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DARKS ARE PROCESSED FASTER THAN LIGHTS

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Abstract

Recent physiological studies claim that Dark stimuli have access to greater neuronal resources than Light stimuli in early visual pathway. We used two sets of novel stimuli to examine the functional consequences of this Dark dominance in human observers. We show that increment and decrement thresholds are equal when controlled for adaptation and eye-movements. However, measurements for salience differences at high-contrasts show that Darks are detected pronouncedly faster and more accurately than Lights when presented against uniform binary noise. In addition, the salience advantage for Darks is abolished when the background distribution is adjusted to control for the irradiation illusion. The threshold equality suggests that the highest sensitivities of neurons in the ON and OFF channels are similar, whereas the salience difference is consistent with a population advantage for the OFF system.

Keywords

ON; OFF; human; retina; thalamus; visual cortex; natural scene

INTRODUCTION

Mach (1886) demonstrated that the perception of configurations as wholes is disrupted if their components are separated into Dark and Light contrast polarities. Physiological evidence for independent processing of light increments and decrements by separate ON and OFF pathways in the retina was provided by Hartline (1938). The segregation begins at the first synapse of photoreceptors with sign-inverting and sign-conserving bipolar cells (Werblin and Dowling, 1969), and persists at the striate cortex (Jin et al., 2008). But does the visual system treat these two inputs as equals differing only in polarity? Neuroanatomical and neurophysiological studies in Macaques and cats indicate OFF dominance of neural resources: there are more OFF than ON bipolar cells in the central retina (Ahmad et al., 2003), OFF center geniculate afferents dominate the cortical representation of central vision (Jin et al., 2008; Jin et al., 2011), and visual responses to Dark stimuli dominate the superficial layers of V1 (Yeh et al., 2009; Xing et al., 2010). In addition, Darks generate larger visual evoked potentials than Lights (Zemon et al., 1988).

Psychophysical threshold measurements of ON and OFF sensitivity have given mixed results (Blackwell, 1946; Krauskopf, 1980; Bowen et al., 1989; Poot et al., 1997). More complex behavioral tasks have revealed the advantage of Dark-on-Light over Light-on-Dark in reading (Buchner and Baumgartner, 2007), and the primacy of Dark texels in judging texture variance (Chubb and Nam, 2000). We first show that, when adaptation is equated

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for, and eye-movements cannot contaminate the measurements, ON and OFF channel sensitivities are essentially equal at threshold. Then we show that, at supra-threshold levels on uniform-noise backgrounds, observers can report the number ofDark targets significantly faster and more accurately than Light targets. Finally, we show that the advantage for Darks disappears when the background is adjusted for the irradiation illusion, in which Lights in Dark backgrounds appear larger than physically equal Darks in Light backgrounds (Galilei, 1632).

MATERIALS AND METHODS

All stimuli were presented using MATLAB (Mathworks) and Psychtoolbox 3 (Brainard, 1997) on a Sony GDM-F520 21″ CRT monitor, calibrated for linearity, subtending 3.84 \degree ×5.12 \degree of visual angle at a distance of 4.2m, each pixel subtending 0.05 \degree × 0.05 \degree . The mean luminance of the monitor was held constant at 50 cd/m². Experiments were conducted in a dark room. Observers used a numerical keypad to respond. 5 observers, 3 females and 2 males (including the author, SJK) with corrected or 20/20 vision participated in Experiment 1, and 4 observers, 1 male and 3 females in Experiments 2 and 3.

