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Comparative and demographic analysis of orangutan genomes

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Abstract

“Orangutan” is derived from the Malay term “man of the forest” and aptly describes the Southeast Asian great apes native to Sumatra and Borneo. The orangutan species, *Pongo abelii* (Sumatran) and *Pongo pygmaeus* (Bornean), are the most phylogenetically distant great apes from humans, thereby providing an informative perspective on hominid evolution. Here we present a Sumatran orangutan draft genome assembly and short read sequence data from five Sumatran and five Bornean orangutan genomes. Our analyses reveal that, compared to other primates, the orangutan genome has many unique features. Structural evolution of the orangutan genome has proceeded much more slowly than other great apes, evidenced by fewer rearrangements, less segmental duplication, a lower rate of gene family turnover and surprisingly quiescent *Alu* repeats, which have played a major role in restructuring other primate genomes. We also describe the first primate polymorphic neocentromere, found in both *Pongo* species, emphasizing the gradual evolution of orangutan genome structure. Orangutans have extremely low energy usage for a eutherian mammal¹, far lower than their hominid relatives. Adding their genome to the repertoire of sequenced primates illuminates new signals of positive selection in several pathways including glycolipid metabolism. From the population perspective, both *Pongo* species are deeply diverse; however, Sumatran individuals possess greater diversity than their Bornean counterparts, and more species-specific variation. Our estimate of Bornean/Sumatran speciation time, 400k years ago (*ya*), is more recent than most previous studies and underscores the complexity of the

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Author Contributions

D.P.L. led the project and manuscript preparation. D.P.L., A.S., T.M.-B., C.P.P., M.A.B., A.N., E.E.E., M.W.H., C.L.-O., C.D.B., J.M. and M.H.S. led the analyses. Sanger data production, assembly construction, testing and submission: L.W.H, W.C.W., S.-P.Y., Z.W., A.T.C., P.M., M.M., L.A.F., R.A.F., J.O.N., C.P., K.C.W, L.V.N., D.M.M., A.C., H.H.D., J.H., C.L.K., G.R.F. and J.R. BAC sequencing: T.A.G. 454 cDNA sequencing: V.M. and C.M. Illumina sequencing: L.C., K.D.D. and C.F. SNP validation: H.S. Indel assessment: G.L., S.M., A.H. and C.P.P. Segmental duplication, divergence and structural variation studies: T.M.-B., C.A., L.C., Z.C., J.M.K. and E.E.E. Gene models: S.W., S.S. and A.J.V. Assembly-based SNPs: Y.C. and P.F. Ancestral reconstruction and rearrangement analyses: J.M., B.R., B.S., R.B., J.H., D.H., R.S.H. and W.M. Regional variation in nucleotide divergence analyses: R.F., O.F., F.D., D.F., E.G., M.O. and A.N. Cytogenetics and neocentromere characterization: R.R., O.C., N.A., G.D.V., S.P and M.R. Repeat analyses: M.K.K., J.A.W., B.U., M.A.B., A.F.A.S., and R.H. Gene family evolution analyses: C.C., D.R.S. and M.W.H. Protease gene family studies: V.Q., X.S.P., G.R.O. and C.L.-O. Ortholog and defensin analyses: T.V., B.B., A.R., W.M. Positive selection analyses: C.K., T.V., H.A.L., V.T., A.L.M. and A.S. Short read alignments, SNP calling and population genetics: A.R., X.M., J.D. and C.D.B. Demographic analyses: R.N.G. Coalescent-HMM analyses: T.M., J.Y.D., A.H. and M.H.S. Orangutan samples for diversity sequencing: L.C. and O.A.R. BAC library construction: Y.Y. and P.J.dJ. Principle investigators: G.M.W., E.R.M., R.A.G. and R.K.W.

Author Information The *Pongo abelii* whole-genome shotgun project has been deposited in DDBJ/EMBL/GenBank under the project accession ABGA00000000. The version described in this paper is ABGA00000000.1. Assembly-based SNPs and SNPs derived from short read sequence data have been deposited in dbSNP. All short read data have been deposited into the Short Read Archive (<http://www.ncbi.nlm.nih.gov/sra>) under accessions listed in supplemental files.

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orangutan speciation process. Despite a smaller modern census population size, the Sumatran effective population size (N_e) expanded exponentially relative to the ancestral N_e after the split, while Bornean N_e declined over the same period. Overall, the resources and analyses presented here offer new opportunities in evolutionary genomics, insights into hominid biology, and an extensive database of variation for conservation efforts.

