

NIH Public Access

Author Manuscript

J Neurosci. Author manuscript; available in PMC 2012 February 9

Published in final edited form as:

J Neurosci. 2008 September 24; 28(39): 9840–9849. doi:10.1523/JNEUROSCI.1713-08.2008.

INVOLVEMENT OF THE LIMBIC BASAL GANGLIA IN ETHANOL WITHDRAWAL CONVULSIVITY IN MICE IS INFLUENCED BY A CHROMOSOME 4 LOCUS

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Abstract

Physiological dependence and associated withdrawal episodes are thought to constitute a motivational force that sustains ethanol (alcohol) use/abuse and may contribute to relapse in alcoholics. Although no animal model duplicates alcoholism, models for specific factors, like the withdrawal syndrome, are useful for identifying potential genetic and neural determinants of liability in humans. We generated congenic mice that confirm a quantitative trait locus (QTL) on chromosome 4 with a large effect on predisposition to alcohol withdrawal. Using c-Fos expression as a high-resolution marker of neuronal activation, congenic mice demonstrated significantly less neuronal activity associated with ethanol withdrawal than background strain mice in the substantia nigra pars reticulata (SNr), subthalamic nucleus (STN), rostromedial lateral globus pallidus, and ventral pallidum. Notably, neuronal activation in subregions of the basal ganglia associated with limbic function was more intense than in subregions associated with sensorimotor function. Bilateral lesions of caudolateral SNr attenuated withdrawal severity following acute and repeated ethanol exposures, whereas rostrolateral SNr and STN lesions did not reduce ethanol withdrawal severity. Caudolateral SNr lesions did not affect pentylenetetrazol-enhanced convulsions. Our results suggest that this OTL impacts ethanol withdrawal via basal ganglia circuitry associated with limbic function, and that the caudolateral SNr plays a critical role. These are the first analyses to elucidate circuitry by which a confirmed addiction-relevant OTL influences behavior. This mouse QTL is syntenic with human chromosome 9p. Given the growing body of evidence that a gene(s) on chromosome 9p influences alcoholism, our results can facilitate human research on alcohol dependence and withdrawal.

Keywords

Seizure; c-Fos; substantia nigra; lesion; Mpdz; MUPP1

Alcoholism and alcohol (ethanol) abuse affect up to 30% of Americans (Hasin et al., 2007), and are among the most highly heritable addictive disorders (Goldman et al., 2005). Withdrawal is a hallmark of alcohol physiological dependence, and constitutes a motivational force that perpetuates alcohol use/abuse and contributes to relapse (Little et al., 2005). Unfortunately, due to its complexity the circuitry underlying genetic differences in

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risk for alcohol dependence/withdrawal remain largely unknown, hindering effective treatment and prevention.

Although no animal model duplicates clinically defined alcoholism, models for specific factors, including the withdrawal syndrome, are useful for identifying potential genetic and neural determinants of liability in humans. The aim of the present studies was to elucidate neural circuitry associated with ethanol withdrawal and impacted by a quantitative trait locus (QTL) on chromosome 4 (Alcw2) with a substantial effect on ethanol withdrawal in mice (Buck et al., 1997; Shirley et al., 2004). Notably, Alcw2 is syntenic with human chromosome 9p, and at least four studies identify 9p markers associated with alcoholism (Long et al., 1998; Williams et al., 2005; D. Goldman and C. Ehlers, personal communications). c-Fos is a high-resolution histological marker of neuronal stimulation (Morgan et al., 1987; Herdegen and Leah, 1998), and identifies a distinct activation pattern associated with ethanol withdrawal (Dave et al., 1990; Morgan et al., 1992; Wilce et al., 1994; Knapp et al., 1998; Kozell et al., 2005; Borlikova et al., 2006). Lesion studies also indicate the involvement of specific brain regions in ethanol withdrawal (Kostowski and Trzaskowska, 1980; Chakravarty and Faingold, 1998; Ripley et al., 2004). The integration of c-Fos expression studies and focused lesions is a powerful approach to identify circuitry involved in complex phenotypes (Choi et al., 2007; Squire and Bayley, 2007), but has not previously been used to define neural systems recruited in the process of ethanol physiological dependence and withdrawal.

Previous analyses identified brain regions that differ between standard inbred strains in c-Fos expression associated with ethanol withdrawal (Kozell et al., 2005). Because of the near elimination of genetic "noise' from loci elsewhere in the genome, comparisons of congenic and background strains are invaluable to isolate a QTL on a uniform genetic background to address its influence on neural activity and identify brain regions potentially involved in mediating its impact on behavior. To dissociate *Alcw2*'s influence from that of other ethanol withdrawal QTLs elsewhere in the genome (Buck et al., 1997, 2002), we compared the pattern of neuronal activation associated with withdrawal in *Alcw2* congenic (possessing *Alcw2* from the C57BL/6J [B6] donor strain superimposed on a genetic background that is >98% DBA/2J [D2] strain) and background strains. This congenic displays less severe withdrawal than background strain animals (Fehr et al., 2002). Additionally, we assessed the impact on ethanol withdrawal of focused lesions of brain regions that exhibit markedly different neuronal activation between congenic and background strains. Finally, because *Alcw2* status may be correlated with seizure response to pentylenetetrazol (Fehr et al., 2004), we compared SNr- and sham-lesioned animals for pentylenetetrazol-enhanced convulsions.

MATERIALS AND METHODS

Animals

All of the animals used in these studies were bred in our colony in the Department of Comparative Medicine at Oregon Health & Science University. D2 inbred strain breeders were purchased from the Jackson Laboratory (Bar Harbor, ME). The D2.B6-*Alcw2* congenic (with the *Alcw2* interval from the B6 donor strain introgressed onto a D2 background) was developed in our laboratory (Fehr et al., 2002; ISCS1). Mice were group-housed 2–5 per cage by strain and sex. Mouse chow (Purina #5001) and water were available *ad libitum*. Procedure and colony rooms were kept at a temperature of 21±1°C. Lights were on in the colony from 06:00–18:00 hr, and behavioral testing was initiated between 07:00 and 09:00 hr. All procedures were approved by the Oregon Health & Science University and VA Medical Center Care and Use Committees in accordance with USDA and USPHS guidelines. A total of 40 adult (60–90 days old) naive congenic and D2 mice were used for

the c-Fos immunohistochemical analyses. An additional 174 D2 mice were used for the lesion analyses, and were 60–70 days old at the time of surgery.

