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## Epigenetic Regulation of Epithelia-Mesenchymal Transition

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### Abstract

Epithelial-mesenchymal transition (EMT) is an essential process for morphogenesis and organ development which reversibly enables polarized epithelial cells to lose their epithelial characteristics and acquire mesenchymal properties. It is now evident that the aberrant activation of EMT is also a critical mechanism to endow epithelial cancer cells with migratory and invasive capabilities associated with metastatic competence. This dedifferentiation program is mediated by a small cohort of pleiotropic transcription factors which in turn orchestrate a complex array of epigenetic mechanisms for the widespread changes in gene expression. Here, we review major epigenetic mechanisms with emphasis on histone modifications and discuss their implications in EMT and tumor progression. We also highlight mechanisms underlying transcription regulation concerted by various chromatin modifying proteins and EMT-inducing transcription factors at different molecular layers. Owing to the reversible nature of epigenetic modifications, a thorough understanding of their functions in EMT will not only provide new insights into our knowledge of cancer progression and metastasis, but also facilitates the development of diagnostic and therapeutic strategies for human malignancy.

### Introduction

During embryonic gastrulation of metazoans, formation of mesoderm starts from the primitive streak of the primitive ectoderm, where a small population of polarized epithelial cells loses their tight cell-cell junctions and adhesions, undergoes dedifferentiation and eventually migrates along the extracellular space underneath the ectoderm. The process was thus defined as epithelial-to-mesenchymal transition (EMT) and has been observed during a variety of tissue remodeling events, including the formation of neural crest, cardiac valve and secondary plate [1]. In addition to enabling the inter-conversions of epithelial cells to distinct cell types for tissue and organ formation during development, EMT participates in wound healing, tissue regeneration, and organ fibrosis in adulthood to generate repair-associated mesenchymal cells or fibroblasts [2,3]. Furthermore, accumulating evidences suggest that the progression of most carcinomas is associated with the acquisition of abilities for epithelia tumor cells to escape from the primary site and invade through the basement membrane. This process recapitulates the developmental EMT program and has emerged as

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a critical early step for malignant progression and metastasis, the most common fatal consequence of carcinogenesis [2,4,5].

EMT is typically characterized by alterations in gene expression, loss of cell polarity and contacts, and gain of motility and invasiveness [6]. Certain cancer cells also acquire cancer stem cell (CSC) like properties and therapeutic resistance through this dedifferentiation program [7,5]. The physiological activation of EMT can be triggered by extracellular signals, such as ECM, soluble growth factors TGF- $\beta$  and FGF, Wnt and Notch proteins, or by intracellular cues, such as oncogenic Ras or NF- $\kappa$ B signaling [8,9]. In response to ligands from nearby microenvironment, receptor mediated signaling first activates intracellular molecules, including the Src tyrosine-kinases and the small GTPase family members. These effectors next orchestrate the changes in cytoskeletal organization and disassemble cell-cell junction complexes. A cohort of transcription factors, including two double zinc finger and homeodomain factors (ZEB1/2, the Snail family of zinc finger proteins (SNAI1/2/3) and the family of bHLH factors (TWIST1/2, E12/E47) becomes expressed and alter gene expression patterns [10,11]. Although most EMT-inducing transcription factors (EMT-TFs) were originally implicated in embryogenesis and cell differentiation, their elevated expression has been well documented in many invasive tumors [11,12]. In response to stimuli, these EMT-TFs function as molecular switches, convert the activated signaling pathways to transcriptional reprogramming and in turn confer epithelial-mesenchymal plasticity.

