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BIOMARKER-BASED RISK STRATIFICATION IN PEDIATRIC SEPSIS FROM A LOW-MIDDLE INCOME COUNTRY

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Abstract

Objective: Most biomarker studies of sepsis originate from high-income countries, whereas mortality risk is higher in low- and middle-income countries. The second version of the Pediatric Sepsis Biomarker Risk Model (PERSEVERE-II) has been validated in multiple North American pediatric intensive care units for prognosis. Given differences in epidemiology, we assessed the performance of PERSEVERE-II in septic children from Pakistan, a low-middle income country. Due to uncertainty regarding how well PERSEVERE-II would perform, we also assessed the utility of other select biomarkers reflecting endotheliopathy, coagulopathy, and lung injury.

Design: Prospective cohort study.

Setting: Pediatric intensive care unit in Aga Khan University Hospital in Karachi, Pakistan.

Patients: Children (< 18 years of age) meeting pediatric modifications of adult Sepsis-3 criteria between 11/2020 and 2/2022 were eligible.

Interventions: None.

Measurements and Main Results: Plasma was collected within 24 hours of admission and biomarkers quantified. The area under the receiver operating characteristic curve for PERSEVERE-II to discriminate 28-day mortality was determined. Additional biomarkers

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Author contributions: SI, AFS, NJT, and NY conceived of the study. STF, NURS, and ZK assisted with acquisition of the data. SP and AH collected and organized the data. JMT, PL, and BV analyzed the data.

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were compared between survivors and non-survivors, and between subjects with and without acute respiratory distress syndrome. In 86 subjects (20 non-survivors, 23%), PERSEVERE-II discriminated mortality (area under the receiver operating characteristic curve of 0.83, 95% CI 0.72 to 0.94) and stratified the cohort into low-, medium-, and high-risk of mortality. Biomarkers reflecting endotheliopathy (angiopoietin 2, intracellular adhesion molecule 1) increased across worsening risk strata. Angiopoietin 2, soluble thrombomodulin, and plasminogen activator inhibitor 1 were higher in non-survivors, and soluble receptor for advanced glycation end-products and surfactant protein D were higher in children meeting acute respiratory distress syndrome criteria.

Conclusions: PERSEVERE-II performs well in septic children from AKUH, representing the first validation of PERSEVERE-II in a low-middle income country. Patients possessed a biomarker profile comparable to that of sepsis from high-income countries, suggesting that biomarker-based enrichment strategies may be effective in this setting.

Keywords

sepsis; children; LMIC; biomarker; prognostic enrichment

INTRODUCTION

Sepsis, defined as a dysregulated host response to infection causing organ failure (1), is responsible for 11 million deaths worldwide every year (2). However, this burden is not spread equally across the globe, with Southeast Asia, sub-Saharan Africa, and Oceania over-represented for both sepsis incidence and mortality (2–5). Additionally, the impact is not constant across age groups, with children under 18 years of age being approximately twice as likely to die from sepsis as adults (2). The etiologies for geo-economic discrepancies are multifactorial, including fewer resources in low- and middle-income countries (LMICs), different infectious etiologies, lower vaccination rates for preventable infections, and different baseline comorbidities, including nutritional status (2–6). The biochemical ramifications of these differences, however, is unknown, as most translational investigations of sepsis use samples from patients in high-income Western countries (7–9). Given these differences in epidemiology, there is a disconnect between the existing translational knowledge of sepsis derived from children in high-income countries and those in the developing world most at risk.

In both adults and children, sepsis is heterogeneous, with patients having distinct comorbidities and inciting etiologies (6, 10, 11). This heterogeneity has contributed to negative trial results, as therapies effective in some patients are ineffective in others (12, 13). To mitigate this heterogeneity, biomarkers have been used to identify high-risk subgroups (14, 15) as well as subtypes with shared biochemical profiles (16–19). Accurate risk stratification is essential for prognostic enrichment in clinical trials, as several interventions studied in sepsis may only demonstrate benefit in patients at higher risk of mortality (12, 20). In the United States, the Pediatric Sepsis Biomarker Risk Model (PERSEVERE) is a validated biomarker-based risk stratification tool to estimate baseline mortality risk (14). An updated version, PERSEVERE-II, leverages five protein biomarkers and platelets collected within 24 hours of pediatric intensive care unit (PICU) admission in septic children

to estimate 28-day mortality risk, with areas under the receiver operating characteristic (AUROC) curves > 0.80 in multiple PICUs (15, 21). However, the utility of PERSEVERE-II in resource limited settings is unknown. Therefore, given differences in sepsis epidemiology, we assessed the performance of PERSEVERE-II in septic children from Pakistan, a low-middle income country. Due to uncertainty regarding how well PERSEVERE-II would perform in this cohort, we assessed the prognostic utility of other select biomarkers reflecting endothelial dysfunction and dysregulated coagulation (22). Finally, given the prognostic impact of acute respiratory distress syndrome (ARDS) in sepsis (23), we also measured biomarkers reflecting lung epithelial injury (24, 25). We hypothesized that PERSEVERE-II would discriminate mortality with AUROC of at least 0.80 in this cohort, with an intent to revise the model with additional biomarkers if needed to improve discrimination.