Orangutans are the only primarily arboreal great apes, characterized by strong sexual dimorphism and delayed development of mature male features, a long lifespan (35-45 years in the wild, over 55 years in captivity) and the longest interbirth interval among mammals (8 years on average)². Orangutans create and adeptly use tools in the wild, and while long presumed socially solitary, dense populations of Sumatran orangutans show complex social structure and geographic variability in tool use indicative of cultural learning³. Both species have been subject to intense population pressure from loss of habitat, deforestation, hunting and disease. A 2004 study estimated 7,000-7,500 Sumatran individuals and 40,000-50,000 Bornean individuals remained in the wild in fragmented subpopulations^{4,5}. The International Union for Conservation of Nature lists Sumatran orangutans as critically endangered and Bornean orangutans as endangered.

We sequenced the genome of a female Sumatran orangutan using a whole-genome shotgun strategy. The assembly provides 5.5-fold coverage on average across 3.08 gigabases (Gb) of ordered and oriented sequence (Table 1)(S1). Accuracy was assessed by several metrics, including comparison to 17 megabases (Mb) of finished bacterial artificial chromosome (BAC) sequences and a novel method of detecting spurious insertions and deletions (S2). Further validation resulted from orangutan-human divergence estimates based on alignment of whole-genome shotgun reads to the human reference (Hs.35)(Fig 1)(S3). We also sequenced the genomes of 10 additional unrelated wild-caught orangutans, five Sumatran and five Bornean, using a short read sequencing platform (297 Gb of data total)(S4). The orangutan gene set was constructed using a combination of human gene models and orangutan cDNA data generated for this project (www.ensembl.org/Pongo_pygmaeus/Info/StatsTable)(S5).

Among hominids, the orangutan karyotype is the most ancestral⁶, and sequencing the orangutan genome allowed a comprehensive assessment of conservation among the wide range of rearrangement types and sequence classes involved in structural variation. We characterized orangutan synteny breaks in detail cytogenetically in concert with an *in silico* approach that precisely tracked rearrangements between primate (human, chimpanzee, orangutan and rhesus macaque) and other mammalian assemblies (mouse, rat and dog)(S6). Alignment-level analyses at 100 kb and 5 kb resolution found the orangutan genome underwent fewer rearrangements than the chimpanzee or human genomes, with a bias for large-scale events (>100 kb) on the chimpanzee branch (Table 2). Orangutan large-scale rearrangements were further enriched for segmental duplications (SD)(52%) than for small-scale events (27%), suggesting mechanisms other than non-allelic homologous recombination may have made a greater contribution to small rearrangements. Genome-wide, we estimated less segmental duplication content (3.8% total) in the orangutan genome compared to the chimpanzee and human genomes (5%) using equivalent methods (S11). We

also assessed the rate of turnover within gene families as an additional measure of genome restructuring (S12). Our analysis indicated that the human and chimpanzee lineages, as well as their shared ancestral lineage after the orangutan split, had the highest rates of gene turnover among great apes (0.0058 events/gene/my) – over twice the rate of the orangutan and macaque lineages (0.0027) – even as the nucleotide substitution rate decreased⁷. Collectively these data strongly suggest structural evolution proceeded much more slowly along the orangutan branch, in sharp contrast to the acceleration of structural variation noted for the chimpanzee and human genomes^{8,9}.

One structural variant we characterized in detail was a previously described polymorphic “pericentric inversion” of orangutan chromosome 12¹⁰. Surprisingly, both forms of this chromosome showed no difference in marker order by fluorescence *in situ* hybridization (FISH) despite two distinct centromere positions – the hallmark of a neocentromere (Fig 2) (S8). Neocentromere function was confirmed by chromatin immunoprecipitation with antibodies to centromeric proteins CENP-A and CENP-C and subsequent oligo array hybridization (ChIP-on-chip), which narrowed the neocentromere to a ~225 kb gene-free window devoid of alpha satellite-related sequences. Our observations bore similarity to a recently described centromere repositioning event in the horse genome¹¹; however, this is the first observation of such a variant among primates, with the additional complexity of polymorphism in two closely related species. Potentially related, orangutan chromosome 12 did not show any appreciable centromeric alphoid FISH signal in comparison to other autosomes. The neocentromere likely arose prior to the Bornean/Sumatran split as it is found in both species, and represents a unique opportunity to study the initial stages of centromere formation and the impact of such a large chromosomal variant on population variation and recombination.

