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Toward an indoor lighting solution for social jet lag

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Abstract

There is growing interest in developing artificial lighting that stimulates intrinsically photosensitive retinal ganglion cells (ipRGCs) to entrain circadian rhythms to improve mood, sleep, and health. Efforts have focused on stimulating the intrinsic photopigment, melanopsin; however, specialized color vision circuits have been elucidated in the primate retina that transmit blue-yellow cone-opponent signals to ipRGCs. We designed a light that stimulates color-opponent inputs to ipRGCs by temporally alternating short and long-wavelength components that strongly modulate short-wavelength sensitive (S) cones. Two-hour exposure to this S-cone modulating light produced an average circadian phase advance of one hour and twenty minutes in 6 subjects (mean age = 30 years) compared to no phase advance for the subjects after exposure to a 500-lux white light equated for melanopsin effectiveness. These results are promising for developing artificial lighting that is highly effective in controlling circadian rhythms by invisibly modulating cone-opponent circuits.

We measured the effectiveness of lights with different spectral and temporal properties in generating circadian phase advances. Lights were calibrated to produce the same time-averaged effect on melanopsin, but to have large differences in their effect on the recently discovered color vision circuits that drive M1 and M2 ipRGCs (Patterson et al., 2020a; Patterson et al., 2020b) underlying color-opponent receptive fields first discovered by Dacey (2005), as illustrated in Figure 1. One light had the same CIE coordinates (x = y = 0.33) as an equal energy white and produced an illuminance of 500 lux at the pupil plane (Figure 1A). While a 500 lux exposure for even 30 minutes can significantly suppress nocturnal melatonin levels, white lights only advance the circadian phase when light intensities and durations are much greater [(Hashimoto et al., 1996; Khalsa et al., 2003; Kozaki et al., 2011) and modeled by St. Hilaire et al. (2022)]. The second light was blue, derived from an LED

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Author contributions. A.N, J.N. and J.A.K. had full access to the data and they take responsibility for the data integrity and accuracy of the analysis. A.N, J.N., M.N. and J.A.K. conceptualized the study. A.N., M.N., A.R., L.C., I.L.B., E.D.B. and J.A.K. did the experiments. A.N., J.A.K. and J.N. wrote the first draft of the manuscript. All authors contributed to the final draft of the manuscript.

Competing interests. The University of Washington has filed U.S. Patent Application, entitled "LIGHTING DEVICES, SYSTEMS, METHODS FOR STIMULATING CIRCADIAN RHYTHMS" serial number 17/612,061 for which authors: A.N., M.N., J.N. and J.A.K. receive licensing fees.

(476 nm peak) (Figure 1B). These two lights are predicted to have very different effects on the color vision circuits carrying chromatically opponent signals from short (S-), middle (M-), and long (L-) wavelength-sensitive cones that drive ipRGCs in primates (Patterson et al., 2020a; Patterson et al., 2020b) (Figure 1C left). Primate ipRGCs display an S vs. (L+M) color-opponent light response (Figure 1C right). The white light drives both excitatory and inhibitory sides of the color-opponent response, which cancel each other, thus producing little net drive to the ipRGCs from cones. In contrast, the blue light strongly stimulates one side of the color-opponent system. The white light is predicted to produce a null response (Figure 1D), and the blue light is predicted to strongly differentially excite one side of the color opponent ipRGC (Figure 1E), making blue about 660 times more effective at driving the color-opponent cone pathways upstream of the ipRGCs compared to static white illumination. Finally, we tested a third light that temporally modulated S vs. (L+M) cones by rapidly alternating short and long wavelengths. Unlike the sustained melanopsin activation within ipRGCs, the cone inputs have transient responses. The S vs. (L+M) inputs to ipRGCs have high temporal sensitivity, while the temporal sensitivity of S-cone inputs to our conscious color vision is very poor. Thus, the conscious perception of alternating short and long wavelengths can fuse to a relatively steady-appearing white light, while ipRGCs are strongly activated via S vs. (L+M) circuitry. If further developed, this strategy may work as a practical lighting solution in which modest-intensity white lights can reset the phase delays associated with social jet lag and other circadian disorders.

