-pairing uEPSCs were recorded in response to the two presynaptic APs. Thirty individual post-pairing responses are shown in figure 1D (upper black traces) and the average of all the post-pairing responses are shown in red (middle trace). In this particular example, the connection underwent LTD (from  $4.5 \pm 5.1$  pA to  $2.4 \pm 3.1$  pA,  $p < 0.001$ , Wilcoxon test). The superimposed pre- (black), and post-pairing (red) averaged traces are shown as an inset in Figure 1B. The distributions of the recorded peak amplitudes of the uEPSCs in the pre- and post-pairing conditions were converted to probability density functions (PDFs - Fig. 1E) using density estimation; subsequently the optimal synaptic transmission model was chosen among unconstrained, quantal and binomial alternatives (see

Methods). For this particular connection, a quantal transmission model best described both the pre-pairing data (Fig. 1F, black; number of release sites  $N=3$ , quantal size  $Q=4.91\text{pA}$ ) and the post-pairing data (Fig. 1F, red;  $N=2$ ,  $Q=3.6\text{pA}$ ). The time plot of the peak amplitudes of the uEPSCs over the 42 minute course of the experiment is shown in figure 1G, with the pairing epoch represented as a 10 minute gap. Failures of synaptic transmission are shown as open circles; successful synaptic transmission events are shown as solid circles. The grey horizontal lines indicate the average uEPSC peak amplitude for the pre- and post-pairing epochs; the dotted line indicates baseline (0 pA).

### Examples of different pairing outcomes

The pairing protocol resulted in variable plasticity outcomes among cell pairs tested. Figure 2 shows records from three example cell pairs exhibiting LTP (A1–A3), LTD (B1–B3) and no significant change (NC; C1–C3), respectively. For each of these three cell pairs, the time-plots (A1–C1), pre- (black) and post-pairing (red) PDFs (A2–C2), average traces pre- (black) and post-pairing (red) (A2–C2 insets) and pre- and post-pairing values for uEPSCs including average strength (the average of all trials), average potency (the average excluding the trials with failures) and failure rates are shown (A3–C3). In these examples, the connection strength of the cell pair that underwent LTP increased significantly (from  $12.7 \pm 7.3\text{pA}$  to  $19.8 \pm 6.0\text{pA}$ ,  $p<0.001$ , Wilcoxon test; Fig. 2 A1–A3). This increase in strength was accompanied by an increase in potency (from  $13.6 \pm 6.5\text{pA}$  to  $20.2 \pm 5.4\text{pA}$ ,  $p<0.001$ , Wilcoxon test) and a decrease in failure rate (from 17 to 2%, Fig.2 A3). For the cell pair that underwent LTD, the connection strength decreased significantly (from  $13.8 \pm 5.3\text{pA}$  to  $7.9 \pm 5.4\text{pA}$ ,  $p<0.001$ , Wilcoxon test; Fig. 2 B1–B3). This change was accompanied by a decrease in potency (from  $14.0 \pm 4.9\text{pA}$  to  $8.5 \pm 5.0\text{pA}$ ,  $p<0.001$ , Wilcoxon test) and an increase in failure rate (from 2 to 9%, Fig.2 B3). The failure rate of the cell pair whose strength did not change (Fig. 2C1–C3) was unaffected by pairing - 0% during the pre- and post - pairing periods. Since the connection never failed, strength and potency values were equal and also did not change after pairing ( $20.8 \pm 6.1$  to  $20.3 \pm 6.3\text{pA}$ ,  $p=0.79$ , Wilcoxon test; Fig. 2C3). The failure rate for a given connection and epoch is a single scalar value not amenable to statistical analysis.

### Effects of pairing on synaptic transmission parameters for individual cell pairs

Histograms of the distributions of changes in synaptic strength, potency and failure rate for the entire sample of cell pairs tested ( $n=42$ ) are plotted in figure 3A, B and C, respectively (LTD-blue; NC-gray; LTP-red). Since there was a large range of baseline values for these parameters (0.3 to 81.5 pA, 3.0 to 81.5 pA and 0 to 95%, for strength, potency and failure rate during baseline pre-pairing conditions, respectively), comparisons of parameters in the pre- vs. the post-pairing epochs were normalized (referred to throughout the text as  $\Delta_N$  - see Methods and Supplementary figure 1). The criterion for LTP and LTD was set at a statistical significance level of  $p<0.05$  for an increase and decrease for average synaptic strength, respectively, in the pre-pairing vs. the post pairing condition. LTD was the most prevalent outcome (19/42, or 45.2% of tested pairs), with NC (16/42, or 38.1% of tested pairs) and LTP (7/42, or 16.7% of tested pairs) occurring less often. The distribution of outcomes based on changes in normalized strength is summarized in figure 3A. The distributions of the changes in potency and failure rate are illustrated in figures 3B and 3C, respectively. The changes in all three parameters plotted for each cell pair are shown in figure 3D, where each group of three bars corresponds to the normalized change in strength (black), potency (grey) and failure rate (white) for a given cell pair. Cell pairs are sorted left to right by group from the lowest to the greatest  $\Delta_N$ . The lines below the barplot indicate the grouping of pairs by outcome group (LTP, NC, LTD).

Since average synaptic strength reflects the interaction of potency and failure rate over all trials, we also determined the relationship between changes in these three parameters. Changes in strength, potency and failure rate were related, so that connections that underwent potentiation

tended to show an increase in potency and a decrease in failure rate concomitant with the increase in strength; the opposite was true for cell pairs that underwent depression, where decreases in strength were typically accompanied by decreases in potency and increases in failure rate. For NC cell pairs, the relationship between these parameters was variable; in some cases neither potency nor failure rate changed; in other cases they offset each other (i.e., if both potency and failure rate increase or decrease in response to pairing); and in some cases the changes were insufficient to elicit LTP or LTD at criterion level. These relationships between strength, potency and failure rate are plotted in figure 3E–G. Figure 3E illustrates a positive correlation between  $\Delta_N$  Strength and  $\Delta_N$  Potency ( $R^2=0.57$ ,  $p<0.001$ ), and figure 3F illustrates a negative correlation between  $\Delta_N$  Strength and  $\Delta_N$  Failure rate ( $R = -0.43$ ,  $p<0.001$ ). Although changes in failure rates are generally ascribed to a presynaptic locus of plasticity, the changes in potency could arise from postsynaptic (i.e. changes glutamate receptor density or conductance), from presynaptic changes (i.e. changes in failure rate in connections mediated by multiple release sites), or from a combination of both. If potency changes have a presynaptic component, a correlation between changes in potency and failure rate would be expected, as is the case (Fig. 3G,  $R^2=0.34$ ,  $p<0.001$ ).