The orangutan genome has a comparable cadre of mobile elements to that of other primates, comprising roughly half the genome^{12,13,14}. Orangutan LINE1 (L1) and SVA expansions were expectedly broad, with roughly 5,000 and 1,800 new insertions respectively, consistent with other primates (S9). Surprisingly, *Alu* elements were relatively quiescent, with only ~250 recent insertions identified by computational and laboratory approaches (Fig 3). By comparison, 5,000 human-specific and 2,300 chimpanzee-specific *Alu* elements were identified by similar methods. The rate of processed pseudogene formation, which like *Alu* insertion requires functional L1 machinery, was similar for the human (8.0/my), chimpanzee (12.7/my) and orangutan (11.6/my) lineages (S10). We identified a small number of polymorphic *Alu* elements exclusive to *Pongo abelii* (S19), indicating that *Alu* retroposition has been strongly limited, but not eliminated. This dramatic *Alu*-specific repression represents an unprecedented change in primate retrotransposition rates^{16,17}. Possible explanations include L1 source mutations that lowered *Alu* affinity and *cis* mobilization preference¹⁸, pressure against *Alu* retroposition from the *APOBEC* RNA editing family¹⁹, or fixation of less effectively propagated *Alu* “master” variants.

It is tempting to propose a correlation between reduced *Alu* retroposition and the greater structural stability of the orangutan genome. Over one million (M) *Alu* elements exist within primate genomes. Because of their large copy number and high sequence identity, *Alu* repeats play a crucial role in multiple forms of structural variation through insertion and

post-insertion recombination²⁰. By virtue of reduced *Alu* retroposition, the orangutan lineage experienced fewer new insertions and a putative decrease in the number of regions susceptible to post-insertion *Alu*-mediated recombination events genome-wide, limiting the overall mobile element threat to the genome.

The unique phylogenetic position of *Pongo* species also offered the opportunity to detect signals of positive selection with increased power. We assessed positive selection in 13,872 human genes with high-confidence orthologs in the orangutan genome, and in one or more of the chimpanzee, rhesus macaque and dog genomes, using branch-site likelihood ratio tests (S15)^{14,21}. Two new Gene Ontology (GO) categories were statistically enriched for positive selection in primates: “visual perception” and “glycolipid metabolic processes”²². The enrichment for visual perception includes strong evidence from two major visual signalling proteins: arrestin (*SAG*, $P=0.007$) and recoverin (*RCVRN*, $P=0.008$), as well as the opsin, *OPN1SW1* ($P=0.020$), associated with blue color vision²³. The enrichment for glycolipid metabolism is interesting due to medium-to-strong evidence for positive selection (nominal $P<0.05$) from six genes expressed in nervous tissue that cluster in the cerebroside-sulfatid region of the sphingolipid metabolism pathway (Fig 4). This pathway is associated with human neurodegenerative diseases such as Gaucher’s, Sandhoff’s, Tay-Sachs, and metachromatic leukodystrophy. Variation in lipid metabolism may have impacted neurological evolution among primates, and diversity of diets and life history strategies, as apes – especially orangutans – have slower rates of reproduction and dramatically lower energy usage than other primates and mammals¹.

Ancestral orangutan species ranged broadly across Southeast Asia, including the mainland, while modern species are geographically restricted to their respective islands due to environmental forces and human population expansion. Historically, protein markers, restriction fragment length polymorphisms, and small sets of mitochondrial and nuclear markers have been used to estimate the divergence and diversity of orangutan species. We employed short read sequencing to address this question from a genome-wide perspective. We first estimated average Bornean/Sumatran nucleotide identity genome-wide (99.68%) based on the alignment of 20-fold coverage of short read data from a Bornean individual to the Sumatran reference (S16). We then called SNPs from the alignment of all short read data from 10 individuals (five Bornean, including the 20-fold coverage mentioned above, and five Sumatran)(S4). We analyzed each species separately using a Bayesian approach with 92% power to detect SNPs (S20). Because of relatively deep sequencing, allele frequency spectra (AFS) were estimated accurately, but with an overestimation of singletons compared to other allele frequency categories of approximately 7.8% based on re-sequencing a subset of SNPs ($n=108$)(S20). This level of error had only a marginal effect on downstream population genetic analyses (S21). Overall, 99.0% (931/940) of genotypes were accurately called within the re-sequenced subset of SNPs.

