

HHS Public Access

Author manuscript *J Clin Lab Anal*. Author manuscript; available in PMC 2017 July 01.

Published in final edited form as:

J Clin Lab Anal. 2016 July ; 30(4): 326–334. doi:10.1002/jcla.21859.

Reticulocyte Hemoglobin Content During The First Month Of Life In Critically III Very Low Birth Weight Neonates Differs From Term Infants, Children, And Adults

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Abstract

Background—Reticulocyte hemoglobin content (RET-He)—an established indicator of iron status in children and adults—was determined in very low birth weight (VLBW) infants.

Methods—Longitudinal retrospective RET-He data in 26 VLBW neonates during the first month of age were compared with: 1) concurrent complete blood counts (CBC) including hemoglobin (Hb) concentration, reticulocyte count, and immature reticulocyte fraction (IRF), and erythropoietin (EPO) levels; 2) clinical variables; and 3) RET-He data from the literature for term infants, children, and adults.

Results—RET-He within 24 hr following birth was 31.8 ± 1.1 pg (mean±*SEM*). This was followed by an abrupt, significant decline to 28.3 ± 1.1 pg at 2–4 days, and to steady state levels of 28.4 ± 0.5 pg thereafter. The changes in RET-He were mirrored by changes in plasma EPO, reticulocyte count, and IRF, but not Hb. Steady state RET-He values after 4 d were significantly lower than RET-He values for term infants, children, and adults (31.6 ± 0.11 , 32.0 ± 0.12 , and 33.0 ± 0.13 pg, respectively).

Conclusion—Although RET-He values in VLBW infant were lower than term infants, children and adults, the significance and mechanism(s) responsible are unknown. The present VLBW infant data are relevant to investigations assessing hemoglobinization following treatment with recombinant human EPO (r-HuEPO) and/or iron.

Keywords

reticulocyte hemoglobin content; reticulocyte indices; RET-He; CHr; erythrocyte

AUTHORS CONFLICT OF INTEREST DISCLOSURE

The XE-2100 hematology automated analyzer used in these experiments was on loan to JAW from Sysmex, Inc., Lincolnshire, IL.

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INTRODUCTION

Premature critically ill infants, particularly VLBW infants weighing <1 500 g at birth, routinely develop clinically significant anemia during the first few weeks of age. While their anemia is largely attributable to the frequent clinical blood sampling needed for laboratory testing (1), anemia of prematurity is also a significant contributor (2). The prevention and treatment of neonatal anemia with r-HuEPO to augment erythropoiesis has demonstrated modest effectiveness in reducing RBC transfusions provided that iron supplementation is concomitantly administered for adequate hemoglobinization (3). Iron supplementation is also administered during infancy to prevent the development of nutritional iron deficiency (ID) and its more severe manifestation as iron deficiency anemia (IDA).

It is well established that nutritional ID and IDA developing during infancy can lead to significant subsequent detrimental neurodevelopmental and behavioral effects (4). This makes it imperative that ID and IDA be promptly diagnosed and treated. The diagnosis of ID is based on laboratory parameters indicative of the body's iron status. These include plasma iron, ferritin, soluble transferrin receptor (sTfR), transferrin saturation, hepcidin, and erythrocyte zinc protoporphyrin/heme ratio (5, 6). With the recent availability of sophisticated flow cytometry-based hematology analyzers, RBC and reticulocyte indicesparticularly reticulocyte hemoglobin content-have also served as indicators of iron status for diagnosing ID and IDA (6, 7). An advantage of reticulocyte indices relative to other indicators of iron status is that they provide a direct real time measure of hemoglobinization in newly produced erythrocytes, without perturbation by inflammation. In addition, because of their brief 1–2 d lifespan in the circulation, the determination of reticulocyte indices has advantage over the determination of similar indices in mature RBCs, i.e., this provides a real-time assessment of iron status unperturbed by RBC transfusion (8). When reticulocyte indices are measured in individuals without hemoglobinopathies, the amount of Hb present within individual reticulocytes (in pg) has been demonstrated to be a reliable indicator of iron availability for Hb synthesis in children and adults (8-11). In infants there has been only one recent publication suggesting this may also be true in VLBW infants (12). Two manufacturers of clinical hematology analyzers have developed separate proprietary approaches for deriving reticulocyte indices (13). These indices include the "reticulocyte hemoglobin content "CHr" parameter of the Bayer H*3 and ADVIA 120 systems (Bayer Diagnostics, Tarrytown, NY), and "RET-He" parameter of the Sysmex (Kobe, Japan) (13, 14). While normal values for RET-He have been published for term infants (15), children (10) and adults (6, 7), RET-He values for premature newborn infants have not.

