

Published in final edited form as:

*J Neurosci.* 2012 September 19; 32(38): 13125–13136. doi:10.1523/JNEUROSCI.1937-12.2012.

## Abca1 deficiency affects AD-like phenotype in human ApoE4 but not in ApoE3 targeted replacement mice

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### Abstract

ABCA1 transporter regulates cholesterol efflux and is essential mediator of HDL formation. In APP transgenic mice, *Abca1* deficiency increased amyloid deposition in the brain paralleled by decreased levels of Apolipoprotein E (ApoE). The *APOEε4* allele is the major genetic risk factor of sporadic Alzheimer disease (AD). Here we reveal the effect of *Abca1* deficiency on phenotype in mice expressing human ApoE3 or ApoE4. We used APP/E3 and APP/E4 mice generated by crossing APP/PS1ΔE9 transgenic mice to human APOE3 and APOE4 targeted replacement mice and examined *Abca1* gene-dose effect on amyloid deposition and cognition. The results from two behavior tests demonstrate that lack of one copy of *Abca1* significantly exacerbates memory deficits in APP/E4/*Abca1*<sup>-/+</sup> but not in APP/E3/*Abca1*<sup>-/+</sup> mice. The data for amyloid plaques and insoluble Aβ also show that *Abca1* hemizygoty increases Aβ deposition only in APP/E4/*Abca1*<sup>-/+</sup> but not in APP/E3/*Abca1*<sup>-/+</sup> mice. Our *in vivo* microdialysis assays indicate that *Abca1* deficiency significantly decreases Aβ clearance in ApoE4 expressing mice, while the effect of *Abca1* on Aβ clearance in ApoE3 expressing mice was insignificant. In addition, we demonstrate that plasma HDL and Aβ42 levels in APP/E4/*Abca1*<sup>-/+</sup> mice are significantly decreased and there is a negative correlation between plasma HDL and amyloid plaques in brain, suggesting that plasma lipoproteins may be involved in Aβ clearance. Overall, our results prove that the presence of functional *Abca1* significantly influences the phenotype of APP mice expressing human ApoE4 and further substantiate therapeutic approaches in AD based on ABCA1-APOE regulatory axis.

### Keywords

Human ApoE3 and ApoE4; Abca1; Abca1 knockout mice; Aβ; behavior; APP transgenic mice; HDL; amyloid plaques

### Introduction

Alzheimer's disease (AD) is a late-onset dementia characterized by the presence of senile plaques made of amyloid β (Aβ), neurofibrillary tangles, and cognitive decline. Although the inheritance of ε4 allele of APOE is the major genetic risk factor for late-onset AD (Saunders et al., 1993), the mechanisms underlying this association remain elusive ((Kim et al., 2009)). It is important to note that while the incidence of AD amongst APOE4 allele carriers is substantially increased, not everybody with this allele develops the disease

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(Corder et al., 1993). It is conceivable that additional genetic factors influence the risk and precipitate the development of dementia.

ATP binding cassette transporter A1 (ABCA1) is a lipid pump that regulates cholesterol and phospholipids efflux from cells to lipid-poor apolipoprotein A-I (ApoA-I) and ApoE in a process essential for the formation of high density lipoproteins (HDL) (Brooks-Wilson et al., 1999). We and others have shown that *Abca1* deficiency increased amyloid deposition in different AD model mice in parallel with reduced ApoE and ApoA-I levels (Hirsch-Reinshagen et al., 2005; Koldamova et al., 2005a; Wahrle et al., 2005). A recent report from our group also demonstrated that APP mice with one functional copy of *Abca1* have significant memory deficits that correlated with the levels of soluble A $\beta$  oligomers (Lefterov et al., 2009). In contrast, it has been shown that transgenic overexpression of ABCA1 (Wahrle et al., 2008) or treatments with liver  $\times$  (LXR) and retinoid  $\times$  receptors (R $\times$ R) agonists (Koldamova et al., 2005b; Fitz et al., 2010; Cramer et al., 2012) ameliorate AD phenotype in APP transgenic mice. So far, the role of *Abca1* has been studied only in mice expressing mouse ApoE. Considering the risk for developing AD in association with *APOE4* carrier status, the phenotypic characterization of AD animal model on human ApoE3 or ApoE4 background would be helpful to further understand the role of ABCA1 in the pathogenesis of the disease. *APOE* targeted replacement mice expressing human ApoE isoforms under the control of mouse promoter have been used to study the effect of human ApoE on AD-like phenotype (Sullivan et al., 1997). Two recent studies characterized the phenotype of PDAPP mice crossed to *APOE* targeted replacement mice and demonstrated that *APOE4* expressing mice have more amyloid and less *APOE* than the other two isoforms (Bales et al., 2009; Castellano et al., 2011). Moreover, it has been shown that in *APOE4* mice A $\beta$  clearance is significantly delayed (Castellano et al., 2011).

In this study we use APP/PS1 $\Delta$ E9 transgenic mice crossed to human *APOE3* and *APOE4* targeted replacement mice (APP/E3 and APP/E4 respectively). To examine the effect of *Abca1* deficiency APP/E3 and APP/E4 were crossed to *Abca1* knockout mice. Here we compare amyloid deposition and cognitive decline in APP/E3 and APP/E4 mice expressing wild type *Abca1* to *Abca1* hemizygous mice (APP/E3/*Abca1*<sup>-/+</sup> and APP/E4/*Abca1*<sup>-/+</sup>). Unexpectedly, our results demonstrate that *Abca1* deficiency affected amyloid load and memory deficits only in APP/E4 but not in APP/E3 mice. Importantly, our data also suggest that the level of ApoE and HDL in plasma may affect A $\beta$  clearance.

## Materials and Methods

### Materials

All chemicals and plastics were purchased through Fisher Scientific (Pittsburgh, PA) unless noted otherwise.

### Animals

**Mouse strains**—The study fully conformed to the guidelines outlined in the Guide for the Care and Use of Laboratory Animals from the United States Department of Health and Human Services and was approved by the University of Pittsburgh Institutional Animal Care and Use Committee. APP/PS1 $\Delta$ E9 mice (B6.Cg-Tg (APP<sup>swe</sup>, PSEN1 $\Delta$ E9)85Dbo/J) were purchased from Jackson Laboratory (Bar Harbor, ME) on C57BL/6J background (Lefterov et al., 2010). APP/PS1 $\Delta$ E9 mice express mutant familial variants of human amyloid precursor protein (APP) with Swedish mutation (APP<sup>swe</sup>), and human PS1 (presenilin 1) with deletion in exon 9 (PS1 $\Delta$ E9). ABCA1<sup>+/-</sup> heterozygous mice (DBA/1-*Abca1*<sup>tm1Jdm</sup>/J) were purchased from Jackson Laboratory on C57BL/6  $\times$  DBA/1 background and crossbred for 10 generations to pure C57BL/6 background in our laboratory. Human ApoE4

(B6.129P2-*ApoE*<sup>tm3(APOE\*4)</sup>*Mae* N8) and ApoE3 (B6.129P2-*ApoE*<sup>tm3(APOE\*3)</sup>*Mae* N8) were purchased from Taconic (Germantown, NY) on C57BL/6 background.

**Breeding**—APP/PS1ΔE9 mice were bred to human ApoE4<sup>+/+</sup> and ApoE3<sup>+/+</sup> targeted replacement mice to generate APP/PS1ΔE9/ApoE4<sup>+/+</sup> (referred to as APP/E4) and APP/PS1ΔE9/ApoE3<sup>+/+</sup> (referred to as APP/E3) mice expressing only human ApoE isoforms and wild type Abca1. Separately, Abca1<sup>-/-</sup> mice were bred to human ApoE4<sup>+/+</sup> and ApoE3<sup>+/+</sup> targeted replacement mice to generate ApoE4<sup>+/+</sup>/Abca1<sup>+/+</sup> (E4) and ApoE4<sup>+/+</sup>/Abca1<sup>-/+</sup> (E4/Abca1<sup>-/+</sup>); as well as ApoE3<sup>+/+</sup>/Abca1<sup>+/+</sup> (E3) and ApoE3<sup>+/+</sup>/Abca1<sup>-/+</sup> (E3/Abca1<sup>-/+</sup>) (in all these lines the mouse ApoE is entirely replaced). E4/Abca1<sup>-/+</sup> mice were crossbred to APP/E4 to generate APP/PS1ΔE9/ApoE4<sup>+/+</sup>/Abca1<sup>-/+</sup> (referred to as APP/E4/Abca1<sup>-/+</sup>). E3/Abca1<sup>-/+</sup> mice were crossbred to APP/E3 to generate APP/PS1ΔE9/ApoE3<sup>+/+</sup>/Abca1<sup>-/+</sup> (referred to as APP/E3/Abca1<sup>-/+</sup>). All mice were littermates and were fed normal mouse chow diet. Male and female mice from each genotype were used for this study. Radial arm water maze tests were performed at 6-8 months of age (mean age 7.5 months) with mice perfused soon after for evaluation of amyloid phenotype. We used E4, E4/Abca1<sup>-/+</sup>; as well as E3 and E3/Abca1<sup>-/+</sup> as non-transgenic controls for the behavior experiments. Contextual fear conditioning was performed on a separate group of ApoE3 and ApoE4 transgenic mice with an average age of 4.9 months. For microdialysis experiments we used 4.5 month old APP transgenic mice: APP/E3; APP/E3/Abca1<sup>-/+</sup>; APP/E4; APP/E4/Abca1<sup>-/+</sup>.

### Radial Arm Water Maze

Two day radial arm water maze (RWM) was used to assess spatial navigational learning as before (Alamed et al., 2006) with minor modifications. The RWM consisted of 6 arms (20 cm wide, 40 cm long, 8 cm high walls above the water) and a central area (30 cm in diameter); filled with water (temperature 21 ± 1°C) to a level 1cm above the hidden platform (10 cm in diameter) (Stoelting Co, Wood Dale, IL). All animals are handled for 2 mins for two consecutive days prior to any behavior testing.

