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## Water Exchange Rate across the Blood-Brain Barrier is Associated with CSF Amyloid- $\beta$ 42 in Healthy Older Adults

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### Abstract

**Introduction:** We tested if water exchange across the blood-brain-barrier (BBB), estimated with a noninvasive MRI technique, is associated with cerebrospinal fluid (CSF) biomarkers of Alzheimer's disease (AD) and neuropsychological function.

**Methods:** Forty cognitively normal older adults (67–86 years old) were scanned with diffusion-prepared, arterial spin labeling (DP-ASL) which estimates water exchange rate across the BBB ( $k_w$ ). Participants also underwent CSF draw and neuropsychological testing. Multiple linear regression models were run with  $k_w$  as a predictor of CSF concentrations and neuropsychological scores.

**Results:** In multiple brain regions, BBB  $k_w$  was positively associated with CSF A $\beta$ 42 concentration levels. BBB  $k_w$  was only moderately associated with neuropsychological performance.

**Discussion:** Our results suggest that low water exchange rate across the BBB is associated with low CSF A $\beta$ 42 concentration. These findings suggest that  $k_w$  may be a promising noninvasive

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indicator of BBB A $\beta$  clearance functions, a possibility which should be further tested in future research.

### Keywords

MRI; water exchange; BBB; biomarker; CSF; glymphatic

## Background

Alzheimer's disease (AD) is the most common form of dementia, currently affecting more than 6 million Americans<sup>1</sup>. AD is characterized by progressive neuropathological changes that begin decades before the manifestation of cognitive and clinical declines<sup>2,3</sup>. Increasing evidence suggests that sporadic AD is associated with reduced amyloid- $\beta$  (A $\beta$ ) clearance<sup>4,5</sup>. The blood-brain-barrier (BBB) is a key structure involved in A $\beta$  clearance (via glymphatic and/or cerebrovascular function). The BBB consists of non-fenestrated vessels which tightly regulate the movement of ions and molecules between the blood and CNS<sup>6,7</sup>. The BBB serves to transport nutrients from the endothelial lumen and to protect the CNS from pathogens, toxins and inflammation among other functions<sup>6,7</sup>.

Of particular relevance to AD, the BBB is involved in the clearance of interstitial solutes, including A $\beta$ , from the brain<sup>8,9</sup>. A key BBB clearance pathway operates through a set of perivascular water channels (aquaporin-4; AQP4) at astrocytic endfeet. However, AQP4 channels are abnormally expressed in AD brains<sup>10,11</sup>, which might potentially limit the clearance of A $\beta$ <sup>12,13,11</sup>. For example, AQP4-deficient mice show significantly decreased water exchange rate, reflecting a potential delay in clearance of A $\beta$  and other CNS solutes, compared to their wild-type counterparts<sup>14</sup>.

Results from these postmortem human studies and animal models support a hypothesis that decreased water exchange rate across the BBB may be associated with reduced A $\beta$  clearance in living humans. Testing this hypothesis requires a technique which can measure subtle alterations in water (and potentially solute) exchange rate across the BBB. The most commonly used MRI technique for assessment of BBB damage is dynamic contrast-enhanced (DCE) MRI. DCE-MRI can track paracellular leakage of gadolinium contrast as it passes between blood and brain (K<sub>trans</sub>), revealing important information about advanced tissue disruption. However, DCE-MRI may be less suited to assess more subtle forms of BBB dysfunction associated with alterations in active transport systems<sup>9</sup> although there may be DCE applications capable of assessing perfusion<sup>15</sup>.

Recently, a noninvasive diffusion prepared arterial spin labeling (DP-ASL) MRI method has been validated for quantification of water exchange across the BBB<sup>16-18</sup>. The DP-ASL technique uses multiple diffusion weightings to differentiate magnetically tagged water signal from the capillary and brain parenchyma compartments based on a ~100-fold diffusion coefficient difference. The rate of water exchange ( $k_w$ ) between these compartments is derived using a two-compartment model of the ASL signal with single-pass approximation (SPA)<sup>17</sup>. The  $k_w$  metric represents a ratio of capillary permeability surface area product of water (PS<sub>w</sub>) by capillary volume (V<sub>c</sub>).