### Differences in outcomes with common pre- or postsynaptic cellular elements

In light of the variable outcomes of plasticity, it is of interest to determine whether presynaptic processes [such as the developmental assembly of presynaptic vesicle fusion machinery with common properties (Rosenmund et al., 2002) or a common activity history of the parent axon (Abraham, 2008)] or postsynaptic elements (such as a common postsynaptic activity history or expression of similar secondary signaling mechanisms across the dendritic tree) contributed to the response to pairing. To assess this possibility, we recorded simultaneously from triplets of connected L4 cells where presynaptic APs resulted in simultaneous uEPSCs in two postsynaptic neurons ( $n=4$  divergent triplet sets) or separately from triplets where a common postsynaptic cell responded to activation of two presynaptic neurons ( $n=3$  convergent triplet sets). In the case of divergent triplets, simultaneous pairing of pre- and postsynaptic activity was applied to both connections. The records and analysis from one of these simultaneously recorded divergent triplet sets are shown in figure 4A–B. In this particular example, one of the connections underwent LTP (from  $6.94\pm 7.14$  pA to  $10.31\pm 6.38$  pA;  $p<0.001$ , Wilcoxon test; Fig. 4A) and the other underwent LTD (from  $3.82\pm 5.38$  pA to  $2.49\pm 4.53$  pA;  $p<0.05$ , Wilcoxon test; Fig. 4B). The timeplots (Fig. 4A1 and 4B1), PDFs (Fig. 4A2 and 4B2), average pre- (black) and post-pairing (red) traces (Figures 4A2 and 4B2, insets) and summarized changes in strength, potency and failure rate (Fig. 4A3 and 4B3) are shown. The results for all 4 divergent triplet experiments are summarized in figure 4C; pairs of connections with a common presynaptic element could undergo a similar outcome (NC-NC,  $n=1$ ; triplet a), different outcomes (NC-LTP,  $n=2$ ; triplets b and c) or opposite outcomes (LTD-LTP,  $n=1$ ; triplet d) sign. The data in 4A–B corresponds to triplet d in Fig. 4C1–3. When the differences in outcomes between pairs in a triplet set were compared with a similar sized random sample of pairs of L4-L4 connections from the complete database of connected cell pairs ( $n=42$ ) no differences were found (see Methods and Supplementary figure 2), suggesting that connections with a common presynaptic element do not respond more similarly to the plasticity induction protocol than do pairs of connections for which different pre- and postsynaptic cells were tested. Figure 4D shows the outcomes for pairs of connections that share a common postsynaptic neuron ( $n=3$  convergent triplets); unlike with divergent triplets, these pairs were tested sequentially. Changes in strength (Fig. 4D1), potency (Fig. 4D2) and failure rate (Fig. 4D3) are indicated for three such convergent triplet sets (a, b and c). Pairs of connections with a common postsynaptic element underwent different combinations of outcomes including NC-NC ( $n=1$ ; triplet e) and NC-LTD ( $n=2$ ; triplets f and g). For every triplet in D, the left bar in the pair corresponds to the pair that was recorded and paired first. As was the case for divergent triplets, no differences

were found in plasticity outcomes between pairs among convergent triplet sets and randomly selected pairs from our L4-L4 database (Supplementary figure 2).

### Effects of plasticity on paired-pulse responses

Since there is an effect of pairing on release probability, a parameter that has been shown to be linked to the short-term behavior in L4-L4 connections (Saez and Friedlander, 2009), we investigated whether the outcomes in response to the pairing also changed the behavior of the synapses in response to pairs of presynaptic spikes at brief intervals by measuring the pulse ratio (PPR) pre- and post-pairing. One cell pair that had a very high failure rate (95%) and large pre-pairing PPR (28.3) and change (-24.4) was thus excluded from the analysis. A negative PPR change indicates a shift towards paired-pulse depression (PPD), and a positive PPR change indicates a shift towards paired-pulse facilitation (PPF). The changes in paired-pulse ratios were negatively correlated with  $\Delta_N$  Strength (Fig. 5A,  $R^2=0.65$ ,  $p<0.001$ ) and positively with  $\Delta_N$  Failure rate (Fig. 5B,  $R^2=0.15$ ,  $p<0.05$ ). Therefore, cell pairs whose strength decreased or failure rate increased showed more pronounced PPF after pairing while those whose strength increased or failure rate decreased shifted towards PPD. Thus, the longer term plasticity triggered by the pairing also results in changes in the cell pairs' effective coupling in response to sequential presynaptic spikes over ms time scales. Moreover, these results are consistent with a presynaptic locus contributing to some of the expression of LTP and LTD in response to our pairing protocol (see Fig. 8 on this subject below, as well). Figure 5C shows recordings from a cell pair that showed more pronounced PPD after LTP (top; black=average pre-pairing response; red=average post-pairing response) and a cell pair that showed a shift towards PPF after depression (bottom; black=pre; red=post). The average changes in PPR separated by outcome group are shown in Figure 5D. There was a significant difference in PPR change between the LTP and LTD groups ( $-0.57\pm 0.32$  vs.  $0.09\pm 23.7$ ,  $p<0.001$ , Wilcoxon test), and between the LTD and NC group, that had an intermediate PPR change ( $-0.22\pm 0.26$ ,  $p<0.01$ , Wilcoxon test), but not between the LTP and NC groups ( $p=0.05$ , Wilcoxon test). There was also a change in latency after pairing that correlated with the change in strength (Supplementary figure 3). However, the magnitude of the change was not higher than one would expect given the relationship between strength and latency in L4-L4 pairs (Saez and Friedlander, 2009).

### Initial synapse reliability predicts plasticity outcome

Since the parameters governing baseline synaptic transmission among L4-L4 excitatory cells are widely variable (Saez and Friedlander, 2009), we determined whether outcomes in response to an identical conditioning protocol with a fixed post-presynaptic activation timing delay were also related to the initial state of the synapses. The outcome is related to the overall initial strength of the synaptic connection between a connected pair of cells (Fig. 6A): connections with greater initial strengths are less likely to undergo any plasticity (LTP or LTD; Fig. 6A) such that the absolute value of the magnitude of the plasticity for individual cell pairs is only weakly correlated with initial strength ( $R^2=0.14$ ,  $p<0.01$ , data not shown). The 14 cell pairs with the greatest initial strengths ( $>10$  pA) underwent an average normalized change in strength  $= 0.12 \pm 0.14$  vs.  $0.22 \pm 0.26$  for the cell pairs with initial strengths  $< 10$  pA ( $p<0.001$ , Wilcoxon test). These change magnitudes are plotted as absolute values, thus effectively combining potentiation and depression under a superset of plasticity regardless of polarity for purposes of this comparison. A similar relationship exists between outcome and number of release sites (N) obtained by quantal analysis for the baseline pre-pairing period. N is a strong determinant of connection strength in L4-L4 connections (Saez and Friedlander, 2009). Cell pairs with  $N<5$  modeled release sites and those  $\geq 5$  also follow a similar relationship in absolute change after pairing ( $0.24\pm 0.28$  vs.  $0.10\pm 0.13$ ,  $p<0.001$ , Wilcoxon test; Supplementary figure 4). There was also a correlation between outcome and initial failure rate (Fig. 6B) - cell pairs with initial lower failure rates (reliable connections) tend to depress and those with higher failure rates (unreliable connections) tend to potentiate ( $R^2=0.3$ ,  $p<0.001$ ). The pre- and post-pairing failure



rates for all individual cell pairs that underwent plasticity are shown in figure 6C with LTP cell pairs in red and LTD cell pairs in blue. The average failure rates for all LTP and LTD cell pairs are indicated as red (LTP) and blue (LTD) ticks. These were significantly different in the baseline condition ( $49.3 \pm 27.7\%$  vs.  $15.1 \pm 15.5\%$ ;  $p < 0.01$ , Wilcoxon test) but not in the post-pairing condition ( $34.9 \pm 29.6\%$  vs.  $26.9 \pm 19.7\%$ ,  $p = 0.51$ , Wilcoxon test). The average failure rate for all tested pairs (grey ticks) did not change after pairing ( $24.54 \pm 22.20\%$  vs.  $27.36 \pm 20.99\%$ ;  $p = 0.51$ , Wilcoxon test). The initial PPR of the connections also correlated with strength change (Fig. 6D;  $R^2 = 0.18$ ,  $p < 0.01$ ), such that pairs that show PPD are more likely to undergo LTD and those that show PPF tend to undergo LTP. These results are summarized in figure 6F-H; significant differences between the LTP and LTD group were observed for initial strength (Fig. 6E,  $p < 0.05$ , Wilcoxon test), initial failure rate (Fig. 6F,  $p < 0.01$ , Wilcoxon test), but not in initial PPR, although a trend existed (Fig. 6G,  $p = 0.06$ , Wilcoxon test). Additionally, significant differences between the LTD and the NC group were observed for initial PPR (Figs. 6G,  $p < 0.01$ , Wilcoxon test). Taken together, these results indicate that the initial reliability of the connection is an important factor in determining the sign and magnitude of strength changes induced by pairing thus providing a normalizing mechanism for the release probability of connections and individual release sites.