In total, we identified 13.2 M putative SNPs across 1.96 Gb of the genome, or 1 SNP every 149 bp on average. Within the Bornean and Sumatran groups we detected 6.69 M (3.80 M Bornean-exclusive) and 8.96 M (5.19 M Sumatran-exclusive) SNPs, respectively (Fig 5). Observing 36% more SNPs among Sumatran individuals strongly supports a larger N_e . In addition, independent analysis of 85 polymorphic retroelement loci among 37 individuals

(19 Sumatran, 18 Bornean) also showed more complex Sumatran population structure (S19). Using Watterson's approach²⁴ we estimated nucleotide diversity from the SNP data as $\theta_W = 1.21$ and $\theta_W = 1.62$ per kb for the Bornean and Sumatran species, respectively, and $\theta_W = 1.89$ per kb for the orangutan species combined, roughly twice the diversity of modern humans²⁵.

The modal category of SNPs were singletons, with 2.0 M and 3.7 M SNPs observed as single heterozygous sites in a Bornean or Sumatran individual, consistent with the expectation that most genetic variation for an outcrossing population ought to be rare due to mutation drift equilibrium. We observed little correlation between Bornean and Sumatran SNPs in the AFS (i.e., the "heat" of the map is not along the diagonal as expected for populations with similar allele frequencies, but rather along the edges)(Fig 5b). This was further supported by Principal Component Analysis, in which PC1 corresponded to the Bornean/Sumatran population label and explained 36% of the variance (S20).

Based on these data, our demographic model consisted of a two-population model with divergence and potential migration, growth and difference in population size (S21). Among several models tested we found very strong statistical support (10^5 log-likelihood units) for the most complex model, which included a split with growth and subsequent low-level migration. We estimated a relative N_e of 210% for Sumatran orangutans relative to the ancestral and 49% for Bornean orangutans, noting a four-fold difference for the derived populations (Fig 5c). Assuming a mutation rate of 2.0×10^{-8} and 20 years per generation, we estimated an ancestral N_e of 17,900 and a split time of 400k ya.

Parallel to the SNP-based effort, we employed a coalescent hidden Markov model (coal-HMM) approach to estimate speciation time, recombination rate and ancestral N_e from the alignment of 20-fold coverage of a Bornean individual to the Sumatran reference (S17). This method also supported a relatively recent Bornean/Sumatran speciation time ($334k \pm 145k$ ya), and estimated a recombination rate of 0.95 ± 0.72 cM/Mb. We independently estimated the ancestral N_e of the autosomes ($26,800 \pm 6,700$) and the X chromosome ($20,400 \pm 7,400$), which was consistent with the theoretical $\frac{3}{4}$ effective population size of X chromosomes compared to autosomes. The Bornean and Sumatran X chromosome thus diverged as expected, in contrast to the human-chimpanzee speciation process^{26,27}.

The orangutan story is thus a tale of two islands with distinct evolutionary histories. Our high-resolution population studies explored the counter-intuitive nature of orangutan diversity – greater variation among Sumatran orangutans than their Bornean counterparts despite a smaller population size (approximately 7-fold lower by recent estimates). Further dissection of the orangutan speciation process will require a broader survey, incorporating representatives from additional orangutan subpopulations.

Finally, even though we found deep diversity in both Bornean and Sumatran populations, it is not clear whether this diversity will be maintained with continued habitat loss and population fragmentation. Evidence from other species suggests fragmentation is not the death knell of diversity²⁸, but their slow reproduction rate and arboreal lifestyle may leave orangutan species especially vulnerable to rapid dramatic environmental change. It is our

hope that the genome assembly and population variation data presented here provide a valuable resource to the community to aid the preservation of these precious species.

