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## Neuroscience of Alcoholism: Molecular and Cellular Mechanisms

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### Abstract

Alcohol use and abuse appear to be related to neuroadaptive changes at functional, neurochemical, and structural levels. Acute and chronic ethanol exposure have been shown to modulate function of the activity-dependent gene transcription factor, cAMP-responsive element binding (CREB) protein in the brain, which may be associated with the development of alcoholism. Study of the downstream effectors of CREB have identified several important CREB-related genes, such as neuropeptide Y, brain-derived neurotrophic factor, activity-regulated cytoskeleton-associated protein, and corticotrophin-releasing factor, that may play a crucial role in the behavioral effects of ethanol and molecular changes in the specific neurocircuitry that underlie both alcohol addiction and a genetic predisposition to alcoholism. Brain chromatin remodeling due to histone covalent modifications may also be involved in mediating the behavioral effects and neuroadaptive changes that occur during ethanol exposure. This review outlines progressive neuroscience research into molecular and epigenetic mechanisms of alcoholism.

### Keywords

Alcoholism; Epigenetic; CREB; BDNF; NPY; CRF; Arc; Anxiety; Brain

### Introduction

Alcohol addiction is a chronic relapsing disorder and is characterized by repetitive alcohol drinking patterns leading to a loss of control over alcohol consumption [1,2]. Alcohol and other drugs of abuse have been shown to cause aberrations in synaptic plasticity and related neuronal function [1,3,4]. The neuroadaptational changes induced by exposure to alcohol and drugs of abuse may be related to dysregulation of signaling systems, gene transcription and protein expression at the cellular level [3–5]. Thus, the search for molecular mechanisms that contribute to the initiation and maintenance of alcohol addictive processes has become a major focus of the neuroscience of alcoholism.

Two major psychiatric states have been implicated in the development of alcoholism, namely the positive and negative affective states of alcohol abuse. The positive affective state describes the euphoric effects of alcohol that lead to the promotion of alcohol consumption [1,5]. The mesolimbic dopaminergic pathway has been shown to be a key

mediator in the rewarding effects of alcohol and consists of dopaminergic projections from the ventral tegmental area (VTA) of the midbrain to various areas in the limbic system [1,4,6]. Dopaminergic VTA projections to the nucleus accumbens (NAc) have been identified as one of the primary mediators of the rewarding effects of alcohol [4–6]. Molecular and cellular changes in the NAc with acute and repeated alcohol exposure may underlie certain aspects in the development of alcohol addiction [4–6]. The negative affective state of alcohol abuse describes the development of anxiety, depression, and other dysphoric psychiatric sequelae which may be caused by the abrupt cessation of alcohol consumption [5,7,8]. The dysphoric state induced during alcohol withdrawal is a robust factor in the maintenance of both alcohol drinking and the eventual development of alcohol addiction [1,5]. Amygdaloid brain regions, specifically the central nucleus of amygdala (CeA) and medial nucleus of amygdala (MeA), appear to be associated with the dysphoric effects of alcohol withdrawal, particularly the promotion of anxiety-like behaviors [1,4,5]. Epidemiological studies have shown that alcohol-use disorders are commonly comorbid with innate mood and anxiety-spectrum disorders, suggesting that a genetic predisposition for anxiety may also predispose an individual to alcoholism [7,8]. When coupled together, the positive and negative affective states of alcohol abuse provide a convincing psychiatric and neurobiological model for the initiation and maintenance of alcohol consumption leading to addiction. Interestingly, various studies have found that there may be common cellular substrates, particularly the cyclic AMP-responsive element binding protein (CREB) gene transcription factor, which may play a role in both the euphoric and dysphoric pathways regulating the development of alcohol addiction [5,9,10].

CREB plays a central role in the process of addiction [3,5,9,10]. Ethanol has a complex pharmacological profile and various signaling systems have been identified as modulators of CREB function that may serve as potential ethanol targets [10–12] (Figure 1). A great deal of research has focused on the role of CREB and its target genes, such as neuropeptide Y (NPY), brain derived neurotrophic factor (BDNF), activity-regulated cytoskeleton-associated (Arc) protein, and corticotrophin releasing factor (CRF) in the development of alcohol addiction [13–16]. In addition, several studies have identified novel epigenetic mechanisms, such as histone modification-induced chromatin remodeling and DNA methylation, in the process of alcohol-related neuroadaptation [17,18]. In this review, we have focused on evidence that implicates a regulatory role for CREB-related changes and epigenetic mechanisms in the neurocircuitry of the NAc and amygdala that may contribute to alcoholism. To give a comprehensive picture of changes in CREB and related signaling mechanisms, we have also considered findings in other brain regions and cell culture models in relation to alcoholism.

## CREB in alcohol addiction

The CREB gene transcription factor has been shown to be involved in many aspects of CNS function including long-term memory formation, synaptic plasticity and addiction [5,9,11,19,20]. CREB protein is constitutively expressed and activated by phosphorylation at serine-133 via calcium/calmodulin-dependent protein kinase II and IV (CaMKII and CaMKIV), cAMP-dependent protein kinase A (PKA) and mitogen-activated protein kinase (MAPK) [4,5,21]. Phosphorylation of CREB leading to subsequent activation results in the recruitment of CREB-binding protein (CBP) and other transcriptional components, which enables gene transcription to occur at the cAMP-responsive element (CRE) promoter region [9,21,22]. The regulation and effects of CREB are widespread and varied, so the scope of this review will be limited to some upstream regulators and downstream effectors that have been shown to be potential targets of ethanol action.

