

Osteoporos Int. Author manuscript; available in PMC 2012 January 1.

Published in final edited form as:

Osteoporos Int. 2011 January; 22(1): 85–90. doi:10.1007/s00198-010-1265-7.

# Age-related hyperkyphosis, independent of spinal osteoporosis, is associated with impaired mobility in older community-dwelling women

#### W. B. Katzman,

University of California, San Francisco, UCSF Box 0625, San Francisco, CA 94143-0625, USA

## E. Vittinghoff, and

Epidemiology and Biostatistics, University of California, San Francisco, CA, USA

#### D. M. Kado

University of California, Los Angeles, CA, USA

W. B. Katzman: wendy.katzman@ucsfmedctr.org

#### **Abstract**

**Summary**—While many assume hyperkyphosis reflects underlying spinal osteoporosis and vertebral fractures, our results suggest hyperkyphosis is independently associated with decreased mobility. Hyperyphosis is associated with slower Timed Up and Go performance times and may be a useful clinical marker signaling the need for evaluation of vertebral fracture and falling risk.

**Introduction**—While multiple studies have demonstrated negative effects of hyperkyphosis on physical function, none have disentangled the relationship between hyperkyphosis, impaired function, and underlying spinal osteoporosis. The purpose of this study is to determine whether kyphosis, independent of spinal osteoporosis, is associated with mobility on the Timed Up and Go, and to quantify effects of other factors contributing to impaired mobility.

**Methods**—We used data for 3,108 community-dwelling women aged 55–80 years in the Fracture Intervention Trial. All participants had measurements of kyphosis, mobility time on the Timed Up and Go test, height, weight, total hip bone mineral density (BMD), grip strength, and vertebral fractures at baseline visits in 1993. Demographic characteristics included age and smoking status. We calculated mean Timed Up and Go time by quartile of kyphosis. Using multivariate linear regression, we estimated the independent association of kyphosis with mobility time, and quantified effects of other covariates on mobility.

**Results**—Mean mobility time increased from 9.3 s in the lowest to 10.1 s in the highest quartile of kyphosis. In a multivariate-adjusted model, mobility time increased 0.11 s (p=0.02) for each standard deviation (11.9°) increase in kyphosis. Longer performance times were significantly associated with increasing age, decreasing grip strength, vertebral fractures, body mass index  $\geq$ 25, and total hip BMD in the osteoporotic range.

Correspondence to: W. B. Katzman, wendy.katzman@ucsfmedctr.org.

Conflicts of interest None

<sup>©</sup> The Author(s) 2010. This article is published with open access at Springerlink.com

**Conclusions**—Kyphosis angle is independently associated with decreased mobility on the Timed Up and Go, which is in turn correlated with increased fall risk. Hyperkyphosis may be a useful clinical marker signaling the need for evaluation of vertebral fracture and falling risk.

#### Keywords

Hyperkyphosis; Impaired mobility; Kyphosis; Spinal osteoporosis

## Introduction

Age-related hyperkyphosis is an exaggerated anterior curvature of the thoracic spine. Older adults with hyperkyphosis are at increased risk for impaired physical function [1–6], falls [7], and fractures [8]. While multiple studies have demonstrated a negative effect of hyperkyphosis on physical function [1,3,5,6,9,10], none have been able to disentangle whether the impaired function might be explained by another associated predictor underlying spinal osteoporosis [11]. Furthermore, these studies have been limited by small sample sizes [3], qualitative measures of kyphosis [1,5], or lack of control of confounding variables [1,3,9,10].

As impaired physical function itself is associated with fall risk and fractures, further examination of the relationship between kyphosis and measured physical function might inform other treatment strategies to forestall or even prevent functional decline. Currently, physicians often will refer patients to physical therapy for problems with balance and gait, but there are few referrals for hyperkyphosis. The association between hyperkyphosis and advanced age, decreased grip strength, low bone mineral density, and vertebral compression fractures [1,5,12–16], that themselves can impact on physical function, may serve to downplay the importance of age-related postural change. As an example, even though only 36–37% of older persons with the worst degrees of kyphosis have underlying vertebral fractures [13,17], most clinicians assume vertebral fractures are the cause of hyperkyphosis, and may therefore consider it an incidental finding rather than an important clinical condition worthy of treatment itself [18,19]. Establishing hyperkyphosis as a significant predictor of impaired mobility, independent of other significant predictors likely to impair mobility, could help justify intervention to reduce or delay progression of hyperkyphosis. Currently, interventions targeting easily modifiable impairments that contribute to kyphosis progression [20–27], including exercise and bracing, are not widely used.

