

NIH Public Access

Author Manuscript

J Cell Mol Med. Author manuscript; available in PMC 2012 February 1

Published in final edited form as:

J Cell Mol Med. 2011 February ; 15(2): 187-195. doi:10.1111/j.1582-4934.2010.01238.x.

Two sides to every story: the HIF-dependent and HIFindependent functions of pVHL

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Abstract

von Hippel-Lindau (VHL) disease is a hereditary cancer syndrome caused by inherited mutations that inactivate the *VHL* tumor suppressor gene. The *VHL* locus encodes pVHL, whose best studied function is to bind to and downregulate the hypoxia-inducible factor (HIF) family of oxygen dependent transcription factors. Early efforts have established the fundamental role of HIF in *VHL*-defective tumorigenesis and in particular renal cell carcinoma (RCC). However, recent findings have revealed an alternate side to the story, the HIF-independent tumor suppressor functions of pVHL. These include pVHL's ability to regulate apoptosis and senescence as well as its role in the maintenance of primary cilia and orchestrating the deposition of the extracellular matrix (ECM). To what extent these HIF-dependent and HIF-independent functions cooperate in *VHL*-defective tumorigenesis remains to be determined.

Keywords

von Hippel-Lindau; pVHL; HIF; hypoxia-inducible factor; tumorigenesis; renal cell carcinoma; pheochromocytoma; senescence; cilia

von Hippel-Lindau Disease

von Hippel-Lindau (VHL) disease was first described in the medical literature in the late 19th century by the British surgeon and ophthalmologist, Treacher Collins, who reported on the occurrence of bilateral retinal hemangiomas in a pair of siblings [1]. Subsequent observations by Eugen von Hippel and Arvid Lindau linked the occurrence of retinal hemangiomas to central nervous system (CNS) hemangioblastomas [2]. The term von Hippel-Lindau disease was later coined by the neurosurgeon Harvey Cushing.

Patients with VHL disease are at increased risk for a variety of cancers, including renal cell carcinoma (RCC) of the clear cell histology, central nervous system hemangioblastomas (especially of the cerebellum and spinal cord), retinal hemangiomas, and pheochromocytomas [2]. Other manifestations include visceral cysts of the kidney and pancreas, pancreatic islet cell tumors, and epididymal or broad ligament papillary cystadenomas (in men and women respectively) [Figure 1]. In affected families, cancer risk is transmitted in an autosomal dominant manner.

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Conflict of Interest

The authors state that there is no conflict of interest.

Genetic linkage studies performed in the 1980s indicated that the VHL gene (*VHL*) resides on chromosome 3p25, which is a region of the genome that is commonly deleted in sporadic kidney cancers [3]. This information was used to successfully isolate the *VHL* gene in 1993 [4]. While RCC and hemangioblastomas are the leading cause of death in patients with VHL disease, retinal hemangiomas have the potential to cause significant morbidity (blindness) because of their association with posterior retinal detachment. Over the past century, studies focusing on the structure and function of the *VHL* tumor suppressor gene and its protein product, pVHL, have been highly informative with respect to the pathogenesis of clear cell renal carcinoma as well as the molecular mechanisms of oxygen sensing.

The VHL protein, pVHL

The *VHL* gene consists of 3 exons and is ubiquitously expressed. Translation of the *VHL* mRNA gives rise to 2 different protein products secondary to the presence of two distinct inframe ATG codons (codon 1 and 54), which can both serve as translational initiation sites [5–7]. In most biochemical and functional assays, the 2 proteins (pVHL₃₀ and pVHL₁₉) behave similarly and unless otherwise noted are referred to generically as pVHL. pVHL is primarily a cytoplasmic protein but can also be found elsewhere, including the nucleus, the mitochondria, and in association with the endoplasmic reticulum [8]. In fact, pVHL shuttles back and forth between the nucleus and the cytoplasm, and pVHL cannot suppress tumor growth when artificially restrained from doing so [9,10].

HIF-dependent pVHL functions

Many functions have been attributed to pVHL, however, the one best characterized and most clearly linked to the development of pVHL-defective tumors, is targeting of the hypoxiainducible factor (HIF) transcription factor for proteolytic degradation (Figure 2). HIF is a heterodimeric transcription factor consisting of an unstable alpha subunit and a stable beta subunit. Three HIF α genes (HIF1 α , HIF2 α , and HIF3 α) have been identified in the human genome [11]. Both HIF1 α and HIF2 α have two transcriptional activation domains, the Nterminal transactivation domain (NTAD) and the C-terminal transactivation domain (CTAD), which activate target genes upon DNA binding [12].