Methods Summary

Whole-genome sequencing was performed as described previously^{12, 13, 14}. The genome assembly was constructed with a custom computational pipeline (S1). Assembly source DNA was derived from a single Sumatran female (Susie; Studbook #1044; ISIS #71), courtesy of the Gladys Porter Zoo, Brownsville, Texas. Short fragment sequencing libraries for population studies (S4) were constructed in accordance with standard Illumina protocols and sequenced on the Illumina GAIIx platform. The resulting data were processed with Illumina base-calling software and analyzed using custom computational pipelines. See Supplemental Information for additional details.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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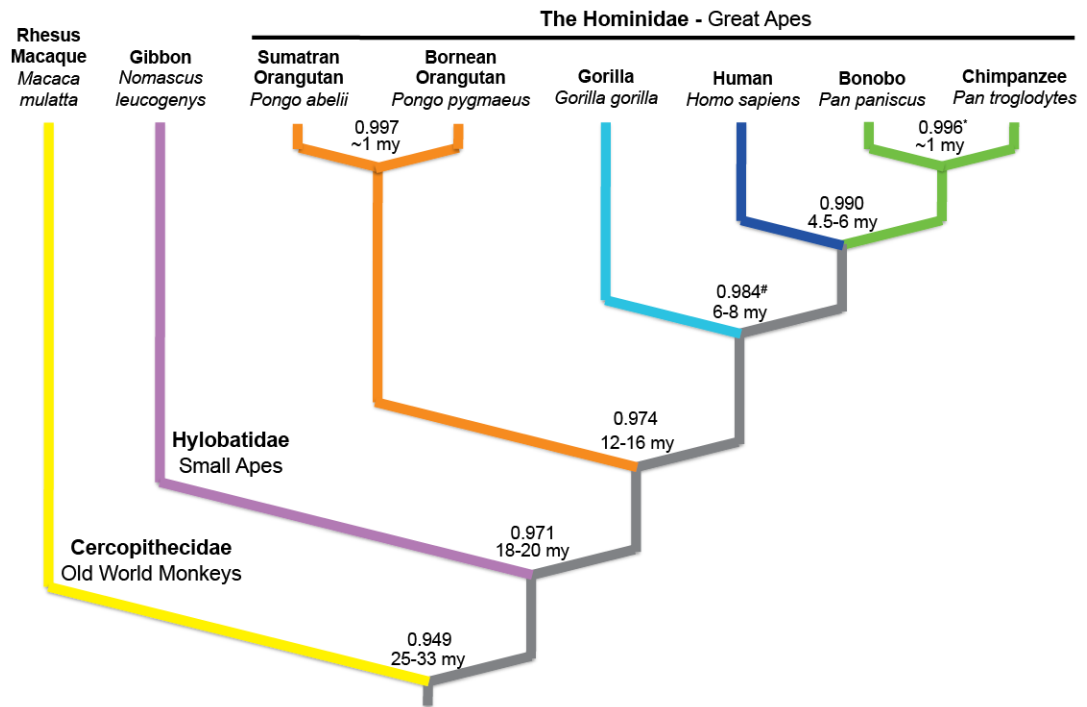


Figure 1. Divergence among great apes, a lesser ape, and an old world monkey with respect to humans

We estimated nucleotide divergence in unique gap-free sequence, indicated at each node, from the alignment of rhesus macaque (yellow), gibbon (purple), orangutan (orange), gorilla (aqua), chimpanzee (green) and human (blue) whole genome shotgun reads to the human reference (Hs.35)(S3). Note that the Bornean (*Pongo pygmaeus*) and Sumatran (*Pongo abelii*) orangutan species showed nucleotide identity comparable to that of bonobo (*Pan paniscus*) and chimpanzee (*Pan troglodytes*). *Yu et al. 2003²⁹, #Chen and Li 2001³⁰.

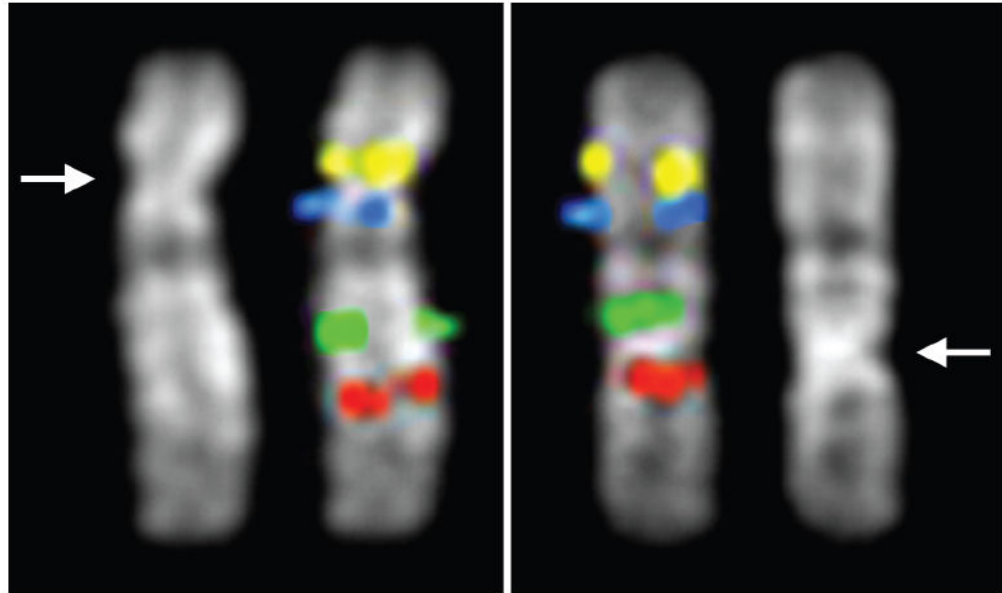


Figure 2. The neocentromere of orangutan chromosome 12

Note the identical order of four BAC-derived FISH probes (IDs in S8) between the normal (left panel) and neocentromere-bearing (right panel) configurations of orangutan chromosome 12, despite discordant centromere positions indicated by arrows on the adjacent DAPI-only images. The neocentromere recruits centromeric proteins CENP-A and CENP-C and lies within a ~225 kb gene-free and alpha satellite-free region. The neocentromere-bearing variant is polymorphic in both Bornean and Sumatran populations, suggesting the neocentromere arose prior to the Bornean/Sumatran split, yet has not been fixed in either species.