A recent validation study demonstrated that reduced  $k_w$  using this technique corresponds with both mannitol-induced BBB breakdown and histology in animal models<sup>19</sup>. DP-ASL  $k_w$  was also found to be correlated with DCE Ktrans in some brain regions<sup>20</sup>. The moderate correlations observed between DP-ASL  $k_w$  and DCE Ktrans may reflect overlapping yet different mechanisms assessed by these two metrics. The DCE and DP-ASL techniques also involve trade-offs. For example, DCE Ktrans provides higher spatial resolution. In contrast, DP-ASL likely provides a more sensitive marker of early-stage BBB dysfunction based on water exchange rate being about ~6000x faster than the exchange rate of gadolinium across the BBB<sup>20</sup>. DP-ASL also allows for computation of measures of cerebral perfusion such as cerebral blood flow (CBF) and arterial transit time (ATT), as assessed in the present study.

Here we sought to determine if water exchange rate across the BBB ( $k_w$ ) is associated with cerebrospinal fluid (CSF) biomarkers of AD pathology (A $\beta$ 42; A $\beta$ 42, total-tau; t-tau, hyperphosphorylated-tau; p-tau) in cognitively normal older adults. We hypothesized that  $k_w$  would be associated with CSF A $\beta$  given that A $\beta$  aggregates in interstitial fluid, and is thought to be cleared via BBB-related glymphatic mechanisms. In particular, we predicted that low  $k_w$  would be associated with low levels of CSF A $\beta$ , which reflect high A $\beta$  deposition in neuritic plaques<sup>21,22</sup>. A second, exploratory aim of the present study was to assess potential relationships between  $k_w$  and neuropsychological function. Specifically, we assessed if  $k_w$  was associated with performance on measures of episodic memory and executive function, cognitive domains of high relevance to preclinical AD.

## Methods

### Participants

Forty cognitively normal (CN) older adults were included in this study. One participant was excluded from analyses due to the presence of an old stroke within the right motor cortex that was not clinically evident by history at enrollment in the ADRC cohort. Summary group demographic characteristics of the 39 participants remaining participants (20 female, mean age=72.7) are shown in Table 1. All participants provided informed consent under a protocol approved by the Institutional Review Board of the University of Kentucky (UK). Participants were recruited from an existing longitudinal cohort of CN older adults at UK's Sanders-Brown Center on Aging (SBCoA)<sup>23</sup>. Exclusionary criteria for enrollment into the SBCoA cohort include major head injury, major psychiatric illness or current substance abuse, medical illnesses that are nonstable, impairing, or that have an effect on the CNS, chronic infectious diseases, major stroke, encephalitis, meningitis, or epilepsy<sup>23</sup>.

Additional exclusion criteria for the present MRI study were claustrophobia, pacemakers, the presence of metal fragments or any metal implants that are incompatible with MRI. The majority of participants at UK's SBCoA agree to lumbar CSF draw. In the present MRI study, CN participants were recruited from the SBCoA on the basis of having available CSF data. A diagnosis of CN was established based on clinical consensus scores from the Uniform Data Set (UDS3) used by US Alzheimer's Disease Research Centers (ADRCs)<sup>24</sup> and a score of 26 or higher on the Mini-Mental State Exam (MMSE).

## Imaging Protocol

Participants were scanned with a Siemens 3T PRISMA scanner, using a 64-channel head-coil, at UK's Magnetic Resonance Imaging and Spectroscopy Center. The following sequences were collected: a high resolution, multi-echo, T1-weighted anatomical image (MEMPR) and a 3D Gradient-and-Spin-Echo (GRASE) diffusion-prepared pCASL (DP-pCASL) sequence. The MEMPR sequence had four echoes [repetition time (TR)=2530ms; first echo time (TE1)=1.69ms echo time spacing (TE)=1.86ms, flip angle (FA)=7°] and covered the entire brain [176 slices, field of view=256mm, parallel imaging (GRAPPA) factor=2, 1mm isotropic voxels, scan duration=5.53min].

The DP-pCASL sequence was performed with the following parameters: TR=4sec, TE=36.5ms, FOV=224mm, matrix size=64×64, 12 slices (10% oversampling), resolution=3.5×3.5×8mm<sup>3</sup>, label/control duration=1500ms, centric ordering and optimized timing of background suppression for gray matter (GM) and white matter (WM)<sup>25</sup>. A two-stage approach was utilized to measure ATT and  $k_w$ : Fifteen repetitions were acquired during the flow encoding arterial spin tagging (FEAST) scan at post-labeling delay (PLD)=900ms and diffusion weighting (b-value) of 0 and 14 s/mm<sup>2</sup> with a total acquisition time of 4 minutes for estimating ATT<sup>16</sup>. The  $k_w$  metric was calculated from scans acquired at PLD=1800ms, when the labeled blood reaches the microvascular compartment, with  $b = 0$  and 50 s/mm<sup>2</sup>, respectively. Twenty repetitions were acquired for each b-value of the  $k_w$  scan, and the total acquisition time was 6 minutes. CBF was quantified from perfusion signals acquired at PLD=1800ms without diffusion preparation as described in detail elsewhere<sup>18</sup>.