Experiment 1: Sensitivity of isolated ON and OFF channels

To selectively activate ON and OFF pathways, observers first adapted for 120s to the midgray of the monitor, then either a horizontal or a vertical square-wave grating appeared as an increment or a decrement on the adapting level, i.e. one set of bars remained at mid-gray (Fig. 1a). Observers were required to report the orientation of the grating. After 0.1s, the grating was turned into a horizontal-vertical grid for 0.05s, ensuring that the observers' horizontal versus vertical decisions could only utilize the 0.1s period, which minimizes the chance of an eye movement activating both channels across spatial edges. Under this condition the receptive field centers of ON and OFF cells respond to increments and decrements respectively. The receptive field surrounds also respond to the opposite polarity but with a much weaker response than those generated by the receptive field centers. Since psychophysical thresholds are determined by the most responsive neurons, it is reasonable to assume that increments are conveyed mostly by the receptive field centers of ON cells and decrements by the centers of OFF cells. Contrast thresholds were measured using the method of constant stimuli at spatial frequencies of 16, 24 and 32 cycles/degree (cpd), using 50 trials per level. Sensitivity of ON and OFF pathways during different levels of transient and steady adaptation was measured using the same stimulus sequence at 16 cpd but with the background shifted 0.5 or 1.5 times the mid-gray, at 0s or 0.1s before the onset of the grating. As an additional test, we used temporal sawtooth modulation that has been shown to selectively activate ON and OFF retinal ganglion cells (Kremers et al., 1993), and asked observers to detect the presence of flicker on a 2° circular field against a mid-gray background, in a 2IFC task.

Experiment 2: Reaction times to Dark and Light targets

Observers were asked to report, as rapidly as possible, the number (1 to 3) of Dark or Light target squares against a uniform binary noise background randomized for every trial (Fig. 2a). Observers initially adapted to mid-gray for 120s and an auditory tone for stimulus onset was provided 2s prior to the end of the adaptation period. Subsequent stimulus presentations were initiated automatically following the observer's response to the number of targets. A representative sequence is shown in Figure 2a and correct responses are 3, 1, 3, 2, 3, 1, 2, 2, 1, 2, 2 & 3. The target size was six times the size of the background texels, so the probability of a false target formed by random grouping of texels was negligible $(p=0.02)$. Reaction Times (RTs) for 1600 trials were collected per observer, spread across 4 sessions. RT histograms (bin size $= 0.1$ s), were averaged and fitted using the Exponential-Gaussian

function (Ratcliff, 1979; Cousineau, 2005). The function assumes that RT's result from taking the sum of independent Gaussian and Exponential random variables, implying that the probability distribution characterizing RT's is the convolution of an Exponential (exp) with a Gaussian (ϕ) (Equation 1):

$$
f(x|\mu,\sigma,\tau) = \frac{1}{\tau} \exp\left(\frac{\mu}{\tau} + \frac{\sigma^2}{2\tau} - \frac{x}{\tau}\right) \phi\left(\frac{x-\mu-\frac{\sigma^2}{\tau}}{\sigma}\right)
$$
(1)

The 3 parameters of the function, mean (μ) and standard deviation (σ) of the normal component and mean of the exponential (τ) , were estimated using Maximum Likelihood Estimation. The Goodness of Fit was tested using a chi-squared statistic. The mean RT for the distributions was calculated as the sum of the Gaussian and Exponential means. Post-hoc planned contrasts compared the mean RTs of Dark and Light targets.

Chubb and Nam (2000) used independent and identically distributed textures composed of 9 equally spaced gray-levels from Light to Dark to determine the impact of different graylevels in judging texture variance. They demonstrated that the perception of texture contrast is affected disproportionately by Dark texels. To test if we could replicate their results with our stimuli, we repeated our detection experiment on a background consisting of a uniform distribution of 9 equally spaced luminance levels. The targets were uniform noise patterns with luminance values from the top 3 levels for Light and bottom 3 levels for Dark (Fig. 2c). Correct responses for Figure 2c are 2, 2, 3, 1, 2, 1, 1, 3, 2, 3, 2 & 3.

Experiment 3: Correction for the irradiation illusion

Consistent with the irradiation illusion, the Light texels in the uniform binary noise appeared larger than the physically equal Dark texels. To test whether the perceptual inequality of the background favored the detection of Dark targets, we measured the irradiation illusion with two methods and controlled for it. In Method 1, we presented a single Dark or Light texel from the binary background against a larger background of opposite polarity (Fig. 3a, left). At physical equality the Light square appeared larger than Dark. Therefore, using larger versus smaller responses from each observer, the size of the Light square was adjusted to achieve perceptual equality with the fixed Dark square. The mean size (s.e.m. < 0.001) for each observer was calculated from 8 reversal points per session for 10 sessions (s.d. <1.5). In Method 2, the Dark/Light proportion was varied randomly through 7 different values (Fig. 3a, right) and observers reported if the binary noise background had more Light area or Dark. The Dark/Light proportion at which observers equated for area was estimated from psychometric fits. Targets were then presented on binary backgrounds with binomial probabilities for Dark and Light texels adjusted to correct the irradiation illusion for each observer.