HIF1 α and HIF2 α do not appear to be fully redundant in function. While germline knock-out of *HIF1* α and *HIF2* α results in embryonic lethality the timing and cause of death appear to differ [13–15]. Moreover, post-natal inactivation of HIF1 α and HIF2 α leads to differing phenotypes as well [16]. Finally, the global gene expression changes induced by HIF1 and HIF2 show that they produce overlapping yet distinct gene expression profiles in both cells and in mice [17–21]. The role of HIF3 α , which possess a NTAD but lacks a CTAD, in transcriptional regulation is less well defined, and some HIF3 α splice variants appear to inhibit HIF-dependent transcriptional activation *in vitro* and *in vivo* [22–25].

Hydroxylation of HIF

When oxygen levels are high (normoxia), HIF α subunits are enzymatically hydroxylated on one or both prolyl residues that reside near the NTAD by members of the oxygen and 2oxoglutarate dependent prolyl hyroxylase (PHD) family [26–29]. There are at least 3 PHDs identified to date: *PHD1 (EGLN2), PHD2 (EGLN1)*, and *PHD3 (EGLN3)* [30]. While PHD2 is believed to be the primary hydroxylase for both HIF1 α and HIF2 α , other studies indicate that PHD3 may be mainly responsible for HIF2 α hydroxylation [31,32]. Hydroxylation of one or both proline residues within HIF1 α and HIF2 α creates a high affinity pVHL binding site. pVHL is part of a multisubunit ubiquitin ligase complex composed of elongin-B, elongin-C, Cullin-2, and ring-box 1 (Rbx1) [33]. pVHL serves as a substrate recognition When oxygen availability is limiting (hypoxia), the PHDs are enzymatically inactive, HIF α is therefore not hydroxylated, and does not interact with the pVHL complex. HIF α subunits therefore accumulate, are able to translocate to the nucleus, heterodimerize with HIF β (also called ARNT [aryl hydrocarbon nuclear translocator] and activate transcription of numerous target genes involved in cell proliferation, angiogenesis, glucose metabolism, apoptosis, and other cellular processes. Similarly, in the setting of *VHL* inactivation, while HIF α subunits are prolyl hydroxylated they are not degraded, and similar to hypoxia, are free to transactivate HIF target genes (Figure 2).

Soon after the discovery that HIF α subunits were prolyl hydroxylated, they were noted to be post-translationally hydroxylated in an oxygen-dependent manner on a conserved asparaginyl residue located in the CTAD by the asparaginyl hydroxylase, factor-inhibiting HIF (FIH1) [Figure 2] [36,37]. Asparaginyl hydroxylation of HIF prevents recruitment of the transcriptional co-activators p300 and CREB-binding protein (CBP), and disrupts HIF-mediated transactivation [37]. In contrast to the PHD family, FIH1 remains active even under conditions of moderate hypoxia suggesting that in this setting it may act as a secondary mechanism to inhibit HIF transcriptional activity [38]. Interestingly, the CTAD of HIF2 α appears to be relatively more resistant to inhibition of FIH1 under normoxia than the HIF1 α CTAD [39].

HIF is a key mediator of VHL defective tumorigenesis

Given the early age of onset of VHL associated tumors such as retinal hemangiomas, VHL inactivation is likely to be sufficient for their development. Other VHL associated tumors, such as RCC however, have a longer latency and more variable penetrance suggesting that VHL loss alone is insufficient for their tumorigenesis. Nonetheless, it is clear that VHL inactivation is necessary for their development and that HIF dysregulation plays an important role in this process. Indeed there are several lines of evidence that implicate HIFa, and in particular HIF2 α , as playing an active role in *VHL*-/- renal cell carcinogenesis. First and foremost, RCC-associated pVHL mutants are invariably defective with respect to HIF α polyubiquitination and therefore all VHL defective RCCs produce either HIF1 α and HIF2 α or solely HIF2 α [2,40–42]. This would suggest that there may be selective pressure to maintain HIF2a expression, but not HIF1a. Indeed, whole exosome sequencing from sporadic RCCs detected a significant, but low frequency of truncating mutations in $HIF1\alpha$ suggesting that in RCC it may function as a tumor suppressor [43]. Second, an apparent switch from HIF1 α to HIF2 α expression occurs in preneoplastic lesions arising in human VHL+/-kidneys in association with increasing dysplasia and cellular atypia [42]. Furthermore, HIF2a activation in mice appears to induce gene expression changes similar to mice with VHL inactivation, while HIF1 α activation does so to a much less significant degree [19]. Finally, in VHL \rightarrow -RCC cell lines, HIF2 α , but not HIF1 α , appears to be necessary and sufficient for tumor growth [44-47].

