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Synaptic plasticity and signal transduction gene polymorphisms and vulnerability to drug addictions in populations of European or African ancestry

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Summary

Aim—Drug addiction is characterized, in part, by deregulation of synaptic plasticity in circuits involved in reward, stress, cue learning and memory. This study was designed to assess if 185 variants in 32 genes central to synaptic plasticity and signal transduction contribute to vulnerability to develop heroin and/or cocaine addiction.

Methods—Analyses were conducted in a sample of 1860 subjects divided according to ancestry (African and European) and drug of abuse (heroin or cocaine).

Results—Eighteen SNPs in 11 genes (*CDK5R1, EPHA4, EPHA6, FOSL2, MAPK3, MBP, MPDZ, NFKB1, NTRK2, NTSR1*, and *PRKCE)* showed significant associations (*P* < 0.01) but the signals did not survive correction for multiple testing. SNP rs230530 in the *NFKB1* gene, encoding the transcription regulator NF-kappa-B, was the only SNP indicated in both ancestry groups and both addictions. This SNP was previously identified in association with alcohol

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addiction. SNP rs3915568 in *NTSR1*, which encodes neurotensin receptor, and SNP rs1389752 in *MPDZ*, which encodes the multiple PDZ domain protein, were previously associated with heroin addiction or alcohol addiction, respectively.

Conclusions—The study supports the involvement of genetic variation in signal transduction pathways in heroin and cocaine addiction and provides preliminary evidence suggesting several new risk or protective loci that may be relevant for diagnosis and treatment success.

Keywords

African Americans; cocaine addiction; heroin addiction; signal transduction; synaptic plasticity

Introduction

Drug addiction is a brain disease characterized, in part, by uncontrolled use of drugs in spite of adverse consequences [1]. With repeated drug use, addicts learn to associate the drug with cues in the environment and these cues can promote craving and relapse long after cessation of drug use. The repetitive drug use and withdrawal cause persistent changes to structure and function of key brain regions. Among the underlying mechanisms are deregulation of synaptic plasticity, gene expression, electrophysiological activity, and neural morphology [2]. These changes are of particular importance in the cortico-limbic-striatal circuits involved in reward, stress, cue learning and habit formation including the major signaling pathways cAMP/PKA/CREB, DeltaFosB/Cdk5, and BDNF/ERK [2–4]. This study focuses on signal transduction, which is a process of transmitting and amplifying signals from the cell surface to intracellular targets, and on synaptic plasticity that underlie memory formation.

Genetic variation is a critical determinant of individual differences in disease vulnerability and response to medical treatment. Twin and family studies documented a strong genetic influence on vulnerability for drug addiction (e.g., [5]). Genetic factors may increase the effect of drugs of abuse on learning and memory and could be associated with specific addictive behaviors. Identifying variants that contribute to vulnerability to addictions can contribute to the existing knowledge of the neurobiological pathways that contribute to addiction and may help with treatment and prevention [6].

This study was designed to determine whether variations in selected 32 genes central to synaptic plasticity and signal transduction contribute to the susceptibility to heroin addiction (opiate dependence, OD) and/or cocaine dependence (CD) in populations of European (EA) and African (AA) ancestry. The genes include immediate-early response genes, transcription factors and co-factors, cell adhesion molecules that have a role in synaptic formation and maintenance, as well as neurotrophic factors and neuronal receptors. Previous association studies of polymorphisms in these genes with drug addiction reported association of *BDNF* SNP rs6265 (Val66Met) with OD and methamphetamine dependence in Han Chinese [7] and European Americans [8], as well as of *CREB1* SNP with OD in Indians [9]. Alcohol dependence (AD) was associated with SNPs in *BDNF*, *CREB1, MPDZ, NFKB1, NRXN1*, *NTRK2* and *NTSR1* [9–15] and nicotine dependence (ND) was associated with SNPs in *NRXN1* and *NRXN3* [16–18]

The study extends our previous studies of heroin addiction in EA and AA [19, 20] with larger sample size and modified SNP content and enables a comparison between ancestries and drug-specific addictions. This study also includes an AA cocaine group that was not previously analyzed for these genes. The samples analyzed in the current study were analyzed for genes in other systems (e.g., stress, dopaminergic), some of which could also be considered part of synaptic plasticity and signal transduction systems [21–24].