Using data for 3,108 older women in the Fracture Intervention Trial (FIT), we sought to determine whether angle of kyphosis, independent of spinal osteoporosis and other factors, is associated with mobility as measured by performance times on the Timed Up and Go, an objective test used to identify people at risk for future falls, and to quantify the effects of other factors contributing to impaired mobility.

## **Methods**

#### Overview

The FIT was a randomized, controlled multicenter trial among 6,459 women with osteopenia or osteoporosis who were randomized to alendronate or placebo to test the efficacy of alendronate for reduction of risk of osteoporotic fractures [28]. Women randomized to the placebo arm of FIT, including women with and without vertebral fracture, were included in these analyses [29].

## Subjects

Women included in FIT were required to be 55-80 years of age, post-menopausal for at least 2 years, live independently in the community, and have a bonemineral density (BMD) of the femoral neck 1.6 ormore standard deviations (SD) belowpeak premenopausal femoral neck BMD (less than  $0.68 \text{ g/cm}^2$ ). Of the 3,223 women in the placebo arm of FIT 3,108 women with complete data were included in our analyses. By design, one third of the women randomized to the placebo arm of the study had prevalent fractures at baseline.

#### Measurements

All participants had measurements of kyphosis, mobility, height, weight, BMD of the hip, grip strength, and vertebral fractures at baseline visits in 1993. Basic demographic characteristics included age and smoking status, classified as never smoked, previous smoker, or current smoker.

Kyphosis angle was measured using a Debrunner Kyphometer (Proteck AG, Bern, Switzerland), a protractor-like instrument. The arms of the device are placed over the spinous process of C7 superiorly and T12 inferiorly [15]. This measurement of kyphosis angle has excellent reliability and repeatability (intra-rater and interrater correlation coefficients both 0.91, and coefficient of variation for repeated measurements=8.4%) [30].

The Timed Up and Go is a widely used clinical tool for detecting mobility impairments in older adults. This test measures the time to rise from a 48 cm height armchair, walk 3 m, turn and return to a fully seated position in the chair [31]. This test has excellent reliability (ICC 0.91-0.96) [32], and times  $\geq 12$  s have high sensitivity and specificity for identifying elderly individuals at risk for mobility impairments and falls [32,33].

Body mass index (BMI) was calculated from the height and weight measurements using a standard formula weight (kg)/[height (m)]<sup>2</sup>. Bone mineral density was measured using the QDR 2000 (Hologic, Inc., Waltham, MA, USA). Quality control measures have been detailed elsewhere [34]. Grip strength was measured with a handheld dynamometer according to standardized protocol. Vertebral fractures were assessed using a standardized digitization and semi-quantitative classification method from lateral radiographs of the thoracic and lumbar spine that has been described previously [34]. A fracture was defined as any deformity in vertebral height ratio exceeding three standard deviations below the mean of normal [34].

### Statistical analyses

We first calculated mean Timed Up and Go performance times, measured in seconds, by quartile of kyphosis. We then used a multiple linear regression model to estimate the independent association of angle of kyphosis with performance times, and to quantify the effects of other covariates on this measure of mobility, including age, smoking status, body mass index, total BMD of the hip, grip strength, and number of vertebral fractures. We categorized body mass index according to Center for Disease Control categories (<18.5=underweight, 18.5-24.9=normal, 25-29.9=overweight and  $\ge 30$ =obese), and BMD according to the World Health Organization cutoff values for osteopenia using total hip BMD measurements from Hologic equipment (total hip BMD <0.637 g/m<sup>2</sup>=osteoporosis, 0.637-0.820 g/m<sup>2</sup>=osteopenia, and >0.820 g/m<sup>2</sup>=normal).

#### Results

Women were an average of 68.2 years old, and ranged from 55 to 81 years old (Table 1). All were independently living, ambulatory, and 95% rated their health as good to excellent.