Several studies, as described below, have indicated that deficits in CREB function in the CeA may be involved in alcohol preference and dependence. An early study on CREB function in the amygdala utilized alcohol-preferring (P) and –nonpreferring (NP) rats, selectively bred for high and low alcohol preference, respectively [23–25]. The study found that levels of CREB and phosphorylated CREB (p-CREB), as well as CRE-DNA binding activity, were lower in the amygdala of P rats compared to NP rats [24]. In addition, deficits in the levels of CREB and p-CREB were found in amygdaloid structures of P rats compared to NP rats, specifically in the CeA and MeA, but not in the basolateral amygdala (BLA), which correlated with anxiety-like behaviors and higher ethanol consumption in P rats [26]. Treatment with acute ethanol increased levels of p-CREB and produced anxiolytic effects in P rats and in mice, but not in NP rats [26,27]. A mechanistic study of CREB function by infusion of a PKA activator (Sp-cAMP) into the CeA increased p-CREB levels and decreased anxiety-like behaviors and ethanol consumption of P rats. In contrast, infusion of a PKA inhibitor (Rp-cAMP) into the CeA decreased p-CREB levels, provoked anxiety-like behaviors, and increased ethanol intake of NP rats [26]. A similar observation of increased anxiety-like behaviors and higher ethanol preference was found in CREB-haplodeficient mice compared to wild-type littermates, further suggesting an important role for CREB in anxiety-like and alcohol drinking behaviors [27]. In order to study the role of CREB in alcohol dependence, Sprague-Dawley (SD) rats were chronically exposed to ethanol and then withdrawn for a 24-hour period. Only ethanol-withdrawn SD rats displayed anxiety-like behaviors, which correlated with decreased p-CREB levels in the CeA or MeA, while no change was observed in total CREB protein levels [28]. Increasing levels of p-CREB in the CeA via PKA activator infusion prevented the development of anxiety-like behaviors during ethanol withdrawal in SD rats. In contrast, decreased CREB function via PKA inhibitor infusion into the CeA provoked anxiety-like behaviors and increased ethanol intake of normal SD rats [28]. Taken together, these results suggest that CREB deficits in the CeA may be involved in the process of alcohol preference and dependence (Figure 2).

In addition to amygdaloid brain structures, abnormalities in CREB function in other brain regions such as the NAc, cortex, and cerebellum have been shown to be involved in alcohol addiction. A series of early studies demonstrated that acute ethanol resulted in a rapid increase in p-CREB levels, which was attenuated by chronic ethanol treatment in rat cerebellum and striatum [29–31]. Furthermore, CRE-DNA binding and CREB phosphorylation were not affected by chronic ethanol exposure, however the levels of both CRE-DNA binding and p-CREB were significantly decreased in cortical brain regions during ethanol withdrawal [32,33]. Voluntary ethanol intake caused a decrease in CREB phosphorylation in the NAc shell, but not core, of rats [34,35]. The levels of p-CREB decreased further during 24- and 72-hour ethanol withdrawal periods, but were shown to increase toward control levels after 7 days, alluding to the NAc as a brain region involved in alcohol drinking behaviors [34]. CREB expression was also investigated in the brain structures of C57BL/6 and DBA/2 mice [36]. It was shown that C57BL/6 mice displayed a higher ethanol preference compared to DBA/2 mice [36,37]. Interestingly, levels of CREB and p-CREB have also been shown to be innately lower in the shell, but not in the core of the NAc or any amygdaloid regions of C57BL/6 mice compared to DBA/2 mice [36]. It is also important to mention that a role for CREB has been identified in various aspects of alcoholism such as the development of tolerance [38,39], changes in neuronal development, cell death [40,41], and ethanol sensitivity [42].

In summary, these results suggest that CREB deficits in both the NAc and CeA, either innately or due to withdrawal after ethanol exposure, may promote ethanol intake, and the correction of CREB deficits may decrease ethanol consumption and prevent alcohol addiction. Thus, decreased CREB function may mediate the development of alcohol addiction via the positive and negative affective states of alcoholism. There are several

studies that suggest possible mechanisms by which direct ethanol targets may regulate CREB function and the neuroadaptive changes that occur in alcoholism (Figure 1).

## Upstream targets of ethanol-mediated modulation of CREB

Many proteins have been identified as direct molecular targets for ethanol, including neurotransmitter receptors, ion channels, and enzymes [10,12,43,44]. Interestingly, a number of the identified protein targets of ethanol may also play a role in the regulation of CREB and adaptational changes in the brain during ethanol exposure (Figure 1). Important electrophysiological studies performed over twenty years ago identified the modulating effects of ethanol on  $\gamma$ -aminobutyric acid (GABA) and *N*-methyl-D-aspartate (NMDA) receptor currents [45,46]. Early studies in hippocampal cell cultures found that acute ethanol inhibited NMDA receptor-mediated  $\text{Ca}^{2+}$  currents [45]. More recently, site directed mutagenesis identified an ethanol binding pocket in the NMDA receptor TM3 domain. Also, amino acid sequence variation in this domain correlated with variations in ethanol sensitivity [47–49]. NR2B-containing receptors have been shown to exhibit high  $\text{Ca}^{2+}$  conductance and may be particularly important in synaptic plasticity [50]. Notably, NR2B-containing receptors displayed the highest sensitivity to ethanol and may also serve as a possible pharmacological target for the treatment of alcohol addiction [51,52]. A study of mouse cortical cell cultures showed that ethanol-induced increases in NR2B receptor subunit expression were dependent on CREB during ethanol exposure [53]. Metabotropic glutamate receptors (mGluR), particularly Group I mGluRs, may regulate CREB function through increased intracellular  $\text{Ca}^{2+}$  and activation of MAPK signaling pathways [54]. Acute ethanol has been shown to inhibit  $\text{Ca}^{2+}$  currents induced by protein kinase C (PKC)-dependent phosphorylation of mGluR5, but not mGluR1 [55,56]. Reduction of mGluR5 activity using the mGluR5 antagonist, 2-methyl-6-(phenylethyl)-pyridine (MPEP), resulted in a dose-dependent decrease in ethanol consumption in various animal models [57–60]. Voltage-gated  $\text{Ca}^{2+}$  channels (VGCCs), especially the slow-inactivating L-type VGCC, have been shown to sustain  $\text{Ca}^{2+}$  influx resulting in CREB activation and changes in synaptic function [61]. Early studies in PC12 cell cultures showed that ethanol had a significant inhibitory effect on the influx of  $\text{Ca}^{2+}$  through L-type VGCCs [62]. Chronic ethanol administration evoked a PKC-dependent upregulation of L-type VGCC expression and elicited changes in channel subunit composition, resulting in dysregulation of VGCC function [63,64]. Furthermore, antagonism of L-type VGCCs has been shown to reduce ethanol consumption in both ethanol-dependent and genetically altered animal models [65–67]. Taken together, these studies have identified receptors and ion channels that regulate intracellular  $\text{Ca}^{2+}$  as direct targets in the effects of ethanol. These ethanol targets may serve as upstream regulators of CREB and may be involved in the regulation of CREB target gene expression (Figure 1).