**Acquisition phase**—We measured the ability of mice to form a representation of the spatial relationship between a safe, but hidden platform and visual cues surrounding the maze. Acquisition testing was performed over two consecutive days with mice trained in groups of 5 or 6. Each day a mouse received two 6-trial blocks and a final 3-trial block (total of 15 trials per day) with a 30 min rest period between blocks. During day 1 of training, a visible platform (flag projecting 6 cm from the platform) was used during trials 1, 3, 5, 7, 9, and 11 to define the rule of a safe platform. All other trials consisted of animals finding the location of a hidden platform. Animals were allowed 60 s to find the platform and 20 s to rest on it. Mice that failed to find the platform were led there by the experimenter and allowed to rest there for 20 s. All animals in a group completed the trial before proceeding, providing a 5 min inter-trial interval. The start location was changed for each trial and the platform location was changed between groups.

**Open pool task with visible platform**—Following acquisition phase, visible platform training was performed to measure swim speed, motivation, and visual acuity. Briefly, all arms were removed from the water maze, distal visual cues were removed from around the maze, and the platform was marked with a flag projecting 6 cm above the surface of the water. Mice were placed in the maze and allowed 60 s to locate the platform and 20 s to rest on it. If they did not find the platform within 60 s, they were led there by the experimenter and remained there for 20 s. Once all animals in a group completed a trial, the position of the platform was moved while the start position remained constant throughout training. Animals were trained in groups of 5 or 6, and training consisted of two 6-trial blocks and a final 3-trial block (15 total trials) with a 30 min rest period between blocks.

Performance was recorded with an automated tracking system (AnyMaze; Stoelting, Wood Dale, IL) during all phases of training. During the acquisition phase, total number of incorrect arm entries and time errors were combined for the overall performance of an animal during a trial. An incorrect arm entry was defined as the entry of 50% of the animal's body into an arm which did not contain the hidden platform. A time error was defined as the failure of an animal to enter an arm after 15 s elapsed. For the 15 daily trials, performance during three consecutive trials was averaged into a block (total of 5 blocks per day). During the open pool task of training, speed and latency to the platform were used to compare the performance between genotypes.

### Contextual Fear Conditioning

Contextual fear conditioning (Stoelting, Wood Dale, IL) was performed on a separate group of ApoE3 and ApoE4 transgenic mice with an average age of 4.9 months as before (Puzzo et al., 2008; Kornecook et al., 2010) with slight modifications. Briefly, mice were placed in a conditioning chamber for 2 min before the onset of a tone (conditioned stimulus (CS), duration of 30 s, 85 dB sound at 2800 Hz). In the last 2 s of the CS, mice were given a 2 s, 0.7 mA foot-shock through the bars on the floor of the chamber and this cycle was repeated twice. Finally the mice were allowed to remain in the chamber for 30s before being returned to their housing cages. Contextual fear was evaluated 24 h after training by measuring freezing behavior for 5 min in the original chamber before mice were returned to their housing cages. Freezing behavior, defined as the absence of movement except for that needed for breathing, was scored using AnyMaze software (Stoelting, Wood Dale, IL). Cued fear learning was assessed 24 h after contextual testing by placing mice in a novel context (gray walls were replaced with black and white striped walls) for 2 min, after which they were exposed to the CS for 3 min, and freezing behavior measured. All chambers were cleaned between animals with 20% ethanol. Data is represented as percent freezing during all stages of testing.

### *In vivo* Microdialysis

*In vivo* microdialysis to assess brain interstitial fluid (ISF) A $\beta$ <sub>40</sub> and A $\beta$ <sub>42</sub> in the hippocampus of awake, freely moving mice was performed as previously described (Cirrito et al., 2003; Fitz et al., 2010). Briefly, mice were anesthetized with avertin (i.p., 250mg/kg), head shaved and placed into a stereotaxic frame (Stoelting, Wood Dale, IL). A bore hole (0.75mm) was made above the left hippocampus (coordinate: -3.1mm from bregma, 2.4 lateral), as well as the right aspect of the skull for placement of an anchoring screw. MD-2250 guide cannulas (Bioanalytical Systems Inc., West Lafayette, IN) were stereotactically lowered into the hippocampus (12° angle, -0.6mm relative to dura mater) and anchored to the bone screw using binary dental cement.

After washing of the MD-2200 microdialysis probes (Bioanalytical Systems Inc. West Lafayette, IN) and FEP tubing with 0.15% bovine serum albumin (BSA) in artificial CSF (aCSF) perfusion buffer (in mM: 1.3 CaCl<sub>2</sub>, 1.2 MgSO<sub>4</sub>, 3 KCL, 0.4 KH<sub>2</sub>PO<sub>4</sub>, 25 NaHCO<sub>3</sub>, and 122 NaCl, pH 7.35) the probes were manually inserted through the guide cannula into the hippocampus. To measure A $\beta$ <sub>40</sub> and A $\beta$ <sub>42</sub>, microdialysis probes had a constant flow rate of 1.0  $\mu$ l/min and sample were collected every 75 min on ice, 12 hr after probe implantation. To determine ISF A $\beta$ <sub>40</sub> and A $\beta$ <sub>42</sub> half-life one hour base line samples were taken from hours 12-15 after probe implantation and at the beginning of hour 16, animals were injected with 10mg/kg LY411575, a gamma secretase inhibitor (synthesized at Chemical Synthesis Core Facility, Mayo Clinic Jacksonville, Jacksonville, FL), diluted in corn oil. Five additional 75 min samples were collected for each animal. The levels of A $\beta$ <sub>40</sub> and A $\beta$ <sub>42</sub> in each sample were determined by ELISA as described below. At the conclusion of the microdialysis

experiment, animals were perfused as described below and 30  $\mu\text{m}$  brain sections were stained with cresyl violet to confirm probe placement.

### Animal Tissue Processing

Mice were anesthetized with avertin (250 mg/kg of body weight, i.p.) and perfused transcardially as before (Lefterov et al., 2010). Blood was drawn from the heart prior to the mice being perfused transcardially with 25 ml of cold 0.1 M PBS (pH 7.4). Brains were rapidly removed, divided into hemispheres, with one of the hemispheres being dissected into the cor-tex and hippocampus. These parts were snap-frozen on dry ice, while the other hemisphere was drop fixed in 4% phosphate-buffered paraformaldehyde at 4°C for 48 h before storage in 30% sucrose.

### Histology and Immunohistochemistry

All procedures were as reported previously (Lefterov et al., 2010). Histoprep embedded hemibrains were cut in the coronal plane at 30  $\mu\text{m}$  sections and stored in a glycol-based cryoprotectant at  $-20^{\circ}\text{C}$  until staining. Sections were selected 700  $\mu\text{m}$  apart, starting from a randomly chosen section approximately 150  $\mu\text{m}$  caudal to the first appearance of the CA3 and dentate gyrus. Sections mounted on slides were washed in PBS for 10 min and stained with X-34 (1,4-bis(3-carboxy-4-hydroxyphenylethenyl)-benzene, 100  $\mu\text{M}$ ) for 10 min. Following the staining, sections were washed in water, incubated in 0.2% NaOH in 80% unbuffered ethanol for 2 min, washed again in water, and soaked in PBS for 10 min.

Another set of adjacent sections were immunostained with 6E10 antibody (Koldamova et al., 2005a) (SIG-39340, Covance, Berkeley, CA). Briefly, free floating sections were blocked for endogenous peroxidases, avidin-biotin quenched, antigen retrieval performed with 70% formic acid, and tissue blocked with 3% normal goat serum. Sections were then incubated in 6E10 biotin labeled antibody (1:1000) at room temperature for 2 hr before being developed with Vectastain ABC Elite kit and a DAB substrate (Vector Laboratories, Burlingame, CA). A final group of adjacent sections were immunostained with polyclonal rabbit anti-GFAP antibody (DK-2600, Dako Denmark, Glostrup, Denmark) for detection of activated microglia as in (Lefterov et al., 2009). Briefly, free floating sections were washed with PBS and blocked with 3% normal goat serum. Sections were then incubated in anti-GFAP antibody (1:1000) at room temperature for 3 hr before incubation with anti-rabbit 594 labeled secondary antibody (DI-1594, Vector Laboratories, Burlingame, CA) for 1 hr (1:250). Sections were then mounted on slides. Microscopic examination was performed using a Nikon Eclipse 80i microscope (20X magnification, Melville, NY). For quantitative analysis, staining in the cortex and hippocampus was defined as the percentage area covered by X-34, GFAP or 6E10 positivity using MetaMorph 7.0 software (Molecular Devices, Sunnyvale, CA).

### Western blotting and ELISA

The frozen cortices and hippocampi were homogenized separately (Fitz et al., 2010; Lefterov et al., 2010) in tissue homogenization buffer (250 mM sucrose, 20 mM Tris base, 1 mM EDTA, 1 mM EGTA, 1 ml per 100 mg tissue) and protease inhibitors (10  $\mu\text{g}/\text{ml}$  leupeptin, 10  $\mu\text{g}/\text{ml}$  aprotinin and 10  $\mu\text{g}/\text{ml}$  AEBSF) using a glass dounce. To prepare soluble brain extracts, the initial homogenate was spun at  $100,000 \times g$  for 1 hr and the supernatant was used for determination of soluble A $\beta$  as well as soluble ApoE in the brain. To extract insoluble A $\beta$  the remaining pellet was resuspended with 70% formic acid and samples were sonicated for 30 sec then spun at  $100,000 \times g$  for 1 hr as before (Fitz et al., 2010; Lefterov et al., 2010).

**Protein Concentration**—The Bradford Assay was used to determine the protein concentration of all samples. Briefly diluted sample are mixed 1 to 1 with 40% Bradford Reagent Dye (BioRad Hercules, CA) and absorbance 595 was read on a microplate reader. Concentration is determined by comparison to bovine serum albumin standard curve using linear regression analysis.