### Unmasking weak connections

An extreme example of a weakly connected cell pair is illustrated in figure 7. The failure rate for this cell pair in response to the first presynaptic action potential during the pre-pairing period was 100%; due to this unusual behavior, we were unable to calculate a value for strength or potency pre-pairing. Thus, we excluded it from analysis elsewhere and report it here independently. Interestingly, this cell pair demonstrated substantial relative capacity for plasticity in response to the pairing protocol. Sixty example consecutive responses recorded during the baseline pre-pairing condition in response to paired presynaptic action potentials are shown in figure 7A top left. The lack of an apparent response is evident in all the individual traces as well as in the averaged trace recorded pre-pairing (Fig. 7A, middle black trace). However, an evoked response is evident in response to the second of the two presynaptic action potentials during the pre-pairing condition (Fig. 7A upper individual traces and middle averaged trace), as would be expected due to the tendency of connections with low release probabilities to undergo PPF (Saez and Friedlander, 2009); the calculated PPR for this synapse was extremely high ( $PPR_{pre} = 17.18$ ) and decreased after pairing, ( $PPR_{post} = 5.65$ ). After pairing (of just a single presynaptic action potential with postsynaptic activation), occasional uEPSCs were evoked in response to both presynaptic APs (Fig. 7B, top traces) resulting in an increased average uEPSC amplitude in response to the second spike but also a discernible averaged response in response to the first spike as well (Fig. 7B, middle red trace). Remarkably, the connection between this cell pair was able to undergo plasticity in response to a Hebbian pairing protocol in which a single presynaptic AP that appeared not to evoke any detectable postsynaptic response was paired with postsynaptic spiking. The changes in strength, potency and failure rate in response to the first spike are summarized in figure 7C. Figure 7D shows the superimposed average traces for both the pre-pairing (black) and post-pairing (red) epochs. The response to the second AP in the paired pulse stimulation paradigm rules out the possibility that the synaptic connections were devoid of postsynaptic AMPARs (silent synapses with only NMDA receptors - Liao et al., 1995; Montgomery et al., 2001; Kerchner and Nicoll, 2008). Without first conditioning or unmasking the NMDAR response through postsynaptic depolarization, these would not respond to the first or second presynaptic AP. The quantal size of this second response (6.18 pA) indicates sufficient activation of postsynaptic AMPARs whenever a vesicle was liberated, ruling out the possibility that glutamate was released in concentrations too low to activate AMPARs. However, one or more undetected fusion events may have occurred during pairing, perhaps sufficient to trigger plasticity. Alternatively, AP invasion of the presynaptic terminals might have created a presynaptic “tag” (postsynaptic tag

-Frey and Morris, 1997;Barco et al., 2002) capable of detecting coincident pre- and postsynaptic activity in conjunction with a retrograde signal. Such a “tag” could result from residual calcium or activation of a calcium sensor in the presynaptic terminal (Tsujimoto et al., 2002;Rizo and Rosenmund, 2008) that could interact with a retrograde signal (Montague et al., 1994;Kreitzer and Regehr, 2001;Sjostrom et al., 2003).

### Potential sites of expression of plasticity

Analysis of failure rate and potency (Figure 3) suggests that the plasticity induced by pairing has a presynaptic component, but does not determine whether a postsynaptic locus of expression also exists. This is particularly important in order to address the underlying mechanisms between the different plasticity outcomes that occur with an identical timing delay in the pairing protocol. To address the issues of the mechanisms of differential outcomes between L4 neuronal pairs and the site(s) of plasticity expression, we applied unbiased quantal analysis techniques (see Methods) to determine the effects of the pairing protocol on individual release site release probability ( $p$ ) and quantal size ( $Q$ ). Figure 8A shows the normalized changes in synaptic strength,  $Q$  and  $p$  for each of the cell pairs ( $n=23$ ). Similar to Figure 3D, each group of bars represents the change in each parameter for an individual cell pair (connections are sorted by outcome group from minimum to maximum  $\Delta_N$  left to right). Changes in  $p$  were correlated with changes in strength (Fig. 8B,  $R^2=0.34$ ,  $p<0.01$ ). Cell pairs that underwent LTP had an overall increase in  $p$  whereas cell pairs that underwent LTD had a decrease in  $p$  (Fig. 8C; LTP  $\Delta_N=0.28\pm0.41$ , LTD  $\Delta_N=-0.08\pm0.09$ ;  $p<0.05$ , Wilcoxon test). Similar to the case of normalized strength change (Figure 6C), the initial reliability predicts the change in  $p$  (Fig. 8D;  $R^2=0.46$ ,  $p<0.001$ ). Changes in  $Q$  were not correlated with changes in strength (Fig. 8E,  $R^2=0.04$ ,  $p=0.33$ ), nor were they significantly different between groups (Fig. 8F,  $p>0.3$  for all comparisons, Wilcoxon test). Interestingly,  $Q$  underwent a significant reduction after pairing ( $p<0.01$ , Wilcoxon test) among all outcome groups, regardless of the change in  $p$  (Fig. 8C). Contrary to  $p$  (Fig. 8D), the initial failure rate did not predict  $\Delta_N Q$  (Fig. 8G,  $R^2=0$ ,  $p=0.92$ ). Changes in the modeled number of release sites ( $N$ ) did not correlate with strength change ( $R^2=0.08$ ,  $p=0.08$ ), were not significantly affected by pairing ( $p=0.53$ ) and were not predicted by the initial failure rate of the connection ( $R^2=0.03$ ,  $p=0.16$ ; Supplementary figure 4). Similar to the overall release probability (Fig. 6C), the pre-pairing  $p$  also correlates with strength change (Fig. 8H;  $R^2=0.41$ ,  $p<0.001$ ). The pre-pairing  $p$  values differ significantly between the LTD group and the LTP and NC groups (Fig. 8I,  $p<0.01$ , Wilcoxon test).

## DISCUSSION

### Summary

We analyzed synaptic responses between pairs of L4 excitatory neurons before and after pairings of pre- and postsynaptic activity in primary visual cortex. The identical pairing protocol resulted in different outcomes between cell pairs. The polarity and magnitude of the outcome depended on the initial strength and reliability of the connections – unreliable connections underwent LTP; more reliable connections underwent LTD; the most reliable and potent connections did not change. Most sets of connections underwent a reduction in quantal size ( $Q$ ), while transmission probability ( $p$ ) underwent bidirectional changes that when increased, could offset or over-ride the reduction in  $Q$ , sometimes leading to a net LTP. Moreover, different outcomes could be induced at different sets of synapses that share a common pre- or postsynaptic cell.

### Range of outcomes

Most L4-L4 cell pairs (62%) responded to pairing with LTP or LTD resulting from a combination of altered potency and failure rate (Fig. 3E–F). The relative pre- and postsynaptic contributions to plasticity expression were evaluated with quantal analysis. LTD was

accompanied by a reduction in Q (Fig.8F) and p (Fig.8C). Although changes in Q may be presynaptic (Edwards, 2007), they are generally considered as postsynaptic (Malinow, 1991;Voronin et al., 1992;Manabe and Nicoll, 1994) through regulating AMPA receptor trafficking (Hayashi et al., 2000;Park et al., 2004), or by modifying single channel conductance and/or open probability (Barria et al., 1997;Lee et al., 2000). Clathrin-mediated AMPAR retrieval has been implicated in LTD expression in visual cortex (Crozier et al., 2007;Smith et al., 2009), and could account for the uniform decreases in Q. Surprisingly, in our experiments, LTP was often accompanied by a reduction in Q (Fig. 8F), but was over-ridden by an increase in p (Fig. 8C), increasing synaptic strength. Such changes may be mediated by a retrograde messenger such as nitric oxide (NO - Montague et al., 1994;Hardingham and Fox, 2006;Haghikia et al., 2007). Changes in the number of active spines have been previously described (Nagerl et al., 2004). These changes occur 30–60 min after induction (Engert and Bonhoeffer, 1999), but whether they can happen earlier is still unclear (Toni et al., 1999; Ninan et al., 2006); therefore, it is possible that *de novo* synapse formation contributes to the observed changes in synaptic strength.