One of the most-characterized hallmarks of EMT is the functional loss of E-cadherin, a pluripotent calcium-dependent adhesion molecule expressed in most epithelial tissues to connect adjacent epithelial cells. The loss of E-cadherin results in disaggregation of adjacent cancer cells and thus contributes to metastatic dissemination [13]. Although loss or reduction of E-cadherin expression is occasionally caused by genetic lesions, transcriptional repression has emerged as a fundamental mechanism during EMT and tumor progression [14]. E-cadherin promoter harbors E-box elements which are directly bound by EMT-TFs for repression, such as SNAI1/2, ZEB1/2 and E47. EMT-TFs also suppress expression of other cell junction proteins including Claudins and Desmosomes to promote EMT. Several other transcription factors such as TWIST1, FOXC2 and TCF4 trigger EMT without binding to E-cadherin promoter. It has been shown that TWIST1 binds to SNAI2 promoter to induce its expression and epithelial gene silencing [15]. In NMuMG cells, Snail1 also induces expression of Twist1 and Ets1 which in turn bind to Zeb1 promoter and activate transcription [16], suggesting different EMT-TFs also function synergistically to confer EMT. Since migrating tumor cells are believed to undergo a reverse mesenchymal-epithelial transition (MET) at the distal site which enables their colonization and metastasis, the acquisition of mesenchymal characteristics by epithelial cancer cells through EMT need not be permanent. In this scenario, the reprogramming of gene expression provides a rapid regulatory mechanism to switch epithelial-mesenchymal states during cancer progression. Given that most eukaryotic transcription factors do not have long residence times at the binding sites but turn over rapidly, a variety of various epigenetic regulators and modifications are considered as critical mechanisms to integrate signals from multiple transcription factors.

Epigenetics was defined as “the study of mitotically and/or meiotically heritable changes in gene function that cannot be explained by changes in DNA sequence” [17]. In eukaryotic

cells, DNA is wrapped around histone octamers to form nucleosomes that are the basic repeating unit of chromatin. Individual nucleosomes can pack against each other to form several higher-order structures which reduce DNA availability and impede transcription. The chromatin structure is dynamically regulated by numerous epigenetic modifications, including chromatin remodeling, DNA methylation, histone modifications and histone variants, which not only reflect but also affect the transcriptional states of underlying genes [18]. These epigenetic modifications are delicately balanced in normal cells, while small changes in a given parameter can lead to major consequences and ultimately result in cellular transformation and malignant outgrowth [19]. In this review, we discuss major epigenetic mechanisms and focus on histone modifications which confer transcriptional reprogramming to regulate EMT and tumor progression.

## Chromatin Remodeling in EMT

ATP-dependent chromatin remodeling is one of the well-known mechanisms that permit active compaction and decompaction of DNA for gene expression regulation, DNA replication, chromosome segregation, DNA repair and recombination. Currently, four major families of chromatin remodeling factors are characterized, including SWI/SNF, ISWI, CHD and INO80. These multi-protein complexes contain a catalytic ATPase subunit which utilizes ATP hydrolysis to alter histone-DNA contacts and restructure nucleosomes [20]. They also harbor distinct non-catalytic components that are responsible for the complex targeting as well as the regulation of distinct nucleosome positioning activities and thereby determine the gene expression programs and cell fate. The perturbation of chromatin remodeling complexes has been well-documented in malignant progression [21]. Overexpression of MTA1/2, the components of the CHD family of chromatin remodeling NuRD complex is associated with invasiveness in multiple cancers. On the contrary, MTA3 has been shown to directly inhibit transcription of SNAIL1 to abrogate EMT and metastasis of breast cancer [22]. Recent cancer genome sequencing projects uncovered that ~20% of human tumors contain mutations in at least one member of the SWI/SNF remodeling complex [23]. BRG1, the catalytic subunit of SWI/SNF complex is one of the most commonly mutated subunits across cancer types while loss of a non-catalytic component BRM is also a contributing factor and potential marker for lung, prostate and gastric cancers [24], suggesting that SWI/SNF complex function as a tumor suppressor. Intriguingly, BRG1 can also be recruited by ZEB1 to E-cadherin promoter for transcription silencing [25]. Another SWI/SNF subunit BAF60c up-regulates WNT5a expression and activates WNT signaling to promote EMT [26], indicating the same remodeling complex also promotes tumor progression. Recently, discrepant tumor-suppressive and oncogenic activities of Brg1 have also been reported at distinct stages in a Kras-driven pancreatic model [27]. Together, these findings suggest that chromatin remodeling factors possess antithetical functions that are precisely regulated during tumorigenesis and tumor progression in a stage- and/or tissue-dependent manner through different mechanisms [28].