## DP-ASL Analyses: TGV Regularized SPA Modeling for BBB Water Exchange Rate ( $k_w$ ) Mapping

Control/label images were corrected for rigid head motion using SPM12 (Wellcome Trust Centre for Neuroimaging, UCL) and subtracted to obtain perfusion images. Temporal fluctuations were minimized using principal component analysis<sup>26</sup>. For DP-pCASL, the tissue and capillary compartments of the ASL signal were separated by a small diffusion gradient of 50 s/mm<sup>2</sup>. The  $k_w$  map was calculated by a total-generalized-variation (TGV)<sup>27</sup> regularized SPA model<sup>17</sup> using the tissue (or capillary) fraction of the ASL signal at the PLD of 1800ms, incorporating ATT, T1 of arterial blood and brain tissue as inputs for the algorithm<sup>18</sup>. Arterial blood T1 was assumed to be 1.66 s, which is commonly used for CBF quantification<sup>28</sup>. Our simulation indicated that variations in arterial blood T1 (1.5s to 2.1s) led to <4% variations in  $k_w$ . A voxel-wise tissue T1 map was fitted from background suppressed control images acquired at 2 PLDs<sup>26</sup>. The  $k_w$ , ATT and CBF maps, along with the M0 (T2 weighted structural image in the same space), were normalized to Montreal Neurological Institute (MNI) template space, and average values were measured in six regions of interests (ROIs) of relevance to AD: whole brain, frontal lobe, temporal lobe, parietal lobe, precuneus and medial temporal lobe (MTL; amygdala, hippocampus and parahippocampal gyrus). These ROIs were selected from the Anatomical Labeling Template in SPM, using participants' T1-weighted images, as described previously<sup>29</sup>.

## Cerebrospinal Fluid Collection and Analysis

CSF was drawn the morning after fasting since midnight according to current ADRC best practices/NIA guidelines. CSF lumbar draw was performed by a trained neurologist at the SBCoA (G.A.J) and then banked by the UK-ADRC Neuropathology Core. CSF was collected using a 20-gauge needle, 15ml sterile polypropylene collection tubes, and was stored in single use 0.5ml aliquots in polypropylene storage tubes at  $-80^{\circ}\text{C}$ . CSF was analyzed using the Quanterix Simoa platform.  $\text{A}\beta_{1-42}$  and phosphorylated-tau<sub>181</sub> (p-tau<sub>181</sub>) and total-tau (t-tau) were measured on the HD-1 instrument using the Neuro 3-plex A ( $\text{A}\beta_{40}$ ,  $\text{A}\beta_{42}$ , total tau) assay at 1:200 and the pTau181 assay at 1:20 according to manufacturer's instructions. CSF samples were quantified in units of picograms per milliliter (pg/ml).

## Neuropsychological Measures

The majority of participants underwent the UDS-3 neuropsychological battery and several additional measures administered to UK-ADRC participants. Composite measures of cognition were constructed for the AD-relevant domains of executive function and episodic memory. The executive function (EF) composite measure included the Trail Making Test Part A (TMT-A), Trail Making Test Part B (TMT-B) and the Digit Symbol test from the Wechsler Adult Intelligence Scale-IV (WAIS-DS). Scores on the TMT-A were regressed out of scores on the TMT-B and WAIS-DS in order to exclude components of raw processing and motor speed common to these tests (Salthouse, 2011). The resulting residuals were then combined to form a composite EF score by subtracting the TMT-B residuals (higher scores = worse performance) from the WAIS-DS residuals (higher scores = better performance) and dividing by 2. Therefore, higher EF composite scores reflected better performance. The episodic memory (MEM) composite included the CRAFT delayed recall and Benton Figure delayed recall. Total scores were divided by 2. Higher MEM composite scores reflected better performance.

## Statistical Analyses

Statistical analyses were performed using SPSS 24 (IBM, Chicago, IL, USA). A series of multiple regression models were run to assess potential relationships between DP-ASL metrics with CSF biomarkers of AD and neuropsychological scores. Age and sex were included as covariates in all regression models. For the first set of analyses, separate multiple linear regression models were first run with DP-ASL metrics ( $k_w$ , CBF or ATT) as predictor variables of CSF biomarkers of AD ( $\text{A}\beta_{42}$ , t-tau or p-tau). These initial regression models were statistically corrected for the 6 ROIs tested ( $p=0.05 / 6$  ROIs; FWE,  $p=0.008$ ). For the second set of analyses, separate multiple linear regression models were run to determine if  $k_w$  in any of those ROIs showing associations with CSF biomarkers of AD pathology (from the first set of regression models) were also associated with neuropsychological scores (EF or MEM composite scores). This second set of multiple linear regression analyses were statistically corrected for the 8 comparisons run (4 ROIs x 2 cognitive domains) ( $p=0.05 / 8$ ; FWE,  $p=0.006$ ).