### Possible sources of different plasticity outcomes

The different outcomes between cells of like-type may be due to the induction protocol, differences in intrinsic properties or in the initial state of the synapses. We employed paired pre- and postsynaptic activity with postsynaptic spikes beginning 10 ms before presynaptic stimulation. The outcomes are considered to be a function of the timing between pre- and postsynaptic activity, - LTP occurring when presynaptic activation leads and LTD occurring when postsynaptic activation leads (Bi and Poo, 1998; Froemke et al., 2005). Such spike timing dependent plasticity (STDP) with a single postsynaptic spike occurs in hippocampus (Campanac and Debanne, 2008), optic tectum (Mu and Poo, 2006) and neocortex (Froemke and Dan, 2002; Kampa et al., 2006; Meliza and Dan, 2006). Similar results occur when multiple postsynaptic spikes are evoked although the timing of the first postsynaptic spike is the most salient (Froemke et al., 2006). Although STDP is generally robust, particularly in hippocampus (Bi and Poo, 1998; Wang et al., 2005), in neocortex, variable outcomes can occur at a given delay *in vitro* at L4-L2/3 connections (Ismailov et al., 2004; Meliza and Dan, 2006; Hardingham et al., 2007) and in L2/3 neurons *in vivo* (>Meliza and Dan, 2006). Thus, factors other than timing may play a role within neocortex. Moreover, different induction protocols (Kirkwood and Bear, 1994; Dudek and Friedlander, 1996; Lee et al., 2000; Sjostrom et al., 2003; Yoshimura et al., 2003) may access alternate expression mechanisms. Although our recordings were made from neurons of apparent like-type, these cells may belong to functional subclasses (Rossner et al., 2006; Sugino et al., 2006). Differences in postsynaptic calcium signaling may also contribute (Ismailov et al., 2004). Interestingly, differences in calcium buffering properties occur among GABAergic cortical neurons (Hendry et al., 1989; Huang et al., 1992; Hof et al., 1999; Porter et al., 2001; Kawaguchi and Kondo, 2002) - similar differences might occur among L4 excitatory neurons.

The initial state of the synaptic connections is predictive of outcome (Figs.6 and 8). For example, connections that potentiated were initially weaker (Fig.6E) and less reliable (Fig.6F) than those that depressed, and they predominantly underwent PPF (Fig.6G). Moreover, differences in reliability manifested across release sites, as indicated by quantal analysis: the individual release site p value also is lower in connections that subsequently undergo LTD (Fig.8I). Sensitivity of plasticity outcome to initial synapse reliability has been suggested in hippocampus and for other types of synapses in neocortex (Larkman et al., 1992;Ward et al., 2006;Hardingham et al., 2007). This mechanism allows previously depressed connections with high failure rates (Fig. 8F) to enhance their reliability, even with pre-/postsynaptic temporal conjunctions that would otherwise elicit LTD (Bi and Poo, 1998). The expression of plasticity of a given polarity would potentially hinder further plasticity of the same polarity (Coan et al.,

1989;Huang et al., 1992;Lee et al., 2000), shifting the plasticity induction curve (Bienenstock et al., 1982). Thus, the history of the connections is important (Abraham and Bear, 1996;Abraham, 2008).

In a previous study of plasticity at unitary excitatory connections within L4 of barrel cortex (Egger et al., 1999) only LTD was induced, independent of the timing of the pairing. Although LTD was the most common outcome in our experiments in V1, LTP also occurred (Fig.3F and 6C). Interestingly, L4-L4 excitatory synapses in visual cortex have a wider range of baseline strengths (Saez and Friedlander, 2009) than those in barrel cortex (Egger et al., 1999), including very weak, unreliable connections that underwent LTP. Thus, the initial state of the synapse is related to the plasticity outcome (Larkman et al., 1992; Bi and Poo, 1998; Montgomery et al., 2001; Ward et al., 2006; Hardingham et al., 2007), giving L4-L4 connections a wider plasticity operating range, avoiding silencing (Torii et al., 1997).

### Stability of strong connections

38% of L4-L4 connections did not undergo plasticity (Fig.3A). These connections are among the strongest (Fig.6A and E). In particular, strong connections with 0% FR did not change (Fig. 6B). Although 62% of the L4-L4 cellular connections underwent plasticity, the average change for all connections (n=42) was just  $-1\pm 54.9\%$  or  $\Delta_N = -0.06\pm 0.23$ . Thus, a generalized pre- and postsynaptic co-activation of numerous L4-L4 connections would have a small impact on the overall strength of the entire L4 excitatory network. Within L4, perfectly reliable connections account for 25% of the cell pairs but 75% of the cumulative strength (Saez and Friedlander, 2009). This pattern of connectivity, with a few powerful synapses embedded in a network of weak ones, has also been described in L5 of visual cortex (Song et al., 2005).

L4 circuitry plays an important role in early visual processing, including amplifying thalamocortical input (Douglas et al., 1995; Martin, 2002) and establishing receptive field architecture (Stern et al., 2001). The high reliability and strength of most L4-L4 connections (Saez and Friedlander, 2009) suits them for transmission and amplification of thalamocortical input (Douglas et al., 1995). Activation of the strongest L4-L4 connections can trigger postsynaptic spiking (Feldmeyer et al., 1999; Saez and Friedlander, 2009), propagating intralaminar synaptic activity. Within visual cortex L4, coexistence of strong stable connections with weaker plastic connections might be due to the need for L4 circuitry to remain stable during most sensory processing, allowing for dynamic behavior with altered input (Maffei et al., 2004) or during sensory learning (Calford, 2002; Hoshino, 2004; Karmarkar and Dan, 2006; Tsanov and Manahan-Vaughan, 2007; Feldman, 2009). Alternatively, plasticity may be induced only in some connections during normal sensory experience, keeping overall excitability stable. For example, during normal thalamic transmission, transient activation of thalamocortical inputs occurs, resulting preferentially in activation of high release probability synapses. However, in burst mode (Ramcharan et al., 2000; Sherman, 2001, 2005), that has been implicated in awake behavior (Bezudnaya et al., 2006), trains of APs pass along thalamocortical axons, providing strong input to L4 cells. The efficacy of L4-L4 synapses driven by this train is greater for reliable connections at the beginning of the train, and for unreliable ones near its end, separating them temporally and potentially allowing for partially segregated pairing and modification of subsets of connections within L4, while strong unmodified connections ensure transmission of rapid information. Similar findings have been reported in hippocampal cultures (Bi and Poo, 1998) and slices (Montgomery et al., 2001) and in cortical slices (Montgomery et al., 2001; Sjostrom et al., 2001).

### Pairs of connections with a common pre- or postsynaptic element

The dependence of plasticity outcome on initial state suggests that the history of synapses can influence their behavior (Abraham and Bear, 1996; Philpot et al., 2003). Thus, connections

with common pre- or postsynaptic elements or similar histories may respond similarly. Such cell pairs within an interconnected triplet set can behave similarly or differently (Fig.4C and D). However, their plasticity responses are no more similar than those of randomly selected cell pairs (n=42; Supplementary Fig.2). While the initial state of a set of synaptic connections between different cells may also be influenced by cell-wide regulation of expression of pre- or postsynaptic signaling cascades, our analysis of inter-connected triplets shows that the history of individual synapses trumps such effects. Our experiments are conducted when visual cortex has experienced considerable activity (guinea pigs are precocious, born with eyes open, exhibiting diurnal visual behavior early -Huang et al., 1990; Harkness and Wagner, 1995) and thus, there is likely to be variability in the correlated pre-postsynaptic activity profiles between cells and synapses. Studies with equal experience for the visual cortex (e.g. dark rearing or binocular deprivation - Desai et al., 2002; Kind et al., 2002; Smith et al., 2009) would provide a direct test of this hypothesis.