## DNA Methylation in EMT

DNA methylation is a covalent modification usually occurs at the 5'-position of the cytosine ring (5mC) within CpG dinucleotides and is associated with transcriptionally repressed

chromatin. It is one of the best-characterized epigenetic modifications that play pivotal roles in various physical and pathological processes [29,30]. In mammals, about 70–80% of CpGs are methylated by three active DNA methyltransferases (DNMTs). While DNMT1 primarily acts on hemi-methylated CpG to maintain DNA methylation patterns during cell division, DNMT3A and 3B introduce de novo DNA methylation [30]. Aberrant DNA methylation is often observed in cancers, including hypermethylation of CpG islands and hypomethylation of gene-poor regions, which leads to tumor suppressor gene silencing, genomic instability, loss of genomic imprinting, etc. [31]. The overall CpG methylation change was not detected during EMT in genome-wide profiling studies using different models. However, the DNA methylome undergoes selective CpG site methylation changes in discrete genomic regions upon induction of EMT, which are in association with transcription regulation of EMT-related genes [32,33]. Among them, silencing of E-cadherin correlates with promoter hypermethylation in a wide range of cancer cells [34,35]. Several EMT-TFs including SNAIL [36] and ZEB1 [37] have been shown to recruit different DNMTs to E-cadherin promoter for CpG methylation. While the recruitment of DNMT1 relies on TGF $\beta$ -SMAD2 signaling pathway [38], DNMT3A targeting is facilitated by high mobility group protein HMGA2 [39]. In addition, DNA methylation-associated silencing of miR-200 family members also occurs during EMT [40–42] and both DNMT1 and DNMT3A are involved in establishing DNA methylation on promoter of these key regulators of epithelial phenotypes [43,44]. Intriguingly, recent genomic studies have identified DNMT3A mutations in 22% of adult AML that were associated with the increased risk of relapse [45,46], revealing a tumor suppressor function of DNMT3A in haematological malignancies [47]. Consistently, depletion of Dnmt3a in a lung cancer mouse model significantly promotes tumor growth and progression [48]. Although expression changes were observed with genes involved in angiogenesis, cell adhesion and cell motion, whether Dnmt3a deficiency directly contributes to EMT in vivo is not clear.

It is believed that DNA methylation-mediated transcription silencing is conferred by the recruitment of various methyl-DNA-binding domain (MBD) proteins, such as MeCP2, MBD1-4 and Kaiso [49]. Through interacting with different transcription factors, chromatin remodelers and histone modifying enzymes (discussed below), MBD proteins transduce the methyl-CpG signal into a compacted chromatin environment for transcription repression [50]. For example, MeCP2 and MBD1/2 bind to methylated CpG island of E-cadherin promoter in a differential manner and their binding correlates with gene silencing in various tumor cells [51]. Although it has been initially proposed that different CpG methylation patterns recruit different set of MBD proteins, other mechanisms also exist. For example, MBD2/3 are key components of NuRD chromatin remodeling complex [52] which was also co-purified with TWIST1 [53]. In pancreatic cancer cells, TWIST1 also associates with MBD1 on E-cadherin promoter for gene silencing and EMT, suggesting that MBD proteins can be recruited by this EMT-TF [54]. Moreover, promoter CpG methylation associated E-cadherin silencing correlates with elevated MeCP2 expression in colorectal cancer tissues [55] as well as the overexpression and the nuclear localization of Kaiso during progression of prostate and breast cancers [56,57]. In addition to E-cadherin promoter, Kaiso directly binds to methylated sequence in microRNA miR-31 promoter and facilitates EMT through

different pathways [56,58]. Therefore, MBD proteins are critical players in the methyl-CpG mediated epithelial gene silencing during EMT.

Although 5mC is a stable epigenetic mark, passive and active loss of DNA methylation was observed in different biological contexts. While passive DNA demethylation occurs during successive replications, active demethylation can be mediated by three Ten-Eleven Translocation (TET1-3) proteins. Through three consecutive oxidation reactions, these oxygenases can convert 5mC to 5-hydroxymethylcytosine (5-hmC), 5-formylcytosine (5fC) and 5-carboxylcytosine (5caC) [59]. In mES cells, TET1 interacts with SIN3A co-repressor complex and significantly contributes to the recruitment of SIN3A complex and transcription repression [60]. Although SIN3A complex can be recruited by SNAI1 to E-cadherin promoter in epithelial cell lines [61], whether TET1 and the catalyzed DNA demethylation are involved in gene silencing and EMT remains unknown. In several cancer cells, TET1 interacts with hypoxia inducible factors HIF-1 $\alpha$  and HIF-2 $\alpha$  to enhance their transactivation activities. Together with HIF-2 $\alpha$ , TET1 functions as a transcriptional co-activator to enhance expression of INSIG1, a master regulator of cholesterol biosynthesis and in turn contributes to hypoxia-induced EMT [62]. Although the 5mC to 5hC conversion was detected on the promoter, the synergistic activation of INSIG by TET1 and HIFs is independent of its DNA demethylation activity.