*A $\beta$*  ELISA was performed essentially as in (Fitz et al., 2010; Lefterov et al., 2010). Briefly, we used 6E10 as the capture antibody (reactive with very high specificity to amino acid residues 1-16 of human A $\beta$  with no cross-reactivity to mouse A $\beta$ ) and anti-A $\beta$ <sub>40</sub> (G2-10 mAb)(specific to aa 31-40 of A $\beta$ <sub>40</sub> and has no cross-reactivity with other A $\beta$  peptides) and anti-A $\beta$ <sub>42</sub> (G2-13 mAb)(specific for A $\beta$ <sub>1-42</sub> and not reactive to A $\beta$ <sub>1-38</sub>, A $\beta$ <sub>1-39</sub>, or A $\beta$ <sub>1-40</sub> but does have ~10% reactivity to A $\beta$ <sub>1-43</sub> and A $\beta$ <sub>1-44</sub>) monoclonal antibodies conjugated to horseradish peroxidase (Genetics Company, Schlieren, Switzerland) were used as the detection antibodies. The final values of A $\beta$  were based on A $\beta$ <sub>40</sub> and A $\beta$ <sub>42</sub> peptide standards (Bachem Biosciences, King of Prussia, PA) and are normalized to the total protein concentration in the sample, assayed by Bradford assay, and A $\beta$  values expressed as pmoles/mg. A $\beta$  concentration in ISF and plasma was determined using the same ELISA protocol. With this protocol our inter- and intraassay CV% is < 10, and the standard curve demonstrates good linearity ( $r^2 = 0.98$ ) over a wide range of A $\beta$  concentrations from 800 fmol to 6.25 fmol. To reduce inter assay variation, all sample are run in a single group when possible and read in duplicate.

*For Western blotting (WB) analysis*, extracts containing 50-100  $\mu$ g of total protein were mixed with Tris/glycine or NUPAGE denaturing loading buffer, loaded, and electrophoresed on 10 % Tris/glycine gels or NuPAGE Bis-Tris gels (Invitrogen, Grand Island, NY). Gels were transferred to nitrocellulose membranes, incubated with the respective primary antibodies followed by secondary antibodies conjugated to horseradish peroxidase and processed for visualization by enhanced chemiluminescence Plus-ECL (PerkinElmer, Waltham, MA).  $\beta$ -actin was used as an internal standard. The relative intensities of the bands were quantified by densitometry (Image Quant version 5.2, Molecular Dynamics, Sunnyvale, CA).

**RIPA extraction**—To detect Abca1, full length APP (APPfl) and Carboxy terminal fragments result of  $\beta$ -secretase cleavages (CTF $\beta$ ) protein extracts were prepared by 1:1 dilution of the initial homogenate with 2 X RIPA buffer in the presence of protease inhibitors and WB were performed as before (Koldamova et al., 2005b; Lefterov et al., 2010). Abca1 was detected using monoclonal antibody, ab7360 (Abcam, Cambridge, MA), and APPfl and CTF $\beta$  with 6E10 antibody.  $\beta$ -Actin was used as a loading control for all WB and detected with monoclonal antibody, A5441 (Sigma, St. Louis, MO). Tau phosphorylation was examined on the RIPA extracts from cortices and hippocampi using PHF phosphospecific monoclonal (pSer202/Thr205) antibody (generously provided by Dr. Peter Davies, Albert Einstein College of Medicine, Bronx, NY). The bands were scanned and normalized to total tau using tau-5 antibody from Abcam (Cambridge, MA).

**ApoE and ApoA-I**—ELISA for ApoE was performed on soluble brain extract and plasma samples. We used sandwich ELISA assay with goat anti-human ApoE antibody (AB947, Calbiochem, Darmstadt, Germany) as the capture antibody and biotin-labeled goat anti-ApoE (K74180B, Meridian Life Sciences, Cincinnati, OH) as the detection antibody followed by HRP-labeled streptavidin (Fitzgerald, Acton, MA). The ELISA was developed using TMB as substrate (KPL, Gaithersburg, MD) and the absorbance was read at 650 nm wavelength. To detect ApoA-I we used solid phase ELISA assay as before (Koldamova et al., 2001). Briefly, plasma or soluble brain extracts samples were diluted in bicarbonate buffer and loaded directly on polysorb ELISA plate. After overnight incubation the plate

was incubated with blocking solution (0.4 % Block Ace AbD Serotec Ltd, Kidlington, UK) for 4 hr at room temperature. As detection antibody we used mouse-specific anti-ApoA-I polyclonal antibody (600-101-196, Rockland, Gilbertsville, PA) followed by HRP-labeled anti-goat antibody (SC-2020, Santa Cruz Biotechnology, Santa Cruz, CA). As a substrate we used TMB and the absorbance was read at 650 nm wavelength. As a standard for ApoA-I ELISA we used recombinant mouse ApoA-I generously provided by Dr. M. Phillips (Children's Hospital of Philadelphia, Philadelphia, PA). Alternatively, ApoA-I level in serum or soluble brain fraction was determined by WB using anti-ApoA-I polyclonal antibody 600-101-196 (see above).

### Total Cholesterol

Aliquots of cleared soluble brain extract were used to determine the total cholesterol levels in the brain using Amplex Red Cholesterol Assay Kit from Invitrogen (Eugene, OR) according to the manufacture's protocol and as before (Fitz et al., 2010). Samples fluorescence was measured in a clear 96 well microplate using a Spectra Max300 microplate reader (Molecular Devices, Sunnyvale, CA) with 540nm excitation and 590nm emission. Sample cholesterol amount was determined from a standard curve using GraphPad Prism version 4.0 (La Jolla, CA,) and normalized to total protein in the extract.

### HDL and LDL concentration

At time of collection blood samples were centrifuged at 16,000 rpm for 5 min, the plasma collected and snap-frozen on dry ice. Aliquots of 50ul of plasma were assayed for HDL and LDL/VLDL levels using the Abcam (Cambridge, MA) HDL and LDL/VLDL Cholesterol Assay Kit (ab65390) according to the manufacture's protocol. Samples OD was measured in a clear 96 well microplate at 570 nm wavelength using a Spectra Max300 microplate reader (Molecular Devices, Sunnyvale, CA). Sample cholesterol amount was determined from a standard curve using GraphPad Prism version 4.0 (La Jolla, CA,) and expressed in mg/ml.

### Statistical Analysis

All results are reported as means  $\pm$  S.E.M. Statistical significance of differences between mean scores during acquisition phase of training in the RWM were assessed with two-way repeated measures ANOVA (General Linear Model/RM-ANOVA) and Tukey's post-hoc analysis for multiple comparisons using Genotype and Trial Block Number as sources of variation. The rest of the data (contextual fear conditioning, immunohistochemistry, ELISA etc.) were analyzed by two-way ANOVA followed by Bonferroni post hoc test with Abca1 status and ApoE isoform as sources of variation. Additional comparisons between two groups were made by t-test. A $\beta$  half-life was determined by nonlinear regression analysis using equation of one-phase exponential decay [ $Y = \text{Span} * \exp(-K * X) + \text{Plateau}$  with a rate constant K; The half life is  $0.69/K$ ]. Correlation was assessed using non-parametric analysis to determine Spearman rank coefficient ( $\rho$ ). All statistical analyses were performed in GraphPad Prism version 4.0 (La Jolla, CA) or SPSS version 19 IBM and differences considered significant where  $p < 0.05$ .

## Results

### Abca1 effect on cognitive performance depends on human ApoE-isoform

To examine the effects of Abca1 on AD-like phenotype we used APP/PS1 $\Delta$ E9 mice expressing human ApoE3 (referred to as APP/E3) and ApoE4 (APP/E4) isoforms. First we determined cognitive deficits in mice aged 7.5 months using Radial Water Maze paradigm (RWM). As shown on Figure 1A, among mice expressing wild type Abca1 there was a significant difference between APP transgenic mice and their respective non-transgenic

controls (compare APP/E3 to E3 mice and APP/E4 to E4 mice). However, we did not find a statistical difference between APP/E3 and APP/E4 mice. Next we crossed APP/E3 and APP/E4 mice to *Abca1* knockout mice to generate mice lacking one copy of *Abca1*, namely APP/E3/*Abca1*<sup>-/+</sup> and APP/E4/*Abca1*<sup>-/+</sup> mice. As seen on Figure 1B, APP/E4/*Abca1*<sup>-/+</sup> mice performed significantly worse than APP/E3/*Abca1*<sup>-/+</sup> particularly during the last trial blocks ( $p < 0.05$  for trial blocks 10, 9 and 7). This result suggests that the lack of one copy of *Abca1* significantly impairs the ability of APP/E4 mice to acquire spatial memory. We also directly compared the performance of all ApoE4 and all ApoE3 mice in the last two trial blocks and found that *Abca1* deficiency significantly aggravated memory deficits in APP/E4/*Abca1*<sup>-/+</sup> (Figure 1C) but not in APP/E3/*Abca1*<sup>-/+</sup> mice (Figure 1D). The differences in behavior could not be attributed to swim speed or motivation as there was no significant difference between genotypes in performance during the open pool visual platform testing (data not shown).

Memory deficits in mice of the same genotypes were also examined at an earlier age (mean age 4.9 months) using a contextual fear conditioning paradigm (Kornecook et al., 2010). We specifically have chosen younger mice which are at the onset of amyloid pathology and only rarely have amyloid plaques. The results for APP expressing mice are shown on Figure 1E and for wild type controls on Figure 1F. As visible from Figure 1E, there is no difference between APP/E3, APP/E4 and APP/E3/*Abca1*<sup>-/+</sup> mice. Furthermore, these mice did not differ from their non-transgenic controls (compare the results for APP mice shown on Figure 1E to the results for wild mice on Figure 1F). This suggests that amyloid pathology particularly in APP/E3, APP/E4 and APP/E3/*Abca1*<sup>-/+</sup> is not fully advanced to cause memory deficits. However, APP/E4/*Abca1*<sup>-/+</sup> mice differed significantly from APP/E4 and APP/E3/*Abca1*<sup>-/+</sup> mice. APP/E4/*Abca1*<sup>-/+</sup> mice also differed significantly from their non-transgenic controls (APP/E4/*Abca1*<sup>-/+</sup> % freezing = 24.89 +/- 2.56 versus E4/*Abca1*<sup>-/+</sup> % freezing = 40.31 +/- 3.40,  $p < 0.01$ ). The results from cued phase of this test are shown on Figure 1G and H; APP/E4/*Abca1*<sup>-/+</sup> were significantly impaired in comparison to APP/E4 mice. The conclusion from this study is that *Abca1* deficiency accelerates the appearance of memory deficits in APP/E4 mice but not in APP/E3 mice.