Mean (SD) kyphosis angle was 47.6 (11.9)° (range 3–83°), and was associated with increasing age, higher body mass index, lower total hip BMD, lower grip strength, and history of vertebral fracture. Mean (SD) performance on the Timed Up and Go was 9.7 (2.7)s (range 5–91 s). Figure 1 shows mean performance times by quartile of kyphosis angle. In a model adjusting only for age, the increase in average performance time for each standard deviation (11.9°) increase in kyphosis angle was 0.2 s (p<0.001). The association between kyphosis and longer times was attenuated but remained statistically significant after controlling for age, smoking status, body mass index, total BMD of the hip, grip strength, and number of vertebral fractures (Table 2). Longer performance times were also strongly associated with increasing age and decreasing grip strength; in addition, there was weak evidence for reduced mobility among current smokers and women with vertebral fractures. Compared to women with normal BMI, average performance times were longer among women in the overweight and obese categories. Mobility was also reduced among women with total hip BMD in the osteoporotic range, as compared to women with normal hip BMD.

## **Discussion**

We found that kyphosis angle is a significant independent contributor to mobility impairment as assessed by the Timed Up and Go in both age-adjusted and multivariate-adjusted models. Our findings substantiate prior research showing that decreased mobility is associated with advancing age, muscle weakness, low bone density, and history of vertebral fracture [18,19,35]; however, distinct from previous studies, we found that hyperkyphosis is a significant contributor to mobility impairment independent of underlying low bone density and vertebral fractures that are often assumed to be the causative factors of ill health.

Performance times on the Timed Up and Go increased from a mean 9.3~s in the lowest quartile of kyphosis to a mean of 10.1~s in the highest quartile of kyphosis. The fourth quartile mean was longer than the upper limit of normal based on data for 4,395 adults aged 60–99 years, and is indicative of worse-than-average mobility [36]. However, the adjusted increase in average performance times for each standard deviation  $(11.9^\circ)$  increase in kyphosis angle was a modest 0.11~s, comparable to expected increase in performance time over 1~year.

The association of hyperkyphosis with impaired mobility may in part be explained by its impact on the body's center of mass, which in turn affects body sway, gait steadiness, and risk for falls [37]. Hyperkyphosis also restricts pulmonary capacity [16,38–41], which can interfere with normal physical function and ultimately increases risk of mortality [42].

While hyperkyphosis is easily clinically identifiable, body mass index, grip strength, and especially BMD are more difficult to measure, suggesting that significant hyperkyphosis could serve as a signal for further evaluation, including a check for undetected vertebral fractures and an evaluation of fall risk. In addition, exercise regimens and bracing that have been shown to reduce hyperkyphosis may also be appropriate [20–27]. Our results suggest that treating hyperkyphosis may help preserve mobility, although further work is needed to determine whether reducing hyperkyphosis alone can slow mobility decline.

#### Limitations

The primary limitation of our study is the cross-sectional nature of this analysis, which does not allow us to infer causality. The strengths of our study include the large sample size and measurement of kyphosis angle, Timed Up and Go performance times, and potential confounders of their association, including BMD, grip strength, and vertebral fracture. Furthermore, using an objective measure of physical function that is a validated predictor of increased fall risk allows us to demonstrate a more clinically meaningful outcome rather

than merely report a significant association. Finally, we were able to disentangle the ill effects of spinal osteoporosis from that of hyperkyphosis. Until recently, many have assumed that hyperkyphosis is simply a reflection of underlying vertebral fractures; our results suggest that hyperkyphosis itself is deserving of more clinical attention.

## **Conclusions**

Kyphosis angle is independently associated with decreased mobility, which is in turn correlated with increased fall risk. Hyperkyphosis may be a useful clinical marker signaling the need for evaluation of vertebral fracture and falling risk. While exercises and bracing that can reduce hyperkyphosis are available, further work is needed to show that reducing hyperkyphosis helps preserve mobility and reduces falling risk.

# **Acknowledgments**

All of the authors had access to the data and participated in writing the manuscript.

This research was supported by the UCSF-Kaiser Building Interdisciplinary Research Careers in Women's Health (BIRCWH) and co-funded by the National Institute of Child Health and Human Development (NICHD) and the Office of Research on Women's Health (ORWH) 5 K12 HD052163.