For all regression models, assumptions of normality and heteroscedasticity of variance were explored by generating P-P plots, histograms and scatterplots of residuals. Evaluation of

these plots showed that our reported regression models met assumptions of normality and homoscedasticity. Multi-collinearity between predictors was explored using the variance inflation factor (VIF), with an upper limit of 5 implemented as a threshold value<sup>30</sup>. The VIF is reported for all models, with none exceeding a value of 1.5. Scatterplots of standardized residuals were created using participant z-scores values. Potential outliers in residual values were identified as being greater than 3 standard deviations from their group mean<sup>31,32</sup>.

## Results

The original data file and statistical results file are available as supplementary material. Summary group demographic and AD fluid biomarker characteristics of the 39 participants included in data analyses are shown in Table 1, with summary group DP-ASL values shown in Table 2.

### Relationships between $k_w$ and Fluid Biomarkers of AD Pathology

Relationships between  $k_w$  in ROIs (Figure 1) and fluid biomarkers of AD pathology were explored. Results (Table 3) indicated significant associations (FWE corrected  $p=0.008$ ) between CSF A $\beta$ 42 concentration and  $k_w$  values in the whole brain ( $\beta=0.51$ ,  $t=3.4$ ,  $p=0.002$ ; VIF=1.04), frontal lobe ( $\beta=0.50$ ,  $t=3.4$ ,  $p=0.002$ ; VIF=1.04), parietal lobe ( $\beta=0.56$ ,  $t=3.9$ ,  $p<0.001$ ; VIF=1.03) and precuneus ( $\beta=0.46$ ,  $t=3.1$ ,  $p=0.004$ ; VIF=1.02), after controlling for age and sex (Figure 2, Panels A-D). The association between CSF A $\beta$ 42 concentration and  $k_w$  in the temporal lobe did not meet corrected significance ( $\beta=0.42$ ,  $t=2.6$ ,  $p=0.015$ ; VIF=1.11; Panel E). There was no relationship between CSF A $\beta$ 42 concentration and  $k_w$  in the MTL ( $\beta=0.21$ ,  $t=1.2$ ,  $p=0.236$ ; VIF=1.13; Panel F). There were no significant associations between  $k_w$  in any of the ROIs and CSF t-tau concentration ( $P$ 's  $>0.321$ ) or between  $k_w$  in any of the ROIs and CSF p-tau concentration ( $P$ 's  $>0.082$ ).

### Relationships between ATT, CBF and Fluid Biomarkers of AD Pathology

There were no significant associations (FWE corrected  $p=0.008$ ) between ATT in any of the ROIs and A $\beta$ 42, p-tau or t-tau, although the relationship between ATT in the MTL and p-tau concentration level was significant at the uncorrected level ( $\beta=-0.347$ ,  $t=-2.1$ ,  $p=0.042$ ; VIF=1.10). There were no other associations between ATT in other regions and either p-tau or t-tau concentration levels (all  $P$ 's  $>0.221$ ). There were no associations between CBF in any of the ROIs and either A $\beta$ 42, p-tau or t-tau (all  $P$ 's  $>0.121$ ).

### Relationships between $k_w$ and Neuropsychological Performance

Seven participants were missing neuropsychological data, leaving a total of 32 datasets for these analyses. To limit the number of comparisons, regression were only run for the 4 ROIs showing associations between  $k_w$  and CSF A $\beta$ 42 in the above models (whole brain, frontal lobe, parietal lobe and precuneus). Results (Table 4) indicated no significant associations (FWE corrected  $p = 0.006$ ) between  $k_w$  in any of the 4 ROI and cognitive performance. At an uncorrected significance level, frontal lobe  $k_w$  was associated with MEM composite scores ( $\beta=0.385$ ,  $t=2.25$ ,  $p=0.032$ ; VIF=1.07; Figure 3, panel A), but not



with EF composite scores (beta=0.304,  $t=1.6$   $p=0.114$ ; VIF=1.07).  $k_w$  in the other ROIs was not associated with MEM composite scores (all  $P$ 's  $> 0.292$ ) or EF scores (all  $P$ 's  $> 0.342$ ).