Overall, the results from the behavior experiments suggest that in terms of cognition APP/E4 mice are more vulnerable to *Abca1* deficiency than APP/E3 mice.

### Amyloid load is increased by *Abca1* hemizyosity in APP/E4 mice but not in APP/E3 mice

To visualize compact fibrillar amyloid plaques, brain sections were stained with X-34 and the results are presented on Figure 2A. Analysis by two-way ANOVA demonstrated that there is an interaction between *Abca1* and ApoE genotypes ( $p < 0.05$ ). As visible on Figure 2A, there was no significant difference between amyloid plaque levels in APP/E3 and APP/E3/*Abca1*<sup>-/+</sup> while there was more than two-fold increase when APP/E4 were compared to APP/E4/*Abca1*<sup>-/+</sup> (post test,  $p < 0.05$ ). Remarkably, the compact amyloid plaques in APP/E4/*Abca1*<sup>-/+</sup> compared to APP/E3/*Abca1*<sup>-/+</sup> mice were increased 9-fold e.

In order to visualize all, including diffuse and compact amyloid plaques, brain sections were stained with 6E10 anti-A $\beta$  antibody (Figure 2B). Analysis by two-way ANOVA showed that there was no interaction between *Abca1* and ApoE genotypes but there were significant main effects of ApoE ( $p < 0.01$ ), and *Abca1* ( $p < 0.05$ ) genotypes. Similarly to X-34 results, we found a significant difference between APP/E4 and APP/E4/*Abca1*<sup>-/+</sup> mice (Bonferroni post test,  $p < 0.05$ ), but no significant difference between APP/E3 and APP/E3/*Abca1*<sup>-/+</sup> mice. Furthermore, as visible on Figure 2B, APP/E4/*Abca1*<sup>-/+</sup> mice had 3-fold more amyloid load over APP/E3/*Abca1*<sup>-/+</sup> mice that was statistically significant. These results demonstrate that *Abca1* deficiency exacerbates amyloid phenotype in mice expressing human ApoE4 mice but not in mice expressing human ApoE3.



### Soluble A $\beta$ level is increased in the brain of APP/E4 and APP/E4/Abca1<sup>-/+</sup> mice

Next we compared the level of soluble A $\beta$  in the brains. Soluble A $\beta$  was extracted separately from cortices and hippocampi, followed by extraction of the insoluble A $\beta$ . A $\beta$  level was measured by ELISA; the results for cortex are shown on Figure 3A and B and for hippocampus on Figure 3C and D. Analysis by two-way ANOVA did not show an interaction between ApoE and Abca1 genotypes, and there was a main effect of ApoE ( $p < 0.001$ ) but not of Abca1 genotype. We found a significant difference in A $\beta$ 40 and A $\beta$ 42 levels in the cortex (Figure 3A and B), and in A $\beta$ 42 level in the hippocampus of APP/E4 mice (Figure 3D) in comparison to APP/E3 mice confirming previous studies (Bales et al., 2009; Castellano et al., 2011). There was a significant difference between soluble A $\beta$ 40 and A $\beta$ 42 levels in the cortex and hippocampus of APP/E4/Abca1<sup>-/+</sup> when compared directly to APP/E3/Abca1<sup>-/+</sup> mice (Figure 3A, B, C and D,  $p < 0.5$  by *t*-test).

### Abca1 deficiency increases insoluble A $\beta$ level in APP/E4 but not in APP/E3 mice

Next we compared the level of insoluble A $\beta$  in the cortex and hippocampus. As shown on Figure 3E and F, insoluble A $\beta$ 40 and A $\beta$ 42 levels in the cortex of APP/E4/Abca1<sup>-/+</sup> mice were increased more than two-fold compared to APP/E3/Abca1<sup>-/+</sup> mice. For Figure 3E and F, analysis by two-way ANOVA demonstrated no interaction between Abca1 and ApoE but there were significant main effects of both genotypes. Importantly, there was a significant difference between A $\beta$ 40 level in APP/E4 and APP/E4/Abca1<sup>-/+</sup> mice (post test,  $p < 0.05$ ) but not between APP/E3 and APP/E3/Abca1<sup>-/+</sup> mice.

Figure 3G demonstrates that insoluble A $\beta$ 40 in the hippocampus of APP/E4/Abca1<sup>-/+</sup> mice was increased more than three-fold compared to APP/E3/Abca1<sup>-/+</sup> mice ( $p < 0.05$ ) but there was no difference when these mice were compared to APP/E4. Furthermore, analysis for A $\beta$ 40 showed that there was an interaction between Abca1 and ApoE genotypes. Finally, as seen on Figure 3H, insoluble A $\beta$ 42 in the hippocampus of APP/E4/Abca1<sup>-/+</sup> was significantly different from A $\beta$ 42 level of APP/E4 (post test  $p < 0.05$ ). Similarly, insoluble A $\beta$ 42 in the hippocampus of APP/E4/Abca1<sup>-/+</sup> mice was increased more than four-fold compared to APP/E3/Abca1<sup>-/+</sup> mice. Two-way ANOVA for A $\beta$ 42 demonstrated no interaction between Abca1 and ApoE but significant main effects of both genotypes. The conclusion from these experiments is that the deficiency of Abca1 significantly increases insoluble A $\beta$  level in APP/E4 mice but not in APP/E3 confirming the immunohistochemistry results (Figure 2).

### Soluble ApoE and ApoA-I levels are decreased in the brain of APP/E4/Abca1<sup>-/+</sup> mice

Next we examined the levels of total cholesterol and apolipoproteins in the soluble brain fraction. There was no difference in cholesterol concentration between mice of any genotype confirming previous results that Abca1 deficiency does not affect brain cholesterol level (Wahrle et al., 2004). For ApoE level (Figure 4B), analysis by two-way ANOVA demonstrated no interaction between ApoE and Abca1 genotypes but there were significant main effects of Abca1 ( $p < 0.01$ ) and ApoE ( $p < 0.001$ ) genotypes. Furthermore, there was a statistical significance when APP/E3 were compared to APP/E4 mice confirming previous report (Bales et al., 2009), as well as between APP/E3/Abca1<sup>-/+</sup> and APP/E4/Abca1<sup>-/+</sup> mice. Finally, Abca1 deficiency caused a differential decrease of ApoE level in APP/E4 (compare APP/E4 to APP/E4/Abca1<sup>-/+</sup>,  $p < 0.05$ ) but not in APP/E3 mice. As visible from Figure 4C, ApoA-I level was not significantly different between APP/E3 and APP/E4 mice. Analysis by two-way ANOVA demonstrated that there is a main effect of Abca1 ( $p < 0.05$ ) but not of ApoE genotype, which was anticipated. Furthermore, the result on Figure 4C demonstrates that there is a significant difference between ApoA-I level of APP/E4 and APP/E4/Abca1<sup>-/+</sup> mice ( $p < 0.05$ ). To examine if there was an effect on APP processing we measured CTF- $\beta$  - a result of  $\beta$ -secretase cleavage of APP, and did not find a difference

between the genotypes (see the graph on Figure 4E and the picture shown on panel D). This result confirms previous studies that demonstrated neither Abca1 nor ApoE isoform affect APP processing (Koldamova et al., 2005a; Castellano et al., 2011).

### **A $\beta$ clearance from CNS is delayed in APP/E4 mice and worsens by Abca1 deficiency**

To examine A $\beta$  level in interstitial fluid (ISF) we performed *in vivo* microdialysis in freely moving mice (Figure 5A and B). For A $\beta$ 40 and A $\beta$ 42, there was no interaction between Abca1 and ApoE but there were significant main effects of both genotypes. Most importantly, Abca1 deficiency caused statistically significant increase of ISF A $\beta$  level in APP/E4/Abca1<sup>-/+</sup> mice in comparison to APP/E4 (Fig.5A and B,  $p < 0.001$  by post test). In contrast, there was no difference in ISF A $\beta$  concentration between APP/E3 and APP/E3/Abca1<sup>-/+</sup> mice. As visible from Figure 5A and B, A $\beta$ 40 level in ISF was increased two-fold and A $\beta$ 42 was increased three-fold when ApoE3 expressing mice were compared to their respective ApoE4 counterparts (compare APP/E3/Abca1<sup>-/+</sup> to APP/E4/Abca1<sup>-/+</sup>, and APP/E3 to APP/E4).

In order to measure the time of A $\beta$  elimination from the brain we used a  $\gamma$ -secretase inhibitor to block the production of A $\beta$  (Figure 5C and D) (Cirrito et al., 2003). In general, the results shown on Figure 5C and D demonstrate that A $\beta$ 40 and A $\beta$ 42 half-lives are increased in APP/E4 and APP/E4/Abca1<sup>-/+</sup> mice versus their respective ApoE3 isoforms. When we compared APP/E4 to APP/E4/Abca1<sup>-/+</sup> mice, A $\beta$ 40 clearance showed a trend toward decrease but the difference was insignificant (Figure 5C). Two-way ANOVA analysis demonstrated effect only of ApoE but not of Abca1 genotype. In contrast, A $\beta$ 42 half-life was significantly affected by ApoE ( $p < 0.01$ ) and Abca1 ( $p < 0.01$ ) genotypes. We also found that A $\beta$ 42 clearance was significantly decreased in APP/E4/Abca1<sup>-/+</sup> mice versus APP/E4 (Figure 5D,  $p < 0.05$  by Bonferroni). Importantly, the lack of one copy of Abca1 did not affect A $\beta$ 40 and A $\beta$ 42 half-lives in APP/E3 mice. The conclusion from these studies is that ApoE4 delays the clearance of A $\beta$  from the brain and Abca1 deficiency exacerbates this effect.