## References

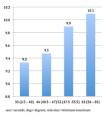
- 1. Balzini L, Vannucchi L, Benvenuti F, et al. Clinical characteristics of flexed posture in elderly women. J Am Geriatr Soc 2003;51(10):1419–1426. [PubMed: 14511162]
- Sinaki M, Brey RH, Hughes CA, Larson DR, Kaufman KR. Balance disorder and increased risk of falls in osteoporosis and kyphosis: significance of kyphotic posture and muscle strength. Osteoporos Int 2005;16(8):1004–1010. [PubMed: 15549266]
- 3. Ryan SD, Fried LP. The impact of kyphosis on daily functioning. J Am Geriatr Soc 1997;45(12): 1479–1486. [PubMed: 9400558]
- 4. Lyles KW, Gold DT, Shipp KM, Pieper CF, Martinez S, Mulhausen PL. Association of osteoporotic vertebral compression fractures with impaired functional status. Am J Med 1993;94(6):595–601. [PubMed: 8506884]
- Kado DM, Huang MH, Barrett-Connor E, Greendale GA. Hyperkyphotic posture and poor physical functional ability in older community-dwelling men and women: the Rancho Bernardo study. J Gerontol A Biol Sci Med Sci 2005;60(5):633–637. [PubMed: 15972617]
- Schenkman M, Shipp KM, Chandler J, Studenski SA, Kuchibhatla M. Relationships between mobility of axial structures and physical performance. Phys Ther 1996;76(3):276–285. [PubMed: 8602413]
- 7. Kado DM, Huang MH, Nguyen CB, Barrett-Connor E, Greendale GA. Hyperkyphotic posture and risk of injurious falls in older persons: the Rancho Bernardo Study. J Gerontol A Biol Sci Med Sci 2007;62(6):652–657. [PubMed: 17595423]
- 8. Huang MH, Barrett-Connor E, Greendale GA, Kado DM. Hyperkyphotic posture and risk of future osteoporotic fractures: the Rancho Bernardo study. J Bone Miner Res 2006;21(3):419–423. [PubMed: 16491290]
- 9. Hirose D, Ishida K, Nagano Y, Takahashi T, Yamamoto H. Posture of the trunk in the sagittal plane is associated with gait in community-dwelling elderly population. Clin Biomech (Bristol, Avon) 2004;19(1):57–63.
- 10. Takahashi T. Trunk deformity is associated with a reduction in outdoor activites of daily living and life satisfaction in community-dwelling older people. Osteoporos Int 2005;16:273–279. [PubMed: 15235766]
- Nevitt MC, Ettinger B, Black DM, et al. The association of radiographically detected vertebral fractures with back pain and function: a prospective study. Ann Intern Med 1998;128(10):793– 800. [PubMed: 9599190]

12. Kado DM, Duong T, Stone KL, et al. Incident vertebral fractures and mortality in older women: a prospective study. Osteoporos Int 2003;14(7):589–594. [PubMed: 12827222]