c-Fos immunohistochemistry

c-Fos immunostaining was performed as described in previous work (Kozell et al., 2005). Notably, the congenic and background strain mice were not tested for ethanol withdrawal convulsions in order to avoid potential confounds of evoked convulsions on c-Fos immunoreactivity. Briefly, mice were administered a sedative-hypnotic dose of ethanol (4 g/ kg, 20% v/v in saline, i.p.) or an equivalent volume of vehicle (sterile 0.9% saline) and then returned to their home cage and left undisturbed for 7 hr. This time was selected based upon previous work showing that acute ethanol withdrawal becomes apparent beginning approximately 4 hr post-ethanol and peaks approximately 6-7 hr post-ethanol exposure in D2.B6-Alcw2 congenic and background strain mice (Fehr et al., 2002). The mice were killed by cervical dislocation and the brain was removed and placed in ice-cold 4% paraformaldehyde in 0.1 M phosphate buffer (PB) overnight. The following day the 4% paraformaldehyde solution was replaced with 0.1 M PB containing 30% sucrose until the brain no longer floated and was processed for immunohistochemical analysis. Brains were coronally sectioned (30 µm) on a freezing microtome and the tissue was stored in 10 mM PB containing 0.02% sodium azide until processing. Within an experiment, all of the experimental groups were processed at the same time. The sections were first rinsed three times in 10 mM PB before being incubated in 1.5% hydrogen peroxide in 10 mM PB in 0.9% saline solution (PBS) for 15 min to block endogenous peroxidase activity, and then washed six times in 10 mM PBS. Sections were blocked for 2 hr in immunoreaction buffer (10 mM PBS containing 0.25% Triton-X 100 and 5% dry milk) without antibody; and rabbit anti-c-Fos antibody (1:10,000; Oncogene Science Inc., Cambridge, MA) was then added and the incubation was continued for 72 hr at 4°C. The sections were rinsed three times in 10 mM PBS and incubated with biotinylated goat anti-rabbit IgG (1:200; Vector Laboratories, Burlingame, CA) in 10 mM PBS. The sections were subsequently incubated with horseradish peroxidase avidin-biotin complex in 10 mM PBS for 1.5 hr at room temperature (ABC Elite peroxidase kit, Vector Laboratories, Burlingame, CA). The sections were rinsed three times in 10 mM PBS and placed in 0.05 M Tris (pH 7.4) for 5 min. The chromatic reaction was completed with diaminobenzidine (50 mg/100 ml of 0.05 M Tris, Sigma, St. Louis, MO) in the presence of 0.01% nickel ammonium sulfate solution and 0.035% hydrogen peroxide. Omission of the primary antibody to the sections was used as a staining control. The sections were mounted onto slides, dehydrated, and cover-slipped in Permount (Fisher Scientific, Pittsburgh, PA).

For quantitative morphometric analysis of c-Fos positive cells, an Olympus BX60 light microscope and LEICA DFC 480 imaging system were used to obtain a permanent record of cell distribution. The experimenter was blind to the experimental condition for each subject. Methods for analysis of the 25 brain regions studied here have previously been described (Hitzemann and Hitzemann, 1997; Demarest et al., 1998; Kozell et al., 2005). Initially, representative sections were analyzed for each brain section as follows (from Paxinos and Franklin, 2001): the central and basolateral nuclei of the amygdala (plate 42), lateral globus pallidus (LGP, plate 35) and rostromedial LGP (plate 33), medial globus pallidus (MGP) and medial MGP (plate 42), subthalamic nucleus (STN) and medial STN (plate 48), substantia nigra pars reticulata (SNr; rostral and dorsomedial, plate 55; caudal, plate 61), rostral SN pars compacta (plate 55), ectorhinal-perirhinal cortex (plate 49), cingulate and prelimbic cortices (plate 18), ventral pallidum (VP, plate 30), nucleus accumbens core and shell (plate 20), dorsolateral and dorsomedial striatum (plate 20), lateral and medial septum (plate 27), the bed nucleus of the stria terminalis (plate 30), the ventromedial thalamic nucleus (plate 45) and intermediate layers of superior colicullus (plate 61). All images were

taken at 10X and signals were quantified using Image Pro Plus (Media Cybernetics). Standardized brain region templates based on established anatomical markers were employed. Standardized threshold parameters (160, light intensity range from 0 to 255) were employed to identify and quantify individual c-Fos positive neurons. Sample size estimates were based on previous studies (Hitzemann and Hitzemann, 1997; Demarest et al., 1998; Kozell et al., 2005).

In order to definitively demonstrate differences between strains implicated by representative section analyses, c-Fos activation patterns throughout the rostrocaudal extent of six regions were assessed with additional analysis of the following sections: rostral SNr (plates 53 and 57), caudal SNr (plates 59 and 63), dorsomedial SNr (plates 53 and 57), VP (plates 26, 28 and 32), rostromedial LGP (plates 31 and 32) and STN (plates 46 and 50). For each section, the cFos-positive neuron density (c-Fos positive neurons/mm²) was calculated. The mean densities for a brain region were compared across experimental groups. In our experience, results using this method and using representative sections are comparable. This is consistent with our previous work indicating that the sample sizes used are sufficient to overcome potential artifacts associated with assessing representative sections (Hitzemann and Hitzemann, 1997).

Electrolytic lesions

Adult male D2 mice were anesthetized by i.p. injection of 0.05 ml of an anesthetic cocktail (50 mg/10 ml xylazine, 500 mg/10 ml ketamine, and 10 mg/10 ml acepromazine in 0.9% saline) and then placed in a stereotactic instrument (Cartesian Research Inc.). The skull surface was exposed and a burr hole was drilled. An insulated 0.1 mm O.D. tungsten wire electrode with only a conductive tip was lowered to the lesion site. Bilateral lesions were performed using a 0.4 mA current for 4 sec. The coordinates were estimated based upon coordinates for the B6 strain (Paxinos and Franklin, 2001) and determined empirically for D2 mice, and were as follows: rostrolateral SNr, 2.55 mm caudal to bregma (AP = -2.55), 1.65 mm lateral to midsaggital suture (ML = ± 1.65), 4.65 mm deep from the skull surface (DV = -4.65); caudolateral SNr, AP = -3.10, ML = ± 1.7 , DV = -4.55; STN, AP = -1.45, ML = ± 1.45 , DV = -4.5. The procedure for sham-lesioned animals was identical except that no current was passed. Thionin staining was used to confirm lesion locations as well as determine the rostrocaudal extent of the lesions of SNr. Lateral lesions were defined as spanning both rostrolateral and caudolateral SNr.