Adenylyl cyclase (AC) plays an important role in the cAMP-dependent activation of PKA and in regulating CREB function via phosphorylation. Studies in cell culture and rat cortex demonstrated that acute ethanol exposure could stimulate AC activity and increase cAMP production [68], while chronic ethanol exposure resulted in AC desensitization in mouse cortex [69,70]. AC sensitivity to ethanol was shown to be isoform-specific in transfected human embryonic kidney (HEK293) cells [71]. Studies using AC isoform chimeras found two ethanol-sensitive domains within each of the cytoplasmic domains [72]. These results demonstrate that there may be a direct interaction between ethanol and AC and that this interaction may be involved in ethanol-mediated regulation of CREB phosphorylation.

## Protein kinases in alcohol addiction

Protein kinases that regulate CREB phosphorylation include CaMKII, CaMKIV, PKA, and MAPK (Figure 1). The functions of these protein kinases may be modulated by ethanol directly or via upstream modulation of ethanol targets [73–75]. CaMKs are regulated by rapid changes in intracellular  $\text{Ca}^{2+}$  and have an integral role in activity-dependent regulation of CREB function [76]. Various studies have implicated a role for ethanol-mediated modulation of CaMKIV on regulation of CREB function. An early study on rats withdrawn from chronic ethanol exposure found a decrease in CaMKIV and p-CREB levels in cortical and amygdaloid structures [28,33]. Further studies demonstrated that voluntary ethanol intake decreased CaMKIV and p-CREB levels in the NAc, implicating that decreased CaMKIV function may be associated with ethanol dependence [35,77]. Ethanol has also been shown to activate CaMKII and result in increased phosphorylation of CaMKII targets in rat cortex [78]. In addition, CaMKII has been shown to mediate some of the effects of ethanol by directly phosphorylating channel proteins, namely voltage- and calcium-activated potassium (BK) channels [79]. However, CaMKII has not been implicated in the regulation of acute ethanol sensitivity of NMDA receptors [80].

PKA is a cAMP-activated tetrameric protein kinase consisting of two catalytic subunits and two regulatory subunits [81]. Ethanol-mediated activation of AC and subsequent increases in intracellular cAMP cause the dissociation of PKA regulatory and catalytic subunits. Cell culture studies revealed that acute ethanol treatment resulted in translocation of the PKA catalytic subunit to the nucleus, which correlated with increased CREB phosphorylation [73,82]. In vivo, chronic ethanol treatment and withdrawal have been shown to have no effect on levels of the  $\alpha$ -subunit of the catalytic domain of PKA (PKA-C $\alpha$ ) in either cortical or amygdaloid structures of SD rats [28,33]. On the other hand, voluntary ethanol intake increased levels of PKA-C $\alpha$  in the CeA and MeA of P rats [26]. Numerous studies have manipulated PKA activity to show that PKA-mediated changes in CREB function play a role in regulating ethanol consumption through both euphoric and dysphoric pathways [28,83–85]. Inhibition of PKA function was also shown to reduce the sedative effects of acute ethanol, suggesting that reduced PKA function may increase ethanol tolerance and play a role in the development of ethanol dependence [86]. Transgenic mice lacking the PKA RII $\beta$  regulatory subunit also display decreased ethanol-induced sedation and increased ethanol consumption [87]. A recent study found that chronic intermittent ethanol exposure decreased PKA activity in the NAc and amygdala due to the upregulation of the PKA inhibitor  $\alpha$ , suggesting that increased PKA-dependent transcription during acute ethanol consumption may mediate a decrease in PKA activity with repetitive withdrawal [88].

The MAPK family of protein kinases, including extracellular signal-regulated kinases 1/2 (Erk1/2), have been shown to be involved in activity-regulated gene transcription mediating synaptic plasticity [89]. Erk1/2 activation is characterized by the initial activation of Ras, a small soluble G-protein, which results in the activation of downstream proteins, Raf and MAPK/Erk1/2 kinase (MEK), and successive phosphorylation of Erk1/2 [90] (Figure 1). Several studies have identified a relationship between Erk1/2 activation and ethanol exposure that appears to be highly dependent on age, brain region, and ethanol treatment paradigm [41,91,92]. Acute ethanol increased p-Erk1/2 levels while chronic ethanol treatment returned p-Erk1/2 to control levels in the CeA and MeA of SD rats. Furthermore, withdrawal from chronic ethanol exposure resulted in decreased p-Erk1/2 levels [16]. These findings, along with other studies, reveal a direct correlation between ethanol-mediated modulation of Erk1/2 and CREB, and further implicate amygdaloid Erk1/2 as a key regulator of ethanol's effects on CREB [16,41]. Recent studies have shown that activation of Erk1/2 in the CeA and VTA by BDNF and glial cell line-derived neurotrophic factor infusion decreased voluntary ethanol consumption, highlighting the importance of Erk1/2

signaling in the regulation of alcohol drinking behaviors [93,94]. Electrophysiological studies in acute brain slice preparations have shown that Erk1/2 modulation by ethanol attenuated long-term potentiation, identifying a direct role for Erk1/2 in ethanol-induced modulation of synaptic plasticity [95,96]. Taken together, these studies indicate the involvement of various protein kinases in both the acute effects of alcohol, as well as in the development of alcohol addiction.