Methods

Study population

The study included 1860 subjects (38% females) that were divided into five groups according to their predominant ancestry and drugs of abuse (heroin or cocaine): (1) EA OD \pm CD, (2) AA OD \pm CD, (3) AA CD without OD, (4) EA control, and (5) AA control (Table 1). The subjects in the "OD \pm CD" groups (1 and 2) were former heroin addicts in methadone maintenance treatment that had a history of at least one year of multiple daily uses of heroin. About half of them also had a history of cocaine addiction. The "CD without OD" group (3) included subjects with a history of cocaine addiction that had no history of heroin addiction. A third of them had history of alcohol addiction (AD) but AD was not a factor in the analysis. This study is a major expansion of our previous studies of OD [19, 20] for which we added 465 EA subjects and 481 AA subjects and included an AA "CD without OD" group.

The EA samples included subjects with > 70% European, Middle-Eastern (ME) or combined ancestry contributions based on *Structure* analysis (see below) from the U.S. (n = 744) and Israel ($n = 315$). A more homogenous subsample that included only samples with European contributions of > 0.5 was also used to assess a potential effect of population substructure $(EA O D \pm CD; n = 636, EA control; n = 189)$.

The AA sample included subjects with > 50% African ancestry contribution. Self-identified Hispanics and AA subjects with >25% contribution of any major ancestry other than European/Middle Eastern were not included.

Ascertainment of cases and controls was made by personal interview using several instruments: the Addiction Severity Index [25], KMSK [26] and Diagnostic and Statistical Manual of Mental Disorders, 4th Edition (DSM-IV). Diagnosis was based on life-time DSM-IV criteria. Subjects were recruited at the Rockefeller University Hospital, the Manhattan campus of the VA NY Harbor Health Care System, and the Dr. Miriam and Sheldon G. Adelson Clinics for Drug Abuse Treatment and Research in Las Vegas and Israel.

The exclusion criteria from the control sample were: (1) drinking to intoxication and/or using illicit drugs in the last month or more than twice a week for more than six consecutive months, and (2) cannabis use for more than 12 days in the last month or more than twice a week for >4 years.

The study was approved by the Institutional Review Boards of the Rockefeller University Hospital, the VA New York Harbor Healthcare System and the Tel Aviv Sourasky Medical Center (Helsinki Committee). All subjects signed informed consent for genetic studies.

SNP selection and genotyping

A total of 32 genes related to synaptic plasticity and signal transduction were selected based on the "addiction array" [27] with some modifications (Table 2 and Supplement Table 1). The "addiction array" included tagging SNPs in 23 genes of these systems that aimed to capture the maximum haplotype information. The modified Illumina GoldenGate custom panel (GS0013101-OPA) used in the current study contained 185 SNPs in these genes, including the "addiction array" SNPs, except for 39 SNPs that were excluded due to failure or low frequency in the relevant populations, and 32 SNPs which were added based on functionality or reported association with related phenotypes (Supplement Table 1). SNPs were genotyped at the Rockefeller University Genomics Resource Center and analyzed with BeadStudio software v2.3.43 as described [19].

Structure analysis

A total of 155 ancestry informative markers (AIMs) were genotyped with the modified array (GS0013101-OPA). Assessment of ancestry contribution using ancestry informative markers (AIMs) was performed by *Structure* 2.2 with seven clusters (K) using data from 155 AIMs. Each subject was anchored against genotypes of 1051 samples from 51 worldwide populations represented in the Human Genome Diversity Cell Line Panel, as described [28]. The EA sample included subjects with $> 70\%$ contribution in the European or the Middle-Eastern clusters or a combined total from the two clusters. The European and Middle-Eastern clusters show relative low population differentiation [29, 30].

Statistical analysis

Pairwise linkage disequilibrium (LD) (D' and r^2) was estimated using Haploview 4.2. LD blocks were identified using the D' confidence interval bound of 0.7–0.98 [31]. Exact tests for deviation from Hardy-Weinberg equilibrium (HWE) were performed with the PLINK program. Association analyses were conducted using PLINK for each SNP separately by logistic regression, under dominant or recessive model assumptions. Four association analyses were performed independently for EA $OD \pm CD$ (1), AA $OD \pm CD$ (2), AA CD without OD (3), and groups 2 and 3 combined. To assess the effect of including subjects with high ME contribution in the EA sample, an additional analysis of EA OD±CD subsample that included only samples with European contributions of > 0.5 was conducted as described above. Correction for multiple testing was performed by permutation test ($n =$ 100,000) for each model of inheritance, using PLINK.

Results

The study included 1860 subjects that were divided into five groups according to their predominant ancestry and main drugs of abuse (heroin or cocaine): (1) EA OD±CD, (2) AA OD±CD, (3) AA CD without OD, (4) EA control and (5) AA control (Table 1). The ancestry of all subjects was verified using *Structure* analysis of 155 AIMs and was used to define the

groups (see Methods). There was no evidence for substructure among the case/control subgroups for each ancestry group. The three AA groups (cases and control) had an average range of 80% (SD = 0.1) African ancestry and 10% (SD = 0.08) European ancestry, as described [22].