METHODS

Study Design

This is an ongoing prospective cohort study conducted at the Aga Khan University Hospital (AKUH) PICU between November 2020 and February 2022, and reported according to STAndards for the Reporting of Diagnostic accuracy studies (STARD) guidance. The study was approved by the AKUH Ethics Review Committee (ERC 2020-5291-14343; Linking Endotypes and Outcomes in Sepsis Induced Pediatric ARDS; approved October 9, 2020), with consent obtained prior to research procedures, consistent with the Helsinki Declaration of 1975.

Patient Selection

Eligible subjects met pediatric modifications of adult Sepsis-3 criteria (1, 26). Briefly, subjects were eligible if they were 1) age > 44 weeks corrected gestational age and < 18 years, 2) presumed infection, 3) pediatric sequential organ failure score (pSOFA) of at least 2, and 4) lactate > 2 mmol/L. Exclusion criteria were 1) weight < 3 kilograms, 2) not expected to survive > 72 hours, 3) limitations of care at time of screening, or 4) previous enrollment in this study.

Sample Collection and Measurements

Clinical data was prospectively collected prospectively at AKUH. After informed consent, plasma was collected in citrated tubes within 24 hours of PICU admission, centrifuged (2000g for 20 minutes at 20C), aliquoted, and frozen at -80C. Samples were shipped on dry ice to the Children's Hospital of Philadelphia (CHOP) and to Cincinnati Children's Hospital Medical Center (CCHMC) for biomarker assays (transit times of 7 and 10 days on dry ice). Biomarkers at CHOP were measured using singleplex enzyme-linked immunosorbent assays (R & D Systems), and included angiopoietin 2 (ANG2), the soluble receptor for advanced glycation end-products (sRAGE), soluble thrombomodulin (sTM), and surfactant protein D (SPD), plasminogen activator inhibitor 1 (PAI1), and intracellular adhesion molecule 1 (ICAM1). The PERSEVERE-II biomarkers of granzyme B, heat shock protein 70 (HSP70), interleukin-8 (IL-8), C-C motif chemokine ligand 3/macrophage inflammatory protein-1 α (CCL3/MIP-1 α), and matrix metalloprotein 8 (MMP8) were measured on a Luminex

platform at CCHMC (15). Biomarkers were measured in duplicate. Platelets were recorded as part of clinical data collection at AKUH.

Definitions and Outcomes

Sepsis was defined using a pediatric modification of Sepsis-3 (pSOFA ≥ 2 and lactate > 2) (26). Pediatric acute respiratory distress syndrome (PARDS) was defined using 2015 Pediatric Acute Lung Injury Consensus Conference (PALICC) criteria for intubated subjects (27). Severity of illness was recorded using pSOFA (26) and the Pediatric Logistic Organ Dysfunction 2 (PELOD-2) score (28). Degree of shock was quantified using the highest vasopressor-inotrope score on the day of admission (29). The presumed type of infection was determined through a combination of clinical suspicion, culture (bacterial, fungal), and PCR (viral) data. The designation “immunocompromised” required an immunocompromising diagnosis (oncologic, immunologic, rheumatologic, transplant) on active immunosuppressive chemotherapy, or a congenital immunodeficiency (30). The primary outcome was 28-day mortality.