RESULTS

Experiment 1: Sensitivity of isolated ON and OFF channels

To isolate sensitivities of ON and OFF channels, after adaptation to mid-gray, either a horizontal or a vertical square-wave grating appeared as either an increment or a decrement on the adapting luminance (Fig. 1a). To minimize the chances of an eye movement activating both channels, the grating turned into a horizontal-vertical grid to mask the critical orientation information. Figure 1b shows contrast thresholds for 5 observers to increment and decrement gratings. The results show that there was no significant difference in performance between ON and OFF channels at steady adaptation, except that thresholds

at 32 cpd were consistently lower for decrements than increments. However the difference did not reach significance (Wilcoxon signed-rank test, p=0.068).

Next we measured the sensitivity of ON and OFF channels during different levels of transient and steady adaptation, using the same stimulus but with the background shifted 0.5 or 1.5 times the mid-gray at 0s or 0.1s before the grating presentation. Figure 1c shows that contrast thresholds after negative step changes were significantly lower than for positive (Poot et al., 1997), but there was no consistent threshold difference for increments and decrements in any adaptation condition.

We also used sawtooth modulation to selectively activate ON and OFF channels (Kremers et al., 1993). Two observers were asked to detect the presence of flicker on a circular field against a mid-gray background. The flicker detection thresholds (Fig. 1c) show no consistent difference between Fast ON and Fast OFF saw-teeth at 8Hz, contradicting results using the method of adjustment (Bowen et al., 1989), but consistent with ganglion cell measurements showing equal ON and OFF cell sensitivity (Kremers et al., 1993).

Experiment 2: Reaction times to Dark and Light targets

Four observers were asked to report, as rapidly as possible, the number (1 to 3) of Dark or Light target squares against a uniform binary noise background randomized for every trial (Fig. 2a). The RT distributions in Figure 2b show that, on average, observers responded 0.2 s faster to Dark targets than Light targets ($p < 0.01$, ANOVA) and were also more accurate at reporting the number of Dark targets (92% versus 83% correct). We repeated our detection experiment on a uniform distribution of 9 equally spaced luminance levels (Chubb and Nam, 2000). The targets were uniform noise patterns with luminance values from the top 3 levels for Light and bottom 3 levels for Dark (Fig. 2c). In the results shown in Figure 2d, the RTs and percent correct on the 9-level backgrounds were also better for Dark than Light targets.

Experiment 3: Correction for the irradiation illusion

In trying to identify the causes of this Dark/Light asymmetry, we observed that the background binary noise appeared to have more area covered by Light than Dark despite physical equality, seemingly consistent with the irradiation illusion (Galilei, 1632). The magnitude of the illusion was estimated with two methods. First, we presented a single Dark or Light texel from the binary background against a larger background of opposite polarity (Fig. 3a, left) and asked observers to adjust the size of the Light square to achieve perceptual equality with the fixed Dark square. Second, the observers reported if the binary noise background had more Light or Dark area while the Dark/Light proportion was varied randomly through 7 different values (Fig. 3a, right). The two methods used to measure the irradiation illusion converged to approximately the same value: Light/(Light+Dark)=0.4 (Fig. 3b). When the backgrounds were corrected for the irradiation illusion so that the background looked equally Dark and Light, the RT and percent of correct responses for Dark and Light targets were similar ((85% versus 87% correct) Fig. 3c). These results suggest that the Dark/Light asymmetry was caused by the *perceptual* inequality in the uniform background.

DISCUSSION

Our results demonstrate that contrast thresholds for increments and decrements are similar across different spatial frequencies (Fig 1b,c). However, at supra-threshold levels in noisy backgrounds, we show that Darks are perceived remarkably faster and more accurately than Lights. Moreover, we show that the advantage to perceive Darks disappears when the

background is adjusted to correct for the irradiation illusion. The perceptual advantage for Darks with a simple detection task, is consistent with recent studies showing a dominant representation of Dark stimuli in early visual processing (Ahmad et al., 2003; Jin et al., 2008; Yeh et al., 2009; Xing et al., 2010; Jin et al., 2011).