Acute and repeated ethanol withdrawal in lesioned mice

Physiological dependence is operationally defined as the manifestation of physical disturbances (withdrawal syndrome) after alcohol administration is suspended. McQuarrie and Fingl (1958) first demonstrated a state of withdrawal CNS hyperexcitability after acute alcohol administration. Alcohol withdrawal severity was examined in SNr-lesioned, STNlesioned, appropriate sham-lesioned, and naive (unoperated) D2 animals by monitoring handling-induced convulsions (HICs) associated with withdrawal, which is a sensitive index of alcohol withdrawal severity (Goldstein and Pal, 1971; Crabbe et al., 1991). Details of the acute ethanol withdrawal procedure have been published (Metten et al., 1998). Individual baseline HICs were measured the day before surgery. 7-10 days post-lesion, baseline (preethanol) HICs were measured immediately before administration of ethanol (4 g/kg, i.p., 20% v/v in saline), and HIC testing continued hourly between 2 and 12 hr post-ethanol administration. In order to create an index of ethanol withdrawal response that is independent of individual differences in baseline HIC scores and reflects differences in withdrawal convulsion severity, post-ethanol HIC scores were corrected for the individual's average baseline HIC score as in previous work (Metten et al., 1998; Reilly et al., 2008). Ethanol withdrawal severity scores were calculated as the area under the curve (AUC; the

summed post-ethanol HIC scores) over the full time-course post-ethanol. Individual ethanol withdrawal severity scores correspond to these AUC values. A subset of the SNr-lesioned, sham-lesioned and unoperated control mice were also assessed for pentylenetetrazol-enhanced HICs one week after they were tested for acute ethanol withdrawal.

Because repeated alcohol exposure and withdrawal is an important feature of the human condition, we also tested the effect of SNr lesions on withdrawal after repeated ethanol exposures. Withdrawal following repeated ethanol exposures was compared in a different group of SNr- and sham-lesioned animals using a procedure adapted from (Crabbe et al., 1991) 7–10 days post-lesion, baseline HICs were measured immediately before administration of 4 g/kg ethanol at hr 0 (10 AM). Ethanol administration was repeated at hr 8 and hr 20, for a total of three administrations. After each ethanol injection, the animals were returned to their home cages. HIC testing began at hr 22 and continued hourly through hr 34. Additionally, a group of sham-lesioned mice were injected with vehicle (saline) at hr 0 and 8 and with ethanol at hr 20, and withdrawal severity in this acute ethanol withdrawal group was compared to that in sham-lesioned mice that underwent the repeated ethanol exposure procedure.

PTZ-enhanced HICs in lesioned mice

SNr-lesioned, sham-lesioned and unoperated control D2 mice were assessed for pentylenetetrazol-enhanced HICs (at 14–17 days post-surgery, 7 days post-ethanol). These were compared to a group of naive (no ethanol, unoperated) age-matched D2 mice. The mice were tested for baseline (pre-drug) HICs immediately before i.p. administration of 30 mg/kg pentylenetetrazol (PTZ; 6,7,8,9-tetrahydro-5H-tetrazoloazepine). PTZ-enhanced HICs were measured 1, 3, 5, 8, 10, 15, 20, 35, 50 and 65 min post-PTZ. This time period was selected based upon the time course of PTZ elicited convulsions in a panel of mouse strains (Crabbe et al., 1991). This PTZ dose increases HIC intensity, but does not induce other types of convulsions (e.g., tonic hindlimb extensor or wild running) that are associated with higher doses of this drug. To create an index of PTZ response that is independent of individual differences in baseline HIC scores, all PTZ-enhanced HIC scores were calculated as the area under the curve as in previous work (Fehr et al., 2002).

Statistics

For comparisons of ethanol withdrawal associated c-Fos activation in congenic and background strain mice, the data were not normally distributed based upon a significant Shapiro-Wilks test, and were therefore analyzed using non-parametric Kruskal-Wallis one-way ANOVA; this generates a Mann-Whitney U statistic for a comparison of two groups, or an H statistic for a comparison of more than two groups. When appropriate, the Kolmogorov Smirnoff test with Bonferroni correction for multiple comparisons was used for *post hoc* analyses. This statistical analysis was also applied to behavioral data of SNr- (or STN-lesioned) *vs.* appropriate sham-lesioned animals as the HIC AUC scores were not normally distributed. All data were analyzed using Systat 12 statistical software (Systat Statistical Inc.). Unless noted otherwise, the significance level was p < 0.05 (two-tailed).

RESULTS

c-Fos induction in Alcw2 congenic and background strain mice

In order to isolate *Alcw2*'s influence from that of other ethanol withdrawal QTLs elsewhere in the genome (Buck et al., 1997; Buck et al., 2002), neuronal activation associated with ethanol withdrawal was compared in *Alcw2* congenic and background strain mice. c-Fos was selected because the ethanol withdrawal syndrome is associated with a distinct pattern of

neuronal activation as assessed by its induction (e.g. Kozell et al., 2005). In contrast, expression of other immediate early gene products, i.e., zif268, is generally insensitive to ethanol withdrawal although its expression is induced in the central amygdala (Borlikova et al., 2006). In order to avoid potential confounds of evoked convulsions on c-Fos immunoreactivity the mice used in the immunohistochemical analyses were not tested for ethanol withdrawal convulsions. None of the mice used in these studies exhibited spontaneous convulsions in their home cage post-ethanol.