### **Plasma levels of A $\beta$ 42 and HDL are decreased in APP/E4 and APP/E4/Abca1<sup>-/+</sup> mice**

Next, we compared A $\beta$  levels in the plasma of APP/E3, APP/E4, APP/E3/Abca1<sup>-/+</sup> and APP/E4/Abca1<sup>-/+</sup> mice. We reasoned that if human ApoE isoforms differentially affect A $\beta$  clearance from the brain this will reflect on peripheral A $\beta$  concentration. Figure 6A and B shows that APP/E3/Abca1<sup>-/+</sup> mice had 50% more A $\beta$ 40 in plasma, and 30% more A $\beta$ 42 than APP/E4/Abca1<sup>-/+</sup> mice. However, we did not find a difference between A $\beta$ 40 and A $\beta$ 42 level when APP/E4 mice were compared to APP/E4/Abca1<sup>-/+</sup>. Importantly, the results from two-way ANOVA demonstrated that there is a significant main effect of Abca1 on A $\beta$ 42 level in plasma but not on A $\beta$ 40.

We also measured ApoE and ApoA-I levels in plasma. For ApoE, two-way ANOVA showed a main effect of ApoE but not of Abca1 genotype (Figure 6C). Furthermore, APP/E4/Abca1<sup>-/+</sup> mice had a statistically significant decrease of ApoE level compared to APP/E3/Abca1<sup>-/+</sup> mice. Previously, we and others have shown that ApoA-I and high density lipoproteins (HDL) are important for A $\beta$  aggregation and cognitive decline in experimental mice (Lefterov et al., 2010; Lewis et al., 2010). Interestingly, Abca1 genotype had a significant main effect on ApoA-I levels and its deficiency affected significantly ApoA-I concentration in APP/E4 mice (Figure 6D, compare APP/E4 to APP/E4/Abca1<sup>-/+</sup>). There was a trend toward decrease of ApoA-I in APP/E3/Abca1<sup>-/+</sup> but the difference was insignificant.

Next, we measured the level of HDL in the plasma of all four genotypes. The results presented on Figure 6E, demonstrate a statistically significant difference between ApoE3 and ApoE4 genotypes which was apparent in mice expressing one or two copies of *Abca1* (Figure 6E, compare APP/E3 to APP/E4 and APP/E3/*Abca1*<sup>-/+</sup> to APP/E4/*Abca1*<sup>-/+</sup>). *Abca1* deficiency caused a trend towards decreased HDL level in both APP/E3 and APP/E4 mice but the difference was not statistically significant due to the variability between mice. We measured low density lipoproteins (LDL) and the results presented on Figure 6F show that there was no statistical difference between genotypes.

### Higher plasma HDL level correlates to a lower amyloid load in the brain

In order to assess the relationship between plasma HDL and X-34 positive plaques in the brain we performed a correlation analysis. Figure 7A shows that there is a negative correlation between the two variables ( $p < 0.01$ ). This result demonstrates that mice with a higher level of HDL in the plasma display less amyloid plaques in their brains. In contrast, we did not find a correlation between ApoE level in brain or plasma and X-34 positive plaques (data not shown). Furthermore, as seen on Figure 7B there is a negative correlation between A $\beta$ 42 level in plasma and X-34 positive plaques. In opposite, we did not find a correlation between A $\beta$ 40 level in plasma and X-34 plaques (data not shown). Finally, we examined the relationship between the levels of HDL and A $\beta$ 42 in plasma. As visible from Figure 7C, there was a positive correlation between HDL and A $\beta$ 42 ( $p < 0.01$ ). Similar positive correlation was found between ApoE concentration and A $\beta$ 42 in plasma ( $\rho=0.37$ ,  $p < 0.05$ , data not shown). These experiments suggest that ApoE and HDL in periphery may have a role in A $\beta$  clearance from the brain.

## Discussion

In this study we examined *Abca1* gene-dose effect on the phenotype of APP transgenic mice expressing human ApoE3 or ApoE4 isoforms. Surprisingly, our results demonstrate that the lack of one copy of *Abca1* significantly aggravates memory deficits and amyloid pathology in APP/E4 but not in APP/E3 mice. An important finding of the study was that A $\beta$  clearance from the brain was decreased by *Abca1* deficiency in APP/E4/*Abca1*<sup>-/+</sup> but not in APP/E3/*Abca1*<sup>-/+</sup> mice. In contrast, *Abca1* deficiency did not affect cognition, amyloid phenotype and A $\beta$  clearance in APP mice expressing ApoE3. Interestingly, the correlation between plasma HDL and brain amyloid load (Figure 7) suggests that there may be a causative connection between peripheral lipoproteins and A $\beta$  load in the CNS.

Previous studies demonstrated that ABCA1 affects amyloid deposition and memory deficits in experimental animals (Hirsch-Reinshagen et al., 2005; Koldamova et al., 2005a; Wahrle et al., 2005; Lefterov et al., 2009). Furthermore, treatment with LXR and RXR ligands which increases *Abca1* expression significantly ameliorates amyloid pathology and improves cognitive function (Koldamova et al., 2005b; Jiang et al., 2008; Donkin et al., 2010; Fitz et al., 2010; Cramer et al., 2012). Lastly, transgenic mice overexpressing *Abca1* in brain have less amyloid plaques (Wahrle et al., 2008). There are several genome wide association studies that reported a genetic link between single nucleotide polymorphisms spanning ABCA1 and late onset AD (Wollmer et al., 2003; Katzov et al., 2004; Sundar et al., 2006; Reynolds et al., 2009). However, other studies did not replicate the published association (Li et al., 2004; Shibata et al., 2005; Wahrle et al., 2007) and some did observe a possible interaction between ABCA1 and APOE in Hispanics (Shibata et al., 2005). The effect of ABCA1 is attributed to its role in modifying the lipidation and stability of ApoE and ApoA-I and one consequence of its deficiency is the decreased level of these apolipoproteins in the brain (Hirsch-Reinshagen et al., 2005; Koldamova et al., 2005a; Wahrle et al., 2005; Lefterov et al., 2009). It is speculated that the lack of *Abca1* can affect A $\beta$  aggregation or clearance. Previously we reported that APP23 mice lacking one copy of

Abca1 experienced memory deficits in correlation to the increased level of soluble A $\beta$  oligomers (Lefterov et al., 2009). Here we show that the level of ApoE and ApoA-I in the brain is significantly affected by Abca1 deficiency only in APP/E4 mice but not in APP/E3 mice (Figure 4, B and C) thus supporting this hypothesis. Furthermore, the decreased level or lipidation of ApoE as a result of Abca1 deficiency can affect A $\beta$  clearance which is apparent in APP/E4 but not APP/E3 mice (Figure 5).

The exact mechanism by which *APOE* genotype affects the risk for AD remains unresolved. It has been consistently shown that patients carrying *APOE4* allele, compared to those with the other two isoforms, have more amyloid plaques, and this has been replicated in experimental AD mice (Fryer et al., 2005; Bales et al., 2009; Castellano et al., 2011). In agreement with prior studies (Bales et al., 2009; Castellano et al., 2011), our results demonstrate that APP mice expressing ApoE4 isoform have increased amyloid deposition in comparison to those expressing ApoE3. Furthermore, our microdialysis experiments (Figure 5) show that, in comparison with ApoE3, expression of ApoE4 delays A $\beta$  clearance, confirming a conclusion from a recent study (Castellano et al., 2011). It is unclear how ApoE affects A $\beta$  clearance from the brain. One possibility is that binding of A $\beta$  to ApoE decreases A $\beta$  aggregation and keeps A $\beta$  in a soluble form, thus facilitating its efflux out of CNS. Another possibility is that ApoE is important for A $\beta$  clearance by microglia or astrocytes inside the brain (Jiang et al., 2008) or mediates A $\beta$  removal from the brain through blood brain barrier (BBB). There are numerous reports demonstrating that ApoE binds A $\beta$  (Wisniewski and Frangione, 1992; Strittmatter et al., 1993; LaDu et al., 1994) but it is still unclear how this binding affects A $\beta$  aggregation (reviewed in (Kim et al., 2009; Holtzman et al., 2012)). One frequently discussed possibility for these discrepancies is the use of non-physiological lipid-free ApoE preparations in most *in vitro* studies (Kim et al., 2009). Recent *in vitro* studies have demonstrated that ApoE lipidation is essential for the clearance of the ApoE-A $\beta$  complex by receptor-mediated endocytosis (Jiang et al., 2008; Lee et al., 2012).

On the other hand, *in vivo* data have consistently demonstrated that the absence of ApoE decreases compact amyloid plaques in the brain (Bales et al., 1997; Holtzman et al., 2000; Fryer et al., 2003). Supporting these studies are A $\beta$  clearance experiments, demonstrating that ApoE delays A $\beta$  efflux from the brain (Bell et al., 2007; Deane et al., 2008). Two recent reports demonstrated that amyloid pathology is significantly decreased by eliminating one copy of ApoE in mice expressing human ApoE4 and ApoE3 (Kim et al., 2011; Nga Bien-Ly, 2012). Therefore, considering all data generated with APP/ApoE knockout and hemizygous mice it seems reasonable to conclude that the presence of ApoE in the brain retains A $\beta$  in CNS and delays its clearance. In this regard, it seems difficult to reconcile the phenotype of APP/Abca1 knockout mice which have less ApoE but more amyloid when compared to APP/ApoE knockout mice.