Thus, the aims of the present study were to determine RET-He values in critically ill, ventilated VLBW neonates during the first month of life and to compare these with: 1) concurrent longitudinal CBC measurements including hemoglobin concentration (Hb), reticulocyte count, and IRF; 2) clinical variables including gestational age at birth, birth weight, birth weight *z*-score, gender, postnatal age, and plasma ferritin as a primary indicator of iron status; and 3) RET-He data from the literature for different developmental and patient groups.

MATERIALS & METHODS

Subjects and study design

The University of Iowa Committee on Research on Human Subjects approved this study. Informed parental written consent was obtained. These same subjects have been previously reported, but with different primary objectives (16–18).

Study subjects included VLBW infants born between February 2007 and August 2009. The 26 subjects eligible for enrollment included inborn and outborn neonates delivered at <29 weeks gestation who were intubated and ventilated during the first day of life. Gestational age was determined by obstetrical criteria unless physical and neurodevelopmental examination findings were inconsistent by >2 weeks, in which case gestational age based on the physical examination was used (19). Infants excluded were those presenting with hematological disease at birth, those receiving RBC transfusions prior to enrollment, and those receiving erythropoiesis-stimulating agents (ESA). Infants were studied for approximately the first month of life during which all subjects received one or more clinically indicated RBC transfusions.

Clinical data

Clinical data were gathered from the subject's electronic health record and combined with research laboratory data. Clinical data included relevant perinatal demographic data. These data were used to derive birth weight *z*-score with individualized adjustment for gestational age with gender, and multiple birth (20).

Laboratory methods

C-reactive protein—Clinically ordered CRP analysis were performed within the first day of age for all infants by the central hospital laboratory utilizing the immunoturbidimetric assay on the Roche/Hitachi Cobas® systems analyzer (Indianapolis, IN). CRP was measured as an indicator of acute inflammation to aid in the interpretation of plasma ferritin with increased CRP levels indicative of unreliable ferritin results.

Research testing was performed on anti-coagulated leftover blood from clinical sampling. Samples were refrigerated at 4° C for <72 h prior to analysis or centrifugation to separate plasma that was stored at -80° C for analysis later.

Hematological testing—Analyses were performed on EDTA whole blood samples in our research laboratory using the Sysmex XE 2100. Analyses included Hb, reticulocyte count, and reticulocyte indices. These were performed in manual mode on 135 μ L whole blood samples. The manufacturer's recommended quality control procedures were performed daily.

Erythropoietin—Plasma EPO was measured in duplicate on heparinized or EDTA samples using a specific double antibody radioimmunoassay (21).

Ferritin—Plasma ferritin was measured in duplicate using 20 µL heparinized or EDTA plasma samples in an enzyme immunoassay kit (Ramco Laboratories, Inc., 4100 Greenbriar

Drive, Stafford, TX). Plasma ferritin data included the first value following birth for which there was sufficient leftover plasma. For all but three infants plasma ferritin was measured once in the first 24 h of age; two were measured on 2 d and one on 3 d.

Literature review

To identify publications including original RET-He data, a PubMed literature search was performed. Only human studies of infants, children, and adults with "reticulocyte hemoglobin content," "RET-He," "CHr," "reticulocyte indices," and/or "hematological indices" were included. Ten publications were identified (6, 7, 10, 11, 15, 22–26). To locate additional publications with original RET-He data, the reference section of these 10 papers were reviewed by three of the coauthors (RA, DN, and JW). No additional references were found. Of the 10 publications, seven contained RET-He variance data allowing comparison with the present study's VLBW infants (6, 7, 10, 11, 15, 25, 26).

Statistical analysis

Data are presented expressed as the mean \pm *SEM* or the mean \pm *SD* as appropriate. Change with advancing postnatal age for the laboratory variables during the first month of age was evaluated using linear mixed model analysis using the following postnatal age intervals: <1 d, 2–4 d, 5–7 d, and weekly thereafter. For laboratory variables demonstrating a statistically significant change over time, *post hoc* comparison of <1 d mean with each of the other time intervals was performed using Dunnett's test (27). Pearson correlation analysis was performed to examine the association of plasma ferritin at birth and infant clinical variables. In addition, Pearson partial correlation coefficient was computed to assess the relationship between plasma ferritin at birth and the CBC variables after 4 d once steady state erythropoiesis was achieved. Since for this analysis the first available CBC data after 4 d varied by infant, the Pearson partial correlation of ferritin with the CBC variable was assessed after controlling for the effect of age on the CBC variables.