Table 1 summarizes the numbers of c-Fos positive neurons in ethanol-withdrawn and control congenic and D2 background strain mice across 25 brain regions emphasizing the basal ganglia and associated cortical and limbic circuitry. As expected, little or no c-Fos expression was detected in control animals for most of the brain regions evaluated. Ethanol withdrawal was associated with significantly (p < 0.05) increased numbers of c-Fos positive neurons in 24 of the 25 brain regions assessed, and six of these regions also showed significant effects of strain and treatment (Table 1). These include the VP ($H_{(3,40)}=22.0$, $p=6.6\times10^{-5}$), rostromedial LGP ($H_{(3,40)}=23.3, p=3.5\times10^{-5}$), medial MGP ($H_{(3,40)}=20.4, p=1.4\times10^{-4}$), STN ($H_{(3,40)}=23.1, p=3.9\times10^{-5}$), and SNr (rostral SNr, $H_{(3,40)}=25.0$, $p=1.5\times10^{-5}$; dorsomedial SNr, $H_{(3,40)}=20.1$, $p=1.6\times10^{-4}$; caudal SNr, $H_{(3,40)}=23.2$, $p=3.7\times10^{-5}$). Post-hoc analyses confirmed significantly less c-Fos induction in ethanol withdrawn congenic vs. background strain mice in the VP (p=0.01), rostromedial LGP $(p=1.2\times10^{-4})$, STN $(p=1.1\times10^{-3})$, dorsomedial SNr (p=0.049), rostral and caudal SNr (p=0.048 and p=0.048, respectively); and a similar trend was detected in the medial MGP (p=0.08). Analysis of the density of c-Fos-positive neurons throughout the rostrocaudal extent of these six regions also showed significantly less c-Fos induction in ethanolwithdrawn congenic mice than ethanol-withdrawn background mice in these regions (Table 2). All of these regions are part of the extended basal ganglia. Notably, neuronal activation associated with ethanol withdrawal was more intense in medial subregions of the basal ganglia, including the rostromedial LGP and medial STN, which are associated with limbic function, compared to lateral subregions of the LGP and STN that are associated with sensorimotor function rather than limbic function. Figure 1 illustrates the extended basal ganglia circuit, highlighting the connectivity of the limbic basal ganglia system, based upon anatomical and electrophysiological studies (Maurice et al., 1998a; Maurice et al., 1998b; Karachi et al., 2005). The representative c-Fos immunohistochemical photomicrographs for the VP (a,b), LGP (c,d), STN (e,f), SNr (g,h), as well as the limbic subregions rostromedial LGP, medial STN, and dorsomedial SNr, are shown in Figure 2.

Ethanol withdrawal was also associated with increased numbers of c-Fos immunoreactive neurons in additional brain regions (Table 1). Neither the dorsal nor ventral striatum (nucleus accumbens) showed genotype-dependent induction of c-Fos, although there were significant effects of treatment in all of the striatal regions assessed, including the dorsolateral striatum ($U_{(1,40)}=260$, p=0.0003), dorsomedial striatum ($U_{(1,40)}=260$, p=0.0003), and nucleus accumbens core ($U_{(1,40)}=293$, $p=8.0\times10^{-6}$) and shell ($U_{(1,40)}=277$, $p=7.3\times10^{-5}$). Significant effects of treatment were apparent in substantia nigra pars compacta ($U_{(1,40)}=224$, p=0.0007), and two major SNr projection areas, the ventromedial thalamus ($U_{(1,40)}=222$, p=0.03). Significant main effects of treatment were also apparent in the extended amygdala (CeA, $U_{(1,40)}=266$, p=0.0003; BLA, $U_{(1,40)}=254$, p=0.0012; BNST, $U_{(1,40)}=299$, $p=3.3\times10^{-6}$), as well as the medial septum ($U_{(1,40)}=222$, p=0.03) and limbic cortical regions including the cingulate ($U_{(1,40)}=244$, p=0.003), prelimbic ($U_{(1,40)}=256$, p=0.0009) and ectorhinal-perirhinal ($U_{(1,40)}=280$, $p=3.5\times10^{-6}$) cortices. No effect of treatment or strain was apparent in the lateral septum.

Focused lesions

Focused lesions were performed to further evaluate the role of the SNr and STN in acute and repeated ethanol withdrawal. Baseline HIC scores did not differ between lesioned and shamlesioned animals either pre-surgery or 7 days post-surgery (SNr, $H_{(3,90)}=2.32$, p=0.5; STN, $H_{(3,64)}=0.96$, p=0.8). Moreover, sham-lesioned SNr (n=21) and naive (n=10) animals did not differ in their ethanol withdrawal severities ($H_{(3,62)}=4.1$, p=0.25) indicating that the surgical procedure did not affect ethanol withdrawal severity.

SNr lesions—Electrophysiological and immunohistochemical analyses suggest that the rostral and caudal SNr are functionally distinct, e.g., in their regulation of a variety of seizure phenotypes (Moshe and Albala, 1984; Veliskova et al., 1996; Fan et al., 1997; Thompson et al., 2000; Veliskova and Moshe, 2001), with the caudal SNr, especially the caudolateral SNr, suggested to be proconvulsant (Moshe et al., 1994; Fan et al., 1997). We therefore tested the effect of bilateral lesions of caudolateral and rostrolateral subregions of SNr on acute ethanol withdrawal severity (Fig. 3). Using coordinates from a mouse brain atlas (Paxinos and Franklin, 2001), confirmed lesions of rostrolateral SNr extended from -2.5 to -3.1 mm anterioposterior (AP) from Bregma, and occasionally extended into the adjacent rostromedial SNr, SNc and/or cerebral peduncles (Fig. 3*a*,*b*). Confirmed lesions of caudolateral SNr extended from approximately -3.1 to -3.8 mm AP from Bregma, and occasionally extended into the adjacent substantia nigra pars lateris (SNI), and/or cerebral peduncles (Fig. 3*a*,*d*). In the lateral SNr-lesioned group the entire extent of rostrolateral and caudolateral SNr was lesioned. Representative photomicrographs rostrolateral and caudolateral SNr-lesioned animals are shown (Fig. 3*c*,*e*).

One-way ANOVA showed significant differences in acute ethanol withdrawal severity among the caudolateral, rostrolateral and lateral SNr-lesioned and sham-lesioned groups $(H_{(3,43)}=21.5, p=0.0008)$. Bilateral lesions restricted to the caudolateral SNr significantly attenuated ethanol withdrawal severity compared to sham-lesioned animals $(p=5.8\times10^{-5})$. In contrast, animals with bilateral lesions restricted to the rostrolateral SNr did not differ from sham-lesioned mice in their ethanol withdrawal severities (p=0.8). Bilateral lesions encompassing both the rostrolateral and caudolateral SNr (lateral SNr) significantly reduced ethanol withdrawal severity, compared to the sham-lesioned animals $(p=4.8\times10^{-3}, Fig. 4)$. Neither unilateral lesions of caudolateral SNr nor lesions located near but not within the SNr altered ethanol withdrawal convulsion severity compared to sham-lesioned animals (data not shown).