Statistical Analysis

Analyses were conducted in State 14.2 (StataCorp, College Station, Texas, USA). Our primary aim was to test the utility of PERSEVERE-II. Projecting a mortality rate of 15%, 73 subjects were required to detect an AUROC of at least 0.80 with $\alpha = 0.05$ and power = 0.90. PERSEVERE-II was a recalibration of the original PERSEVERE risk prediction model that added platelets as a predictor variable (14, 15). Both models were developed using classification and regression tree (CART) and provided estimates for their terminal nodes corresponding to low, medium, and high risk of 28-day mortality. The PERSEVERE-II model cutoffs were applied to the AKUH cohort, and tested for discrimination of 28-day mortality, reporting AUROC. As a sensitivity analysis, we tested the discriminative ability of PERSEVERE-II in the AKUH cohort using the exact predicted probabilities of mortality reported in the original publication, which had a 28-day mortality rate of 12% (15). Sensitivity, specificity, and positive (PPV) and negative predictive values (NPV) were reported after assigning subjects in low-risk nodes as predicted survivors, assigning medium- and high-risk nodes as predicted non-survivors, and comparing predicted with actual survival. Survival curves and biomarker levels were compared between subjects in low-, medium-, and high-risk nodes using log-rank tests and Cuzick’s test of trend, respectively. Additional biomarkers were compared between bacterial and viral sepsis, between survivors and non-survivors, between those without and without PARDS, using the Wilcoxon rank-sum test. Finally, in an exploratory analysis, we re-derived a decision tree using all available biomarkers (including platelets) as input variables, and report test characteristics.

RESULTS

Description of the Cohort

There were 86 subjects with sepsis enrolled (Figure 1), of whom 20 (23%) died by day 28 (Table 1). Lung (66%) and abdomen (20%) were the most common sites of infection. Bacteria were implicated in the majority of infections (41%), followed by culture-negative

(29%) and viral sepsis (27%). PELOD and pSOFA scores were high, and 88% required vasopressors at admission. Of the cohort, 36 (42%) met PARDS criteria by 96 hours, with a median of 4 [IQR 2, 12] hours to onset. Mortality was higher in immunocompromised subjects (41% versus 19% in immunocompetent), as well higher in those with PARDS (31% versus 18% in those without).

PERSEVERE-II Performance in Septic Children from AKUH

PERSEVERE-II was applied to the cohort using the original described cutoffs (Figure 2) (15). Terminal nodes 7 to 11 in the original PERSEVERE were pruned to a single node (terminal node 7) as there were only two subjects with levels of CCL3 > 150 pg/mL. With this model, PERSEVERE-II discriminated mortality with an AUROC of 0.83 (95% CI 0.72 to 0.94), a sensitivity of 0.75, a specificity of 0.79, a PPV of 0.52 and NPV of 0.91. Terminal nodes 1, 2, and 5 were low-risk, with mortality < 15%. Terminal nodes 4 and 6 were medium-risk, with mortality between 25% and 40%. Terminal nodes 3 and 7 were high-risk, with mortality ranging from 75% to 100%. Figure 3 shows 28-day Kaplan-Meier curves for subjects grouped according to their risk strata (overall log-rank $p < 0.001$; all pairwise log-rank $p < 0.01$). PERSEVERE-II predicted mortality comparably to pSOFA ($p = 0.431$ for comparison of AUROCs) and PELOD-2 ($p = 0.397$), but significantly better than the vasopressor-inotrope score ($p = 0.017$)(Table 2).

As a sensitivity analysis, we tested the performance characteristics of PERSEVERE-II using the exact mortality probabilities reported in the originally described model from the United States (mortality ranging from 0% in terminal node 1 to 44% in terminal node 3, 12% in the entire cohort)(15). Using these inputs, PERSEVERE-II had an AUROC of 0.80 (95% CI 0.68 to 0.92). Sensitivity, specificity, PPV, and NPV would not change with this analysis.

Prognostic Biomarkers in Pediatric Sepsis

Endothelial dysfunction, thrombotic microangiopathy, and lung injury are thought to drive organ failure and worse outcomes in sepsis. Therefore, we assessed whether select markers of endotheliopathy (ANG2, ICAM1, sRAGE), dysregulated coagulation (sTM and PAI1), and lung injury (sRAGE and SPD) differed according to PERSEVERE risk strata (Supplementary Figure 1). ANG2, ICAM1, sRAGE, sTM, and PAI1 were higher in medium- and high-risk strata (all Cuzick's $p < 0.05$), with stepwise increases from low- to high-risk for ANG2 and ICAM1. We tested these additional biomarkers for association with mortality, as it was not clear how well PERSEVERE-II would perform in this cohort. ANG2, sTM, and PAI1 were all elevated in non-survivors (all rank-sum $p < 0.05$), relative to survivors (Supplementary Figure 2). Biomarker levels were not significantly different between bacterial and viral sepsis etiologies (Supplementary Figure 3).

SPD and sRAGE are Elevated in Septic PARDS

We also compared biomarker levels between subjects who did ($n = 36$) and did not ($n = 50$) meet PALICC criteria for PARDS within 96 hours of sepsis onset. PARDS onset was rapid, with most PARDS subjects meeting concurrently meeting sepsis and PALICC criteria. Markers of type I (sRAGE) and type II (SPD) alveolar epithelial damage were elevated in

subjects with PARDS (both rank-sum $p < 0.01$), relative to those without (Supplementary Figure 4).