People who spend most of their time under artificial light often suffer from a phase-delayed circadian rhythm (Wright et al., 2013; Stothard et al., 2017; Casiraghi et al., 2020). This is partly because indoor lighting is ineffective in inducing phase advances. This, combined with social schedules that demand early wake-up times, causes "social jet lag" (Wittmann et al., 2006; Roenneberg et al., 2019), which is associated with disturbed sleep, daytime fatigue, reduced cognitive function, and a general feeling of unwellness. Compared to melanopsin, cone-opponent circuits in primates activate ipRGCs at much lower thresholds (Dacey et al., 2005). Thus, at standard indoor low illumination levels, lights optimized to stimulate the color-opponent circuits should be more effective in producing circadian phase advances than typical white artificial lighting, which balances excitatory and inhibitory cone components and has little net effect (Figure 1D). Our goal is to evaluate the most effective lighting approach for circadian photoentrainment at the comparatively low general indoor lighting lux to phase-advance the central circadian clock and, in turn, combat social jet lag and other circadian problems such as seasonal affective disorder.

When humans are exposed only to natural light, the internal circadian clock synchronizes to solar time such that the internal biological night begins at sunset and ends before wake time just after sunrise (Wright et al., 2013) (Figure 1F). We used dim light salivary melatonin onset (DLMO) as a measure of circadian phase. Figure 2A shows the rise in evening melatonin levels assayed from saliva samples for the six subjects who participated in this study (each subject is represented by a different color). Compared to being synchronized to solar time (shown by the dashed gray curve; Figure 2A), we found that, on average, subjects were phase-delayed by 2.8 hours. Results for each subject were comparable across multiple days, indicating that while all subjects were phase delayed, they were still entrained to the 24-hour environmental cycle. These results are like those observed earlier for young

academics (Wright et al., 2013), and the considerable variability in the phase delays among the six subjects is consistent with the fact that later chronotypes are associated with longer phase delays (Wright et al., 2013).

The subjects were involved in (or familiar with) studies related to circadian rhythms. As such, they were motivated to adhere to the grueling demands of the protocol. We believe that having motivated, compliant participants was key to obtaining precise and reliable results. However, this means that the highly compliant participants were not blind to the conditions or hypotheses. Salivary melatonin measurements are objective, so the fact that participants were not naïve is unlikely to bias the results. In addition, we took extensive measures to ensure the subjects' knowledge could not bias the results; for more details, see the supplemental materials.

To evaluate the ability of lights with different spectral and temporal characteristics to advance circadian phase, we followed the same 3-day protocol for each light condition. On the evening of the first day, subjects collected saliva samples every hour from the early evening until 2 am. The following day, the samples were analyzed to measure the rise in melatonin the evening before, and the time of DLMO was determined for each subject, defined as the time the melatonin levels reached 20% of the maximum (Benloucif et al., 2005). On the morning of the third day of the protocol, each subject viewed a test light for two hours, centered 10.5 hours after their individual DLMO. This corresponds to the time of day expected to produce maximum light-induced phase advances (Figure 1F)(Khalsa et al., 2003). On the evening of the same day, subjects again collected saliva samples that were used to evaluate whether the light exposure produced a phase advance.

Figure 2B shows the results for the static white light. After exposure to the static white light, the average rise in evening salivary melatonin levels was phase-delayed by 2.8 minutes, a value that did not differ significantly from the baseline measured before exposure (p = 0.75; NS paired *t*-test). In contrast, the 476 nm blue light that was equated in melanopsin effectiveness to the static white light produced a phase advance of 40 minutes (and a total difference from the white light condition of 42.8 min.) This phase advance was significantly larger than the baseline measured before exposure (t = 3.423, p = 0.019; paired *t*-test) (Figure 2C).