In summary, our results indicate that low  $k_w$  in multiple brain regions is associated with low CSF A $\beta$ 42 concentration. A schematic representation of the main pattern of  $k_w$ -CSF A $\beta$ 42 results is illustrated in Figure 4. As can be seen in Figure 4,  $k_w$  values tend to track positively with CSF concentration scores while this pattern is less evident for CBF and ATT values.

## Discussion

Our results provide evidence for an *in vivo* association between water exchange rate across the BBB ( $k_w$ ) and CSF A $\beta$ 42 concentration. These findings suggest that  $k_w$  computed from a novel DP-pCASL sequence may be a potential indicator of BBB-related clearance functions. Implications of the  $k_w$  metric for cognitive functioning in healthy older adults remain less clear as  $k_w$  was only moderately associated with neuropsychological performance in our sample.

### Associations of $k_w$ , CBF and ATT with AD Fluid Biomarkers

Results indicated that low  $k_w$  in the whole brain, frontal lobe, parietal lobe and precuneus were each associated with low CSF A $\beta$ 42 concentration (reflecting high cerebral A $\beta$ ). In contrast,  $k_w$  was not associated with either CSF tau or p-tau in any ROI. The specificity of the link we observed between  $k_w$  in cortical regions and A $\beta$  (as opposed to t-tau and/or p-tau) is in-keeping with findings that A $\beta$  binding is more prominent in neocortex than MTL<sup>33,34</sup>. In addition, considering potential BBB-related clearance functions, A $\beta$  aggregates extracellularly in the parenchyma, while tau accumulation is primarily an intracellular process unless the cell dies and release its proteinaceous components into the extracellular milieu<sup>35</sup>. Thus, our findings that  $k_w$  was more closely associated with CSF A $\beta$ 42 than tau appears consistent with expected role of BBB clearance functions during the preclinical (cognitively normal) stage.

Similarly,  $k_w$  was the only DP-pCASL metric associated with CSF A $\beta$ 42 concentration. Neither ATT nor CBF values were associated with CSF A $\beta$ 42 concentration.  $k_w$  values are a ratio of capillary permeability surface area product of water (PS $_w$ ) by capillary volume (V $_c$ ). Lower  $k_w$  values can result from relatively low PS $_w$  or relatively high V $_c$  values. The PS $_w$  is influenced by alterations in water channels. If water channel function is impaired or compromised, PS $_w$  would be decreased, and  $k_w$  values would be reduced. Further, as an extracellular protein, A $\beta$ 42 should be more closely linked with water exchange rate across the BBB than with measures of ATT or CBF, which are indices of cerebral perfusion. In keeping with this possibility, our results indicated that the  $k_w$  metric of water exchange across the BBB, but not perfusion, was associated with CSF A $\beta$ .

### The Potential Basis of $k_w$ - A $\beta$ 42 Relationship

In late-onset sporadic AD, A $\beta$ 42 deposition appears more closely associated with impairment of A $\beta$  efflux (clearance) than influx<sup>5,36</sup>. While direct exchange of water and CSF solutes such as A $\beta$  may be mediated through BBB breakdown at the

neurovascular interface, it might also occur through the recently described glial lymphatic (glymphatic) pathway<sup>37,38</sup>. Whereas direct CNS-vascular permeability is mediated largely by inflammation, the glymphatic pathway is dependent on the AQP4 water channel, which selectively conducts water molecules across the BBB into the glymphatic pathways. In animal models, decreased AQP4 expression has been linked with reduced A $\beta$ 42 clearance from the brain<sup>37,39</sup> and deletion of the AQP4 gene results in increased A $\beta$  plaque<sup>40</sup>.

AQP4 water channels are typically localized to perivascular astrocytic endfeet that surround the cerebral vasculature<sup>38,37</sup> but are abnormally expressed in AD brains<sup>10,11</sup>. This includes both reduced localization of AQP4 to the cerebral vasculature<sup>41</sup> and reduced AQP4 expression in the vicinity of AB plaques. Reduced AQP4 expression may in turn contribute to reduced clearance and A $\beta$  aggregation<sup>42,13,42</sup>. Thus, low  $k_w$  values from DP-ASL could in part reflect decreased localization or expression of AQP4 in individuals with higher cerebral A $\beta$  concentration<sup>41</sup>.

### Associations of $k_w$ and Cognitive Performance

We further sought to assess the associations of low water exchange rate across the BBB with cognitive performance. Results indicated that  $k_w$  was not associated with EF or MEM composite scores after controlling for multiple comparisons. Further, at an uncorrected statistical level, only the relationship between  $k_w$  in frontal cortex and MEM was significant. The weak relationship between  $k_w$  and neuropsychological function we observed could reflect limited sample size/power. In addition,  $k_w$  reductions in CN older adults may reflect early-stage BBB dysfunction which is not yet clinically significant. Future research should address  $k_w$ -cognitive relationships with larger sample sizes of adults who range in cognitive diagnosis from CN through impaired.