## Conclusion

It is generally considered that synaptic connections of like-type behave similarly when subject to a plasticity inducing protocol (Kirkwood and Bear, 1994; Stevens and Wang, 1994; Heynen et al., 1996; Egger et al., 1999; Feldman, 2000; Daw et al., 2004). However, increasing evidence suggests that there can be functional diversity in plasticity behavior (Ismailov et al., 2004; Hardingham et al., 2007). Thus, it is not surprising that these different degrees of reliability of glutamate release, synaptic strength and short-term dynamics would also play a role in long-term synaptic plasticity (Larkman et al., 1992; Bear, 1995; Montgomery and Madison, 2002; Ward et al., 2006).

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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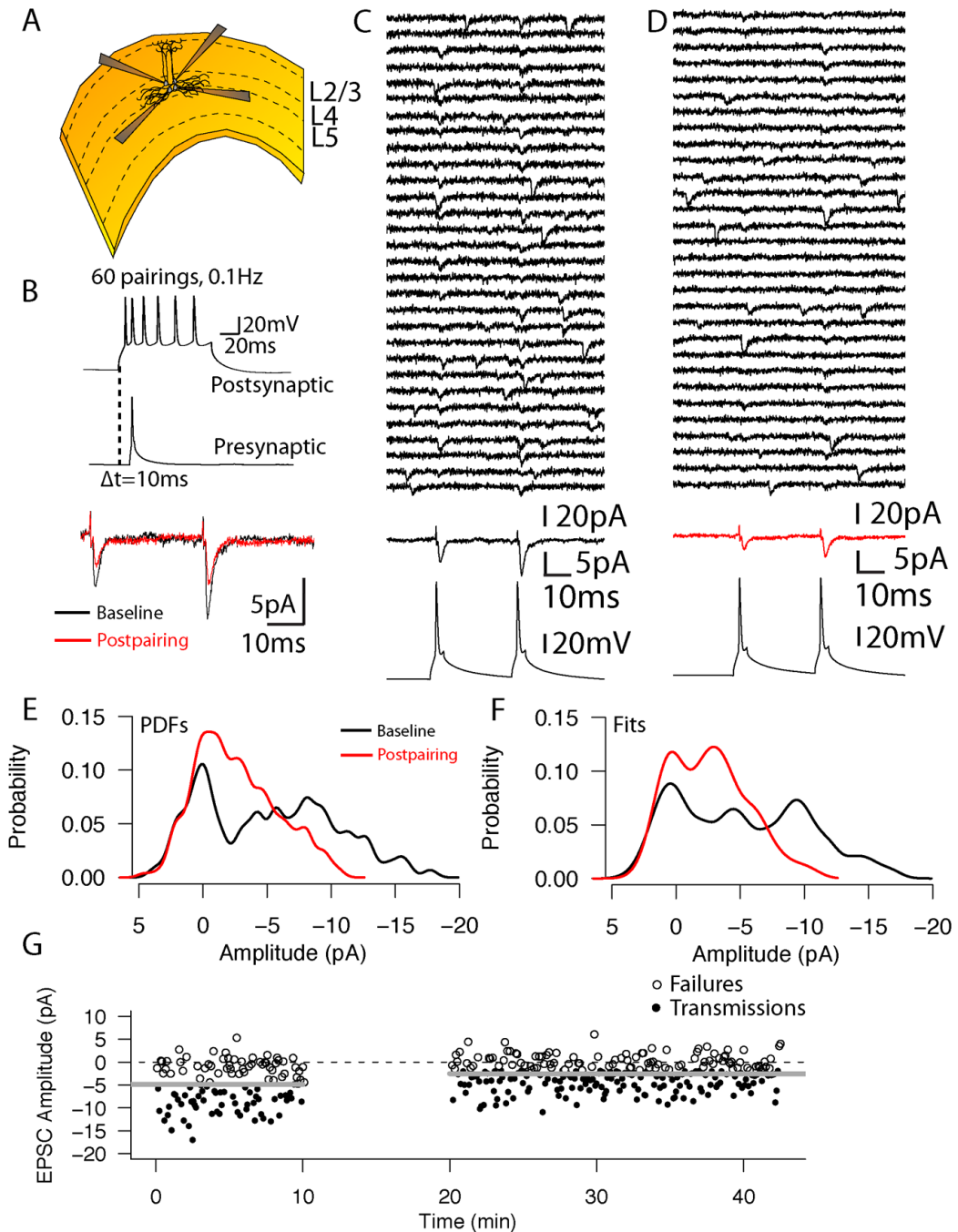
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**Figure 1. Example single cell stimulation pairing experiment**

(A) Cartoon depicting the multiple patch clamp configuration. Up to 4 adjacent cells were patched within the boundaries of L4.

(B) Top- pairing protocol. A single presynaptic AP was elicited 10ms after the onset of a depolarizing pulse that led to a postsynaptic burst of 6–9 APs; the pairing was repeated 60 times at 0.1Hz. Bottom-averaged pre- (black) and post-pairing (red) traces from an example experiment. In this particular example, the unitary EPSC in response to the first action potential depressed from an average peak amplitude strength of  $4.47 \pm 5.07$  pA to  $2.42 \pm 3.1$  pA (the averaged response includes all trials including where failures of transmission occurred;  $p < 0.01$ , Wilcoxon test).

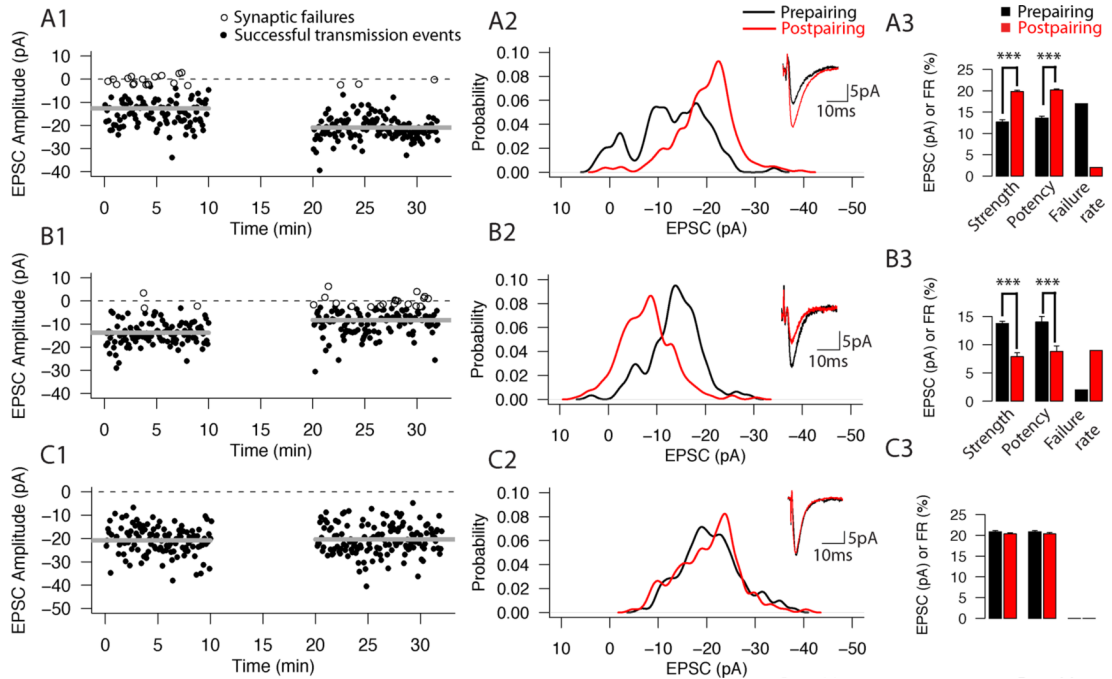
(C) Example traces of pre-pairing individual sequential trials of unitary evoked postsynaptic currents (uEPSCs) recorded from the postsynaptic cell in response to paired action potential stimulation of the presynaptic cell - 30 consecutive individual trials (top) and the averaged response for all 120 recorded traces (middle) are aligned to the first presynaptic action potential peak (bottom).