Repeated episodes of ethanol intoxication intensified withdrawal severity, with shamlesioned animals showing significantly more severe withdrawal following repeated ethanol administrations than after a single ethanol injection (p<0.04, Fig. 5). Mice with confirmed caudolateral SNr-lesions showed significant attenuation in withdrawal severity after repeated intermittent ethanol exposure compared to sham-lesioned control animals (p=1×10⁻³, Fig. 5). Thus, bilateral caudolateral SNr lesions attenuated both acute and repeated alcohol withdrawal severity.

STN lesions—A total of 57 mice were tested, including 11 with bilateral lesions of the STN, 11 with unilateral lesions of the STN, 17 with lesions near but not within the STN, and 18 sham-lesioned controls. Confirmed lesions of STN extended throughout the entire rostral-caudal STN, from -1.7 to -2.3 mm AP from Bregma, and also occasionally included the adjacent zona incerta, lateral hypothalamus and/or cerebral peduncles. No significant difference in AUC values was apparent between bilateral STN-lesioned and sham-lesioned mice (p=0.13, Fig 6). Although the data suggest the possibility of a shift in the withdrawal time-course in STN-lesioned animals, statistical analyses of these data did not detect a

significant difference. Nonparametric analysis comparison of HIC scores at individual time points did not detect a significant difference in withdrawal severity between STN- and sham-lesioned animals, although a trend was observed at hr 5 ($U_{(1,29)}=117$, p=0.07, uncorrected for multiple comparisons) and hr 6 ($U_{(1,29)}=127$, p=0.14, uncorrected for multiple comparisons). Additionally, no differences in ethanol withdrawal convulsions were apparent between unilateral-lesioned and sham-lesioned animals.

Pentylenetetrazol (PTZ)-enhanced HICs in caudolateral SNr-lesioned animals

One week post ethanol withdrawal testing, caudolateral SNr-lesioned D2 mice were compared to sham-lesioned and unoperated control D2 mice for their HIC severities following PTZ (30 mg/kg, i.p.) administration, which exerts its convulsant effects by impairing GABA_A-mediated neurotransmission (Corda et al., 1991; Kulkarni and George, 1995). Because these animals were previously tested with ethanol, PTZ-enhanced convulsion severity was compared in unoperated mice that were previously tested with ethanol (AUC=172±34, n=6) and naive age-matched D2 mice (AUC=192±24, n=11); no difference was detected ($U_{(1,17)}=37$, p=0.7). Additionally, baseline (pre-PTZ) HIC scores also did not differ from those obtained one week earlier prior to ethanol exposure ($U_{(1,32)}=137$, p=0.3). Thus, the PTZ-enhanced convulsion severity was not altered by the ethanol withdrawal testing performed one week earlier. No differences in PTZ-enhanced HICs between caudolateral SNr-lesioned, sham-lesioned, and unoperated control mice were detected ($H_{(2,16)}=0.43$, p=0.8; Fig. 7).

DISCUSSION

Currently, the structures responsible for the onset, propagation, and cessation of generalized alcohol withdrawal convulsions are not known. The present studies are the first to elucidate neural circuitry by which a confirmed alcohol withdrawal QTL influences behavior, and suggest that *Alcw2* impacts behavior *via* its influence on basal ganglia circuitry associated with limbic function. Additionally, our study is the first to use focused lesions to assess the influence of the SNr on ethanol withdrawal, and show that the caudolateral SNr plays a critical role in acute and repeated ethanol withdrawal, while lesions of the rostral SNr and STN did not affect ethanol withdrawal convulsion severity.

Neuronal activation in subregions of the basal ganglia associated with limbic function (i.e., VP, rostromedial LGP, medial MGP, and dorsomedial SNr) was more intense than in subregions associated with sensorimotor function. Within extended limbic basal ganglia circuitry, the VP and accumbens core regulate limbic seizures (Churchill et al., 1992) and may play a similar role in ethanol withdrawal convulsions. This circuit also plausibly contributes to other behaviors associated with the withdrawal syndrome (i.e., tremors, depression-like behavior, anxiety-like behavior, emotionality, and stereotypy). Lesions spanning VP and ventral globus pallidus alleviate tremors, depressive mood, and emotional activity (Narabayashi, 1997). Notably, ethanol-withdrawn Alcw2 congenic animals exhibit less severe depression-like behavior than background strain animals (Milner and Buck, unpublished). The VP is implicated in anxiety-like behavior (Nikolaus et al., 2000), although it should be kept in mind that measurement of withdrawal-related anxiety-like behavior in mice is confounded by decreased locomotion (Kliethermes, 2005). The rostromedial LGP influences stereotypy (Grabli et al., 2004) and the VP affects locomotion and reward-related behavior (Kretschmer, 2000; June et al., 2003). While many signs of the ethanol withdrawal syndrome are genetically correlated with HIC severity (i.e., tremors, hypoactivity, emotionality; (Kosobud and Crabbe, 1986; Belknap et al., 1987; Feller et al., 1994), others are not (i.e., tail stiffness; Kosobud and Crabbe, 1986). Thus, assessment of withdrawal HICs can inform analyses for correlated withdrawal signs, but represents only part of the complex syndrome of alcohol withdrawal (Wilson et al., 1984). Future studies

will be needed to determine to what degree the brain circuitry identified here also affects other withdrawal signs. Additionally, it is well-documented that alcohol withdrawal HIC severity is genetically correlated with alcohol preference drinking (Metten et al., 1998, and unpublished data). The SNr receives projection from the central nucleus of the amygdala (Shinonaga et al., 1992), which has a prominent role in alcohol preference (Dhaher et al., 2008). Future studies will assess the contribution of this circuit to the relationship between ethanol preference and withdrawal.

c-Fos induction in *Alcw2* congenic animals expands upon results using standard inbred strains (Kozell et al., 2005). We identified six brain regions with less c-Fos induction in ethanol-withdrawn congenic vs. background strains. c-Fos induction in these six regions also differed between ethanol-withdrawn D2 and B6 progenitor strains. Therefore, lower c-Fos activation in these regions is associated with less severe withdrawal severity. However, c-Fos induction remained substantial in ethanol-withdrawn *Alcw2* congenic animals, but was negligible in B6 mice (Kozell et al., 2005), suggesting that neuronal activation in the basal ganglia is influenced by additional withdrawal QTLs. Furthermore, several brain regions that did not differ in c-Fos induction between ethanol-withdrawn *Alcw2* congenic and background strains did differ between D2 and B6 strains (e.g., dorsolateral caudate-putamen and lateral septum, Kozell et al., 2005). This suggests that neural activation in these brain regions is not affected by *Alcw2* status, and instead is influenced by withdrawal QTLs elsewhere in the genome (e.g., chromosomes 1, 11 and 19; Buck et al., 1997, 2002).