- Kado DM, Browner WS, Palermo L, Nevitt MC, Genant HK, Cummings SR. Study of Osteoporotic Fractures Research Group. Vertebral fractures and mortality in older women: a prospective study. Arch Intern Med 1999;159(11):1215–1220. [PubMed: 10371229]
- Ettinger B, Black DM, Palermo L, Nevitt MC, Melnikoff S, Cummings SR. Kyphosis in older women and its relation to back pain, disability and osteopenia: the study of osteoporotic fractures. Osteoporos Int 1994;4(1):55–60. [PubMed: 8148573]
- Ensrud KE, Black DM, Harris F, Ettinger B, Cummings SR. The Fracture Intervention Trial Research Group. Correlates of kyphosis in older women. J Am Geriatr Soc 1997;45(6):682–687.
   [PubMed: 9180660]
- Lombardi I Jr, Oliveira LM, Monteiro CR, Confessor YQ, Barros TL, Natour J. Evaluation of physical capacity and quality of life in osteoporotic women. Osteoporos Int 2004;15(1):80–85.
   [PubMed: 14593454]
- 17. Schneider DL, von Muhlen D, Barrett-Connor E, Sartoris DJ. Kyphosis does not equal vertebral fractures: the Rancho Bernardo study. J Rheumatol 2004;31(4):747–752. [PubMed: 15088302]
- Brown M, Sinacore DR, Binder EF, Kohrt WM. Physical and performance measures for the identification of mild to moderate frailty. J Gerontol A Biol Sci Med Sci 2000;55(6):M350–M355. [PubMed: 10843356]
- 19. Lindsey C, Brownbill RA, Bohannon RA, Ilich JZ. Association of physical performance measures with bone mineral density in postmenopausal women. Arch Phys Med Rehabil 2005;86(6):1102–1107. [PubMed: 15954047]
- Benedetti MG, Berti L, Presti C, Frizziero A, Giannini S. Effects of an adapted physical activity program in a group of elderly subjects with flexed posture: clinical and instrumental assessment. J Neuroeng Rehabil 2008;5:32. [PubMed: 19032751]
- 21. Greendale GA, Huang MH, Karlamangla AS, Seeger L, Crawford S. Yoga decreases kyphosis in senior women and men with adult-onset hyperkyphosis: results of a randomized controlled trial. J Am Geriatr Soc 2009;57(9):1569–1579. [PubMed: 19682114]
- 22. Katzman WB, Sellmeyer DE, Stewart AL, Wanek L, Hamel KA. Changes in flexed posture, musculoskeletal impairments, and physical performance after group exercise in community-dwelling older women. Arch Phys Med Rehabil 2007;88(2):192–199. [PubMed: 17270517]
- 23. Pawlowsky SB, Hamel KA, Katzman WB. Stability of kyphosis, strength, and physical performance gains 1 year after a group exercise program in community-dwelling hyperkyphotic older women. Arch Phys Med Rehabil 2009;90(2):358–361. [PubMed: 19236993]
- 24. Itoi E, Sinaki M. Effect of back-strengthening exercise on posture in healthy women 49 to 65 years of age. Mayo Clin Proc 1994;69(11):1054–1059. [PubMed: 7967758]
- Renno RACMGR, Driusso P, Costa D, Oishi J. Effects of an exercise programon respiratory functon, posture, and onquality-of-life in osteoporotic women: a pilot study. Physiotherapy 2005;91:113–118.
- 26. Pfeifer M, Begerow B, Minne HW. Effects of a new spinal orthosis on posture, trunk strength, and quality of life in women with postmenopausal osteoporosis: a randomized trial. Am J Phys Med Rehabil 2004;83(3):177–186. [PubMed: 15043351]
- Bautmans I, Van Arken J, Van Mackelenberg M, Mets T. Rehabilitation using manual mobilization for thoracic kyphosis in elderly postmenopausal patients with osteoporosis. J Rehabil Med 2010;42(2):129–135. [PubMed: 20140408]
- 28. Black DM, Cummings SR, Karpf DB, et al. Fracture Intervention Trial Research Group. Randomised trial of effect of alendronate on risk of fracture in women with existing vertebral fractures. Lancet 1996;348(9041):1535–1541. [PubMed: 8950879]
- 29. Cummings SR, Black DM, Thompson DE, et al. Effect of alendronate on risk of fracture in women with low bone density but without vertebral fractures: results from the Fracture Intervention Trial. JAMA 1998;280(24):2077–2082. [PubMed: 9875874]
- 30. Ohlen G, Spangfort E, Tingvall C. Measurement of spinal sagittal configuration and mobility with Debrunner's kyphometer. Spine 1989;14(6):580–583. [PubMed: 2749372]

31. Podsiadlo D, Richardson S. The timed "Up & Go": a test of basic functional mobility for frail elderly persons. J Am Geriatr Soc 1991;39(2):142–148. [PubMed: 1991946]