### DP-pCASL as a Method for Quantifying Water Exchange across the BBB

Various methods have been proposed for quantifying water exchange rates including those using dynamic analysis of the first-pass signal of shutter-speed DCE-MRI<sup>43</sup>, and with a novel multi-flip angle multi-echo (MFAME) scanning protocol<sup>44</sup>. Both methods require injection of contrast agents. As an alternative, ASL techniques use water as an endogenous tracer. Global water extraction rate and PS<sub>w</sub> can be measured with phase-contrast ASL (WEPCAST)<sup>45</sup>. Kinetic models have been proposed to map the regional water exchange rate using ASL sequences with signal preparation to separate intravascular and extravascular compartments based on the T2 difference<sup>46,47,14</sup> and the diffusion coefficient difference<sup>48,49</sup>. Considering that the pseudo-diffusion coefficient of capillary water is ~100-fold higher than that of the tissue<sup>49</sup>, our proposed DP-pCASL method applies a small diffusion gradient so that two compartments of ASL signal can be reliably and efficiently separated and a whole brain  $k_w$  map can be obtained within 10 minutes.

### Limitations

The  $k_w$  values reported in GM ROIs are likely to include some averaging of neighboring WM signal (i.e. partial volume effects) due to the relatively coarse voxel dimensions employed (3.5×3.5×8 mm<sup>3</sup>). In addition, the specific direction of  $k_w$  alterations (lower  $k_w$  versus higher  $k_w$ ) in various neurological disorders requires further research. While



reduced BBB water exchange has been associated with aging and dementia<sup>9</sup>, upregulation of AQP4 (and potentially increased  $k_w$ ) has been reported in vascular disorders such as diabetes<sup>50</sup>. In our previous study, increased  $k_w$  was associated with increased vascular risk factors in Latinx participants<sup>18</sup>. The specific  $k_w$  alterations and underlying mechanisms related to AD and small vessel disease and other neurologic disorders await to be clarified in future studies. Further, it should be recognized that this is a cross-sectional study which is limited to a description of associations. Whether disruption of the glymphatic system or the direct cerebrovascular interface is responsible for the observed associations remains unclear. Future work investigating  $k_w$  in longitudinal datasets are important for answering these critical questions and driving the field forward. Such work should consider inclusion of PET-amyloid imaging for spatial localization not provided by CSF data.