A very distinctive characteristic of APP/Abca1 knockout mice not seen in APP/ApoE knockout mice is decreased total plasma cholesterol, including both HDL- and LDL-cholesterol. In an effort to explain the differential effect of ABCA1 on the phenotype of ApoE3 and ApoE4 expressing mice we examined the level of A $\beta$  and apolipoproteins in plasma and analyzed the relationship with brain amyloid. First, we found that the level of A $\beta$ 42 in plasma of APP/E4 and APP/E4/Abca1<sup>-/+</sup> is lower than in APP/E3 and APP/E4/Abca1 mice (Figure 6B). Thus changes of plasma A $\beta$ 42 is in opposite direction of the brain A $\beta$ 42 level (compare Figure 6B to Figure 5B). However, since human APP is expressed in the periphery of these mice another possibility should also be considered, namely that there is different secretion of peripheral A $\beta$ 42 among the genotypes. Second, we demonstrate that there is a negative correlation between plasma HDL and amyloid plaques. It is possible that HDL and apolipoproteins in plasma have a role in A $\beta$  clearance because they can affect A $\beta$

balance on both sides of BBB. In this regard our data are in concert with conclusions from other studies that showed proteins in plasma such as soluble lipoprotein receptor-related protein (LRP) can affect A $\beta$  clearance (Sagare et al., 2007; Sehgal et al., 2012).

The question raised by the current study is why *Abca1* deficiency affects more significantly APP/E4 than APP/E3 mice? In humans with *ABCA1* deficiency, cholesterol efflux is compromised and as a result HDL concentration is decreased (Frikke-Schmidt et al., 2004; Koldamova et al., 2010). Data from mice and humans have demonstrated that the concentration of ApoE4 in plasma and brain is lower than the concentrations of ApoE3 (Riddell et al., 2008; Bales et al., 2009; Gupta et al., 2011). A recent cross sectional study demonstrated that total ApoE and ApoE4 levels were significantly lower in patients with AD and they further decrease as the A $\beta$  load, assessed by PET, increases (Gupta et al., 2011). Here we demonstrate that the level of plasma HDL is decreased significantly in APP/E4 compared to APP/E3 mice and in APP/E4/*Abca1*<sup>-/+</sup> compared to APP/E3/*Abca1*<sup>-/+</sup> mice. Moreover, when HDL levels in APP/E4/*Abca1*<sup>-/+</sup> mice were contrasted to those in APP/E3 mice, the difference was more than two-fold (Figure 6E). This result demonstrates that lack of one copy of *Abca1* doubles the effect of ApoE4 genotype on HDL concentration. Potentially, in humans this could render patients expressing *APOE4* allele more vulnerable to the consequences of *ABCA1* deficiency than ApoE3 carriers.

It has to be recognized that there are differences in lipoprotein metabolism between mice and humans. For example, HDLs are the main carrier of cholesterol in mouse plasma with levels higher than LDL-cholesterol (Pendse et al., 2009). In contrast, in humans LDLs are the predominant cholesterol transporting particles with much higher levels of cholesterol compared to HDL (Pendse et al., 2009). The consequence is that in the human population LDLs or other circulating lipoproteins might be equally important for A $\beta$  clearance as are HDLs. Most studies agree that the total cholesterol (HDL plus non-HDL) is decreased in AD patients (Reitz et al., 2004; Reitz et al., 2010; Blasko et al., 2011). A recent study demonstrated that the decreased total plasma cholesterol correlated positively to decreased plasma A $\beta$  in a group of patients who converted to AD (Blasko et al., 2011).

In conclusion, our results clearly demonstrate that *Abca1* deficiency affects significantly the phenotype of APP mice expressing human ApoE4 and has little effect on mice expressing ApoE3 isoform. Our data also indicate that *APOE4* genotype is more susceptible to gene-gene interactions with negative consequences for the animal. Finally, the results presented here suggest that future therapies targeting *ABCA1*/ApoE expression such as LXR/RXR agonists could have a favorable effect on the outcome in ApoE4 carriers.

## Acknowledgments

This work was supported in part by: NIH/NIA grants R01AG027973, R01AG037481 (RK), ISOA grant (IL) and Alzheimer's Art Quilt Initiative Grant (NF). NF is supported by NIA F32 fellowship. The content of this report is solely responsibility of the authors and does not necessarily represent the official views of the National Institute on Aging, National Institutes of Health, or the private foundations. We are grateful to Sai Pratyusha Kancherla, Hiral Jayantibhai Patel and Xiaozhuo Lu for their excellent technical assistance.

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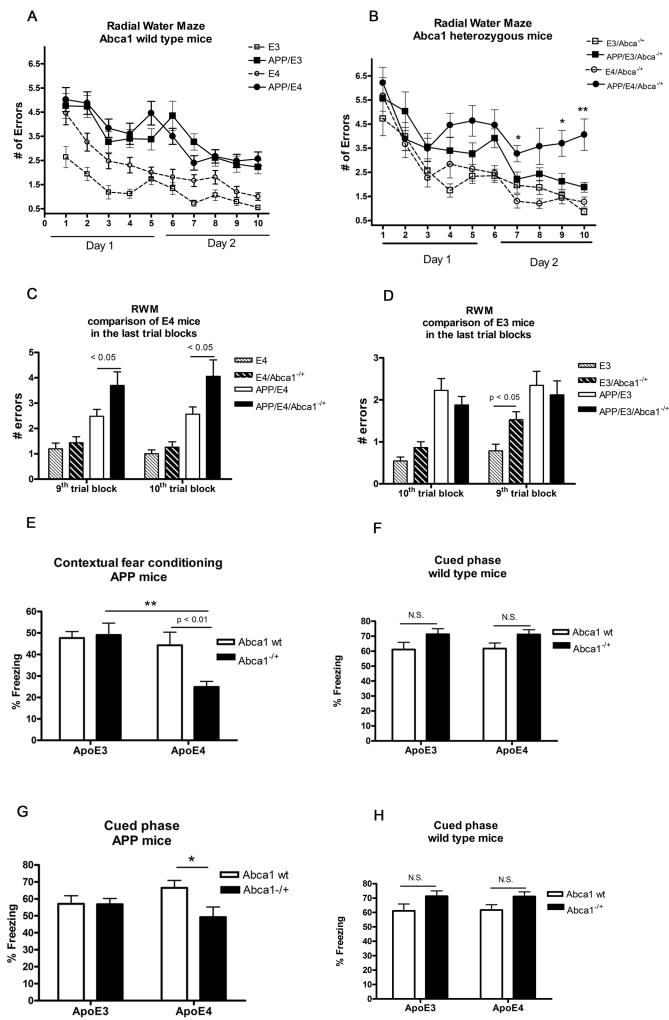
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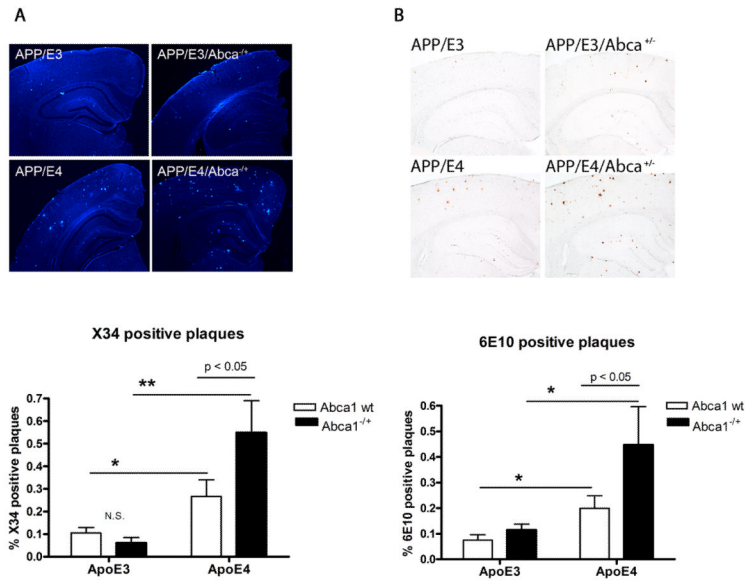


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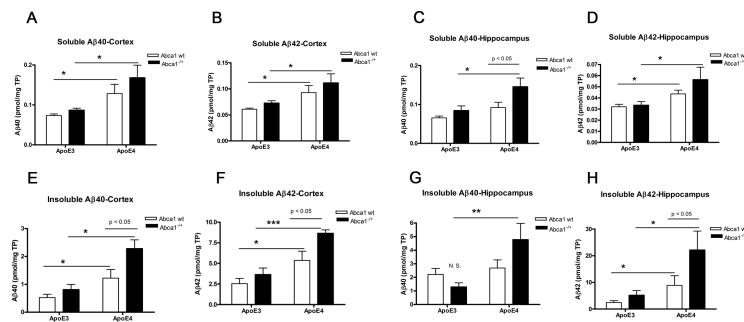
**Figure 1. Abca1 gene dose affects cognitive decline in APP/E4 but not in APP/E3 mice**  
**Panels A, B, C and D**, Radial water maze (RWM) was used to assess memory deficits in APP/E3 and APP/E4, as well as in APP/E3/Abca1<sup>-/+</sup> and APP/E4/Abca1<sup>-/+</sup> mice, between 6 and 8 months of age (mean age=7.5). Non-transgenic E3, E4, E3/Abca1<sup>-/+</sup> and E4/Abca1<sup>-/+</sup> were used as controls. **Panel A**. There was no significant difference in cognitive performance between APP/E3 and APP/E4 mice. Analysis by two-way repeated measures ANOVA demonstrates a significant effect on genotype ( $p < 0.0001$ ) but no interaction. There was a significant difference between APP transgenic mice and their respective non-transgenic controls.  $N=16-25$  mice per group. **Panel B**. RWM revealed a significant difference in cognitive decline between APP/E3/Abca1<sup>-/+</sup> and APP/E4/Abca1<sup>-/+</sup> mice. Analysis by two-way repeated measures ANOVA showed interaction ( $p < 0.05$ ) and significant effect on genotype ( $p < 0.0001$ ). There was a significant difference between APP transgenic mice and their respective non-transgenic controls. \*,  $p < 0.05$  and \*\*,  $p < 0.01$  when APP/E4/Abca1<sup>-/+</sup> were compared to APP/E3/Abca1<sup>-/+</sup> by  $t$ -test.  $N=10-17$  mice per group. **Panel C**. The graph shows the performance of all ApoE4 mice on the last two trial blocks.  $N=10-22$  mice per group. **Panel D** shows the performance of all ApoE3 mice on the last 2 trial blocks.  $N=11-25$  mice per group. In C and D, the two groups were compared by  $t$ -test; **Panels E and F**, contextual fear conditioning paradigm was used to assess memory deficits in younger APP/E3, APP/E4, APP/E3/Abca1<sup>-/+</sup> and APP/E4/Abca1<sup>-/+</sup> mice (mean