The mean VLBW infant values for the primary RET-He study variable after 4 d was compared with mean RET-He reported in the literature for normal, iron sufficient term infants (2 days of age) (15, 28), children (6 mo to 18 yr) (10) and adults (6, 7). For these analyses, the first RET-He value for each infant after day 4 of age was used in computing the mean RET-He. The adult studies were combined by computing a weighted average of the means and the pooled SD. The two-sample *t*-test was then used to test for difference in RET-He mean between VLBW infants and the mean reported in literature for the other age groups. *P* values were adjusted for multiple testing using Bonferroni's method (27).

RESULTS

The mean \pm *SD* for gestational age, birth weight and birth weight *z*-score for the 26 infants were 26.6 \pm 1.28 wk, 872 \pm 245 g, and -0.61 \pm 1.10, respectively (Table 1). There were 11 males and 15 females; four infants were twins and one was a triplet. During the first month of age, a total of 1 498 sequential plasma EPO and 175 CBC measurements were made. Infants received 3.9 \pm 2.1 RBC transfusions, while daily laboratory phlebotomy loss of individual infants during the first month averaged 1.9 \pm 0.9 mL/kg. Thus, during the one-

month study period, individual infants had approximately the same volume of blood removed for laboratory testing as was transfused, i.e., 57.0 and 58.5 mL/kg, respectively.

Parallel changes occurred during the one-month study period for all the erythropoietic variables except Hb concentration when analyzed using linear mixed modeling (Figure 1 and Table 2). Plasma EPO (P=0.03), reticulocyte count (P<0.0001), IRF (P<0.0001), and RET-He (P=0.05) all demonstrated significant decline after 4 d of age. Subsequently, these four erythropoietic variables reached steady-state levels with no further change observed thereafter. The slow progressive decline in infant Hb levels did not become significant until four weeks of age, most certainly because the heavy phlebotomy loss experienced by these VLBW infants was blunted by the resultant RBC transfusions administered (Dunnett's test, P=0.02). None of these laboratory variables demonstrated a significant association with gestational age, birth weight, birth weight *z*-score, or gender.

None of the 26 infants met plasma ferritin criteria for iron deficiency for term infants, i.e., <15 μ g/L (29). Mean plasma ferritin levels following birth was 143 ± 126 μ g/L. CRP post-delivery levels were all normal, i.e., 5 mg/L, making all plasma ferritin interpretable.

When plasma ferritin was examined for its association with steady state laboratory indices of erythropoiesis and hemoglobinization, no significant association was found (Table 3). There was no association of plasma ferritin with any of the clinical features included in Table 1 (data not shown).

Infant steady-state RET-He values after 4 d of age were compared to RET-He values for iron sufficient groups from the literature that included 2 d old term infants (15, 28), 6 mo to 18 yr old children (10), and adults (6, 7) (Figure 2). VLBW study infants had significantly lower RET-He values than the three other developmental groups (Bonferroni adjusted P<0.0001).

Additional comparisons of RET-He values in VLBW infants were performed with the same three developmental groups along with other groups from the literature with disease states impacting erythropoiesis and potentially perturbing RET-He results (Figure 3). In both VLBW and iron sufficient term infants studied longitudinally (15, 28), RET-He was highest at birth and subsequently declined. As expected, published reports of children and adults with iron deficiency anemia had significantly lower RET-He values relative to iron sufficient individuals (6, 10, 11). Anemic pregnant women (Hb 110 g/L) treated with iron for 4 wk demonstrated a significant acute increase in RET-He (26). Iron sufficient adults with chronic kidney disease, including those receiving and not receiving hemodialysis, and those being treated with r-HuEPO and parenteral iron, had RET-He values that were not different from healthy, iron sufficient adults (6, 11). Twelve adults with chronic kidney disease and iron deficiency anemia who were then treated with IV iron responded with a significant increase in RET-He within 2 wk (25). For unselected hospitalized children with diverse medical conditions, RET-He values were slightly, but not significantly, lower than iron sufficient healthy children (7). Adults with β -thalassemia had the lowest RET-He values (11).