## Conclusions

The present results demonstrate that a non-invasive measure of water exchange rate across the BBB ( $k_w$ ) is associated with lumbar CSF A $\beta$ 42 concentration levels. Our results suggest that  $k_w$  measured by a novel 3D GRASE DP-pCASL sequence shows promise as a non-invasive metric of BBB-related clearance in CN older adults. These findings need to be replicated in other cohorts, and in larger numbers of participants, in order to further evaluate the sensitivity and specificity of this non-invasive, potential marker of BBB-related clearance functions.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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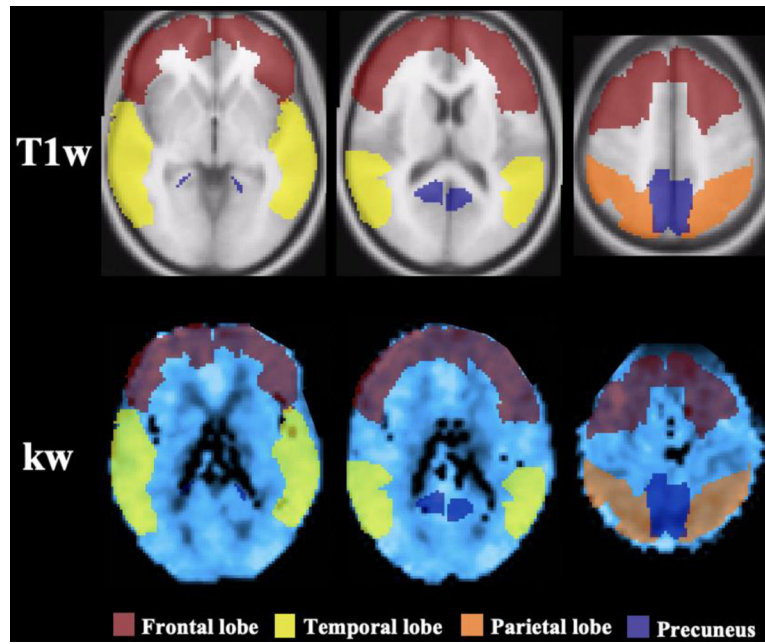
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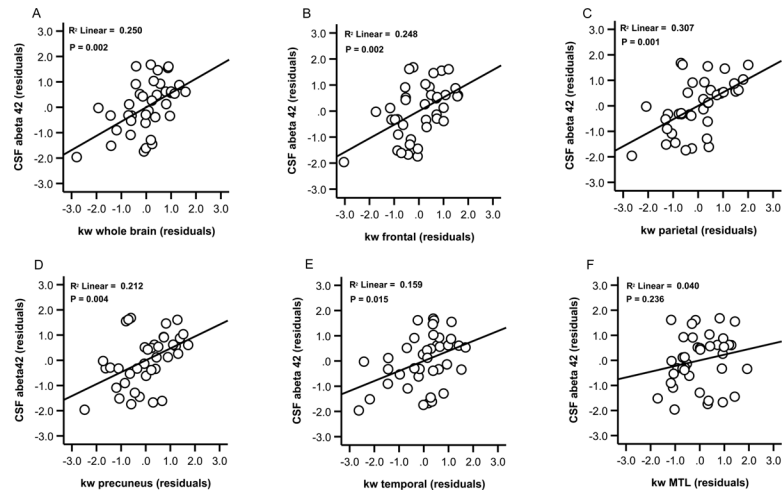
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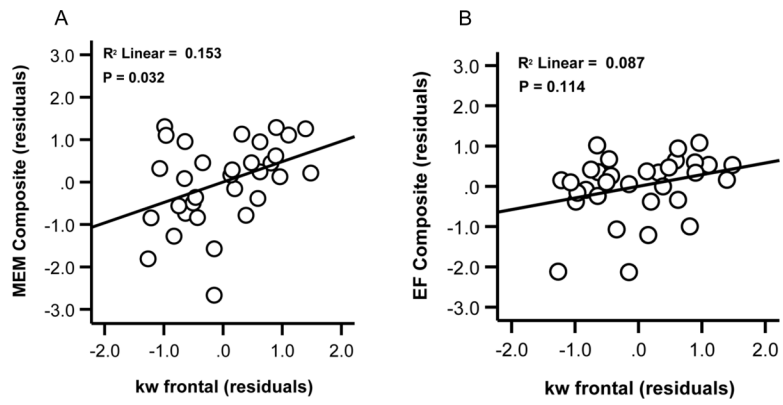


**Figure 1.** Sample ROIs. Representations of masks used to extract values from the frontal lobe (maroon), temporal lobe (yellow), parietal lobe (orange) and precuneus (blue). ROIs are presented on a T1-weighted image (first row) and on a representative participant's  $k_w$  map in normalized space (second row). Columns from left-to-right show horizontal slices moving in the inferior-to-superior direction. The same ROI masks were used for extraction of ATT and CBF values.