age=4.9 months). Non-transgenic E3, E4, E3/Abca1<sup>-/+</sup> and E4/Abca1<sup>-/+</sup> age- and gender-matched mice were used as wild type controls. **Panel E.** Results for APP mice. Analysis by two-way ANOVA revealed interaction between Abca1 and ApoE genotype ( $p < 0.05$ ) and a main effect of ApoE ( $p < 0.01$ ) but not of Abca1 genotype ( $p=0.06$ ).  $p < 0.01$ , by Bonferroni post test, \*\*,  $p < 0.01$  by t-test. N=7-13 per group. **Panel F.** Results from contextual fear conditioning for wild type mice. Analysis by two-way ANOVA showed no interaction and no main effects of Abca1 and ApoE. No significant difference between APP mice and their non-APP controls except for APP/E4/Abca1<sup>-/+</sup> which differed significantly from E4/Abca1<sup>-/+</sup> (APP/E4/Abca1<sup>-/+</sup> % freezing = 24.89 +/- 2.56 versus E4/Abca1<sup>-/+</sup> % freezing = 40.31 +/- 3.40;  $p < 0.01$ ; by t-test). N=15-20 mice per group. **Panel G.** Cued phase of fear conditioning test was performed on the same APP/E3, APP/E4, APP/E3/Abca1<sup>-/+</sup> and APP/E4/Abca1<sup>-/+</sup> mice as in Panel E. Analysis by two-way ANOVA showed no interaction and no main effects of Abca1 and ApoE. \*\*,  $p < 0.01$  by t-test, N=7-13 per group. **Panel H.** Cued phase of fear conditioning test was performed on the same wild type controls as in Panel F. N.S., not significant. N=15-20 mice per group.



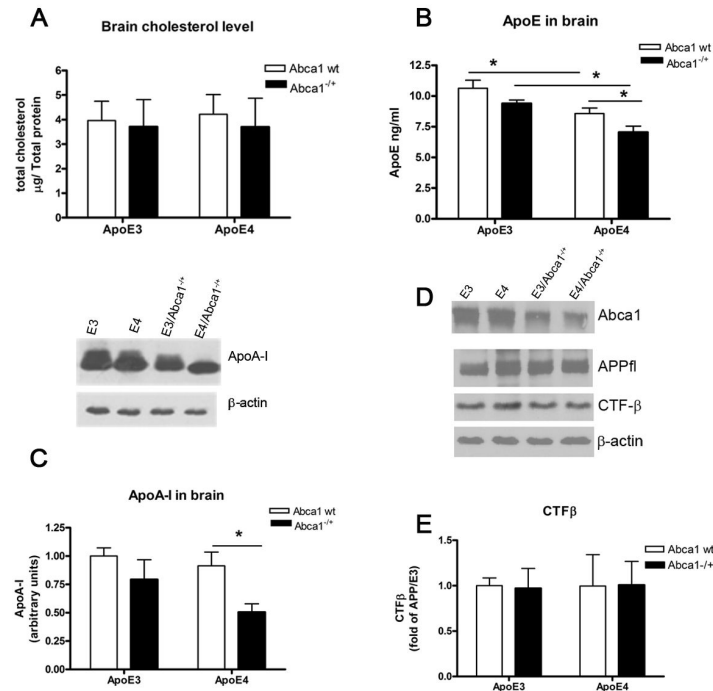
**Figure 2. Amyloid plaques are increased by Abca1 hemizyosity in APP/E4 mice but not in APP/E3 mice**

Amyloid plaques were compared in APP/E3 and APP/E4 as well as in APP/E3/Abca1<sup>-/+</sup> and APP/E4/Abca1<sup>+/-</sup> mice between 6-8 months of age (mean age=7.5). **Panel A**, Brain sections were stained with X-34 to visualize compact fibrillar amyloid plaques. Representative pictures for X-34 staining are shown above the graphs; 20X magnification. X-34 positive amyloid load analyzed by two-way ANOVA and Bonferroni post-test. There is an interaction between Abca1 and ApoE genotypes ( $p < 0.05$ ) and a significant effect of ApoE genotype ( $p < 0.001$ ), but no effect of Abca1 genotype. Note that the level of compact amyloid plaques in APP/E4/Abca1<sup>+/-</sup> are increased by 9-fold compared to the level in APP/E3/Abca1<sup>+/-</sup> mice (Bonferroni post test,  $p < 0.05$ ). \*,  $p < 0.05$  and \*\*,  $p < 0.01$  two group comparisons by  $t$ -test. N=8-12 mice per group. **Panel B**, Brain sections were stained with anti-A $\beta$  antibody, 6E10, to visualize diffuse and compact amyloid plaques. Representative pictures for 6E10 staining are shown above the graph; 20X magnification. Analysis is by twoway ANOVA and Bonferroni post-test. There is no interaction between Abca1 and ApoE genotypes and there is a significant effect of ApoE ( $p < 0.01$ ), and Abca1 ( $p < 0.05$ ) genotypes;  $p < 0.05$ , by Bonferroni post test. Note that APP/E4 have more than 2-fold increase of plaque load (\*,  $p < 0.05$  by  $t$ -test), and APP/E4/Abca1<sup>+/-</sup> mice have more than 3-fold increase in amyloid load versus APP/E3/Abca1<sup>+/-</sup> (\*,  $p < 0.05$  by  $t$ -test). N=8-13 mice per group.



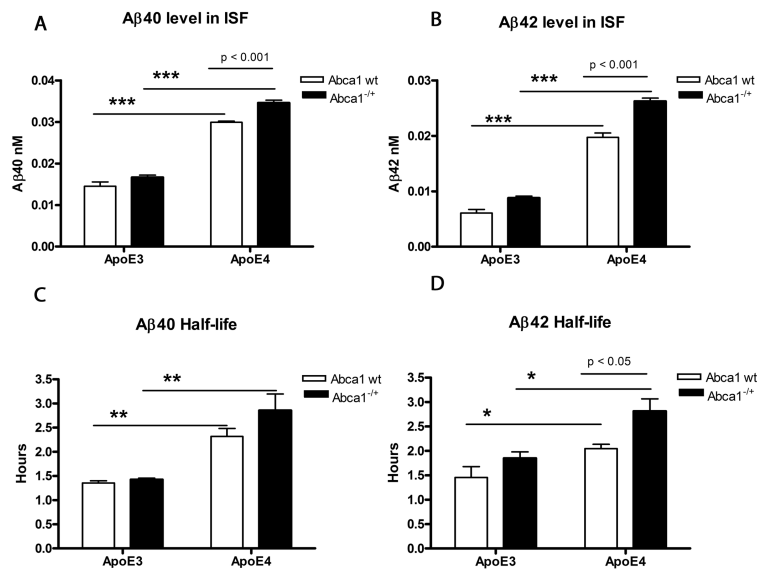
### Figure 3. Lack of one Abca1 gene copy increases soluble and insoluble Aβ levels in APP/E4 but not in APP/E3 mice

Soluble proteins were extracted from the cortices (**A**, **B**, **E** and **F**) and hippocampi (**C**, **D**, **G** and **H**) of 6-8 months old APP/E3, APP/E4, APP/E3/Abca1<sup>-/+</sup> and APP/E4/Abca1<sup>-/+</sup> mice. Aβ40 and Aβ42 were measured by ELISA as explained in methods. **Panel A**, Soluble Aβ40 in cortex. N=6-9 mice per group. **Panel B**, Soluble Aβ42 level in cortex. N=9-13 mice per group. For A and B, analysis by two-way ANOVA did not show an interaction between ApoE and Abca1. There was a main effect of ApoE ( $p < 0.001$ ) but not of Abca1. \*,  $p < 0.05$  by *t*-test. **Panel C**, Soluble Aβ40 level in hippocampus. N=7-13 mice per group. Two-way ANOVA: no interaction between ApoE and Abca1, main effect of ApoE ( $p < 0.001$ ) and Abca1 genotypes ( $p < 0.01$ ).  $p < 0.05$ , by Bonferroni post test, \*,  $p < 0.5$  by *t*-test. **Panel D**, Soluble Aβ42 level in hippocampus. N=7-14 mice per group. Two-way ANOVA: no interaction between ApoE and Abca1, main effect of ApoE ( $p < 0.001$ ) but not of Abca1. \*,  $p < 0.5$  by *t*-test. **Panel E**, Insoluble Aβ40 in cortex. There is no interaction between ApoE and Abca1; main effects of Abca1 ( $p < 0.01$ ) and ApoE ( $p < 0.0001$ ).  $p < 0.05$  by Bonferroni post test. \*,  $p < 0.05$ , \*\*,  $p < 0.01$  by *t*-test. N=6-14 mice per group. **Panel F**, Insoluble Aβ42 in cortex. Two-way ANOVA: no interaction between ApoE and Abca1; main effects of Abca1 ( $p < 0.05$ ) and ApoE ( $p < 0.001$ ).  $p < 0.05$  by Bonferroni post test. \*,  $p < 0.5$ , \*\*\*,  $p < 0.001$  by *t*-test. N=6-14 mice per group. **Panel G**, Insoluble Aβ40 in hippocampus. Two-way ANOVA: interaction between ApoE and Abca1 ( $p < 0.05$ ); main effect of ApoE ( $p < 0.01$ ) but not of Abca1. \*\*,  $p < 0.01$  by *t*-test. N=7-12 mice per group. **Panel H**, Insoluble Aβ42 in hippocampus. Two-way ANOVA: no interaction between ApoE and Abca1; main effects of Abca1 ( $p < 0.05$ ) and ApoE ( $p < 0.001$ ).  $p < 0.05$  by Bonferroni post test. \*,  $p < 0.05$ , by *t*-test. N=6-14 mice per group.