DISCUSSION

This is the first report of RET-He data in critically ill, premature VLBW infants during the first month of life. Prior RET-He studies in children and adults have demonstrated its utility —and that of its counterpart, CHr—in the diagnosis and treatment of ID and IDA and in the management of patients with chronic renal disease being treated with r-HuEPO and iron (7, 30). Because of their relevance in evaluating iron status, laboratory hematological variables included Hb concentration and reticulocyte indices. The present study of VLBW infants yielded two unexpected findings. First, an abrupt decline in RET-He was observed over the first 4 d of age. This fall mirrored that observed for plasma EPO, reticulocyte count, and IRF, and suggests that these changes may in some way be related. Second, VLBW infants had significantly lower steady state RET-He values after the first 4 d of age compared to RET-He data in 2 d old term infants, children, and adults. These findings have implications in the diagnosis and management of iron disorders in premature infants, particularly those being treated with r-HuEPO.

The explanation for the rapid decline in RET-He values in VLBW study subjects from the day of birth $(30.3 \pm 1.1 \text{ pg})$ to 4 d $(28.4 \pm 0.5 \text{ pg})$ is unknown. The normal concurrent parallel changes in plasma EPO concentration and reticulocyte count which is caused by the sudden transition from placental to pulmonary respiratory dependency resulting in improved oxygenation may somehow be related (1, 16, 31). While prior studies have shown an association of plasma EPO with indicators of iron status (32), they did not include temporal data consistent with the relatively short duration observed in the present study. In this regard, it would be of interest to know if an abrupt increase in erythropoiesis, such as that immediately following r-HuEPO administration, would result in an initial abrupt increase in RET-He of a similar magnitude to that following birth. Another possibility is that the sudden decrease in RET-He following birth is a response to the rapid decline in neonatal plasma iron and transferrin iron saturation (from 90% to 25%) with the cessation of active placental iron transport (33). A third possible explanation is that there is a sudden developmental decrease in the intracellular volume of individual circulating reticulocytes initiated at birth while intracellular Hb concentration remains unchanged (34). Since mean reticulocyte volume measurements are not available for Sysmex instruments, this possibility cannot be assessed. Hence, in the absence of a more evidence-based understanding for the abrupt fall in RET-He levels following birth, the use of RET-He data as an indicator of the adequacy of the supply of iron for erythropoiesis in the 4 d following birth is tenuous.

An explanation for the study's second unexpected finding—steady state RET-He values beginning at 4 d of age that are significantly lower relative to other patient groups—is also uncertain. A measurement error seems unlikely because the studies were performed when quality control standards were all within the expected range. Because plasma CRP levels of the VLBW study infants were all normal immediately following birth, concurrently measured plasma ferritin levels obtained should reflect infant perinatal iron status (35). When ferritin levels for the 26 study subjects around the time of birth were examined relative to the clinical and laboratory variables no significant associations were identified. This suggests that RET-He does not indicate functional iron insufficiency at birth — a finding consistent with increased fetal plasma ferritin levels at birth as a result of active iron

transport by the placenta. Furthermore, the observation that the erythropoietic study variables (with the exception of Hb) did not change over the first month of age suggests iron status did not change during this period. It is possible that unidentified, developmentally mediated mechanisms are responsible for low RET-He values in VLBW infants compared to more mature groups.

The ability to measure reticulocyte indices, including RET-He and CHr, is relatively recent. This first became possible with the availability of new sophisticated, laser-based, flow cytometry-based automated hematology analyzers that currently include Bayer-Siemens analyzers (in 1995), and Sysmex analyzers (in 2005). Both utilize proprietary software to derive reticulocyte index parameters. Reticulocyte hemoglobin content provides clinically relevant information regarding iron status with respect to iron-restricted erythropoiesis (8– 12, 36). Unlike ferritin, RET-He and CHr are not falsely increased during acute inflammation. Because of the utility of reticulocyte hemoglobin content in assessing realtime iron status, it has been designated by the National Kidney Foundation's Kidney Disease Outcomes Quality Initiative guidelines as an established parameter in assessing iron status in hemodialysis patients with chronic kidney disease receiving ESA therapy.