Blue lights are particularly effective at suppressing nocturnal melatonin (Brainard et al., 2001; Thapan et al., 2001), and it is often assumed this is mediated by melanopsin. However, the blue and white lights were equated for melanopsin effectiveness; thus, the large effect of blue compared to white cannot be attributed to the activation of melanopsin. Since the white condition nulls the color-opponent response (Figure 1D), we assumed that it effectively isolates the melanopsin drive to the ipRGCs. We conclude that under the relatively low light and short duration conditions used here, melanopsin activation is insufficient to produce any significant circadian phase advance. Moreover, it follows that the substantial phase advance produced by the blue light equated in melanopsin effectiveness to the white light is the result of activation of the color-opponent circuitry, not melanopsin, as commonly assumed.

Our goal is to develop lighting that can replace standard indoor white lighting and give people control of their circadian phase. As an alternative to the static blue light (Figure 2C), which is not a practical indoor lighting solution, we tested a temporally modulated light because, unlike the sustained melanopsin activation within ipRGCs, the cone inputs have transient responses (Weng et al., 2013; Brown et al., 2021; St Hilaire et al., 2022).

Thus, theoretically, a light that alternates between short and long-wavelength components would stimulate color-opponent cells optimally by the simultaneous offset of one spectral component and the onset of the opposing component. Moreover, it should be possible to produce two light phases that, when temporally alternated, appear white but strongly modulate S-cones. The S-cone inputs to ipRGCs are tuned to respond to higher temporal frequencies than those serving hue perception, making it possible to modulate the S-cone input to ipRGCs strongly but minimize (and ideally eliminate) the percept of flicker.

The S-cone modulating light tested here consisted of a 19 Hz alternating pulse train designed to modulate the quantal catch of S-cones with a differential of $100\times$ between the two phases. This frequency corresponds to the peak of the temporal response function of S vs. (L+M) modulations for ipRGCs measured in our laboratory (unpublished). The intensities of LEDs peaking at 427 nm vs. 544 nm, were alternated, and the addition of light from a 637 nm LED made the S-cone modulated pulse train appear nominally white. The intensity of this light was adjusted to produce a time-averaged quantal catch in melanopsin matched to the 500-lux static white light of Figure 1A. As shown in Figure 2D, the cone-modulated "white light" elicited a striking 1 hr 20 min phase advance (or 80 minutes) compared to the nonsignificant change in circadian phase produced by the steady white light. The difference between the steady white and the 19-Hz S-cone modulated conditions was highly significant (p < 0.01; t = 4.4 Bonferroni Multiple Comparisons Test).

Previously, one hour of bright white (\sim 8,000 lux) light produced a 27-minute advance in circadian phase (St Hilaire et al., 2012). When white lights are sufficiently bright or prolonged, they can produce a phase advance by activating the much less sensitive melanopsin expressed in human ipRGCs compared to the 500-lux static white light that was ineffective here (Figure 2B). However, our light condition that strongly modulates the S-cones for two hours (500 lux \times 2 hr vs. \sim 8K lux \times 1 hr) amounts to 8 \times fewer lux-hours but produced a circadian phase advance that was twice as great. Thus, the S-cone modulating light is as effective as very bright white at $1/20^{th}$ the intensity.

Our results showing that a light that temporally modulates S cones vs. (L+M) cones is highly effective for advancing circadian phase are consistent with those of St. Hilaire et al. (St Hilaire et al., 2022), who demonstrated that melatonin suppression is driven strongly by S and L+M cones in the first quarter of light exposure and with Brown et al. (Brown et al., 2021) who found evidence for an initial S-cone contribution to melatonin suppression that rapidly decays under extended light exposure. Our S-cone modulated light alternated between two wavelengths designed to stimulate one side of the cone opponent response and then the other alternately to produce a strong cone opponent response. In contrast, Spitschan and colleagues (Spitschan et al., 2019) measured melatonin suppression by comparing two light stimuli, which differed exclusively in the amount of S-cone excitation. As predicted,

the light with stronger S-cone excitation did not differentially suppress melatonin because to equate the two lights for L and M cone effectiveness, the S+ light had to include about equal amounts of long and short wavelength light, nulling the color opponent response.