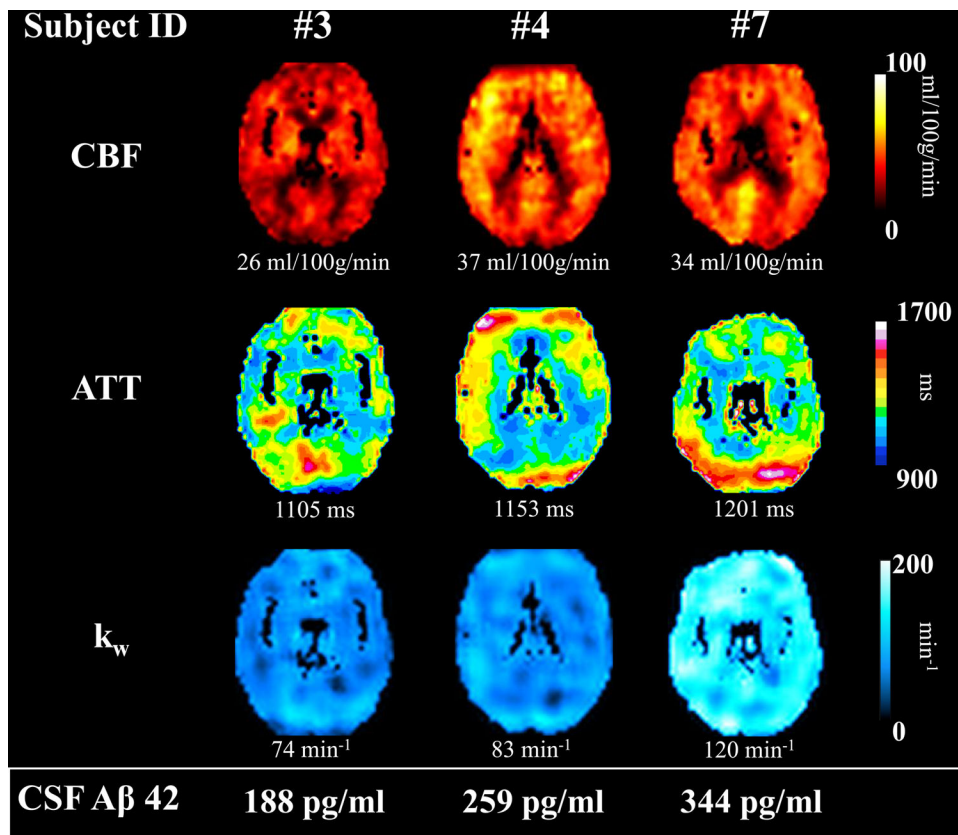


**Figure 2.** Relationships between  $k_w$  values and CSF A $\beta$ 42 concentration. Scatter plots show  $k_w$  values in the whole brain (A), frontal lobe (B), parietal lobe (C) precuneus (D), temporal lobe (E) and MTL (F) plotted against CSF A $\beta$ 42 concentration. Plots show residual associations after controlling for age and sex.





**Figure 3.** Relations between  $k_w$  in the frontal lobe and neuropsychological scores. Scatter plots show  $k_w$  values in the frontal lobe against MEM composite scores, (A) and against EF composite scores, after controlling for age and sex (B).



**Figure 4.** Schematic representation of findings. Maps of CBF, ATT and  $k_w$  are presented from three representative participants showing the trend of increasing  $k_w$  values being associated with increasing CSF A $\beta$ 42 concentration (from left to right). Participants' whole brain CBF, ATT and  $k_w$  values are presented below their maps. Participants' CSF A $\beta$ 42 concentration values are presented at the bottom of the figure, within the white box.

**Table 1.**

Mean Group Demographic Values and CSF AD Biomarker Values

N	39
Age (Years)	72.7 [67–86]
Sex (F/M)	20/19
Education (Years)	16.64 (2.26)
MMSE	28.90 (1.43)
A $\beta$ 42 (pg/ml)	294.85 (76.13)
T-tau	62.74 (26.82)
P-tau	23.23 (16.46)

Notes: The table lists the total number of participants, mean and range for age, male/female distribution and mean and standard deviation (SD) for years of education, MMSE, A $\beta$ 42, t-tau and p-tau (pg/ml).

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**Table 2.**Mean Group Values for DP-ASL Metrics of  $k_w$ , ATT and CBF

	$k_w$	ATT	CBF
Whole Brain	98.27 (19.77)	1223.01 (101.65)	32.17 (9.02)
Frontal Lobe	104.42 (22.18)	1232.48 (115.90)	31.74 (9.65)
Temporal Lobe	94.76 (26.06)	1167.48 (116.63)	32.97 (9.94)
Parietal Lobe	83.21 (28.34)	1353.37 (148.20)	31.24 (11.54)
Precuneus	85.96 (28.79)	1334.03 (159.04)	33.24 (10.89)
Medial Temporal Lobe	85.87 (27.32)	942.38 (223.70)	22.88 (8.76)

Notes: The table lists mean and standard deviation (SD) in each ROI for the metrics of  $k_w$ , ATT and CBF.

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**Table 3.**Relationships between  $k_w$  and Fluid Biomarkers of AD pathology

BBB Water Exchange ( $k_w$ )	CSF A $\beta$ 42		CSF T-Tau		CSF P-Tau	
	Beta-Value	P-Value	Beta-Value	P-Value	Beta-Value	P-Value
Whole Brain	0.51	0.002	0.09	0.549	-0.24	0.167
Frontal Lobe	0.50	0.002	0.01	0.999	-0.18	0.287
Parietal Lobe	0.56	< 0.001	0.12	0.423	-0.29	0.082
Temporal Lobe	0.42	0.015	0.16	0.321	-0.21	0.244
Precuneus	0.46	0.004	0.03	0.822	-0.24	0.150
Medial Temporal Lobe	0.21	0.236	0.12	0.464	-0.265	0.132

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**Table 4.**Relationships between  $k_w$  and Neuropsychological Scores

BBB Water Exchange ( $k_w$ )	Episodic Memory		Executive Function	
	Beta-Value	P-Value	Beta-Value	P-Value
Whole Brain	0.19	0.292	0.19	0.342
Frontal Lobe	0.385	0.032	0.304	0.114
Parietal Lobe	0.18	0.322	0.02	0.925
Precuneus	0.09	0.632	0.12	0.528

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