Germline inactivation of *Vhl* in mice is embryonic lethal and while *Vhl*+/- mice do not have a cancer prone phenotype, they do develop liver hemangiomas as a result of loss of the remaining wild-type *Vhl* allele [48]. Similarly, conditional inactivation of *Vhl* in hepatocytes results in vascular liver lesions accompanied by hepatic steatosis [48]. HIF expression is both necessary and sufficient to recapitulate the hepatic phenotypes seen in mice as *inactivation* of *Anrt* or dual *activation* of *HIF1a* and *HIF2a* were able to abrogate or induce the hepatic phenotypes seen in *Vhl* loss respectively [19,49]. Unfortunately at this time there are no autochthonous mouse models of *Vhl*-/- RCC that can be used to investigate HIF's role in that setting.

Several clues exist as to why HIF2 α may be more oncogenic than HIF1 α . First, HIF2 α is less sensitive than HIF1 α to the inhibition by FIH-1 and is therefore more transcriptionally active under normoxia [50]. Second, HIF1 α more than HIF2 α , remains susceptible to proteasomal degradation in *VHL*—/— cell lines [19]. Third, HIF2 α appears to cooperate with MYC to activate MYC transcriptional targets while HIF1 α antagonizes MYC transcriptional activation [51]. Interestingly, a recent genome-wide analysis of copy number alterations noted that a region of chromosome 8q encoding MYC is often amplified in both sporadic and VHL disease associated tumors [52]. Whether or not HIF2 α activation in concert with MYC overexpression cooperate *in vivo* has yet to be determined.

HIF responsive genes

More than 100 direct HIF-responsive genes have been described with a number of these genes active in carcinogenesis (Figure 3) [53]. These include genes that encode proteins responsible for cell proliferation (transforming growth factor [$TGF\alpha$] and epidermal growth factor receptor [EGFR]); angiogenesis (vascular endothelial growth factor [VEGF], platelet-derived growth factor B [PDGF-B], and interleukin-8 [IL-8]); glucose uptake and metabolism (glucose transporter 1 [GLUT1], 6-phosphofructokinase 1 [PFK1]); and chemotaxis (stromal cell-derived factor [SDF1] and its receptor C-X-C chemokine receptor 4 [CXCR4]). A number of gene products that are expected to have effects on the tumor microenvironment such as extracellular matrix formation and turnover (membrane type 1 matrix metalloproteinase [MMP1] and lysyl oxidase [LOX]) are HIF responsive. Moreover, epithelial to mesenchymal transition (EMT) related genes (Twist [TWIST1 and TWIST2] and hepatocyte growth factor receptor [HGFR]) are known HIF target genes as well [53].

HIF-independent pVHL functions

Recent evidence has accrued to indicate that pVHL has functions other than regulation of HIF-related pathways. The majority of these alternate functions have been discovered through biochemical interactions. However, gene expression studies also support the notion that there are HIF-independent gene expression changes induced by *VHL* loss [54,55]. To what extent the HIF-independent functions of pVHL cooperate with HIF dysregulation in *VHL* defective tumorigenesis remains to be delineated.

Regulation of apoptosis

Renal cell carcinomas are notable for their insensitivity to conventional cytotoxic chemotherapies. The efficacy of chemotherapy is tightly linked to p53-mediated apoptosis [56]. However, most RCCs do not appear to harbor p53 mutations or loss suggesting either functional modulation of p53 activity or activation of alternative anti-apoptotic pathways [57,58]. Both HIF and pVHL appear to be able to influence p53 function. Previous reports have shown that HIF can directly bind to and modulate p53 activity [59–61]. In addition, pVHL is able to regulate p53 function in a HIF-independent manner through suppression of MDM2-mediated ubiquitination and nuclear export resulting in an increase in its transcriptional activity [62]. Therefore pVHL loss appears to result in p53 inactivation by both HIF-dependent and HIF-independent effects.