As a different alternative to static illumination, Zeitzer et al. administered 60 2-msec pulses of 473 lux broad spectral band light over an hour and produced a phase change nearly half that of 1-hour 10,000 lux static white light (Zeitzer et al., 2011). We assume that the increased effectiveness is due to the involvement of cone circuits, as in the experiments reported here, since transient white flashes drive spectrally opponent cone inputs to ipRGCs by virtue of differences in the temporal properties of their components. The S-cone modulating light is four times more effective than the millisecond flashes of light that Zeitzer et al. administered, and the exchange between long and short-wavelength components can appear nearly static, whereas bright flashes every minute are not a practical solution for standard indoor illumination. We didn't test a condition where the two lights in our S-cone modulated condition were modulated in phase rather than out of phase, making an on-off flicker that would temporally modulate S, M, and L cones and may, thus, produce even larger phase advances but the intense flickering sensation such a light would produce would preclude it as a lighting solution.

The color of the sky at sunrise and sunset (Figure 1G) is the ideal cue for synchronizing one's internal body clock to solar time. The intensity of light overhead is an unreliable indicator of the time of day (Woelders et al., 2018), but the orange color of the sky at the horizon always indicates that it is sunrise or sunset, and it has been shown that mammalian blue-yellow color discrimination provides a more reliable method of tracking twilight progression than simply measuring irradiance (Walmsley et al., 2015). The color opponent inputs to ipRGCs confer the ability to act as sunrise/sunset detectors. The orange color of the horizon that characterizes the rising and setting sun produces a color contrast with the blue sky (Figure 1G). The blue and orange parts of the image on the retina produced by the sunset moving across the receptive field of a primate ipRGC activates the transient color-opponent response very strongly. As shown in Figure 1F, when our internal clock is aligned with solar time, sunrise occurs after the peak of the phase advance portion of the phase response curve, and sunset occurs before the peak of the phase delay portion. When the ipRGCs are strongly stimulated at both dawn and dusk, the human phase response curve is perfectly tuned to keep the phase of our internal pacemaker precisely aligned with solar time.

Color opponent mechanisms are associated with sensory systems that regulate circadian activity throughout the animal kingdom, including fish and reptiles (Su et al., 2006; Pauers et al., 2012). Ancient single-celled organisms exhibit color sensitivity that they use to drive their circadian activity (Spudich and Spudich, 2008). It appears that the capacity to sense colors originally evolved to serve circadian rhythms, not for hue perception (Neitz and Neitz, 2011). The fact that primates have evolved multiple independent circuits that provide color-opponent inputs to ipRGCs is a testament to the importance of these sunrise and sunset detectors to our evolutionary survival (Patterson et al., 2021). Thus, it makes perfect sense to develop lighting to use these color vision circuits to take control of our circadian well-being. A light that modulates S-cones almost imperceptibly, with daily exposure, for example,

from a bedroom light fixture to get exposure when first waking, or one in the bathroom or kitchen providing exposure in the context of a person's normal lighting routine, may be capable of offsetting the average 2.8-hour delay, therefore eliminating social jet lag. Thus, rather than focusing on melanopsin, further experiments stimulating ipRGCs by modulating S-cones promise to provide insight into how to design healthy indoor lighting to help control circadian rhythms to improve mood, sleep, and health.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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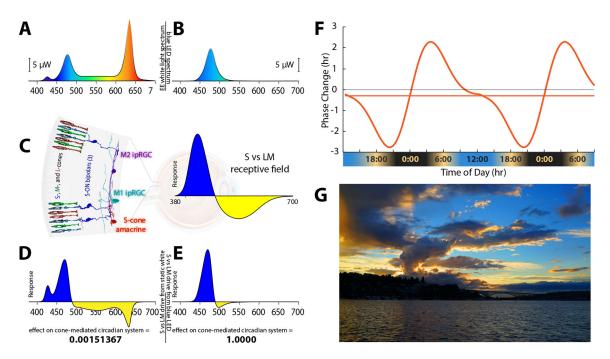


Figure 1.