- 32. Bischoff HA, Stahelin HB, Monsch AU, et al. Identifying a cut-off point for normal mobility: a comparison of the timed 'up and go' test in community-dwelling and institutionalised elderly women. Age Ageing 2003;32(3):315–320. [PubMed: 12720619]
- 33. Shumway-Cook A, Brauer S, Woollacott M. Predicting the probability for falls in community-dwelling older adults using the Timed Up & Go Test. Phys Ther 2000;80(9):896–903. [PubMed: 10960937]
- 34. Black DM, Reiss TF, Nevitt MC, Cauley J, Karpf D, Cummings SR. Design of the Fracture Intervention Trial. Osteoporos Int 1993;3 Suppl 3:S29–S39. [PubMed: 8298200]
- 35. Fried LP, Tangen CM, Walston J, et al. Frailty in older adults: evidence for a phenotype. J Gerontol A Biol Sci Med Sci 2001;56(3):M146–M156. [PubMed: 11253156]
- 36. Bohannon RW. Reference values for the timed up and go test: a descriptive meta-analysis. J Geriatr Phys Ther 2006;29(2):64–68. [PubMed: 16914068]
- 37. Sinaki M, Brey RH, Hughes CA, Larson DR, Kaufman KR. Significant reduction in risk of falls and back pain in osteoporotic-kyphotic women through a Spinal Proprioceptive Extension Exercise Dynamic (SPEED) program. Mayo Clin Proc 2005;80(7):849–855. [PubMed: 16007888]
- 38. Di Bari M, van de Poll-Franse LV, Onder G, et al. Antihypertensive medications and differences in muscle mass in older persons: the Health, Aging and Body Composition Study. J Am Geriatr Soc 2004;52(6):961–966. [PubMed: 15161462]
- 39. Culham EG, Jimenez HA, King CE. Thoracic kyphosis, rib mobility, and lung volumes in normal women and women with osteoporosis. Spine (Phila Pa 1976) 1994;19(11):1250–1255. [PubMed: 8073317]
- 40. Schlaich C, Minne HW, Bruckner T, et al. Reduced pulmonary function in patients with spinal osteoporotic fractures. Osteoporos Int 1998;8(3):261–267. [PubMed: 9797911]
- 41. Leech JA, Dulberg C, Kellie S, Pattee L, Gay J. Relationship of lung function to severity of osteoporosis in women. Am Rev Respir Dis 1990;141(1):68–71. [PubMed: 2297189]
- 42. Kado DM, Huang MH, Karlamangla AS, Barrett-Connor E, Greendale GA. Hyperkyphotic posture predicts mortality in older community-dwelling men and women: a prospective study. J Am Geriatr Soc 2004;52(10):1662–1667. [PubMed: 15450042]



**Fig. 1.** Timed Up and Go (*s*) by Quartile of Kyphosis (°) (min-max)

 Table 1

 Baseline characteristics of 3,108 subjects in the placebo arm of the Fracture Intervention Trial (FIT)

	Mean (SD) or percent
Kyphosis (degs)	47.6 (11.9)
Age (years) 68.2 (6.1)	
Smoking	
Never	54%
Smoked in past	35%
Current smoker	11%
Body mass index	
<18.5=underweight	2%
18.5/24.999=normal	52%
25/29.999=overweight	34%
>30=obese	12%
Total hip BMD (g/m <sup>2</sup> )	
<0.637 g/m <sup>2</sup> =osteoporosis	25%
0.637-0.820 g/m <sup>2</sup> =osteopenia	69%
>0.820 g/m <sup>2</sup> =normal)	6%
Grip strength (kgs) 23.7 (5.1)	
Number of vertebral fx at baseline (n)	
0	70%
1	20%
2	10%

SD standard deviation, degs degrees,  $g/m^2$  grams per meter squared; kgs kilograms, n number

Table 2
Predictors of impaired mobility

Variable	Increase in performance times on Timed Up and Go (s) (95% CI)	p value
Kyphosis (per SD)	0.11 (0.02, 0.21)	0.02
Age (per 5 yrs)	0.46 (0.38, 0.54)	< 0.0001
Smoking		
Non-smoker	Reference	-
Former smoker	-0.14 (-0.34, 0.05)	0.15
Current smoker	0.26 (-0.04, 0.57)	0.09
Body mass index		
Underweight	0.03 (-0.65, 0.72)	0.92
Normal	Reference	-
Overweight	0.47 (0.27, 0.68)	< 0.0001
Obese	1.23 (0.93, 1.53)	< 0.0001
Total hip BMD		
Normal	Reference	-
Osteopenic	0.05 (-0.35, 0.45)	0.81
Osteoporotic	0.55 (0.11, 0.99)	0.015
Grip strength (per SD)	-0.22 (-0.32, -0.13)	< 0.0001
Vertebral fractures (n)		
None	Reference	-
1	0.16 (-0.08, 0.39)	0.19
2 or more	0.49 (0.17, 0.82)	0.003

95% CI 95% confidence interval, yrs years, SD standard deviation, n number