The nuclear factor κ B (NF- κ B) pathway can mediate resistance to chemotherapy-induced apoptosis as well. pVHL deficient cells have been noted to have heightened NF- κ B activity at least partially dependent upon HIF signaling [63–66]. In addition, pVHL can modulate NF- κ B activity directly by binding with casein kinase 2 (CK2) and promoting the inhibitory phosphorylation of the NF- κ B agonist CARD9 [67]. It seems possible that the ability of pVHL loss to both activate NF- κ B and inactivate p53 may contribute to its profound chemoresistant phenotype.

While sporadic RCC and hemangioblastomas harbor a high percentage of *VHL* mutations, *VHL* mutations are uncommon in truly sporadic pheochromocytomas [2,68]. Indeed, 11% of apparently sporadic pheochromocytomas (defined by a lack of a family history or a spectrum of tumors suggestive of VHL disease) are actually due to occult germline, not sporadic, mutation of *VHL* [69]. This peculiarity, along with the knowledge that some *VHL* mutations that are associated with the development of pheochromocytoma (without an increased risk of RCC or hemangioblastoma) retain their ability to down regulate HIF, suggests that the development of VHL associated pheochromocytomas is related to a HIF-independent function of pVHL [70,71].

Insight into these apparent discrepancies has been recently elucidated by Kaelin and colleagues. It has been known for some time that during development there is an excess number of cells destined to become sympathetic neurons and that these cells' survival is dependent upon nerve growth factor (NGF). As NGF becomes limiting, these cells undergo JUN dependent apoptosis. *VHL* mutations that are linked to pheochromocytoma development result in the HIF-independent accumulation of JUNB, which is known to antagonize the pro-apoptotic function of JUN during NGF withdrawal [72]. Thus, patients inheriting pheochromocytoma associated *VHL* mutations, presumably have an excess number of sympathetic neurons due to a relative insensitivity to NGF withdrawal induced apoptosis. However, whether the increased risk of pheochromocytoma development in patients with VHL disease is merely a reflection of an increased number of cells susceptible of forming pheochromocytomas or a distinct oncogenic mechanism associated with these mutations is unclear.

Control of cell senescence

Cellular senescence is the phenomenon of irreversible growth arrest in response to DNA damage (including shortened telomeres) but is also an important *in vivo* tumor suppressor mechanism [73,74]. Interestingly, it has been recognized that physiologic oxygenation can extend the replicative lifespan of cells in culture, which has typically been attributed to a relative decrease in the amount of oxidative stress [75]. Several reports have now confirmed that this phenomenon is at least in part due to stabilization of HIF [76,77]. Interestingly, acute pVHL inactivation (with resultant HIF stabilization) was observed to induce senescence both *in vitro* and *in vivo* [78]. In this setting however, senescence appeared to be independent of both HIF and p53 function but primarily relied on activation of the retinoblastoma protein (Rb) and downregulation of senescence by *VHL* loss is highly dependent upon oxygenation along with the differences in the senescence assays examined (i.e. replicative versus oncogene-induced senescence) may begin to explain the contrasting results [79].

Microtubule stabilization and maintenance of the primary cilium

pVHL associates with and is able to stabilize microtubules. This function of pVHL appears to be independent of its ability to either downregulate HIF and its ubiquitin ligase function. Moreover, pVHL's ability to stabilize microtubules is lost in *VHL* mutations that predispose to the development of hemangioblastomas and pheochromocytomas, but not those associated with the development of RCC [80]. The primary cilium is a specialized structure on the cell surface that serves an antenna of the cell, and regulates the transduction of both chemical and mechanical signals [81]. The ciliary axoneme is composed of microtubules arranged in nine peripheral doublets that are templated from the basal body or mother centriole. Thus microtubule dynamics and formation and maintenance of the primary cilium are intimately linked.