In comparing the present study's RET-He data in VLBW infants to that of other groups, important features regarding the interpretation of RET-He become apparent. First, the significant post-delivery decline in RET-He values in the present study has also been noted for full-term infants studied sequentially at 2 d of age and at 4 and 12 mo of age (15). Based on the 2 d of age when the full term infant group was studied in the context of the rapid decline observed in RET-He at 4 d following birth among VLBW infants, it is uncertain whether sufficient time had passed to allow term infant RET-He levels to decline to steady state levels. RET-He data from ID children (10) and adults (6, 11) are clearly lower than data for their iron sufficient counterparts (10). These RET-He data support similar reports for CHr demonstrating that both these reticulocyte indices are indicative of the adequacy of functional iron for ongoing erythropoiesis. Data for anemic pregnant women with low RET-He levels treated for 4 wk with oral iron that resulted in a rapid increase in RET-He support the utility of reticulocyte hemoglobin content in identifying states of inadequate iron supply for normal erythropoiesis and for assessing the efficacy of iron treatment (26). Among adults with chronic kidney disease, including some on dialysis, RET-He levels are within the normal range when these patients are treated with adequate iron and ESAs (6, 11). In one group of such patients who were found to have ID, RET-He levels increased within 2 wk of instituting iron treatment from iron deficient levels to iron sufficient levels (25). In another study, the lowest RET-He values observed among all patient groups were individuals with β thalassemia (11). β-thalassemic patients have a surplus of iron due to frequent RBC transfusions. This along with the potential of RBC transfusions to repress hepcidin production could lead to an increase in iron absorption (23). The exceedingly low RET-He values in β -thalassemic patients are likely the consequence of ineffective erythropoiesis, which like ID results in pronounced RBC hypochromia.

Limitations of the present study are that it is a retrospective study performed at a single NICU not designed to identify the mechanism(s) responsible for the changes observed in RET-He. Second, the number of study subjects was small, with sampling not performed at

specific, pre-determined intervals. Third, study subjects would not be considered healthy infants because all were mechanically ventilated for respiratory failure, primarily due to respiratory distress syndrome, with the sicker infants more likely to have had multiple hematology laboratory testing.

In conclusion, the reticulocyte parameter, RET-He, measured in VLBW infants unexpectedly demonstrated an abrupt decrease after the first 4 d following birth. During the remainder of their first month of life significantly lower, steady state RET-He levels—and other indicators of erythropoiesis—were observed. Equally unexpected was the finding that steady state RET-He values in VLBW infants were significantly lower than those of more mature iron sufficient patient groups. Although the present study was not designed to identify the mechanism(s) responsible for these findings, the RET-He data themselves must be taken into consideration in future studies in premature infants. We speculate that RET-He measured in VLBW infants can provide previously unavailable information clarifying the pathogenesis of neonatal anemia and providing real-time assessment of the role of iron in the prevention and treatment of ID and IDA, and the treatment and prevention of anemia with r-HuEPO therapy. Additional RET-He studies in premature infants will be needed before this speculation can be definitely assessed.

Acknowledgments

STATEMENT OF FINANCIAL SUPPORT

This publication was supported in part by US Public Health Service National Institutes of Health (NIH) grant P01 HL046925 (to JAW) by the Thrasher Research Fund 0285-3, and by grant UL1RR024979 from the National Center for Research Resources (to JAW), a part of the NIH. The paper's contents are solely the responsibility of the authors and do not necessarily represent the official views of the NIH, the Thrasher Research Fund, or the Clinical and Translational Science Award (CTSA) Program.

The authors appreciate the outstanding contributions of the entire satellite clinical laboratory staff led by Mitchell J. Owen with oversight from Matthew D. Krasowski, M.D., Ph.D. We also appreciate the substantial contributions from our laboratory research team (Earl L. Gingerich and Jessica A. Goehring) and our research nursing team (Karen J. Johnson, Laura Knosp, Nancy E. Krutzfield, Sara K.B. Scott and Ruthann Schrock). Manuscript review, critique, and suggestions by Robert D. Christensen, M.D., were insightful and appreciated. Mark Hart provided expert editorial and secretarial help.

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Figure 1. Change in individual erythropoietic laboratory parameters over the first postnatal month of age

Individual data points for EPO, Hb, reticulocyte count, IRF, and RET-He data are shown for all 26 VLBW infant study subjects. Linear mixed model analysis showed significantly lower values after 4 d of age were observed for EPO, reticulocyte count, IRF, and RET-He (all P < 0.05). Hb did not demonstrate a significant decline until 4 wk of age (P < 0.05). Shaded area within RET-He figure represents the mean ± 2 *SD* for iron sufficient healthy adults (6, 7).

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Figure 2. Developmental comparison between RET-He of VLBW infants at age (4 d to 26 d) with other iron sufficient developmental groups

Developmental comparison groups included iron sufficient term infants at 2 d of age, children ages 6 mo to 18 yr, and adults. Data are shown for mean \pm *SEM*.