### Downstream effectors of ethanol-mediated modulation of CREB

Modulation of CREB function by ethanol, via the upstream mediators mentioned above, leads to a change in expression of various CREB target genes, which in turn may mediate both functional and structural changes in the brain that may be involved in alcohol addiction (Figure 2). Many of the upstream and downstream mediators of CREB-related signaling pathways interact with one another, revealing a complex signaling network that is often usurped by ethanol and other drugs of abuse [1,5,74,75]. The following section discusses some CREB targets that are involved in the molecular mechanisms of alcoholism.

### BDNF signaling and synaptic plasticity in alcoholism

BDNF is readily inducible by neuronal activity and has been shown to have important implications in development, neurite outgrowth, synaptic plasticity, and regulation of dendritic morphology [97–101]. BDNF triggers cell signaling cascades by binding to high affinity tropomyosin-related kinase B (TrkB) receptors. TrkB activation causes receptor internalization, and the recruitment and binding of adaptor proteins, Grb2 and SOS, which interact with Ras protein resulting in MAPK activation [101,102]. BDNF signaling, especially via MAPK, results in the activation of CREB [103,104]. In addition, BDNF and TrkB are CREB target genes [27,104,105]. Although the molecular mechanisms which underlie BDNF-mediated modulation of synaptic plasticity, such as changes in dendritic spine morphology, are not yet fully understood, it is important to note that numerous mechanisms have been identified which play a role in the extension and retraction of spines [106]. Activity-regulated cytoskeleton-associated protein (Arc), also known as activity-regulated gene 3.1 (*Arg3.1*), is an immediate-early gene that is regulated by BDNF- and CREB-dependent signaling [107,108]. Arc protein acts as a stabilization factor for filamentous-actin (F-actin), which results in the regulation of dendritic spine morphology [109,110]. Induction of long-term potentiation in the rat dentate gyrus is associated with upregulation of Arc [100,111]. Also, exogenous BDNF application *in vivo* rapidly increased Arc protein levels and induced local expansion of the actin cytoskeleton within dendritic spines [107, 111]. Studies have shown that interactions between post-synaptic density (PSD) proteins, such as PSD-95 protein, and NMDA receptors located at the spine tip may also regulate dendritic spine morphology [112,113].

Aberrant regulation of BDNF has been implicated in the development of psychiatric disorders, including schizophrenia, depression, anxiety and alcohol addiction [15,114,115]. A possible link may exist between decreased BDNF expression in specific brain regions and both ethanol dependence and increased ethanol preference. BDNF-haplodeficient mice have been shown to display a higher preference for ethanol compared to wild-type littermates [116,117]. It has also been shown that inhibition of the TrkB receptor increased ethanol intake in wild type, but not in BDNF haplodeficient mice [116]. In rats, chronic ethanol treatment resulted in decreased BDNF expression in the hippocampus and cortex [32,118]. Another study showed that ethanol differentially regulated BDNF expression in the NAc of C57/BL6 mice in comparison to DBA/2 mice [119]. A study on the cytotoxic effects of ethanol in cell cultures found that exogenous BDNF appeared to have a cytoprotective role against ethanol-induced damage [120]. Another study by McGough *et al.*, uncovered the

importance of BDNF in the processes of ethanol dependence [121]. Investigators found that BDNF expression was increased in the dorsal striatum of mice after acute and self-administered ethanol. In addition, they found that decreasing BDNF levels led to increased ethanol consumption whereas increasing BDNF attenuated ethanol intake in rodents. These results suggest that the BDNF signaling pathway may serve as a homeostatic pathway involved in the regulation of alcohol addiction [121].

A recent study performed in our lab using an antisense oligodeoxynucleotide (ODN) strategy demonstrated a mechanistic role for BDNF in ethanol preference. The reduction of BDNF via infusion of BDNF antisense ODNs into the CeA or MeA of SD rats increased ethanol consumption and anxiety-like behaviors [94]. In contrast, BDNF co-infusion with antisense ODNs rescued these behaviors [94]. This notion was further supported by findings that levels of BDNF protein and mRNA were innately lower in the CeA and MeA, but not BLA, of P rats compared to NP rats [122]. In another study examining BDNF protein levels in the NAc of P and NP rats, investigators found that baseline levels of BDNF were lower in P rats compared to NP rats [123]. The results of this study are in marked contrast to the results of our own study where we found that innate BDNF mRNA and protein levels were similar in the shell and core structures of the NAc of P rats compared to NP rats [122]. Despite the discrepancies between the studies, which may be related to differences in the methodologies used, both of the studies emphasized the importance of BDNF in alcohol drinking behaviors. Various studies conducted in the amygdala, as described above, clearly indicate a strong relationship between amygdaloid BDNF expression, and anxiety and ethanol intake (Figure 2).