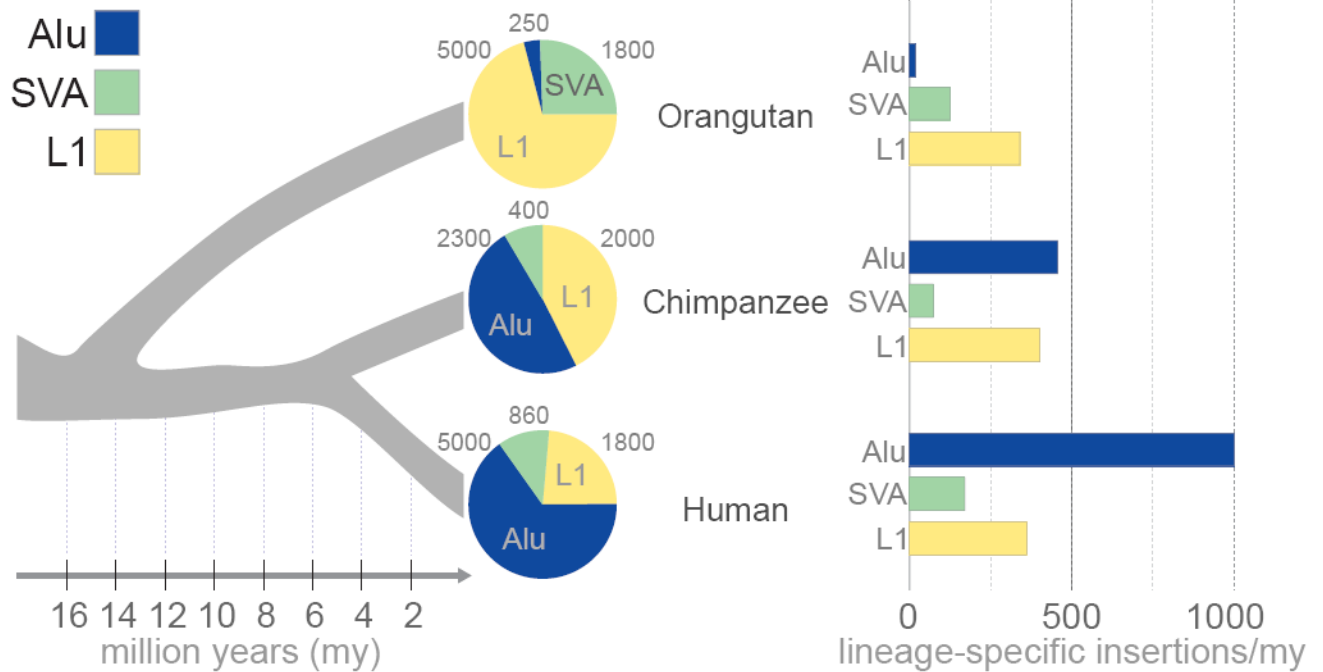


Figure 3. *Alu* quiescence in the orangutan lineage

We identified only ~250 lineage-specific *Alu* retroposition events in the orangutan genome, a dramatically lower rate than that of other sequenced primates, including humans. The total number of lineage-specific L1, SVA and *Alu* insertions is shown (pie chart), along with the rate of insertion events per element type (bar graph). Reduced *Alu* retroposition potentially limited the effect of a wide variety of repeat-driven mutational mechanisms in the orangutan lineage that played a major role in restructuring other primate genomes.