Exploratory Analysis Using All Biomarkers

To explore the potential added utility of the additional biomarkers measured, we re-derived a decision tree using CART with all available biomarkers (and platelets) as inputs (Supplementary Figure 5). This resulted in a tree with 6 terminal nodes, with PAI1, ANG2, platelets, MMP8, and sRAGE retained in the model. The new decision tree showed a higher AUROC for mortality discrimination (AUROC 0.91, 95% CI 0.63 to 1), a sensitivity of 1, specificity of 0.77, a PPV of 0.57 and NPV of 1. This AUROC, while higher, did not significantly differ from the AUROC 0.83 for PERSEVERE-II ($p = 0.302$).

DISCUSSION

We report the first validation of PERSEVERE-II, an established risk prediction model for pediatric sepsis, in a low-middle income country. In this cohort with twice the mortality rate of comparable cohorts from high-income countries (15, 21), PERSEVERE-II had similar performance, with AUROC near 0.80. We additionally demonstrated preliminary evidence for added prognostic utility for biomarkers of endotheliopathy and coagulation in pediatric sepsis, as well as the utility of lung-associated biomarkers to identify PARDS in septic children. Overall, the molecular phenotype of sepsis from AKUH reflected in these biomarkers parallels what has been reported in cohorts from high-income countries, suggesting that biomarker-based risk stratification and sub-phenotyping strategies may generalize to LMICs.

The major utility of risk stratification models such as PERSEVERE-II is for identifying subjects at low-risk, who potentially should be excluded from trials of aggressive intervention; and at very high-risk, who may have limited ability to modify their outcome with a trial intervention (20, 21). While the utility of any risk stratification model requires rigorous prospective assessment in the setting of a trial, the first step is development and testing of a reliable risk stratification tool. Our results support the use of PERSEVERE-II for this purpose in pediatric sepsis. As in other reports of PERSEVERE-II (15, 21), NPV was higher than PPV, although not quite as high as in cohorts from the United States due to higher mortality at AKUH, which may limit its utility for excluding low-risk subjects from trials. The higher mortality at AKUH also resulted in a higher PPV than other reports of PERSEVERE-II in the United States. Overall, PERSEVERE-II stratified the cohort into low-, medium-, and high-risk subgroups, confirming prognostic utility. In exploratory analysis, the addition of endothelial, coagulation, and lung injury biomarkers improved the sensitivity and NPV of the mortality prediction model, suggesting potential value for better identifying low-risk subjects.

While the vast majority of biomarker-based studies for prognostic and predictive enrichment in critical illness syndromes like sepsis and ARDS have occurred in high-income countries, the mortality burden of these conditions is disproportionately carried by lower income countries (2). This cohort from AKUH, for example, has twice the mortality rate of comparable pediatric sepsis cohorts (14, 15), despite a similar distribution of age, primary

site of infection, and co-morbidity profile. With few biomarker studies in septic subjects from LMICs, adult or pediatric, it is unclear whether their molecular phenotypes are similar or not to what has been reported in the literature. Our results demonstrate that this cohort has a biomarker profile consistent with what has been reported, and that existing biomarker-based strategies would likely be applicable.

There is a paucity of studies measuring biomarkers in pediatric sepsis from Pakistan, and none identifying prognostically useful proteins (31). In our study, in addition to the prognostic utility of the inflammatory biomarkers comprising PERSEVERE-II, we found higher levels of ANG2, a marker of endothelial damage, and of sTM and PAI1, markers of dysregulated coagulation, in non-survivors. These three biomarkers have predicted mortality in other sepsis cohorts (32–34), and mechanistically could plausibly contribute to worsening organ failures and death. Indeed, the respective implicated pathways of the endothelium and coagulation systems are linked, with damage in one contributing to dysregulation in the other (35). A very recent revision of PERSEVERE-II incorporating ANG2, its antagonist ANG1, and their shared receptor (TIE2) was shown to improve prognostic performance in a large cohort of septic children from the United States (22). Thus, interventions to stabilize the endothelium and target the coagulopathy of sepsis may also be translatable to septic children in Pakistan. Our data also suggest the potential to further improve the performance of future sepsis prognostic models in this cohort, particularly with an incorporation of markers of endothelial dysfunction.