Preneoplastic renal cysts are a common feature of VHL disease. Immunohistochemical and laser capture microdissection studies have demonstrated that the renal tubular epithelial cells lining these cysts have lost expression of *VHL* [42,82,83]. Other inherited familial syndromes are characterized by the development of renal cystic diseases of the kidney (e.g. autosomal dominant and recessive polycystic kidney disease [ADPKD and ARPKD respectively], and Bardet Biedl syndrome) and despite being phenotypically diverse and having distinct extrarenal manifestations, these disorders are intriguingly unified by genetic defects that converge on the regulation of ciliogenesis and function [84].

pVHL's affects on microtubule dynamics is negatively regulated its phosphorylation by glycogen synthase kinase 3 beta (GSK3 β) and appears to be HIF-independent, although some studies suggest that HIF dysregulation may play at least a partial role in the loss of microtubule stability imparted by pVHL inactivation [85–87]. Interestingly, active GSK3 β itself can promote microtubule stability and cilium maintenance in a pVHL-independent manner. When GSK3 β is inactive, for example following activation of the PI3Kinase-Akt pathway, microtubule stability and cilium maintenance appear to rely on pVHL once again. In keeping with the notion that GSK3 β and pVHL redundantly maintain primary cilia, it appears that the combined loss of *VHL* and *PTEN* in a genetically engineered mouse model cooperate to promote renal and genital tract cysts [88].

It is an apparent paradox that VHL mutants predisposing to RCC maintain the ability to regulate microtubule dynamics. One possibility is that the development of renal cysts secondary to loss of primary cilia on renal tubular cells lack significant malignant potential. In this scenario, the majority of RCCs associated with VHL disease would be expected to arise *without* an antecedent cystic phase. To some degree, this is in keeping with the observation that patients with polycystic kidney disease, despite having a high renal cystic burden are not clearly at a significantly higher risk for RCC [89].

Regulation of extracellular matrix formation and cell - cell adhesion

The extracellular matrix (ECM), a physical barrier to cancer cell migration and invasion, can provide survival signals to cancer cells and aide in the maintenance of cell polarity in concert with intercellular junctions [90]. pVHL can bind directly to both fibronectin and hydorxylated collagen IV, and interestingly all pVHL mutants studied to date are defective in this capacity [71,91]. The inability of *VHL* deficient cells to bind ECM components results in ineffective ECM organization that is not mediated by HIF [92–94]. Moreover, pVHL's ability to orchestrate proper ECM deposition does not require binding to the other components of the pVHL complex such as Cullin2 and Elongins B and C and is regulated at least partially by the post-translational modification of pVHL by the ubiquitin-like molecule, NEDD8 [95,96]. Similarly, cell polarity and assembly of intercellular junctions (i.e. adherens junctions and tight junctions) are defective in cells lacking *VHL* in a HIF-independent process [97]. How an intracellular protein such as pVHL modulates the assembly of the extracellular ECM components remains to be fully elucidated.

pVHL and Synthetic Lethality

Synthetic lethality occurs when two non-allelic mutations, which by themselves are not lethal, result in cell death when they exist simultaneously [98]. Synthetic lethality provides a framework to discover drugs that might preferentially kill cancer cells harboring a cancer-relevant gene, yet leave normal cells unharmed. Two screens have been performed in attempt to target *VHL* deficient cells. A cell based small molecule synthetic lethality screen identified a compound, STF-62247, that selectively induces autophagic cell death in *VHL*-deficient RCC cells but not in those expressing wild type *VHL* [99]. In addition, an shRNA screen targeting a select group of kinases identified and validated that silencing of *CDK6*,

MET, and *MAP2K1* (MEK1) preferentially inhibited the growth of *VHL*–/– cells compared with their isogenic *VHL* wild type counterparts [100]. Interestingly, in both screens the selective killing of cells lacking *VHL* was HIF-independent leaving open the possibility that therapies targeting these pathways might cooperate with those targeting HIF.

Conclusions

The *VHL* tumor suppressor gene is mutated or silenced in the majority of clear cell RCC. Loss of pVHL function results in the stabilization of HIF α and activation of HIF responsive genes. Many of these gene products have been shown to be oncogenic in the context of RCC. In recent years, our understanding of pVHL function has broadened to include several HIF-independent functions and it seems likely that more will be uncovered. Despite this broadened understanding of the consequences of *VHL* loss, the therapies in clinical use for RCC to date are primarily focused on dampening of HIF signaling and while effective have not achieved remarkable results. It will be interesting to determine whether targeting of HIF-independent pVHL functions either separately or in concert with HIF will lead to improved results.