Early studies into the effects of ethanol on dendritic spine morphology found that chronic ethanol treatment led to a decrease in dendritic spine density (DSD) in various areas of the brain [124–126]. These findings suggest that changes in DSD may occur due to ethanol exposure, however the functional implications of ethanol-induced changes in dendritic morphology are not well understood [127]. More recent studies have begun to explore possible mechanisms mediating the effects of ethanol on spine morphology and dynamics in NAc and amygdaloid brain regions. Using two-photon microscopy to analyze dendritic spines in the NAc of P rats, a recent study found that chronic ethanol exposure resulted in abnormal dendritic morphology and decreased DSD [128]. This finding correlated with a spine-localized upregulation of a truncated NR1 subunit implicated in the regulation of spines [128]. A recent series of studies in our laboratory explored the interaction between ethanol, BDNF, Arc and DSD [16]. Acute ethanol exposure in SD rats increased Arc expression and DSD in the CeA and MeA, which was linked to increased BDNF signaling and CREB activation. Conversely, withdrawal from chronic ethanol decreased BDNF signaling, CREB activation, Arc expression and DSD, which was associated with anxiety-like behaviors in SD rats [16]. Employing a mechanistic approach, the study showed that BDNF infusion into the CeA of ethanol withdrawn rats increased BDNF signaling and CREB activation, ultimately leading to increased Arc mRNA and protein levels, and decreased anxiety-like behaviors. In addition, infusion of Arc antisense ODNs into the CeA of normal SD rats decreased Arc and DSD while increasing anxiety-like behaviors and ethanol intake [16]. Another study in our lab showed that in comparison to NP rats, P rats had innately lower DSD in the CeA and MeA which was increased by acute ethanol treatment [129]. These data provide a convincing role for Arc-mediated regulation of DSD in the process of ethanol preference and dependence. In hippocampal cell cultures, increased NR2B expression due to chronic ethanol exposure increased NMDA clustering with the post-synaptic density protein, PSD-95, which correlated with an increase in dendritic spine size [130]. This study provided an attractive model linking ethanol-mediated changes in NMDA subunit composition to dendritic morphology. Taken together, these studies identify

possible molecular players involved in the complex regulation of structural changes of dendritic spines in various brain regions during ethanol exposure.

## Neuropeptide Y signaling in alcoholism

Neuropeptide Y (NPY) is a highly conserved 36 amino acid neuromodulator implicated in the regulation of a wide variety of biological and behavioral functions, including the regulation of food intake [131], neurogenesis [132], and stress and anxiety [133]. NPY binds to Y1, Y2, Y4 and Y5 receptors, which are G-protein coupled receptors (GPCR) associated with  $G_{\alpha_i}$ , resulting in decreased cAMP formation [134]. Although NPY receptor signaling is primarily linked to inhibition of cAMP production, studies have also shown that activation of the Y1 receptor may couple with other protein kinases, such as CaMKs, and increase p-CREB [135]. Since NPY is also a CREB-target gene, both NPY and CREB can reciprocally regulate one another [27,136,137]. In addition, CREB activation can also increase NPY-Y1 receptor expression [138].

The NPY system has been shown to be related to anxiety-like and alcohol drinking behaviors [14,26,139,140]. Studies have shown increased anxiety-like behaviors and ethanol consumption in NPY knockout mice, while transgenic mice overexpressing NPY consumed lower amounts of ethanol [141,142]. NPY levels are lower in the CeA of both P and high alcohol drinking (HAD) rats compared to NP and low alcohol drinking (LAD) rat lines, respectively [26,139]. Intracerebroventricular (ICV) administration of NPY was shown to elicit an anxiolytic effect, which was reversed by infusion of antisense ODNs for the NPY-Y1 receptor into the amygdala [143,144]. These findings provide evidence suggesting a role for NPY signaling in the regulation of anxiety-like behaviors and ethanol consumption [14]. Additional studies have implicated specific NPY receptors, such as NPY-Y1, NPY-Y2, and NPY-Y5 in regulating ethanol consumption. NPY-Y1 receptor knockout mice consumed higher amounts of ethanol and had a lower sensitivity threshold to the sedative effects of ethanol compared to wild-type littermates [145]. ICV infusion of the Y2 receptor antagonist, BIIE0246, demonstrated that Y2 receptor blockade suppressed ethanol consumption in rats following chronic ethanol treatment [146]. NPY-Y2 receptor knockout mice also displayed a decrease in ethanol consumption in comparison to wild-type littermates [147]. Other studies have implicated the involvement of NPY-Y5 receptors in alcohol drinking behaviors [148]. These studies suggest that Y1, Y2, and Y5 receptors may serve as possible targets for the development of drugs to treat alcohol addiction.

Some studies have specifically looked at the role of NPY in the NAc and amygdaloid brain structures with regards to ethanol consumption. Studies comparing C57/BL6 and DBA/2 mice found that high ethanol preference in C57/BL6 mice correlated with low NPY levels in the shell of the NAc [36]. In a mechanistic study of SD rats, infusion of a PKA inhibitor, Rp-cAMP, into the NAc shell decreased NPY protein expression and increased ethanol preference. Co-infusion of NPY with Rp-cAMP attenuated increased ethanol preference [83]. Importantly, modification of NPY signaling in the NAc shell had no effect on anxiety-like behaviors [83]. Studies performed in P and NP rats, as well as HAD and LAD rats, have found correlations between high ethanol preference and low NPY expression levels in the CeA [139]. In our lab, it was shown that deficits in NPY in the CeA and MeA of P rats may be associated with a genetic predisposition to ethanol preference and anxiety [26]. Infusion of NPY or a PKA activator into the CeA reduced ethanol consumption and anxiety-like behaviors of P rats [26]. Other investigators have found that ICV infusion of NPY attenuated ethanol intake in P rats, but not in an unselected stock of rats [149,150]. In a related study, viral vector-mediated overexpression of NPY in the CeA decreased anxiety-like and alcohol-drinking behaviors of rats predisposed to high anxiety, but had no effect on normal rats [151].



Several studies have also characterized a role for amygdaloid NPY signaling in preventing the onset of the negative affective aspects of alcohol dependence. The anxiolytic effects of acute ethanol have been associated with increased NPY levels in the CeA and MeA, but not the BLA, of SD and P rats [18,26]. Levels of amygdaloid NPY have been shown to be decreased in both withdrawal- and stress-induced anxiety states, which correlated with increased ethanol intake [14]. Furthermore, viral-vector mediated NPY overexpression was able to prevent increased ethanol intake in Wistar rats subjected to repetitive withdrawal [152]. In our lab, it was shown that infusion of a specific activator of PKA, Sp-cAMP, into the CeA normalized NPY levels and decreased anxiety-like behaviors of ethanol-withdrawn SD rats, which have been shown to have decreased NPY mRNA and protein levels in the CeA and MeA. Conversely, infusion of an inhibitor of PKA, Rp-cAMP, increased anxiety-like behaviors and ethanol intake, which were rescued by co-infusion of NPY [28,85,140]. Ethanol deprivation in P rats exposed to ethanol resulted in an increase in ethanol intake, which was attenuated by infusion with NPY into the CeA [153]. Similarly, NPY infusion into the CeA abolished dependence-induced increases in ethanol consumption in Wistar rats [154]. In summary, many of these studies depict a common theme by which increases in NPY expression can attenuate ethanol consumption and anxiety-like behaviors, primarily in animals with genetic or ethanol-induced deficits in NPY (Figure 2). Thus, NPY signaling may be important in the development of pharmacological agents for the treatment of anxiety and alcohol addiction.