A total of 185 SNPs from 32 genes related to synaptic plasticity and signal transduction (Table 2, Supplement Table 1) were analyzed in four independent analyses under two models of inheritance (dominant or recessive): EA OD \pm CD (1 vs. 4), AA OD \pm CD (2 vs. 5), AA CD without OD (3 vs. 5), as well as AA OD±CD and CD without OD (2+3 vs. 5) (Table 1). An EA OD \pm CD subgroup that included only subjects with European contributions of $>$ 0.5 was subsequently analyzed to rule out an effect of population substructure on the results. Thirty SNPs were excluded from the EA analysis and 11 SNPs were excluded from the AA analyses, based on low frequency (MAF < 0.05), including four SNPs that were excluded from all analyses (Supplement Table 1). Five SNPs showed significant deviation from HWE $(P < 0.01)$ in the AA control sample and none in the EA sample and were excluded from AA analyses (Supplement Table 1). The minor allele of 53 SNPs in EA was the major allele in AA (Supplement Table 1).

A total of 45 SNPs in 21 genes showed nominally significant associations $(P < 0.05)$ with OD and/or CD in either EA or AA (Supplement Table 2). The 14 SNPs (in eight genes) with the most significant associations (*P* < 0.01) are listed in Table 3, including two *CDK5R1* SNPs in complete LD and three SNP pairs in moderate to strong LD. None of the signals survived correction for multiple testing. Associations with OD in EA ($P < 0.01$) were indicated for nine SNPs in *CDK5R, NFKB1, NTRK2, NTSR1*, and *PRKCE.* Associations with OD±CD, CD, or both, in AA (*P* < 0.01) were indicated for SNPs in *EPHA6, FOSL2, MPDZ, NFKB1*, and *PRKCE.* The only genes that were indicated in both EA and AA were *NFKB1* and *PRKCE*. *NFKB1* SNP rs230530 was the only SNP that showed associations in both ancestry groups. All the associations that survived the $P = 0.01$ cutoff were of SNPs in non-coding regions, including two SNPs in the *CDK5R1* upstream region and one SNP in *MPDZ* 3' UTR. The associations that survived the $P = 0.05$ cutoff also included two nonsynonymous SNPs (*EFNA1* rs4745, *MBP* rs470797) and one synonymous SNP (*NTRK1* rs6337) (Supplement Table 2).

The analysis of the more homogeneous EA subsample that includes only samples with > 0.5 European contribution revealed results similar to those of the analysis of the whole EA sample. The main exception was the 3ʹ UTR *MAPK3* SNP rs7698 that did not reach significance in the original analysis ($P = 0.07$) but showed strong association with a protective effect under the dominant model in this analysis ($P < 0.007$, OR = 0.57, 95% CI = 0.38–0.86). In addition, four SNPs, including the synonymous *MBP* SNP rs470797 (Tyr96=), and the intronic *EPHA4* rs2288629 that did not reach the significance threshold (*P* < 0.01) in the original analysis, reach this threshold in this analysis (Supplement Table 2).

Discussion

The study identified nominally significant associations of heroin and/or cocaine addiction with SNPs in a number of genes related to signal transduction and synaptic plasticity in

subjects with predominantly European and/or African ancestry. These findings support the hypothesis of genetic contributions to drug addictions in these systems. The results may also be relevant to treatment effectiveness in general and for memory manipulation treatment in particular [32]. There are only a few reports of association of variants in these genes with heroin or cocaine addiction (see Introduction) so the majority of the results (on the gene and/or SNP level) may be considered novel. However, associations of several variants or other variants in these genes were indicated in other studies of drug addictions or related phenotypes and may indicate non-specific susceptibility. Since the associations did not survive correction for multiple testing, they should be considered tentative until further corroboration. Nevertheless, a hypothesis-driven study of genes with known or potential addiction-related functionality may not require as stringent a threshold for significance as a hypothesis-free study.

Additional support for the findings of this study comes from previous association studies of alcohol addiction (see Introduction) that identified *NFKB1* SNPs rs230530 and rs1609798 as well as *MPDZ* SNP rs1389752 [10, 12, 13]. The current study extends our previous studies of heroin addiction in samples of predominantly European and African ancestry [19, 20]. *NTSR1* SNP rs3915568 indicated in the current study was associated with OD in EA in our previous study that included approximately half of the current sample, but did not survive the original cutoff of $P < 0.01$ [19]. Although the current analysis is not a replication, it corroborates this finding.