We also showed higher levels of sRAGE and SPD in subjects with PARDS, suggesting that markers of alveolar epithelial damage can identify subjects with significant lung injury in this cohort. While sRAGE expression is ubiquitous (36–38), levels are highest in type I alveolar epithelia (24, 39), and elevated sRAGE has been reported in adult and pediatric ARDS, with higher levels in non-survivors. SPD is expressed in type II alveolar epithelia (25, 40), with elevated levels in direct ARDS reflecting epithelial barrier disruption. Overall, our results preliminarily suggest that the molecular phenotype of PARDS, a syndrome related to sepsis, may also be similar to what has been reported in pediatric and adult ARDS cohorts from high-income countries. Thus, biomarker-based risk stratification and endotyping strategies in PARDS developed in high-income countries may also generalize to children in lower income countries.

Our study has several limitations. Subjects were recruited from a single center, and generalizability to other centers in Pakistan, Southeast Asia, or other LMICs cannot be assumed. The intrinsic heterogeneity of sepsis, and treatments specific to AKUH, could plausibly impact biomarker levels and thus affect performance of any biomarker-based risk prediction tool. The overall sample size was small, although the largest reported to date in pediatric sepsis from Pakistan. Samples were collected only at a single timepoint and the longitudinal trajectory of these biomarkers, or the longitudinal stability of PERSEVERE-II, remains unknown. While we used a modified definition for sepsis and an established definition for PARDS, clinical syndromes subjects to misclassification when making assignments in real-time. Biomarkers were measured after being shipped to the opposite side of the globe without comparison of biomarker levels before and after shipping, and we cannot exclude any errors during handling. However, we would expect this to bias results

towards the null. Our study also has several strengths. We prospectively enrolled subjects with in order to test a specific risk stratification tool. Blood was collected within 24 hours of PICU admission, minimizing the impact of interventions on biomarker levels, and thus ensuring that plasma was reflective primarily of underlying mortality risk. Biomarkers were measured by institutions familiar with these assays, and this study represents a strong and ongoing collaboration between lower and higher income countries to advance pediatric critical care.

PERSEVERE-II, a biomarker-based risk stratification tool for pediatric sepsis developed in the United States, performs well in a cohort from AKUH in Pakistan, with an AUROC for discriminating 28-day mortality of 0.83. This is the first validation of PERSEVERE-II in a low-middle income country. ANG2, sTM, and PAI1 were elevated in sepsis non-survivors, and sRAGE and SPD elevated in subjects with PARDS, suggesting a biomarker profile comparable to that of sepsis and PARDS from high-income countries. Biomarker-based risk stratification and sub-phenotyping strategies for critical illness syndromes developed in high-income countries may generalize to lower income countries with higher mortality.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Copyright Form Disclosure:

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RESEARCH IN CONTEXT

- Most translational investigations of sepsis use blood samples from patients in high-income Western countries, whereas the human and financial cost of sepsis is higher in low- and middle-income countries.
- As biomarker-based prognostic and predictive enrichment strategies gain favor, it is important to determine their utility in low- and middle-income countries.
- We validated PERSEVERE-II, a biomarker-based risk stratification tool for pediatric sepsis developed in North America, in a cohort of septic children from Aga Khan University Hospital in Karachi, Pakistan.

AT THE BEDSIDE

- PERSEVERE-II performs equally well in pediatric sepsis subjects from Pakistan for risk stratification.
- Biomarkers reflecting innate immune activity and endotheliopathy were associated with worse prognosis, and biomarkers reflecting lung damage were associated with development of acute respiratory distress syndrome.
- Pediatric sepsis from Pakistan, a low-middle income country, has a biomarker profile similar to what has been reported in adult and pediatric sepsis from high-income countries, suggesting that biomarker-based enrichment strategies may be applicable to this population.