### CRF signaling in alcoholism

Corticotrophin-releasing factor (CRF) is a 41 amino acid polypeptide that is ubiquitously expressed throughout the central nervous system (CNS). CRF acts at two GPCRs (type 1 and type 2 CRF receptors), CRF-R1 and CRF-R2, both of which are coupled to  $G_{\alpha_s}$  and can increase intracellular cAMP levels [155]. The amygdala is believed to play a particularly important role in the central function of CRF. Amygdaloid CRF neurons have been shown to project widely to regions of the basal forebrain and brainstem [156]. CRF-R1 appears to be associated with stress and anxiety [157]. CRF expression in response to stressors has been shown to be dependent on CREB binding to the CRE site in the promoter region of the CRF gene, suggesting that CRF may also be a CREB-target gene [158]. CRF plays an important role during the development of alcohol addiction and stress-induced relapses to alcohol drinking [1]. An early study showed that anxiety-like behaviors caused by ethanol withdrawal were rescued by infusion of a CRF-R1 antagonist, alpha-helical CRF, directly into the CeA, but not by ICV infusion [159]. A more recent study utilizing another CRF-R1 antagonist, D-Phe-CRF(12–41), showed that this effect was only seen in ethanol-dependent rats, indicating that the anxiolytic effects of CRF-R1 antagonism may rely on a pathologic increase of CRF [160]. In addition, antagonism of CRF receptors in the CeA decreased ethanol consumption in withdrawn rats [161]. Interestingly, infusion of a CRF-R2 agonist also decreased ethanol consumption, indicating that CRF-R1 and -R2 receptors may have opposing roles in the amygdala [162]. Direct measurement of endogenous CRF levels by *in vivo* microdialysis showed an increase in CRF in the amygdala of both rats withdrawn from chronic ethanol treatment and rats subjected to acute stress [163]. These findings were further supported by a study of Sardinian alcohol-preferring rats (sP) that displayed increased CRF release, which correlated with a high anxiety state [164]. Interestingly, lower CRF mRNA and protein levels in the CeA of P rats compared to NP rats [165]. Yet, exogenous administration of CRF increased electroencephalographic responses, indicating that decreased CRF expression may be coupled with a reciprocal increase in CRF-R1 receptor function or expression [165,166]. Notably, various studies using either ethanol treated or genetic animal models of post-dependence have shown that a persistent upregulation of amygdaloid CRF-R1 receptors plays a role in the post-dependent phenotype characterized by increased stress sensitivity and propensity to ethanol relapse [13]. Recent

studies examining the interactive role of CRF and GABA receptors found that CRF can regulate the effects of ethanol by PKC $\epsilon$ -mediated GABA potentiation [167,168]. The evidence linking CRF to stress, anxiety, and the amygdala have suggested that CRF could serve as a possible pharmacological target for the treatment of alcohol addiction. It is worth noting that interactive dysregulation of CRF and NPY signaling (Figure 2) in the amygdala may contribute to the development of alcohol dependence [169]. Future studies on the interactive role of these peptides within the amygdaloid circuitry may provide insight into the complex neurobiological system regulating alcohol drinking in response to stress and anxiety.

## Epigenetic mechanisms in alcoholism

Brain chromatin remodeling regulates gene expression via enzymatic restructuring of histone proteins and DNA, without altering the primary genetic sequence [170]. The nucleosome is the structural unit of chromatin and is composed of 147 base pairs of DNA wrapped around a histone octamer of the basic histone proteins (H2A, H2B, H3, and H4) [170,171]. Initiation or inhibition of gene transcription depends on the accessibility of the chromatin to gene transcriptional machinery, such as transcription factors and RNA polymerases, and the binding ability of these effectors to the DNA [170,172]. Covalent modifications of histone proteins occur at N-terminal tail regions and alter histone-DNA and histone-histone linkages through acetylation, methylation, phosphorylation, ubiquitination, ADP-ribosylation, and SUMOylation [171,173,174]. Enzymes such as histone acetyltransferases (HATs), histone deacetylases (HDACs), methyltransferases, and protein kinases have been implicated in the regulation of gene transcription via chromatin remodeling [172–176]. HATs add acetyl groups to specific lysine residues which causes relaxation of the chromatin structure, allowing for increased binding of transcription factors to the DNA and increased gene expression [171,174]. Interestingly, recruitment of CBP by CREB plays a role in chromatin remodeling (Figure 1), as CBP has been shown to have intrinsic HAT activity [171,175,176]. In contrast to HATs, HDACs can remove acetyl groups, resulting in condensation of chromatin coils and decreased gene transcription [177,178]. Pharmacological inhibition of HDACs can reinstate transcriptional activity by preventing histone deacetylation and promoting a relaxed chromatin structure [177,178]. Several studies have implicated a role for epigenetic mechanisms, especially chromatin remodeling, in neurodegenerative and psychiatric disorders and the development of drug addiction [172,176,179,180]. The role of epigenetic mechanisms in neuropsychiatric disease and alcoholism is underlined by the study of the pharmacotherapeutic effect of HDAC inhibitors, which has recently become an important area of research [18,179–181].