One intriguing result is the associations of *NFKB1* SNP rs230530 with OD±CD in EA, CD without OD in AA, and OD+CD in AA. This is the only SNP that was indicated with strong associations in both ancestries in this study. A protective effect of the *NFKB1* SNP rs230530 minor C allele was found in EA and AA, under different models of inheritance. *NFKB1* SNP rs230530 is also in LD with *NFKB1* SNPs rs230529 and rs4699030 that were associated with treatment-refractory schizophrenia in Han Chinese [33]. The second *NFKB1* SNP (rs1609798) identified in association with OD±CD in EA showed a risk effect of the minor allele. *NFKB1* (nuclear factor kappa-light-chain-enhancer of activated B cells 1) encodes the transcription factor NF-kappa B (NF-kB) that is activated by synaptic activity as well as muopioid receptor agonists and may play important roles in the process of learning and memory [34]. Pharmacological and genetic manipulations of NF-kB signaling are being developed for treatment of several disorders including cancer, Alzheimer's disease and schizophrenia [35].

Three intronic *NTRK2* SNPs with unknown function showed association with OD±CD in EA in the current study. In addition, several SNPs in *BDNF, NGFB* and *NTRK1* showed nominally significant associations (*P* < 0.05) in at least one analysis. *NTRK2* encodes the tyrosine kinase TrkB receptor. This receptor autophosphorylation is dependent upon association with brain-derived neurotrophic factor (BDNF) that is involved in synaptic plasticity and mediates memory consolidation [36]. Many drugs of abuse lead to changes in BDNF expression in neural circuits relevant for addiction [34]. Association studies of the functional *BDNF* SNP rs6265 (Val66Met) with OD gave inconsistent results. A metaanalysis of 20 studies revealed association with methamphetamine dependence in South

Asians and OD in Chinese subjects [7]. This SNP was not associated with OD±CD or CD without OD in this study.

NTSR1 SNP rs3915568 indicated in the current study corroborate our previous study of OD in EA [19]. *NTSR1* encodes one of the neurotensin receptors. Neurotensin modulates dopamine and other neurotransmitter systems involved in addiction and reward pathways and its effect depends on the location of the receptors [37]. Different *NTSR1* SNPs were associated with alcohol dependence and working memory in Han Chinese [15, 38], but there is no LD data for these SNPs and the SNP identified in the current study.

Two SNPs in complete LD located upstream of *CDK5R1* were associated with OD±CD in EA. There is no information on the functionality of these SNPs, although they may be involved in gene expression regulation. Interestingly, one of the SNPs is also located at the 3' UTR of the adjacent *PSMD11* (proteasome 26S subunit, non-ATPase, 11) that is involved in ATP-dependent degradation of ubiquitinated proteins. *CDK5R1* (CDK5 regulatory subunit 1) encodes p35 that is an activator of CDK5 (serine/ threonine kinase cyclindependent kinase 5) [39]. Rodent studies showed that Cdk5/p35 act as downstream regulators of the prolonged activation of dopamine signaling after chronic exposure to cocaine [40, 41], and are involved in synaptic plasticity by affecting dendritic spine formation, ion channel conductance, and transcription [42]. Rat study showed that CDK5 negatively regulates postsynaptic signaling of dopamine in the striatum and is also a key player in the regulation of the mu and delta opioid receptors [43]. Decreased levels of CDK5/p35 were found in the postmortem prefrontal cortex of opioid addicts compared with healthy controls [44].

One intronic *EPHA* 6 SNP was indicated in the study in association with CD without OD in AA, and several SNPs in genes encoding other members of this family (*EFNA1, EPHA4* and *EPHB1*) were also indicated under the less stringent cutoff (*P* < 0.05), including a nonsynonymous *EFNA1* SNP. The ephrin receptor tyrosine kinase (EPH) and its ligand, ephrins, control multiple cellular responses including neural plasticity [45]. The functional *EFNA1* 3' UTR SNP rs12904 that overlaps a miR-200c binding site and was associated with cancer [46] did not show association signal in the current study.

Two *PRKCE* SNPs were associated with OD±CD in EA or with CD without OD in AA. Protein kinase C (PKC) is a family of serine/threonine kinases that are involved in diverse cellular signaling pathways. *PRKCE* encodes protein kinase C epsilon (PKCε) that was shown in rodent studies to have a role in behavioral responses to ethanol and nicotine [47]. *A* different *PRKCE* SNP was reported to be associated with suicide attempts in meta-analysis of mood disorder patients but there is no LD information that may connect it to the SNPs identified in this study [48].