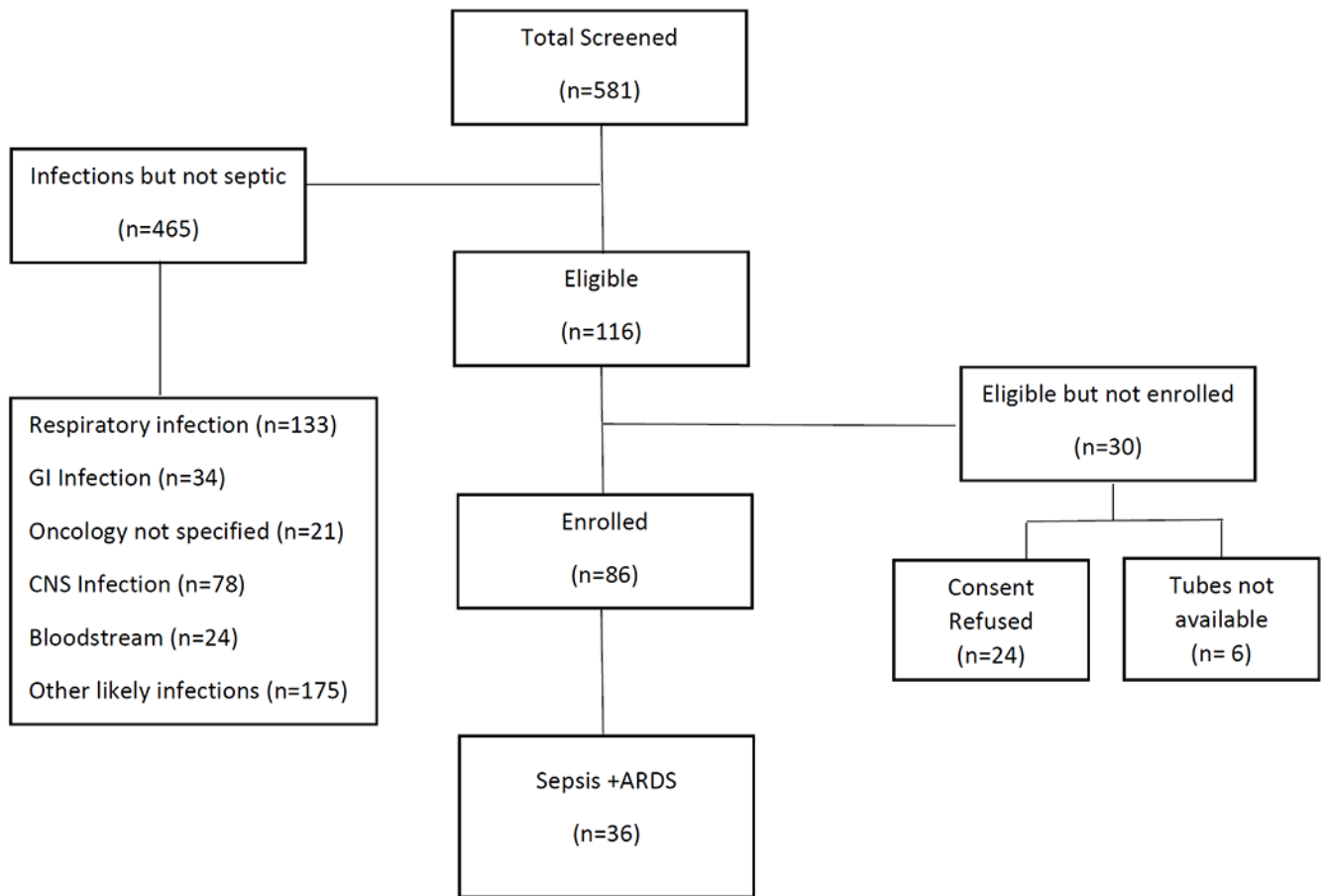


Figure 1:
Patient flowchart.