(D) Same as (C), for the post-pairing condition. The averaged response for 280 pos-pairing traces is shown as a red trace (middle).

(E) Probability density functions (PDFs) for the pre- (black trace) and post-pairing (red trace) conditions.

(F) Model fits for the pre- (black trace) and post-pairing (red trace) conditions. This connection was optimally fit by a quantal model in both conditions (pre-pairing number of release sites  $N=3$  and quantal size  $Q=4.91\text{pA}$ ; post-pairing  $N=2$ ,  $Q=3.6\text{pA}$ ).

(G) Timeplot of peak amplitudes for all recorded trials for the connection. Horizontal grey lines indicate the average uEPSC peak amplitude pre- and post-pairing; horizontal dotted line indicates the  $0\text{pA}$  level; synaptic failures are shown as open circles and successful synaptic transmission events as closed circles. Pairing epoch is shown as a 10 minute gap.



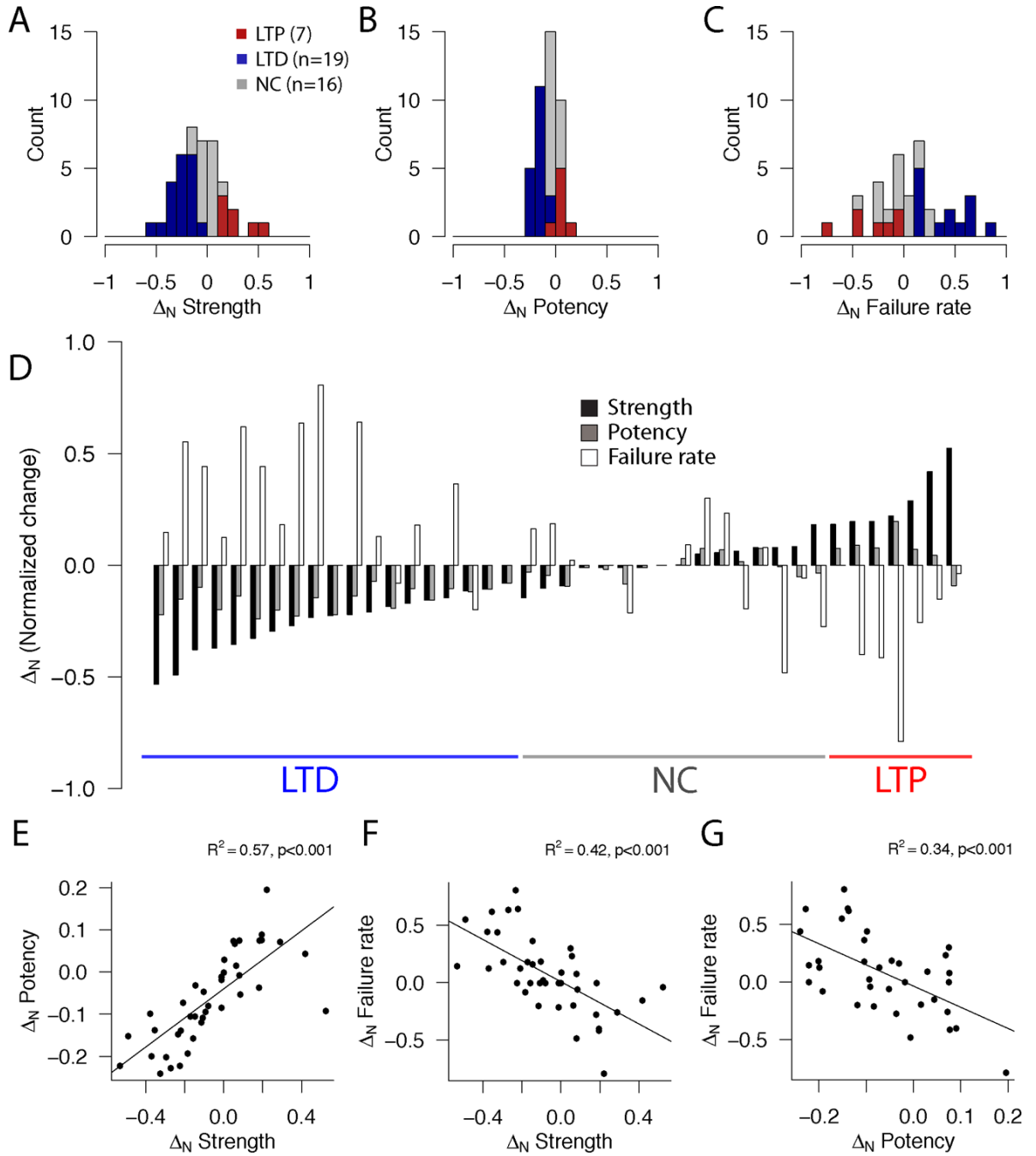
**Figure 2. Response from three example L4-L4 cell pairs demonstrating that responses to pairing are heterogeneous**

Examples of connections that underwent LTP (A1–A3), LTD (B1–B3) or no significant change (NC; C1–C3) in response to the pairing.

(A1 – C1) Timeplots for each of the three example cell pairs. Horizontal grey lines indicate the average uEPSC peak amplitude pre- and post-pairing; horizontal dotted line indicates the 0pA level; synaptic failures are shown as open circles and successful synaptic transmission events as closed circles. Pairing epoch is shown as a 10 min gap.

(A2 – C2) Probability density functions (PDFs) for the pre- (black trace) and post-pairing (red trace) conditions. Insets show the average of all recorded traces pre- (black) and post-pairing (red).

(A3 – C3) Barplots showing the strength, potency and failure rate values for the pre- (black bars) and post-pairing (red bars) conditions. Failure rates are single scalar values so no error bars or statistical comparisons are shown; for strength and potency, error bars indicate SEM. Asterisks indicated significant changes after pairing ( $p < 0.001$ , Wilcoxon test).



**Figure 3. Pairing-induced changes in synaptic strength, potency and failure rate**

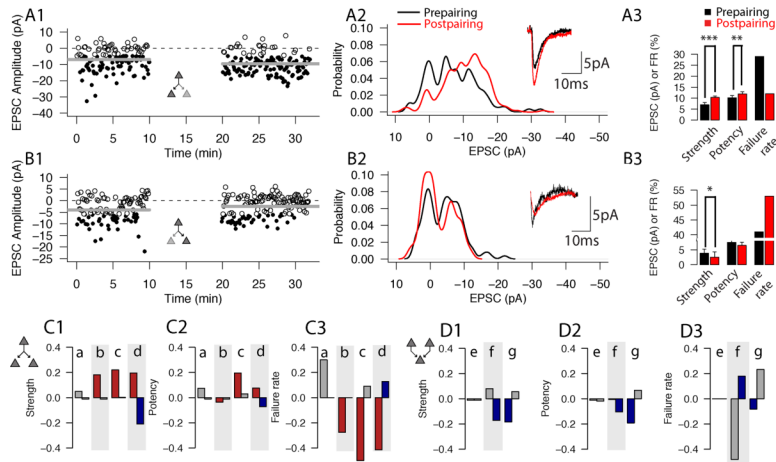
(A–C) Histograms showing the distribution of normalized ( $\Delta_N = X_{post} - X_{pre} / X_{post} + X_{pre}$ ) changes in average uEPSC peak amplitude including (A, strength) and excluding (B, potency) failures and failure rate (C). Connections that showed LTP (as defined by an increase in normalized strength change,  $+\Delta_N$  Strength,  $p < 0.05$ , Wilcoxon test) are shown in red, those that showed LTD ( $-\Delta_N$  Strength,  $p < 0.05$ , Wilcoxon test) in blue and connections whose strength did not change after pairing ( $p \geq 0.05$ , Wilcoxon test) as grey.