In addition to our studies implicating the SNr in acute and repeated ethanol withdrawal, evidence indicates that the SNr is involved in chronic ethanol withdrawal. Intranigral microinjection of a GABA_A receptor agonist suppressed audiogenic seizures associated with chronic ethanol withdrawal (Frye et al 1983; Gonzalez and Hettinger 1984), demonstrating that inhibition of SNr activity attenuated withdrawal. This is consistent with our finding that SNr lesions attenuate withdrawal. Withdrawal was more severe following repeated ethanol exposure than using the acute model and, perhaps for this reason, SNr lesions virtually abolished withdrawal convulsions using the acute model, while SNr-lesioned animals showed a 65% reduction in withdrawal convulsivity using the repeated model. Decreased withdrawal severity was comparable using both models, so this may represent a scalar limit. It is also plausible that additional brain regions are recruited following repeated ethanol exposure so SNr lesions no longer entirely block withdrawal convulsions.

Our results demonstrate that the caudolateral SNr plays a critical role in ethanol withdrawal, while lesions restricted to rostrolateral SNr did not affect withdrawal convulsions. Numerous analyses support the premise that there are functional differences between caudal and rostral SNr (Moshe et al., 1994; Shehab et al., 1996; Fan et al., 1997; Veliskova et al., 1998; Thompson et al., 2000; Veliskova and Moshe, 2001; Galanopoulou et al., 2003; Hedberg et al., 2003; Ravizza et al., 2003; Velisek et al., 2005). Additionally, NMDA and metabotropic glutamate receptor densities are greater in caudal *vs.* rostral SNr (Hedberg et al., 2003). Many neurons in caudal, but not rostral, SNr express 5-HT_{2C} receptor mRNA (Eberle-Wang et al., 1997). The rostral and caudal SNr mediate distinct facilitatory and inhibitory effects on seizures (Moshe and Albala, 1984; Veliskova et al., 1996; Fan et al., 1997; Thompson et al., 2000; Veliskova and Moshe, 2001). Caudal SNr is active during the pre-clonic period and may facilitate seizure initiation/propagation, while rostral SNr becomes involved after a motor seizure occurs (Collins et al., 1986; Veliskova et al., 2005). Thus, regionally-specific receptor expression and/or intrinsic properties of SNr neurons may influence site-specific effects on withdrawal.

While the SNr is critical to alcohol withdrawal, SNr-lesions did not alter PTZ-enhanced convulsions. Consistent with this observation, *Alcw2* congenic and background strains do

not differ in PTZ-enhanced HICs (Reilly et al., 2008). However, Alcw2 congenic animals exhibited less severe convulsions in response to SB242084, a 5-HT_{2C} receptor antagonist, than background strain animals. The 5-HT_{2C} receptor is the only protein shown to interact in vivo with the multi-PDZ domain protein (Becamel et al., 2001), the product of a quantitative trait gene (Mpdz) that underlies Alcw2 (Shirley et al., 2004). SNr is rich in 5-HT_{2C} receptors, which primarily excite SNr neurons (Rick et al., 1995). This excitation increases SNr extracellular GABA (Invernizzi et al., 2007), and limits the development and propagation of limbic seizures (Pasini et al., 1996). Intranigral microinjection of a GABAA receptor agonist suppresses seizures associated with ethanol withdrawal (Frye et al., 1983; Gonzalez and Hettinger, 1984). Thus, this QTL may affect ethanol withdrawal via Mpdz allelic variation that affects the rate fidelity of 5-HT_{2C} receptor transmission in SNr. This will be tested directly in future studies examining the effect of SNr-directed pharmacological and RNAi manipulation of 5-HT_{2C} receptor function on withdrawal. The STN is a pivotal structure within the basal ganglia, and provides a major excitatory glutamatergic input to SNr (Van Der Kooy and Hattori, 1980; Robledo and Feger, 1990). Surprisingly, STN lesions did not significantly affect withdrawal convulsions. In kindled animals, analyses of the temporal sequence of ictal discharges propagated in the corticolimbic basal ganglia system show that discharges arrive first at the SNr, and then spread to STN (Shi et al., 2007). Taken together, these results support the idea that the SNr might play an important role in initiating/gating ethanol withdrawal convulsions, but additional future work will be necessary to test this.

Our studies contribute significantly to understanding the genetic and neural determination of alcohol withdrawal, but there are some limitations. Our c-Fos expression results are based on a survey emphasizing the basal ganglia and associated circuitry that, while an important first step, will need to be expanded in future studies using unbiased stereological counting procedure (Mura et al., 2004). We focused on one immediate early gene product and one time post-ethanol. Because not all neuronal populations express Fos protein upon stimulation (Strassman and Vos, 1993; Willoughby et al., 1995), future studies using other immediate early genes and assessing more time points may identify additional brain regions of interest. The congenic used contains a number of genes in the introgressed interval in addition to the QTL, so it is possible that additional genes influenced the c-Fos expression pattern. More definitive confirmation that QTL status influences neural activation in the implicated brain regions will likely require verification using Mpdz transgenic and knockout models and/or RNA interference. Although there are no reports of regional differences in brain ethanol concentration in mice, a recent study indicates that ethanol distribution may differ in the striatum compared to other regions in rats (Chen et al., 2007). However, we found very little c-Fos induction in the striatum of ethanol-withdrawn congenic and background strain animals, and this induction did not differ. Nonetheless, it will be interesting to determine in future work if regional differences in ethanol concentration exist in mice and whether this is related to regional and/or genetic differences in neural activation associated with withdrawal. Finally, while focused lesions were performed, incidental activation/lesion of neuronal populations or passing fibers near the stimulating electrode due to current spread must be considered.

Acknowledgments

Supported by PHS grants AA011114, AA10760, DA05228, AA11034 and AA13484 and a VA Merit grant. We are grateful to Drs. Charles Meshul, John Belknap, John Crabbe and Pam Metten for many helpful discussions of these experiments and their comments on a draft of this manuscript.

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Figure 1.