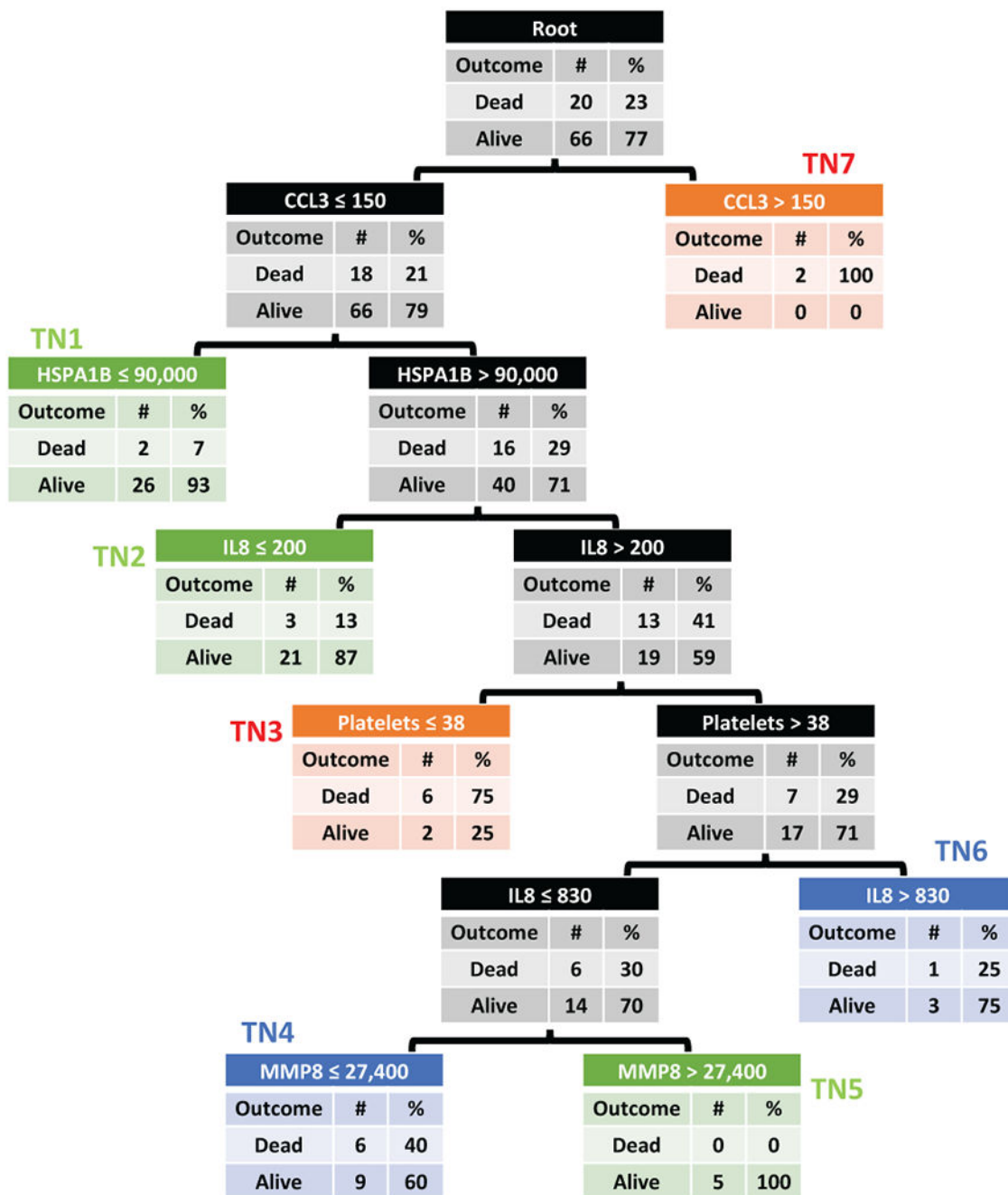


Figure 2: Classification and regression tree (CART)-based PERSEVERE-II model stratifying septic children into one of seven terminal nodes (TN). All subjects start at the root node at the top, and subsequently stratified according to biomarker levels into TNs. TNs 1, 2, and 5 (green) are low-risk of 28-day mortality. TNs 4 and 6 (blue) are medium-risk. TNs 3 and 7 (red) are high-risk). For comparison, the color-coded low-, medium-, and high-risk TNs are identical to those identified using the PERSEVERE-II model in children from the United States, albeit with lower mortality rates in the original cohort (low-risk < 2%, medium-risk 15-20%,

high-risk > 40% predicted mortality risk). TN7 in this cohort from Aga Khan University Hospital is pruned from the original PERSEVERE-II due to only having two subjects.