(D) Changes in strength (black bars), potency (grey bars) and failure rate (white bars) for all studied L4-L4 cell pairs (n=42). Each group of three bars corresponds to a paired recording experiment sorted by group from lowest  $\Delta_N$  to the left to highest  $\Delta_N$  to the right. Underlined

areas indicate pairs that underwent significant depression (blue, n=19), potentiation (red, n=7) or no change (no shading, n=16).

(E-F) Correlations between  $\Delta_N$  Strength and  $\Delta_N$  Potency (A;  $R^2=0.57$ ,  $p<0.001$ ) and  $\Delta_N$  Failure rate (B;  $R^2=0.43$ ,  $p<0.001$ ).

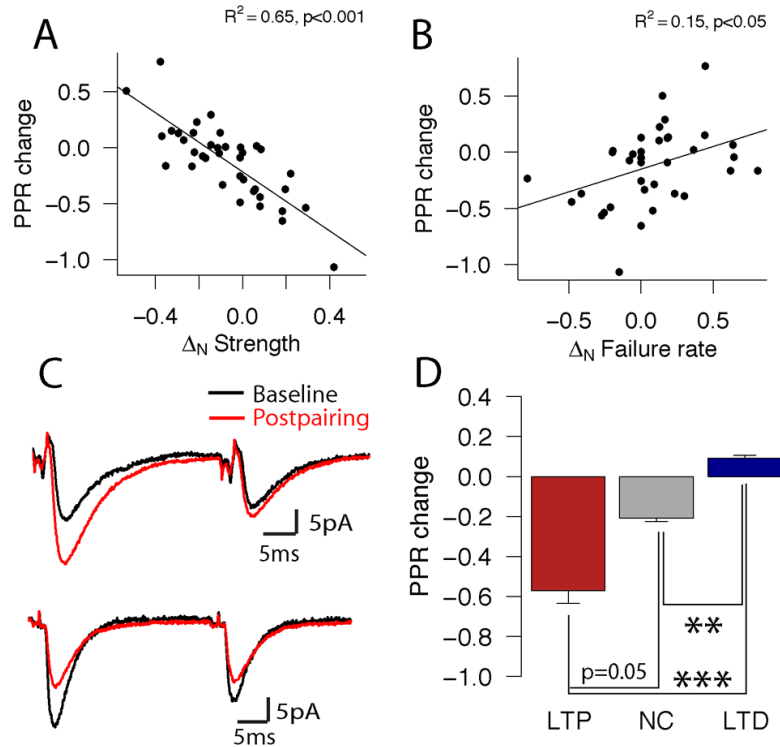
(G) Correlation between  $\Delta_N$  Potency and  $\Delta_N$  Failure rate ( $R^2=0.34$ ,  $p<0.001$ ).



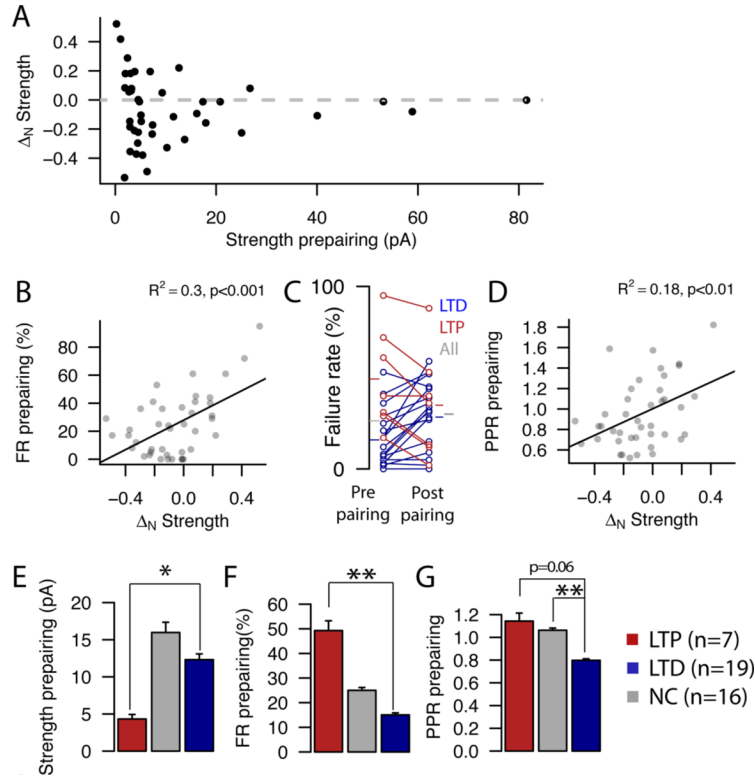
**Figure 4. Different plasticity outcomes in connections sharing a common pre- or postsynaptic neuron**

(A–B) Timeplots (A1–B1), PDFs (A2–B2), average pre- and post-pairing responses (A2–B2, insets; coupling artifacts removed for clarity) and strength (left), potency (right) and failure rate (right) barplots (A3–B3) for the two sets of cell pairs in a divergent triplet set that share a common presynaptic cell and that were recorded simultaneously. The results for changes in strength, potency and failure rate for this divergent triplet are summarized in d in panels C1–C3. A1–A3 shows results from the cell pair of the triplet set that underwent LTP (from  $6.94 \pm 7.14$  pA to  $10.31 \pm 6.38$  pA;  $p < 0.01$ , Wilcoxon test) and B1–B3 from the other cell pair of the divergent triplet set (same presynaptic cell, different postsynaptic target cell) from the same triplet set that underwent LTD (from  $3.82 \pm 5.38$  pA to  $2.49 \pm 4.53$  pA;  $p < 0.05$ , Wilcoxon test). (C) Changes in strength (C1), potency (C2) and failure rate (FR) (C3) for all four divergent triplet set experiments in which pairs of connections with a common presynaptic cell were recorded and paired simultaneously. Each pair of bars corresponds to the changes for both connections in a triplet. LTP/NC/LTD pairs are indicated by red, grey and blue bars, respectively. (D) Changes in strength (D1), potency (D2) and FR (D3) for all three experiments in which triplet sets of connected cell pairs with different presynaptic cells and a common postsynaptic cell were evaluated. Each pair of bars corresponds to the changes for both connections in a triplet; the left bar corresponds to the pair that was recorded and paired first.

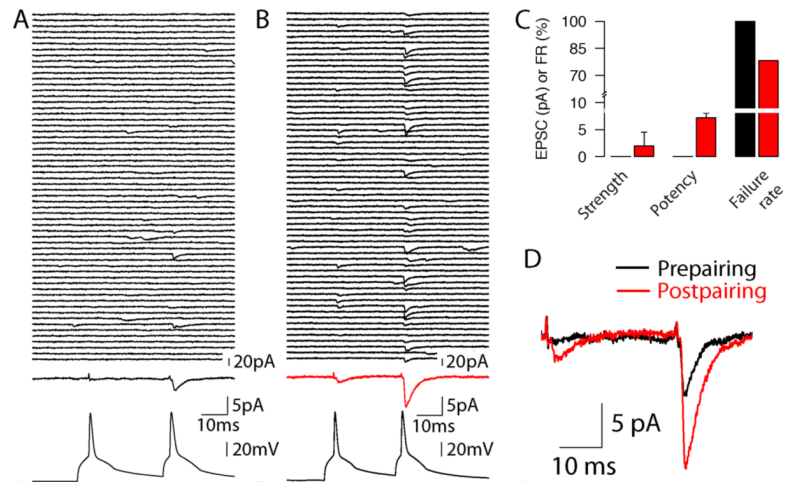




**Figure 5. Changes in synaptic strength are correlated with changes in paired pulse responses**  
 (A) Correlations between changes in paired-pulse ratio ( $PPR_{post} - PPR_{pre}$ ) and changes in strength (A;  $R^2 = 0.65$ ,  $p < 0.001$ ) and (B) with failure rate ( $R^2 = 0.15$ ,  $p < 0.05$ ). Negative  $\Delta_N$  PPR values indicate a shift towards paired-pulse depression (PPD), whereas positive values indicate a shift towards paired-pulse facilitation (PPF). (C) Averaged pre- (black) and post-pairing (red) traces from example connections that underwent LTP and a shift towards PPD (top) and LTD and a shift towards PPF (bottom). (D) Barplots showing the change in PPR for each plasticity group (LTP/NC/LTD shown in red/grey/blue). Asterisks indicate significant differences ( $** = p < 0.01$ ,  $*** = p < 0.001$ , Wilcoxon test).