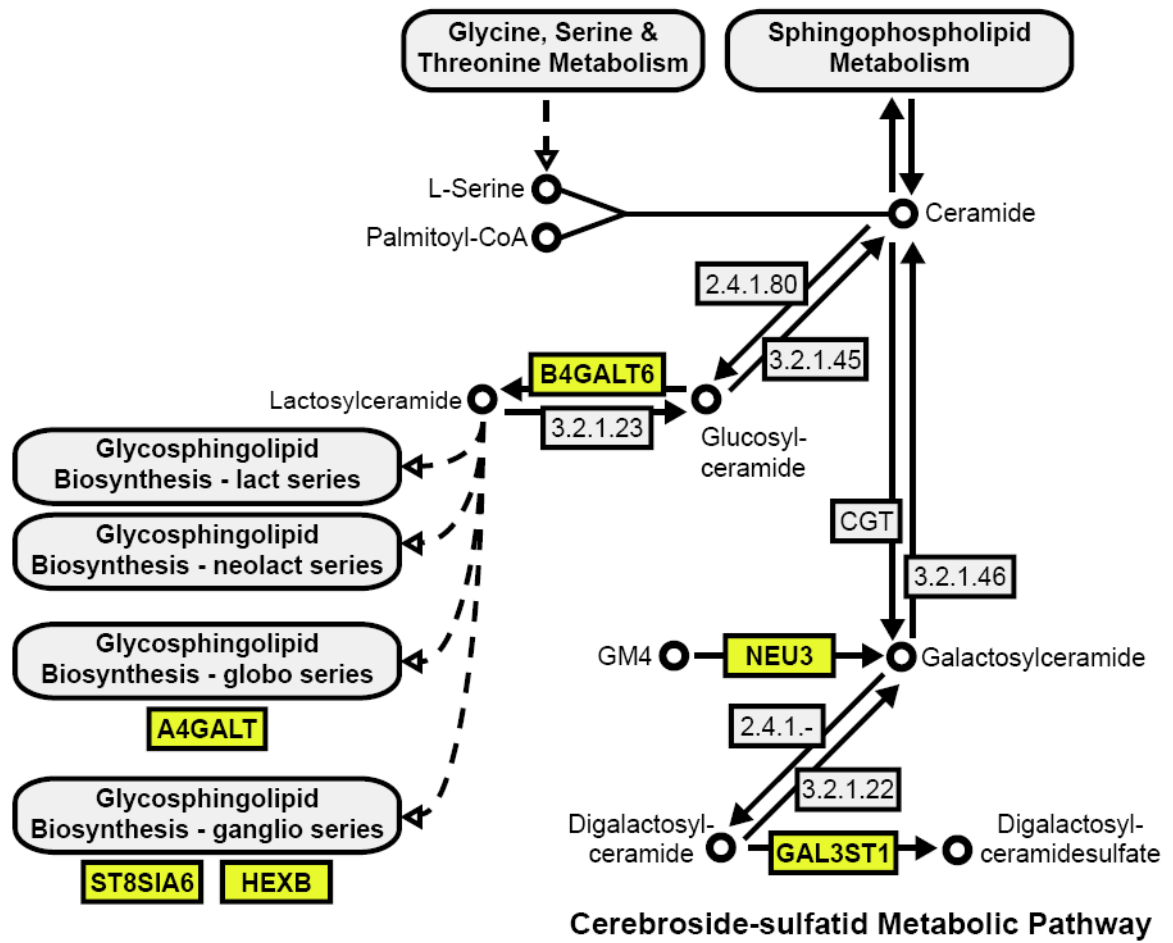


Figure 4. Enrichment for positive selection in the cerebroside-sulfatid metabolism pathway
 We identified six genes (indicated in yellow) under moderate to strong positive selection in primates ($P < 0.05$) that fall within the cerebroside-sulfatid region of the sphingolipid metabolism pathway (adapted from human KEGG pathway 00600; <http://www.genome.jp/kegg/kegg2.html>). This pathway is associated with several human lysosomal storage disorders, such as Gaucher’s disease, Sandhoff’s disease, Tay Sachs disease and metachromatic leukodystrophy.