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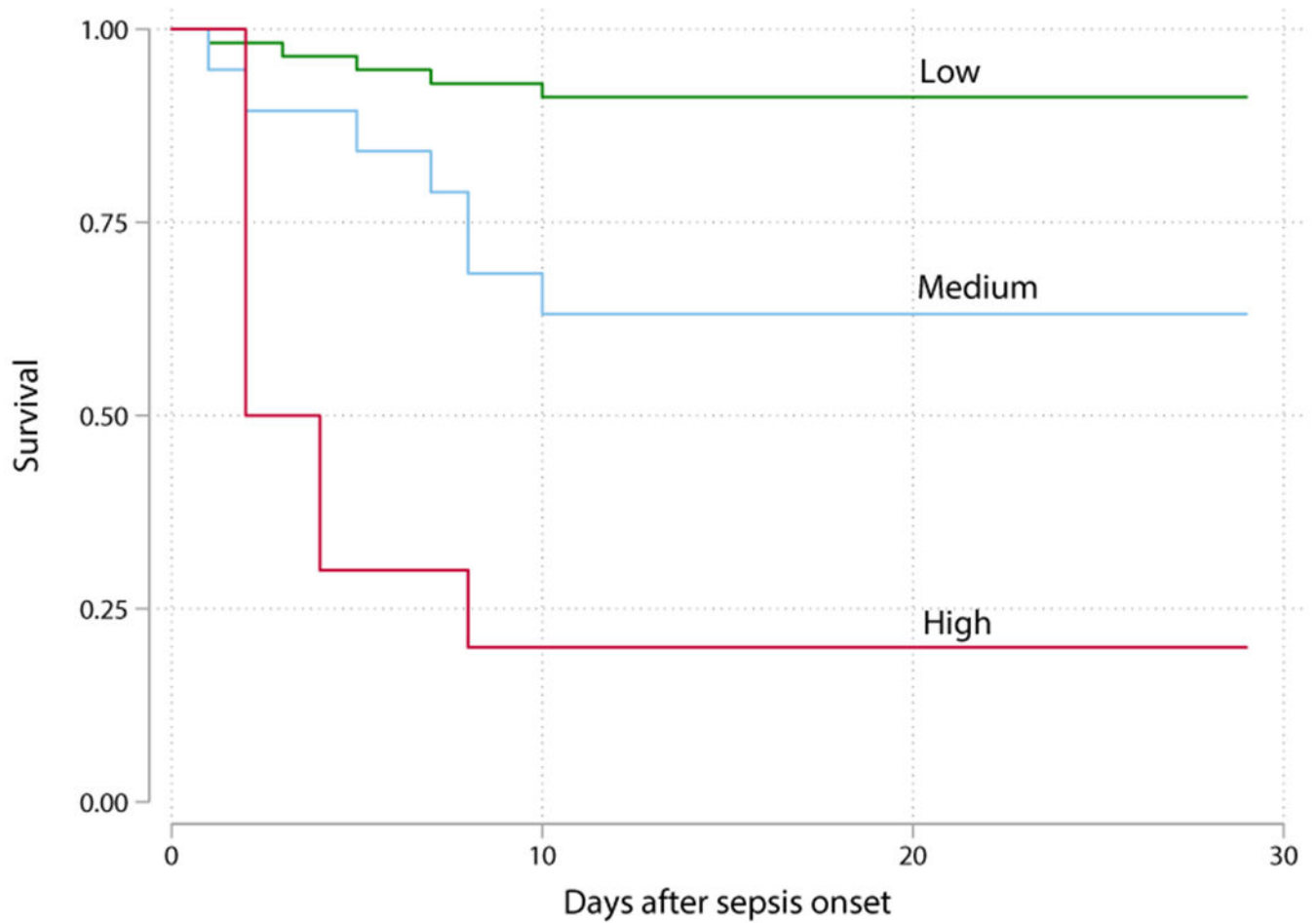


Figure 3: Kaplan-Meier survival curves for subjects stratified into low- (green), medium- (blue), and high-risk (red) PERSEVERE-II strata. Overall log-rank $p < 0.001$. Pairwise comparisons (low-risk versus medium-risk $p = 0.003$; medium-risk versus high-risk $p = 0.008$; low-risk versus high-risk $p < 0.001$) are also significant.

Table 1:

Demographics of the cohort (n = 86).

Variable	Values
Demographics	
Age (years)	2.7 [0.4, 12]
Assigned female sex (%)	33 (39)
Stunted (height < 5%ile)(%)	18 (21)
Weight for length < 5%ile (%)	9 (10)
Co-morbid conditions (%)	
Chronic kidney disease	3 (3)
Chronic liver disease	6 (7)
Immunocompromised	17 (20)
Oncologic	13 (15)
Stem cell transplant	2 (2)
Site of infection (%)	
Lung	57 (66)
Abdomen	20 (23)
Other	9 (10)
Presumed type of infection (%)	
Bacterial	35 (41)
Viral	23 (27)
Fungal	3 (3)
Culture negative	25 (29)
Severity of illness	
PELOD-2	6 [4, 8]
pSOFA	8 [6, 11]
Vasopressors (%)	76 (88%)
Vasopressor-inotrope score (n = 76)	8 [5, 11]
Lactate (mmol/L)	3.1 [2.4, 4.8]
Ancillary therapies	
Corticosteroids	38 (44)
IVIg	10 (13)
Renal replacement therapy	10 (13)
PARDS	
PARDS within 96 hours of sepsis	36 (42)
Time to PARDS (hours)	4 [2, 12]
28-day mortality	20 (23)

Table 2:

Comparison of areas under the receiver operating characteristic (AUROC) curves.

Model	AUROC (95% CI)	P value versus PERSEVERE-II
PERSEVERE-II	0.83 (0.72 to 0.94)	-
pSOFA	0.72 (0.60 to 0.85)	0.431
PELOD-2	0.72 (0.61 to 0.94)	0.397
Vasopressor-inotrope score	0.58 (0.43 to 0.73)	0.017

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