Figure 3. RET-He ranges for different patient groups

The filled diamond indicates the mean with the ± 1 and ± 2 *SD* range indicated horizontally. The diagonal lines connecting different age groups indicate paired longitudinal data for the same study groups. The different subject groups include: iron sufficient subjects at different developmental periods, iron deficiency anemia, pregnant women, chronic kidney disease subjects receiving r-HuEPO and iron treatment, and two other groups, i.e., those with β -thalassemia and hospitalized children with diverse medical conditions.

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Table 1

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Subject #	Gest. Age (wk)	Birth Weight (g)	Birth Weight (z-score)	Gender	RBC Transfusions (No.)	Laboratory Phlebotomies (mL/kg-d)
1	27.4	1487	1.97	М	2	0.6
2	26.4	697	-1.39	Ц	3	1.6
3	25.6	571	-1.80	ц	8	2.8
4	27.6	1069	-0.10	Ц	3	1.4
5	28.1	863	-1.37	Ц	2	0.8
9	27.0	694	-2.00	М	4	2.8
7	27.4	762	-1.52	Ц	4	2.1
8	25.0	689	-0.02	Ц	9	2.8
6	26.3	572	-2.41	М	5	2.0
10	28.6	1121	-0.51	Н	1	0.6
11	26.4	652	-1.97	М	8	3.8
12	27.7	548	-2.70	Н	7	2.9
13	26.3	829	-0.55	Ц	4	1.9
14	24.7	693	-0.70	М	6	3.1
15	25.0	730	-0.35	Н	5	3.0
16	26.7	963	-0.04	Ц	3	1.8
17	28.3	1089	-0.57	М	2	0.9
18	26.6	758	-1.44	М	6	2.4
19	27.7	1317	0.82	М	1	1.0
20	27.0	1029	0.11	Ц	1	0.7
21	24.3	734	0.19	Ч	4	2.8
22	26.0	942	0.09	М	4	1.3
23	25.3	906	0.68	Ц	3	1.6
24	27.9	1262	0.62	М	2	1.0
25	24.1	678	-0.37	М	5	2.6
26	28.0	1014	-0.62	Ц	2	1.1

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Mean (SEM) RBC and Reticulocyte indices over time *

RBC/Reticulocyte Index	<1 day	2-4 days	5-7 days	8-14 days	15-21 days	22–28	>28 days
EPO (U/L)	25.5 (4.1)	24.6 (1.8)	16.4 (1.1)	16.7 (0.7)	15.7 (0.9)	14.0 (1.0)	14.4 (1.1)
Hb (g/L)	13.6 (0.4)	13.2 (0.4)	13.4 (0.6)	13.0 (0.4)	12.5 (0.4)	12.6 (0.4)	11.9 (0.4)
Reticulocyte count (10 ⁹ / μ L)	320 (25)	300 (28)	101 (19)	76 (9)	95 (10)	86 (9)	102 (11)
IRF (%)	45.0 (1.5)	45.3 (2.0)	18.4 (3.1)	32.4 (3.5)	36.4 (2.4)	36.0 (2.1)	31.9 (2.4)
RET-He (pg)	31.8 (1.1)	28.3 (1.1)	28.1 (0.9)	28.1 (0.5)	27.2 (0.6)	28.6 (0.7)	27.8 (0.6)
* Analysis by mixed linear moc	leling.						

Table 3

Correlation of plasma ferritin levels with clinical and laboratory variables

	Correlation Coefficient	95% Confidence Intervals	p-value
Gestational Age at Birth	0.128	(-0.273, 0.491)	0.530
Birth Weight	0.149	(-0.381, 0.394)	0.462
Birth Weight z-score	0.071	(-0.326, 0.446)	0.729
EPO $(U/L)^{\cancel{F}}$	0.113*	(-0.304, 0.494)	0.595
Hb $(g/L)^{\dagger}$	0.359*	(-0.052, 0.666)	0.079
Reticulocyte count $(10^9/\mu L)^{\dagger}$	0.157*	(-0.263, 0.527)	0.459
IRF (%) [†]	0.037*	(-0.372, 0.434)	0.861
RET-He (pg) †	0.205 *	(-0.216, 0.561)	0.330

* Pearson Partial Correlation Coefficient.

F Includes EPO value closest to the matching RET-He value after 4 d of age.