Schematic representation of the limbic basal ganglia circuit activation associated with acute ethanol withdrawal. The limbic basal ganglia circuit (dark shaded areas) starts with the limbic prefrontal cortex (medial PFC, mPFC), which specifically projects to the ventral striatum (nucleus accumbens, NAc) and to the medial STN (mSTN). The NAc innervates the ventral pallidum (VP) and the rostromedial LGP (rmLGP). Both VP and rostromedial LGP send and receives projections from the medial STN. The VP and medial STN both send projections to the dorsomedial SNr. The dorsomedial SNr projects indirectly, through specific limbic regions of the thalamus, back to the medial PFC (Montaron et al., 1998; Maurice et al., 1998; Karachi et al., 2005). The dashed black and solid white lines represent glutamatergic and GABAergic projections, respectively, within the limbic basal ganglia circuitry. *Additional abbreviations*: MGP, medial globus pallidus; mMGP, medial MGP; SNc, substantia nigra pars compacta; ic, internal capsule.



Figure 2.

Photomicrographs of c-Fos expression in representative brain regions in ethanol withdrawn *Alcw2* congenic and background strain (D2) mice. Congenic mice showed significantly fewer c-Fos immunoreactive cells within the ventral pallidum (VP) (*a*), rostromedial subregion of the lateral globus pallidas (rmLGP) (*c*), subthalamic nucleus (STN) (*e*), and substantia nigra pars reticulata (SNr) (*g*) than D2 background strain mice (*b*,*d*,*f*,*h*), respectively. Subregions associated with limbic function [e.g., rmLGP, medial STN (mSTN), and dorsomedial SNr (dmSNr)] are delineated in panels *c*–*h*. The medial subregions of the rostral LGP (*c*,*d*) and STN (e,f) were more intensively activated than the lateral subregions. In rostral SNr, the limbic subregion (i.e., dmSNr) showed increased neuronal activity associated with ethanol withdrawal in both strains, but to a lesser degree in congenic *vs.* background strain mice (*g*,*h*). *Additional abbreviations*: STR, striatum. Scale bar = 100 µm.



Figure 3.

SNr lesions. (*a*) Schematic diagram of a sagital brain section depicting the rostrocaudal and dorsoventral extent of lesions in the rostral SNr (with the left dashed line corresponding to coronal sections shown in panels *b* and *c*) or caudal SNr (with the right dashed line corresponding to coronal sections shown in panels *d* and *e*). (*b*,*d*) Diagrams of coronal sections of the rostral and caudal SNr indicating the mediolateral and dorsoventral extents of rostrolateral and caudolateral SNr lesions, respectively (only one side is shown). Lateral SNr-lesions extended from rostrolateral to caudolateral SNr. Black shaded areas represent the minimal lesion area, and the hatched areas represent more extensive lesions observed in some animals. (*c*,*e*) Low magnification (2X) photomicrographs of brain sections showing a representative bilateral rostrolateral SNr lesion (*c*) and bilateral caudolateral SNr lesion (*e*). Black arrowheads indicate the lesioned area. *Abbreviations:* cp, cerebral peduncle; PAG, periaqueductal grey; MB, mammillary body; ml, medial lemniscus. Scale bar = 250 µm.

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Figure 4.

Attenuation of acute ethanol withdrawal severity by caudolateral SNr lesions. (*a*) Bilateral SNr-lesioned and sham-lesioned D2 mice were scored for baseline handling-induced convulsions (HICs) immediately before administration of 4 g/kg ethanol (the *arrow* marks ethanol injection at time 0), and hourly between 2 and 12 hr post-ethanol administration. Data represent the mean raw scores \pm SEM for baseline and post-ethanol HICs. Alcohol administration initially lowers HIC scores (0–4 hr). Later, convulsion scores increase above baseline, indicating a state of withdrawal hyperexcitability, which peaked approximately 6 hr post-ethanol administration. (*b*) Caudolateral SNr lesions significantly reduced ethanol withdrawal scores calculated as area under the withdrawal curve (AUC, corrected for baseline HIC scores) compared to sham-lesioned groups (p<0.001). Similarly, animals with lateral SNr lesions (spanning caudal and rostral lateral SNr) showed significantly less severe ethanol withdrawal than sham-lesioned animals (p<0.0001). In contrast, rostrolateral SNr lesions did not alter ethanol withdrawal convulsion severity compared to sham-lesioned control animals (p=0.8).



Figure 5.

Caudolateral SNr lesions also reduce withdrawal severity after repeated ethanol administration. (*a*) Bilateral caudolateral SNr-lesioned and sham-lesioned D2 mice were scored for baseline HICs. Lesioned mice (n=12) and one group of sham-lesioned mice (n=17) received three injections of 4 g/kg ethanol at hr 0, 8 and 20. In the second group of sham-lesioned mice, the first and second injections were replaced with saline and ethanol was administered only once at hr 20 (n=6). All mice were tested for HICs hourly beginning at hr 22 and through hr 34. Data represent the mean raw scores ± SEM for baseline and postethanol HICs. (*b*) Repeated episodes of ethanol intoxication and withdrawal significantly enhanced ethanol withdrawal severity (AUC, corrected for baseline HIC scores) compared to acute ethanol withdrawn animals (p<0.05). Mice with bilateral caudolateral SNr lesions displayed significantly less severe ethanol withdrawal than sham-lesioned animals following repeated episodes of ethanol intoxication and withdrawal (p<0.001).



Figure 6.

Bilateral subthalamic nucleus (STN) lesions did not alter ethanol withdrawal severity. (*a*) Schematic of histological sections depicting the extent of confirmed STN lesions (only one side is shown). Black shaded areas represent the minimal lesion area, and the hatched areas represent more extensive lesions observed in some animals. (*b*) Bilateral STN-lesioned and sham-lesioned D2 mice were scored for baseline handling-induced convulsions (HICs) immediately before administration of 4 g/kg ethanol (the *arrow* marks ethanol injection at time 0), and hourly between 2 and 12 hr post-ethanol administration. Data represent the mean raw scores \pm SEM for baseline and post-ethanol HICs. Although the data suggest the possibility of a shift in the withdrawal time-course in STN-lesioned animals, statistical analyses of these data did not detect a significant difference at any time point, or in the overall time course. *Inset:* Bilateral STN lesions did not reduce ethanol withdrawal scores calculated as area under the withdrawal curve (AUC), as compared to the sham-lesioned group (*p*=0.6). *Abbreviations:* cc, corpus callosum.



Figure 7.