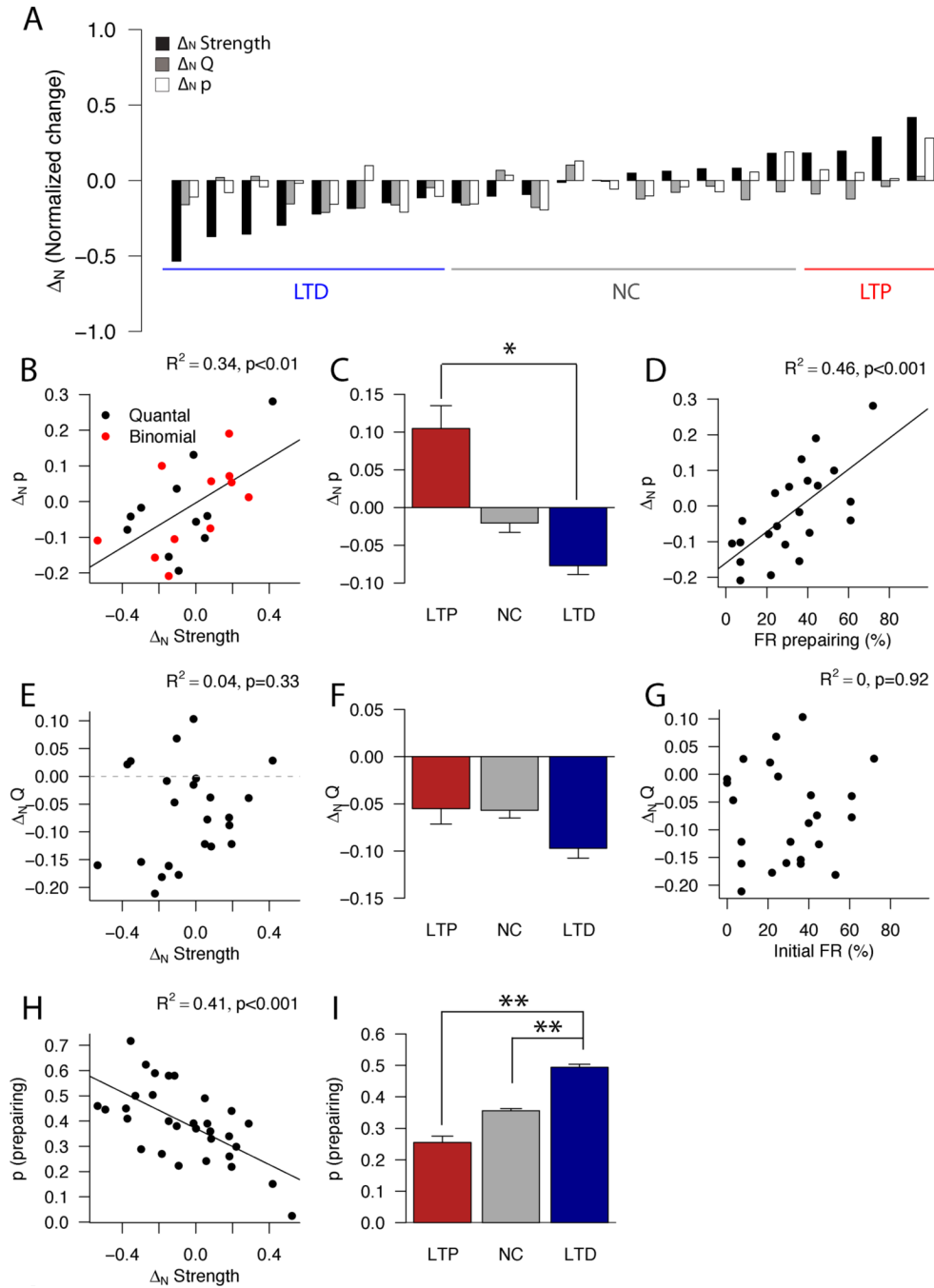


**Figure 6. Initial connection reliability, but not strength, predicts plasticity outcome**  
 (A) Scatterplot showing the relationship of initial connection strength to normalized synaptic strength change. (B) Correlation between  $\Delta_N$  Strength and initial cell pair connection failure rate ( $n=42$ ;  $R^2=0.30$ ,  $p<0.001$ ). (C) Plot showing the individual failure rate values before (left) and after (right) pairing. Connections that underwent LTP ( $n=7$ ) are shown as red circles and lines, those that underwent LTD ( $n=18$ ) as blue circles and lines. Ticks indicate the initial and final average failure rate values for LTP (red), LTD (blue) and all connections (grey). (D) Correlation between  $\Delta_N$  Strength and initial PPR ( $n=42$ ;  $R^2=0.18$ ,  $p<0.01$ ). (E–G) Barplots showing the average initial strength (E), failure rate (FR, F) and paired-pulse ratio (PPR, G) for each plasticity group (LTP/NC/LTD shown in red/grey/blue). Asterisks indicate significant differences ( $*=p<0.05$ ,  $**=p<0.01$ , Wilcoxon test).



**Figure 7. Example of a connection with 100% initial failure rate that potentiated in response to pairing**

(A) 60 example pre-pairing consecutive traces (top, black), average of all recorded trials (middle, black) and example presynaptic action potentials (bottom, black) of a connection with FR=100% in response to the first action potential in the pair. (B) Same as A, but post-pairing. The average of all recorded trials is shown in red (middle). (C) Summary strength (left), potency (middle) and failure rate (right) changes for the connection shown in A and B. (D) Superimposed average pre- (black) and post-pairing (red) traces for the connection in A-C.



**Figure 8. Quantal analysis of loci of plasticity expression**

(A) Changes in strength (black bars), quantal size Q (grey bars) and individual release site release probability (white bars) for all connections that were fit by either a quantal or binomial model before and after pairing (n=23). Each group of three bars corresponds to one experimental cell pair, sorted from the most depression to the left to the most potentiation to the right. The lines below the barplot indicate pairs that underwent significant depression (blue, n=6), no change (grey, n=10) or significant potentiation (red, n=5) in this subset of cell pairs. (B) Correlation between normalized changes in strength and p ( $R^2=0.54, p<0.01$ ). Black dots indicate connections that were optimally fitted by a binomial model before and after pairing; the p values are therefore estimated to be the same across all release sites mediating the

connection. Connections that were optimally fitted by a quantal model before or after pairing are shown as red circles; for these connections, the  $p$  values are averages of the inhomogeneous release probabilities across all release sites. (C) Averaged normalized changes in  $p$  for connections grouped by outcome.  $\Delta_N p$  was significantly different for LTP and LTD groups ( $p < 0.05$ , Wilcoxon test) only. (D) Correlation between initial failure rate and  $\Delta_N p$  ( $R^2 = 0.46$ ,  $p < 0.01$ ).

(E) Lack of correlation between normalized changes in strength and  $Q$  ( $R^2 = 0$ ,  $p = 0.33$ ).

(F) Averaged normalized changes in  $Q$  for connections grouped by outcome.  $\Delta_N Q$  was not significantly different between groups (all  $p > 0.05$ , Wilcoxon test). (G) Lack of correlation between initial failure rate and  $\Delta_N Q$  ( $R^2 = -0.04$ ,  $p = 0.92$ ). (H) Correlation between initial  $p$  and  $\Delta_N \text{Strength}$  ( $R^2 = 0.42$ ,  $P < 0.01$ ). (I) Averaged normalized initial  $p$  values for connections grouped by plasticity outcome. Average  $p$  values for were significantly different between LTD and LTP groups and LTD and NC groups ( $p < 0.01$ , Wilcoxon test).