A recent study in our lab investigated brain chromatin remodeling in alcoholism. We found that acute ethanol exposure in SD rats elicited anxiolytic effects that were associated with decreased activity of HDACs and increased histone acetylation in the CeA and MeA. Furthermore, withdrawal from chronic ethanol treatment had the opposite effect by increasing HDAC activity and decreasing histone acetylation, which correlated with increased anxiety-like behaviors [18]. The observed changes in histone acetylation also correlated with changes in CBP levels and NPY expression in amygdaloid regions [18]. Treatment with trichostatin A (TSA), an HDAC inhibitor, was able to reverse the effects that ethanol withdrawal had on chromatin remodeling, NPY expression, and the precipitation of anxiety-like behaviors [18]. Another study demonstrated that TSA also reversed ethanol-related brain damage by restoring neural stem cell differentiation [182]. These results suggest that chromatin remodeling may play a role in the development of alcoholism and also introduce HDAC inhibitors as possible pharmacological agents for treating alcoholism. An earlier study using Western blot analysis of rat brains (cerebral hemispheres) showed that acetylated histone levels were unaffected by ethanol exposure [183]. However, it is

possible that ethanol-induced chromatin remodeling occurs in only certain brain regions, as recently observed in amygdaloid structures during ethanol exposure [18]. Interestingly, adolescent rats, but not adult rats, intermittently treated with ethanol demonstrated significantly increased levels of histone H3 and H4 acetylation in brain regions associated with reward, such as the frontal cortex and NAc [184]. These results indicate that alcohol exposure during adolescence may contribute to an increased vulnerability to alcoholism, which may be caused by changes in brain chromatin remodeling.

Methylation of the genome can also impart either transcriptional activation or repression, depending on the histone protein and the specific amino acid residue that becomes methylated. Histone methyltransferases and histone demethylases work in cooperation with one another to correspondingly add or remove methylated groups to specific lysine or arginine residues located at the N-terminal tail regions of histone proteins [185–188]. The degree of methylation of these specific amino acid residues, which can be either mono-, di-, or tri-methylated, corresponds to the overall chromatin structure. Condensed chromatin, or heterochromatin, is often highly methylated and transcriptionally inactive, whereas relaxed chromatin, or euchromatin, has lower levels of methylation (Figure 1) and higher transcriptional activity [185,187,189]. DNA methylation is also important in regulating gene expression and is facilitated by DNA methyltransferases and DNA demethylases that respectively add and remove methyl groups on DNA [190,191]. Methylation of CpG islands in DNA is another epigenetic mechanism and hypermethylation of DNA results in the reduction of gene expression [192]. Recently, the role of DNA methylation in ethanol-related changes in NMDA receptor (NR2B subunit) expression was explored. Chronic ethanol treatment was shown to upregulate NR2B gene expression, however after 48 hours of ethanol withdrawal NR2B levels were similar to control levels [53,193,194]. Furthermore, it was found that upregulation of NR2B subunit expression correlated to a reduction in CpG island methylation within the NR2B gene after chronic ethanol exposure [194]. Acute ethanol treatment neither affected DNA methylation nor changed the NR2B gene expression [194]. A study in humans showed that acute alcohol intake increased DNA methylation in the promoter region of the  $\alpha$ -synuclein gene [195]. This hypermethylated state may be responsible for the satiated response to alcohol exposure by alcoholics [195].

In addition to classically defined epigenetic mechanisms as described above, microRNAs (miRNAs) can also convey epigenetic-like characteristics through post-transcriptional regulation of gene expression [173]. miRNAs can rapidly regulate gene expression by targeting certain mRNAs for degradation or through specific inhibition of mRNA translation. Alcohol has been shown to modulate BK channel expression, which may lead to tolerance [38,79]. Recent studies on alcohol tolerance have implicated the importance of miRNAs in alcohol-induced changes in BK channel function [196,197]. A study by Pietrzykowski *et al.* showed a prompt downregulation of BK channel expression upon acute alcohol exposure, which was accompanied by upregulated expression of miRNA-9 [196]. Interestingly, miRNA-9 post-transcriptionally regulated BK mRNA splice variants encoding BK channel isoforms that exhibited different ethanol sensitivities [196]. These findings suggest that the rapid onset of alcohol tolerance may occur due to post-translational epigenetic modifications involving miRNAs. Recently, ethanol exposure was also found to suppress four miRNAs, miRNA-21, -335, -9, and -153, which may be involved in ethanol-related teratogenicity [198]. Future studies are needed to shed light on how other miRNAs may be involved in the development of alcoholism.

## Conclusion

The numerous molecular mechanisms involved in the development of alcohol addiction are complex and intertwined. CREB appears to be an important molecular mediator of

neuroadaptational changes during alcohol exposure. There are several upstream and downstream regulators of CREB function during alcohol exposure (Figure 1). Available evidence on CREB target genes clearly indicates that hypofunction of BDNF and NPY and hyperfunction of CRF in the CeA may be involved in the molecular mechanisms associated with the process of alcohol addiction (Figure 2). In addition, epigenetic mechanisms, such as chromatin remodeling via histone acetylation and DNA methylation, as well as miRNAs, are not only important in regulating gene expression, but in establishing neuronal homeostasis during alcohol exposure and in the development of alcoholism. Finally, it is important to note that while this review has described various molecular and epigenetic mechanisms of alcoholism, it is by no means exhaustive. Further studies are necessary to understand the mechanisms of alcohol addiction in order to improve current pharmacotherapeutics for alcoholism and related disorders.