Spectral distributions of experimental light stimuli, their predicted effects on the color opponent inputs to ipRGCs, and a theoretical phase response curve. A. Spectrum of the experimental white light with chromaticity coordinates 0.333, 0.333. **B.** Spectrum of the LED-derived experimental "blue" light with a spectral peak at 476 nm. C. (left) Illustration of the color vision circuitry for S-ON and S-OFF types of primate ipRGCs. (right) Illustration of the spectrally opponent response of an S-ON ipRGC with S - (L+M) cone inputs. **D.** The product of wavelength-by-wavelength multiplication of the spectral distribution of the white light (A) times the spectrally opponent response of an ipRGC (C). Integration of the curve in (D) across wavelength yields the predicted very small relative response (0.0015) of the ipRGC to the white light. E. The product of multiplication of the spectral distribution of the blue light (B) times the spectrally opponent response of an ipRGC (C). Integration across wavelengths yields the predicted large relative response of the ipRGC to the blue light, which we have normalized to 1. The blue light is expected to be about 667 times more effective at activating the S-cone color vision circuit than the white light. F. Phase response curve based on Khalsa (2003) that is aligned with earth time so that the beginning of the internal biological night occurs at sunset and the end of the internal biological night occurs before wake time just after sunrise as indicated below the x-axis of the curve. G. Image of sunset in Seattle, Washington, illustrating how contrasting short and long wavelength light near the horizon produces a stimulus capable of driving spectrally opponent inputs to ipRGCs, making them act as sunrise/sunset detectors.

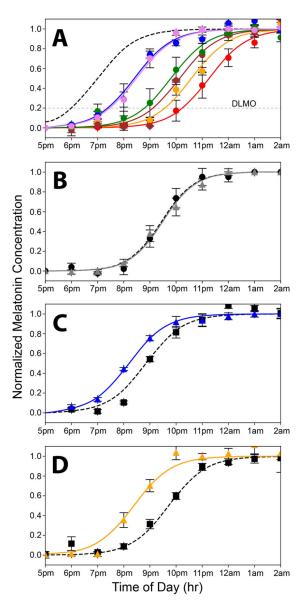


Figure 2.Curves showing the nighttime dim rise in salivary melatonin levels under various conditions equated for melanopsin effectiveness. **A.** Rise in evening melatonin levels for the six subjects who participated in this study (each is shown in a different color; error bars are standard errors of the mean). The dashed gray curve shows the predicted rise if the subjects were aligned to earth time, where the beginning of internal biological night occurs at sunset, which is 6 pm based on a 12–12 dark light cycle. On average, subjects were phase delayed 2.8 h. **B.** Average rise in evening melatonin after two-hour exposure to the static white light (gray curve) of Figure 1A compared to a baseline (dashed curve) measured on day one of the 3-day protocol. A slight, nonsignificant phase delay was associated with the white light exposure (n=6 subjects). **C.** Average rise in evening melatonin (blue curve) after a two-hour exposure to the 476nm blue light of Figure 1B compared to baseline (dashed curve) (n=6 subjects). The 476nm light produced a phase advance of 40 minutes (t = 3.4, p = 0.019).

D. The Rise in evening melatonin (orange curve) after two-hour exposure to 19 Hz S-cone modulated light compared to baseline (dashed curve) (n=6 subjects). This light produced a phase advance of 1 hour and 20 minutes (t = 7.1, p = 0.0009).