Our threshold results contradict previous psychophysical claims that observers are more sensitive to decrements than increments at threshold (Blackwell, 1946; Krauskopf, 1980). The short presentations of incremental and decremental gratings in our study (Fig 1a), were designed not only to isolate ON and OFF pathways by minimizing eye-movements, but also to minimize adaptation changes caused by the test stimulus. Since light adaptation is faster after a negative step change than after a positive step (Poot et al., 1997), longer stimulus presentations would lead to lower measured thresholds for decrements. The equal thresholds for Rapid-OFF and Rapid-ON sawtooth modulation obtained with our objective 2IFC method (Fig 1d) also contradict the differences reported using the less accurate method of adjustment (Bowen et al., 1989). Psychophysical thresholds reflect the responses of neurons most sensitive to the test stimulus, so taken together our threshold results suggest that the highest sensitivities of neurons in the ON and OFF channels are similar. Recordings from retinal ganglion cells confirm our results that sawtooth modulation can be used to isolate ON and OFF visual responses, and that the two classes of cells have similar sensitivity under photopic conditions in macaques (Kremers et al., 1993).

Our main result is that Dark targets are perceived faster and more accurately than Light targets at supra-threshold levels in noisy backgrounds (Fig 2). Since these results cannot be due to differences in the peak sensitivities of ON and OFF pathways, a possible explanation could be based on the greater neuronal resources to process Darks available in the early visual pathway (Ahmad et al., 2003; Jin et al., 2008; Yeh et al., 2009; Xing et al., 2010) .

An alternate explanation could be based on asymmetries in the response variability to Darks and Lights. The information-theoretic analysis of Pandarinath et al. (2010) shows that, in a Poisson distribution, decrements from the mean are more discriminable than increments because they have lower variability. Their derivations predict that the integration periods required for detection should be smaller for decrements than for increments. We have considered different ways in which Poisson photon statistics could apply to the detection of Dark and Light patches in Experiment 2. On the uniform binary noise, 50% of each test patch was a transition from the opposite polarity (See Methods). For these binary stimuli, Pandarinath et al.'s equations predict decrements to be 0.96 times as discriminable as increments, which is much smaller in magnitude and in the wrong direction from the results of Experiment 2. Further, the binary-noise backgrounds are not treated by the "salience" system as equivalent mean level backgrounds because, if the noise backgrounds in Experiment 2 are replaced by uniform backgrounds equated for mean luminance, there is no RT difference between detection of Dark and Light patches. Finally, if the patches are detected by eye-movements across their boundaries, there will be equal numbers of transitions of each polarity for each eye-movement on backgrounds with equal numbers of Light and Dark texels. Consequently, our stimulus design excludes photon decrement/ increment asymmetries as an explanation. Further, both Dark and Light targets predominantly evoke incremental response in OFF and ON cells respectively, so a difference between incremental and decremental Poisson spike trains does not apply to the tasks in Experiments 2 and 3.

In the irradiation illusion, a Light square on a Dark background appears larger than a Dark square on a Light background, and this makes uniform binary noise seem to have greater Light area. It is interesting that correcting for the illusion abolishes the salience advantage for Darks (Fig 3c). The locus of the irradiation illusion remains elusive but it is often

suggested that it is due to light scatter originating in the optical structures of the eye. Our results suggest that the locus of the irradiation illusion is earlier than the locus of the saliency task in Experiment $2 \& 3$, but do not identify the anatomical location of the illusion. Mathematically, blurring plus a nonlinear compression of the luminance signal simulate the irradiation illusion. The main question is whether the nonlinearity is early and affects all visual tasks, or late so that it only affects the most complex tasks. In order to test for an early locus, we took as the input a pair of Dark and Light squares against a larger background of opposite polarity (Fig. 3a), and used a simple model comprising three sequential stages. At each stage we compared the Full Width at Half-height of the Maxima (FWHM) of the Dark to the Light output waveform: $(R = (FWHM(Dark) / (FWHM(Light) + FWHM(Dark)))$. The inputs were first convolved with the point-spread function of the eye (Campbell and Gubisch, 1966) for the pupil size appropriate to the mean luminance (Le Grand, 1957). Convolution with the point spread function decreased the contrast of the texels without altering their FWHM. The output was then passed through a compressive nonlinear function of the form $0.5*$ log (1+2 Ω). The compressive function changed the FWHMs to a ratio R=0.43. Finally, ON and OFF Difference of Gaussian (DOG) filters (Rodieck, 1965, Chichlinisky and Kalmar, 2000) were applied separately to the Dark and Light texels from the preceding stage. Filtering added an extra distortion, so that the model gave an irradiation illusion similar to that obtained empirically $(R=0.4)$. These simulations indicate that published measures of optical blur are not sufficient to account for the magnitude of the irradiation illusion unless a compressive nonlinear function of luminance is added in the early stages of visual processing. Even though there is evidence for compressive response functions as early as photoreceptors (Schnapf et al., 1990), we were unable to find definitive measurements of the non-linear function to use in our model. We can only conclude that, if the retinal non-linearity is not sufficient to explain the irradiation illusion, some or part of the illusion could be due to cortical mechanisms.