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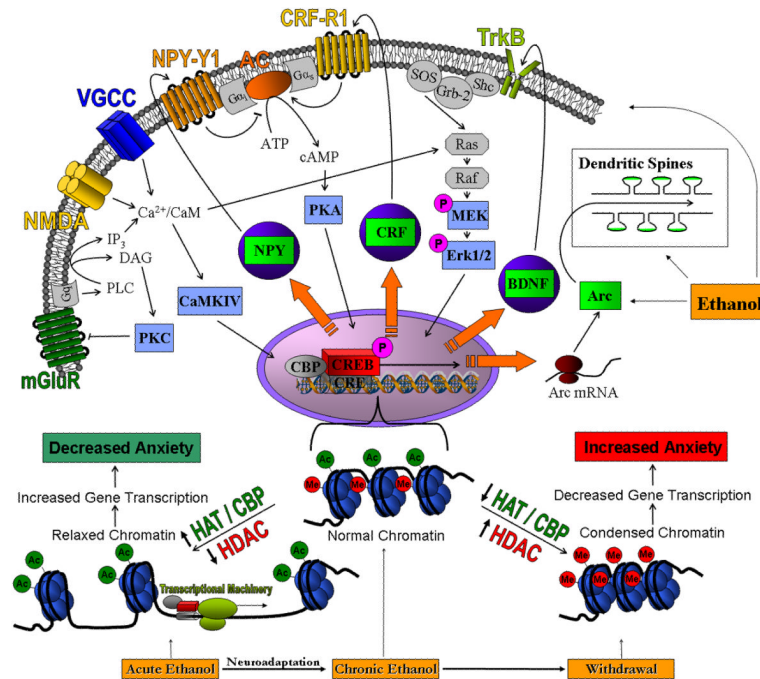
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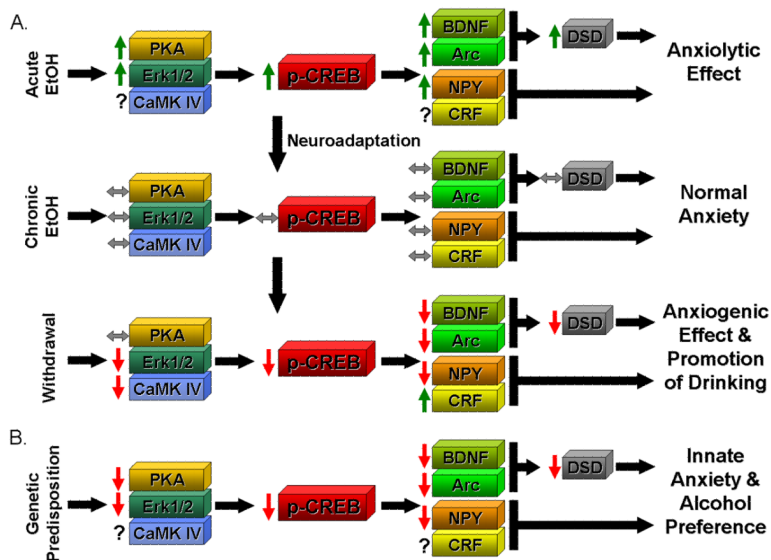
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**Fig. 1.** Ethanol exposure modulates cyclic AMP responsive element binding (CREB) protein-related cellular processes at the level of receptors and intracellular signaling, gene transcription, and neuronal morphology. Signaling via metabotropic glutamate receptors (mGluR), *N*-methyl-D-aspartate (NMDA) receptors, voltage-gated calcium channels (VGCC), adenylyl cyclase (AC), neuropeptide Y1 receptors (NPY-Y1), type 1 corticotrophin-releasing factor receptors (CRF-R1) and tyrosine kinase B receptors (TrkB) leads to the modulation of various protein kinases, including protein kinase C (PKC), calcium calmodulin-dependent kinase IV (CaMKIV), protein kinase A (PKA) and extracellular signal regulated kinase 1/2 (Erk1/2). These protein kinases regulate the function of CREB, which recruits and binds CREB binding protein (CBP) and regulates transcription of CREB targets, including neuropeptide Y (NPY), corticotrophin-releasing factor (CRF), brain-derived neurotrophic factor (BDNF) and activity-regulated cytoskeleton-associated protein (Arc), which plays a role in the regulation of dendritic spine morphology. The bottom portion of the figure indicates relaxed and condensed chromatin structures in the amygdaloid circuitry during various states of ethanol exposure (acute, chronic, and withdrawn). CBP contains intrinsic histone acetyltransferase (HAT) activity and works together with histone deacetylases (HDAC) to modify the chromatin architecture. For example, acetylation of histone proteins by HATs results in a relaxed chromatin structure, thereby increasing gene transcription. HDACs enzymatically remove acetyl groups from lysine residues located at the N-terminal tails of histone proteins, allowing for other enzymes to add methyl groups, condensing the chromatin and decreasing gene transcription. HDACs and HATs may serve as potential molecular targets for the action of ethanol in the central and medial nucleus of amygdala [18].



**Fig. 2.**

**A:** A hypothetical molecular model for the action of ethanol on protein kinases, CREB and CREB targets within amygdaloid circuitry, specifically the central nucleus of amygdala (CeA), involved in the regulation of anxiety and alcohol drinking behaviors. Acute ethanol elevates phosphorylated CREB (p-CREB) levels by increasing protein kinase function, which leads to the increased expression of CREB-target genes. Increased brain-derived neurotrophic factor (BDNF) and activity-regulated cytoskeleton-associated protein (Arc) expression result in increased dendritic spine density (DSD) which may mediate the anxiolytic effects of ethanol. The anxiolytic effects of acute ethanol may also involve increased neuropeptide Y (NPY) expression in the CeA. Neuroadaptation due to chronic ethanol exposure normalizes protein kinase levels, and p-CREB and CREB target gene expression levels. Withdrawal from chronic ethanol exposure reverses the observed effects of acute ethanol leading to increased anxiety and promotion of alcohol drinking. Corticotrophin-releasing factor (CRF) is also regulated by CREB and hypofunction of NPY and hyperfunction of CRF in the CeA may be involved in the regulation of anxiety and alcohol drinking behaviors during ethanol withdrawal. **B:** Innately low CREB function in the CeA can lead to lower levels of BDNF, Arc, NPY and DSD, which may contribute to a genetic predisposition to anxiety and alcohol preference. (↓) – Decrease; (↑) – Increase; (↔) – Normal; (?) – Unknown or Unclear