Two intronic *FOSL2* SNPs in strong LD showed association with OD±CD in AA. Fosrelated antigen 2 (FRA-2/FOSL2) belongs to the transcription factor complex AP-1 which includes the various isoforms of Fos and Jun and upregulates transcription of many genes, including genes involved in synaptic plasticity and long-term memory. Exposure to drugs of abuse induces all Fos family transcription factors in several brain regions with persistent

accumulation of DeltaFosB [49]. To the best of our knowledge, this is the first report on association of SNPs in the FOS family genes with drug addiction*.* Two FOS SNPs were recently associated with schizophrenia in Armenians [50]. Of those two SNPs, SNP rs7101 was genotyped in the current study but was excluded due to technical problem.

The *MPDZ* 3' UTR SNP rs3264 showed association with CD without OD in AA (*P* < 0.01) and also with OD±CD in EA and OD±CD in AA (*P* < 0.05). Two other *MPDZ* intronic SNPs were associated with OD±CD in EA (rs1389752) or CD without OD in AA (rs1999395). Association of *MPDZ* variants with AD was reported [12, 13] including SNP rs1389752 that was indicated in the current study. *MPDZ* encodes for the scaffolding multiple-PDZ-domain protein (MPDZ/MUPP1) that impacts signaling [51].

The analysis of the more homogenous EA OD±CD subsample that was conducted to assess the effect population substructure, revealed a limited effect. Three of the SNPs indicated in this analysis are in genes that were not indicated by the main analysis, including the 3ʹ UTR *MAPK3* SNP rs7698, the synonymous *MBP* SNP rs470797 (Tyr96=), and the intronic *EPHA4* rs2288629. Although there is limited data in the public databases on the allele frequencies of these SNPs in Middle Eastern populations, these findings suggest that they differ in MAF from European populations, as was shown in our previous study of *NGFB* [52].

The mitogen-activated protein kinases (MAPK) are part of a signaling pathway that is involved in diverse processes including stress response and drug addiction [53, 54]. Specific MAPK inhibitors were indicated as potential treatment of drug addiction [55]. In addition to *MAPK3* SNP rs7698, several SNPs in genes encoding other members of this family (*MAPK1*, and *MAPK14*) were indicated under the less stringent cutoff ($P < 0.05$, Supplement Table 2).There is no information about the functionality of these SNPs and there is only one study showing association of SNPs in these genes with a drug addiction related phenotype (*MAPK1* SNP with alcohol consumption)[13].Comparison of the associations with OD±CD in EA and OD±CD and/or CD without OD in AA reveals two genes (*NFKB1* and *PRKCE*) and only one SNP (*NFKB1* rs230530) in common. Six additional genes showed association signals in both ancestry groups under the *P* < 0.05 cutoff. The results suggest shared and population-specific genetic risk factors for addiction, but may also be a consequence of limited power in specific analyses, effect of population admixture, distinct allele frequencies, and/or different LD patterns. Identifying SNPs associated with addiction in different ancestry groups is relevant for population-specific diagnosis and treatment.

In summary, the study suggests numerous potential susceptibility loci in synaptic plasticity and signal transduction pathways for heroin and cocaine addiction in subjects of African and European ancestry. Future studies are necessary to corroborate the results and to evaluate the potential contribution of the findings for diagnosis and treatment.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Table 1

Groups description

Table 2

Gene list

¹
Number in parenthesis refers to SNPs that were not included in the original "addiction array" [27]

*** These genes were not represented in the original "addiction array" and are not covered with tag SNPs.

Table 3

The most significant association results $(P < 0.01)$

Genes are listed in alphabetical order. Alleles are listed as [major/minor] in the EA sample. Bolded P values were obtained for the same SNP (rs230530) in different analyses.

Pair-wise LD is represented by boxes of different styles: $r^2=1$ (EA) $r^2>0.7$ (EA) $D>0.94$, $r^2<0.7$ (EA, AA) $r^2>0.7$ (EA, AA)

OR > 1 represents risk effect of the minor allele (in bold), OR < 1 represents protective effect of the minor allele

† Only SNPs with *P* values < 0.01 in at least one analysis are listed. For these SNPs, *P* values < 0.05 in any other analysis are also listed in parenthesis. Blank cells represent *P* > 0.05. The complete results (*P* < 0.05) are listed in Supplement Table 2

‡ This SNP is also located at the 3' UTR of *PSMD11*

§ The minor allele in AA is the major allele in EA

Abbreviations: Chr, chromosome; MAF, minor allele frequency; OR, Odds ratio; L95, 95% confidence interval lower value; U95, 95% confidence interval upper value; D, dominant; R, recessive