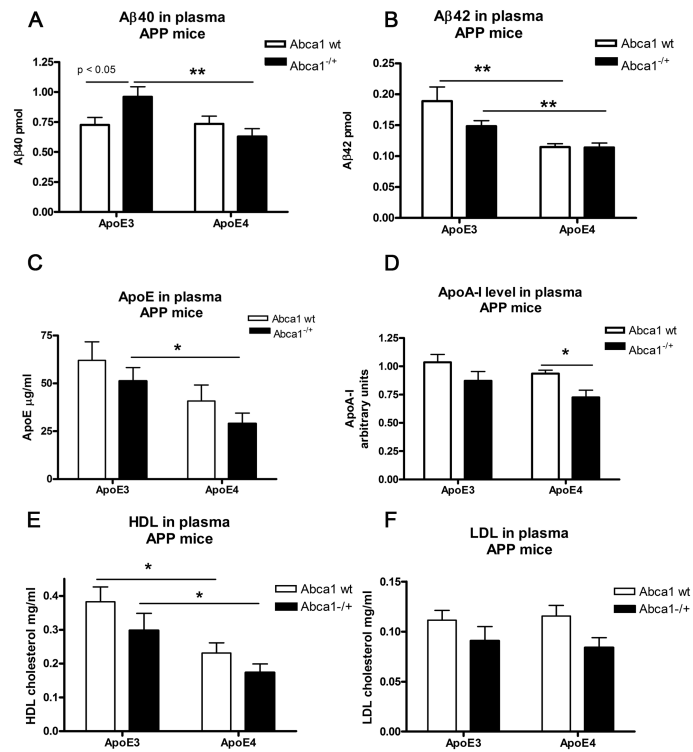


**Figure 4. ApoE and ApoA-I levels in soluble brain fraction are decreased in APP/E4/Abca1<sup>-/-</sup> but not in APP/E3/Abca1<sup>-/-</sup> mice**

Soluble brain extracts were used from the cortices and hippocampi of 6-8 months old APP/E3, APP/E4, APP/E3/Abca1<sup>-/-</sup> and APP/E4/Abca1<sup>-/-</sup> mice. **Panel A**, Cholesterol concentration was measured in a soluble brain fraction as explained in the text. Two-way ANOVA demonstrated no interaction and no effects of genotypes. N=6-9 mice per group. **Panel B**, ApoE was detected in the soluble fraction of cortex and hippocampus by ELISA as explained in the methods. Analysis is by two-way ANOVA. There is no interaction between ApoE and Abca1; there are main effects of Abca1 ( $p < 0.01$ ) and ApoE ( $p < 0.001$ ). \*,  $p < 0.5$  by t-test. N=6-10 mice per group. **Panel C**, ApoA-I was detected in the soluble fraction of cortex and hippocampus by WB. The intensity of the bands were quantified and analyzed by two-way ANOVA. There is no interaction between ApoE and Abca1 and there is a main effect of Abca1 ( $p < 0.05$ ) but not of ApoE. \*,  $p < 0.5$  by t-test. N=6-8 mice per group. **Panel D**, Western blotting for Abca1, full length APP (APPfl) and carboxyterminal fragments result of  $\beta$ -secretase cleavage (CTF $\beta$ ).  $\beta$ -actin is shown as a loading control. **Panel E** represents the quantification of CTF $\beta$ . Note that there is no difference between the genotypes. N=6-8 mice per group.



**Figure 5. Aβ clearance from the brain is decreased in APP/E4 and APP/E4/Abca1<sup>-/-</sup> mice**  
*In vivo* microdialysis was performed in the hippocampus of 4.5 month old awake freely moving mice and Aβ<sub>40</sub> and Aβ<sub>42</sub> concentration was determined by ELISA. Analysis is by two-way ANOVA followed by Bonferroni post test. **Panel A**, Aβ<sub>40</sub> level in ISF. There is no interaction between ApoE and Abca1. There is main effect of Abca1 ( $p < 0.001$ ) and ApoE ( $p < 0.001$ ).  $p < 0.001$  by Bonferroni post test. \*\*\*,  $p < 0.001$  by *t*-test. N=4-5 mice per group. **Panel B**, Aβ<sub>42</sub> level in ISF. There is an interaction between ApoE and Abca1 ( $p < 0.01$ ); a main effects of Abca1 ( $p < 0.001$ ) and ApoE ( $p < 0.001$ ).  $p < 0.001$  by Bonferroni post test. \*\*\*,  $p < 0.001$  by *t*-test. N=4-5 mice per group. **Panels C and D**, Aβ<sub>40</sub> and Aβ<sub>42</sub> half-life is increased in APP/E4 and APP/E4/Abca1<sup>-/-</sup> mice. To determine ISF Aβ half-life one hour base line samples were taken from hours 12-16 after probe implantation and at the beginning of hour 17, animals were injected with  $\gamma$ -secretase inhibitor LY411575 (10mg/kg). Aβ half-life was calculated by nonlinear regression analysis (one-phase exponential decay) as described in methods. **Panel C**, Aβ<sub>40</sub> half-life is increased in APP/E4 and APP/E4/Abca1<sup>-/-</sup> mice. There is no interaction between ApoE and Abca1; main effects of ApoE ( $p < 0.001$ ) but not of Abca1. \*\*,  $p < 0.01$  by *t*-test. N=4-5 mice per group. **Panel D**, Aβ<sub>42</sub> half-life is increased in APP/E4 and APP/E4/Abca1<sup>-/-</sup> mice. There is no interaction between ApoE and Abca1; main effects of ApoE ( $p < 0.01$ ) and of Abca1 ( $p < 0.01$ ).  $p < 0.05$ , by Bonferroni post test. \*,  $p < 0.05$  by *t*-test. N=4-5 mice per group.



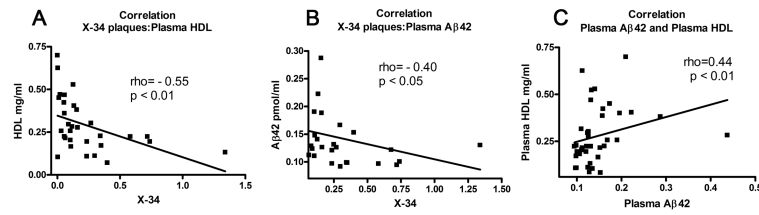
**Figure 6. Plasma Aβ42, ApoE and HDL levels are decreased in APP/E4 and APP/E4/Abca1<sup>-/-</sup> mice**

Amyloid β, ApoE, ApoA-I, HDL and LDL levels were measured in plasma of APP/E3, APP/E4, APP/E3/Abca1<sup>-/-</sup> and APP/E4/Abca1<sup>-/-</sup> mice aged between 6-8 months. For all Panels analysis is by two-way ANOVA followed by Bonferroni post test. Two groups were compared by *t*-test. **Panel A** shows ELISA results for Aβ40. There is an interaction between ApoE and Abca1 ( $p < 0.05$ ). There is a significant main effect of ApoE ( $p < 0.05$ ) but not of Abca1,  $p < 0.05$  by Bonferroni post test. \*\*,  $p < 0.01$  by *t*-test. **Panel B** shows ELISA results for Aβ42. There is no interaction between ApoE and Abca1. There is a main effect of Abca1 ( $p < 0.01$ ) but not of ApoE. \*\*,  $p < 0.01$  by *t*-test. For A and B, N=8-14 mice per group.

**Panel C** shows ELISA results for ApoE level in plasma. There is no interaction between ApoE and Abca1. There is a main effect of ApoE genotype ( $p < 0.05$ ) but not of Abca1. \*,  $p < 0.05$  by *t*-test. N=7-12 mice per group. **Panel D** shows ApoA-I level in plasma. ApoA-I level was measured by ELISA and WB as described in the methods and the mean values expressed as a fold of ApoA-I level in APP/E3 mice. For each mouse mean value from both measurements is shown. There is no interaction between ApoE and Abca1. There is a main effect of Abca1 ( $p < 0.01$ ) but not of ApoE. \*,  $p < 0.05$  by *t*-test. N=8-15 mice per group.

**Panels E and F**, HDL and LDL levels in plasma were measured using commercially available kit as described in the methods. **Panel E**, For HDL, two-way ANOVA demonstrated no interaction between Abca1 and ApoE. There was a significant main effect of Abca1 ( $p < 0.01$ ) but not of ApoE. \*,  $p < 0.05$  by *t*-test. N=8-14. **Panel F**, For LDL, two-way ANOVA revealed no interaction between Abca1 and ApoE. There was a significant main effect of ApoE ( $p < 0.01$ ) but not of Abca1. N=8-14 mice per group.





**Figure 7. HDL level in plasma negatively correlates to amyloid plaques in the brain**  
 For **Panels A, B and C**, Spearman correlation analysis was performed on groups of APP/E3, APP/E3/Abca1<sup>-/+</sup>, APP/E4 and APP/E4/Abca1<sup>-/+</sup> mice. **Panel A**, There is a negative correlation between plasma HDL and X-34-positive amyloid plaques in the brain.  $\rho = -0.55$ ,  $p < 0.01$ .  $N = 31$ . **Panel B**, There is a negative correlation between X-34-positive amyloid plaques in brain and A $\beta$ 42 level in plasma.  $\rho = -0.40$ ,  $p < 0.05$ .  $N = 38$ . **Panel C**, There is a positive correlation between plasma levels of A $\beta$ 42 and HDL.  $\rho = 0.44$ ,  $p < 0.01$ .  $N = 39$ .