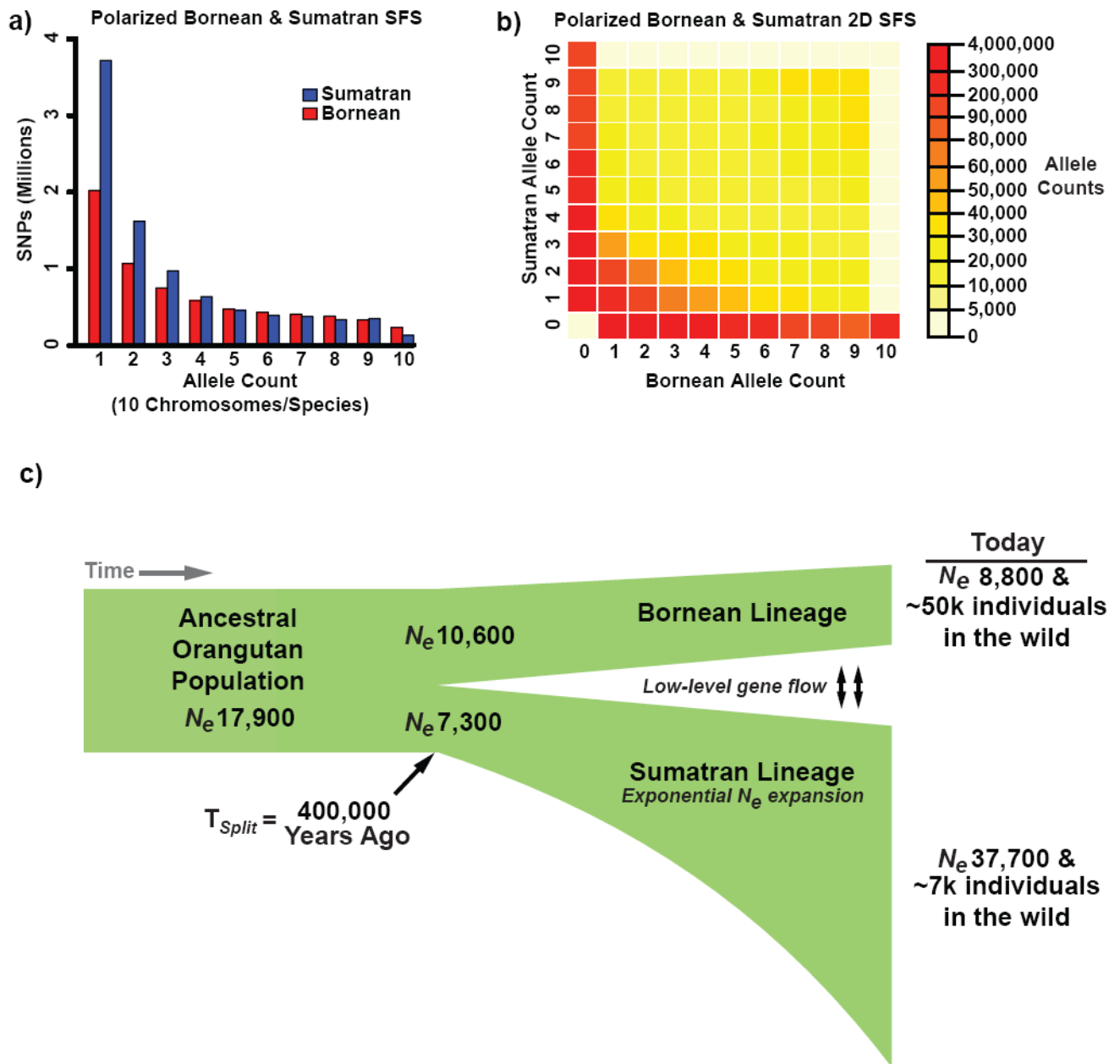


Figure 5. Orangutan population genetics and demographics

a. Site-frequency spectra (SFS) for 13.2 million Bornean (blue) and Sumatran (red) SNPs are shown, note the enrichment of low-frequency SNPs among Sumatran individuals. **b.** The majority of SNPs were restricted to their respective island populations as the ‘heat’ of the 2D SFS, representing high allele counts, lay along the axes. **c.** Our demographic model estimated the ancestral orangutan population ($N_e = 17,900$) split approximately 400,000 years ago, followed by exponential expansion of Sumatran N_e and a decline of Bornean N_e , culminating in higher diversity among modern Sumatran orangutans despite a lower census

population size. The model also supported low-level gene flow (<1 individual/generation) indicated by arrows.

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Table 1

Sumatran orangutan assembly statistics (ponAbe2).

Total Contig Bases	3.09 Gb
Total Contig Bases >Phred Q20	3.05 Gb (98.5%)
Ordered/Oriented Contigs & Scaffolds	3.08 Gb
Number of Contigs >1 kb	410,172
N50 Contig Length	15.5 kb
N50 Contig Number	55,989
Number of Scaffolds >2 kb	77,683
N50 Scaffold Length	739 kb
N50 Scaffold Number	1,031
Average Read Depth	5.53x

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Table 2

Number of genome rearrangements by species.

Species	Rearrangements >100 kb	Rearrangements >5 kb
Orangutan	38	861
Chimpanzee	85 (+124%)	1095 (+27%)
Human	54 (+42%)	1238 (44%)

The number in parentheses indicates the % with respect to the orangutan genome. Note 40 events >100 kb and 532 events >5 kb were assigned to the human-chimpanzee ancestor by ancestral reconstruction (S6).

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