Bilateral SNr lesions did not alter pentylenetetrazole (PTZ)-enhanced convulsions. Bilateral caudolateral SNr-lesioned, sham-lesioned and no-surgery control groups all received a single dose of PTZ (30 mg/kg, i.p.). Handling-induced convulsions (HICs) were measured 1, 3, 5, 8, 10, 15, 20, 35, 50 and 65 minutes post-PTZ administration. PTZ-enhanced convulsions, calculated as the area under the curve (AUC), did not differ between caudolateral SNr-lesioned (n=4), sham-lesioned (n=6), and unoperated control (n=6) D2 mice ($H_{[2,16]}$ =0.43, p=0.8).

Table 1

Number of c-Fos positive neurons in *Alcw2* congenic and D2 background strain mice

administered 4 g/kg ethanol (n=14 and 16, respectively) or saline control (n=5 per strain). Seven hours later, the brains were removed and processed for immunohistochemical analysis. Values represent the number of c-Fos immunoreactive neurons (mean ± SEM) in representative sections. Significant Brain regional numbers of c-Fos-positive neurons in Alcw2 congenic and background strain mice. Congenic and background strain (D2) mice were effects of treatment (T) and strain (S), based on the results of Kruskal Wallis one-way ANOVA, are indicated.

	Cor	oenic		2	
	Saline	Ethanol	Saline	Ethanol	ANOVA
Extended basal ganglia					
Dorsomedial striatum	0 ± 0	6 ± 2	0 ± 0	13 ± 4	Т
Dorsolateral striatum	0 ± 0	9 ± 2	0 ± 0	14 ± 2	Т
Nucleus accumbens, shell	43 ± 9	83 ± 9	21 ± 9	97 ± 10	Т
Nucleus accumbens, core	17 ± 5	59 ± 8	8 ± 3	65 ± 9	Т
Lateral globus pallidus (LGP)	0 ± 0	114 ± 24	0 ± 0	192 ± 31	Т
rostromedial LGP	0 ± 0	80 ± 19	0 ± 0	156 ± 17	\mathbf{T}, \mathbf{S}
Medial globus pallidus (MGP)	1 ± 1	86 ± 16	1 ± 1	157 ± 18	Т
medial MGP	1 ± 0	51 ± 10	0 ± 0	85 ± 12	Т
Ventral pallidum (VP)	0 ± 0	98 ± 19	0 ± 0	158 ± 20	\mathbf{T}, \mathbf{S}
Substantia nigra, reticulata (SNr), rostral	1 ± 1	131 ± 32	1 ± 1	242 ± 25	\mathbf{T}, \mathbf{S}
SNr, caudal	2 ± 1	227 ± 41	2 ± 1	326 ± 19	\mathbf{T}, \mathbf{S}
dorsomedial SNr	1 ± 0	53 ± 12	0 ± 0	85 ± 11	\mathbf{T}, \mathbf{S}
Substantia nigra, compacta (SNc)	0 ± 0	9 ± 5	0 ± 0	13 ± 4	Т
Subthalamic nucleus (STN)	0 ± 0	183 ± 32	0 ± 0	302 ± 28	\mathbf{T}, \mathbf{S}
medial STN	0 ± 0	101 ± 20	0 ± 0	149 ± 14	Т
Extended Amygdala					
Central nucleus (CEA)	23 ± 5	62 ± 11	5 ± 2	59 ± 12	Т
Basolateral amygdala (BLA)	20 ± 7	54 ± 9	14 ± 4	56 ± 10	Т
Bed nucleus of the stria terminalis					
(BNST)	7 ± 2	51 ± 9	2 ± 1	59 ± 8	Т
Septum					
Lateral septum	42 ± 8	46 ± 8	39 ± 13	49 ± 9	
Medial septum	6 ± 2	11 ± 2	3 ± 2	9 ± 1	T

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	Con	genic	I	12	
	Saline	Ethanol	Saline	Ethanol	ANOVA
Cortex					
Cingulate, area 1 (Cg1)	25 ± 3	45 ± 8	20 ± 7	53 ± 7	Т
Prelimbic (PrL)	58 ± 8	100 ± 12	46 ± 11	108 ± 13	Т
Ectorhinal-perirhinal cortex (Ect-PRh)	38 ± 2	166 ± 9	39 ± 6	170 ± 12	Т
SNr outputs					
Ventromedial thalamus	0 ± 0	64 ± 18	1 ± 1	91 ± 14	Т
Superior colliculus, intermediate layers (SCi)	78 ± 18	109 ± 26	27 ± 9	164 ± 28	т

Table 2

Mean c-Fos positive neurons density (number of labeled cells/mm² + SEM in six brain regions

Densities of c-Fos-positive neurons in discrete brain regions. The densities of c-Fos-positive neurons were measured throughout the rostrocaudal extent of the six brain regions that displayed significant effects of strain in Table 1. Values represent the mean density of c-Fos immunoreactive neurons ± SEM/ mm². In each region, the results of a Kruskal Wallis one-way ANOVA and the post-hoc comparison between ethanol withdrawn congenic and background strain mice are indicated.

	Co	ngenic		D2	V NON V	most has
	Saline	Ethanol	Saline	Ethanol	ALIOVA	post noc
rostral SNr	0 ± 0	290 ± 83	1 ± 0	771 ± 62	$H_{(3,40)}=27.4, p<10^{-4}$	$p=9.6 \times 10^{-3}$
caudal SNr	2 ± 1	374 ± 87	4 ± 2	766 ± 38	$H_{(3,40)}{=}25.5, p{<}10^{-4}$	$p=1.2 \times 10^{-2}$
dorsomedial SNr	0 ± 0	616 ± 190	4 ± 3	1515 ± 118	$H_{(3,40)}{=}25.0, p{<}10^{-4}$	$p=1.5 \times 10^{-2}$
ventral pallidum	1+1	285 ± 74	0 ± 0	818 ± 45	$H_{(3,40)}=29.7, p<10^{-4}$	$p{=}2.6{\times}10^{-4}$
rostromedial LGP	0 ± 0	385 ± 98	0 ± 0	996 ± 54	$H_{(3,40)}$ =29.6, p <10 ⁻⁴	$p = 6.0 \times 10^{-3}$
subthalamic nucleus	0 ± 0	1256 ± 313	12 ± 10	2611 ± 130	$H_{(3,40)}{=}25.2, p{<}10^{-4}$	$p = 1.9 \times 10^{-3}$