Acknowledgments

W.Y.K. is a Damon Runyon Merck Clinical Investigator. This work was supported by NIH grants R01CA142794 (W.Y.K.) and T32CA128590 (M.L.) and the UNC University Cancer Research Fund (W.Y.K.).

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Figure 1. Clinical manifestations of von Hippel-Lindau (VHL) disease

Summary of the spectrum of benign and malignant tumors seen in association with VHL disease. Special notes: Retinal hemangiomas are found in up to 70% of VHL patients who survive to age 60 years. Hemangioblastomas are the most common lesions associated with VHL disease and are found most frequently in the cerebellum and spinal cord. Lung cysts appear to be rare, occurring in <1% of VHL patients. Pancreatic neuroendocrine tumors are relatively rare (9%) and are typically non-functional. The incidence of broad ligament papillary cystadenomas in women is not known secondary to their asymptomatic nature.



Figure 2. pVHL controls HIF via oxygen-sensitive hydroxylation

HIF α subunits have both a N-terminal (NTAD) and C-terminal (CTAD) transactivation domain. When O2 levels are high, HIF α is hydroxylated on one or both conserved prolyl (Pro) residues located within the NTAD by the oxygen-dependent prolyl hydroxlase (PHD2). This prolyl hydroxylation event generates a high affinity binding site for the pVHL E3 ubiquitin ligase complex composed of Cullin 2 (Cul2), Elongin B (EloB), Elongin C (EloC), and Rbx1. The pVHL complex polyubiquitinates HIF α , leading to its destruction by the proteasome. When O2 levels are intermediate, HIF α is hydroxylated by factor inhibiting HIF (FIH1) at a conserved asparaginyl (Asn) residue located in the CTAD, inhibiting HIF's interaction with the transcriptional co-activators p300/CBP. When O2 levels are low, HIF α subunits are stabilized, able to heterodimerize with the constitutively stable HIF β , interact with p300/CBP, and promote the transcription of downstream target genes. In cells lacking

VHL, PHD2 and FIH1 remain active but HIF α subunits are not polyubquitinated and therefore allowed to accumulate.



Figure 3. pVHL inactivation mediates both HIF-dependent and HIF-independent pathways HIF-responsive gene products play important roles in tumorigenesis. Epidermal growth factor receptor (*EGFR*) and transforming growth factor α (*TGF* α) promote cell proliferation and survival. Vascular endothelial growth factor (*VEGF*), platelet-derived growth factor (*PDGF*) and connective tissue growth factor (*CTGF*) stimulate angiogenesis. Some proteins encoded by HIF-targeted gene products are responsible for regulating glucose uptake and metabolism, such as Glucose transporter 1 (*GLUT1*), 6-phosphofructokinase 1 (*6-PFK*) and pyruvate dehydrogenase kinase (*PDK*). C-X-C chemokine receptor 4 (*CXCR4*) and its ligand SDF1 stimulate chemotaxis and may also contribute to tumor cell invasion and metastases. Membrane type 1 matrix metalloproteases (*MMP1*) and lysyl oxidase (encoded by *LOX*) are implicated in extracellular matrix (ECM) breakdown and tumor cell invasion/ migration. Finally, dysregulation of TWIST (*TWIST1* and *TWIST2*) and activation of hepatocyte growth factor receptor (HGFR, encoded by *c-MET*) are involved in epithelial to mesenchymal transition (EMT).

pVHL has a number of HIF-independent functions as well. pVHL interacts with MDM2 and suppresses its ability to ubiqutinate p53, resulting in p53 accumulation and apoptosis. It can also act as an adaptor to bind Casein Kinase II (CKII), which inactivates the NF-kB agonist CARD9, leads to inhibition of NF-kB signaling, and overall inhibits cell survival. pVHL also downregulates atypical protein kinase C (aPKC), which secondarily results in decreased levels of JUNB (an antagonist of JUN) thus permitting JUN-dependent neuronal apoptosis. Acute pVHL loss causes a senescent-like phenotype. It appears that pVHL increases p400 activity, which results in inactivation (hypophosphorylation) of the retinoblastoma protein (pRb) and prevents senescence. pVHL also interacts with collagen IV (Col IV), Kinesin 2 and fibronectin to ensure proper ECM deposition. Finally, pVHL plays an important role in primary cilium function by promoting microtubule stabilization and binding with aPKC and the polarity proteins Par3 and Par6.