Consistent with a later locus for the irradiation illusion are the gain-control mechanisms in the retina (Shapley and Victor, 1978; Kaplan and Shapley, 1986; Smirnakis et al., 1997; Brown and Masland, 2001) that lead to fairly linear contrast-response curves for parvo-cells in the LGN. In addition, the result of Nam and Chubb (2000) demonstrated that gray-levels carry weight proportional to their values when observers judge average luminance of random textures, a finding that is incompatible with an early non-linear compression of luminance. Perhaps, the differences between ON and OFF pathways contribute to this classical illusion. For example, ON retinal ganglion cells in the retinal periphery have larger receptive fields than OFF retinal ganglion cells (Chichilnisky and Kalmar, 2002) and the OFF pathway is better represented than the ON pathway at the center of vision in the cortex (Jin et al., 2008; Jin et al., 2011).

The irradiation illusion could explain results of complex behavioral tasks showing suprathreshold ON/OFF asymmetries. For example, while Chubb and Nam (2000) demonstrated that Dark texels carry more weight when judging luminance variance of random textures, the results in Fig 2c&d show that the 9-level Chubb-Nam noise backgrounds have a similar effect on Dark salience as the uniform-noise background. Therefore the irradiation illusion that can account for the measured salience differences in our stimuli, could also explain the dominance of Dark texels when perceiving texture variance. Another manifestation of the irradiation illusion is that Light letters on Dark appear less sharp than Dark letters on Light, and this could potentially explain the differences in reading performance (Buchner and Baumgartner, 2007).

It is worth noting that the luminance profile of natural scenes has been shown to be skewed towards Darks (van Hateren et al., 2002; Balasubramanian and Sterling, 2009; Ratliff et al., 2010). While the presence of smaller, denser and more numerous OFF bipolar cells suggest

an evolutionary adaptation to these luminance statistics, our results offer a related functional interpretation. The greater amount of Dark makes natural scenes similar to our irradiationcorrected backgrounds. Hence, analogous to the results of Experiment 3, the asymmetry in natural luminance statistics will serve to equalize the salience of Darks and Lights in natural scenes.

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Figure 1. Sensitivity to ON and OFF channels

(**a**) Stimulus sequence to isolate ON and OFF channels. Observers reported the orientation of the grating using 2AFC (H vs V) (**b**) Contrast threshold for increment and decrement gratings for 16, 24 and 32 cycles per degree. (**c**) Contrast threshold measured for increment and decrement gratings presented 0s and 0.1s following positive and negative step changes. (**d**) Contrast threshold for fast ON and fast OFF sawtooth modulation at 8Hz.

Figure 2. Reaction time distributions to Dark and Light targets on uniform binary noise background

(**a, c**) Stimulus sequence of Dark and Light targets (1 to 3) presented in random order on a noisy background. (**b, d**) Reaction time (RT) distributions for correct responses to the number of Dark and Light targets. Solid lines are Exponential Gaussian fits to the data points (triangles).

(**a**) (**Left**) Method 1: Size of the Light square was adjusted to match the size of Dark square based on 2AFC observer's response. (**Right**) Method 2: Observers judged the areas occupied by Dark and Light texels in binary noise stimuli. (**b**) Perceptual adjustments measured with Methods 1 and 2 in 4 observers. The sizes of Dark and Light texels were perceived as equal when the ratio Light/(Dark+Light) ~ 0.4 (**c**) RT distributions illustrated as in Figure 2.