Consistent with the importance of DNA methylation in epithelial gene silencing and EMT, treatment with DNA methylation inhibitor 5-aza-2'-deoxycytidine (5-Aza-dC) effectively induces E-cadherin expression in a variety of cancer cell lines and restores epithelial phenotypes [63–66]. Although this approach has been applied in clinical settings to treat myelodysplastic syndrome and leukemia [67], DNA demethylating agent also non-specifically activate expression of many non-epithelial genes and leads to obvious side-effect toxicities. Furthermore, genome-wide DNA hypomethylation induced by Dnmt1 deficiency increases chromosome instability and thus raises tumor incidence in mice [68,69]. Given that the reverse MET process is required for migrating cells to colonize a secondary site, inhibition of DNA methylation during the late stage of tumor progression could also promote metastasis. Thus, the utilization of DNA demethylating agents alone for anti-cancer therapy will need to be carefully evaluated.

## Histone Modifications

As the nucleosome core, histone octamer is composed of one H3-H4 tetramer and two separate H2A-H2B dimers. While the globular domains of histone proteins interact together and contact closely to DNA, the flexible N-terminal domains (also called histone tails) are subjected to nine different types of posttranslational modifications [18]. These modifications, including acetylation, methylation, phosphorylation, ubiquitination and their different combinations have been proposed to constitute a unique “code” to confer specific biological outcomes [70,71]. To simplify their diverse functions in transcription regulation, histone modifications can be briefly grouped into two major categories for either transcription activation or repression, representing their associated chromatin environments respectively. Given that a transcription reprogramming occurs during EMT, wide-spread changes to histone modifications provide a regulatory platform to orchestrate the

simultaneous repression of epithelial genes and activation of mesenchymal genes. Here, we focus on the well-studied histone acetylation and methylation and discuss their diverse regulations and functions in transcriptional reprogramming of EMT.

## Permissive Histone Modifications in EMT

### Histone Acetylation

The actively transcribed euchromatin represents a more relaxed genome partition, where DNA is accessible to transcription machineries. These regions usually have high level of lysine acetylation that is catalyzed by several families of histone acetyltransferases (HATs), including PCAF, p300/CBP, TIP60 and hMOF [72]. The addition of an acetyl group to lysine residue is believed to neutralize the positive charge of histone, weaken histone-DNA and/or nucleosome-nucleosome interactions and thus potentiate transcription. In Wnt and  $\beta$ -catenin dependent EMT pathway, nuclear localized  $\beta$ -catenin binds to T-cell Factor (TCF) and in turn recruits p300/CBP to assemble a transcription activation complex on target gene promoters and up-regulate their expression [73,74]. Intriguingly, p300 and PCAF have been shown to interact with ZEB1 and acetylate this EMT-TF. The resultant acetylation dissociates ZEB1 from miR-200c/141 promoter and induces microRNA expression [75]. Moreover, over-expression of SNAI1/2 results in an up-regulated TGFBR2 expression to increase TGF- $\beta$  signaling. Although it is unknown whether SNAI1/2 directly activates transcription, increased H3K9 acetylation was detected on TGFBR2 promoter [76]. In several breast cancer cells, hMOF-catalyzed promoter H4K16 acetylation is also important to maintain expression of several EMT-related tumor suppressor genes, including TMS1, E-cadherin and ESR1 [77]. In addition to E-boxes, E-cadherin promoter harbors four HNF3 binding sites. The hepatocyte nuclear factor HNF3 also cooperates with p300/CBP on E-cadherin promoter to activate gene expression and antagonize EMT and metastatic potential of breast cancer cells [78].

In cells, lysine acetylation is dynamically counteracted by histone deacetylases (HDACs), which restore the positive charge of the lysine, stabilize the local chromatin architecture and thus predominantly function as transcription co-repressors. There are about 18 mammalian HDACs that are grouped into four classes. The importance of these enzymes and the catalyzed histone deacetylation in epithelial gene silencing is supported by observations that inhibiting enzymatic activities of class I/II or class III HDACs increases H3/H4 acetylation on E-cadherin promoter and de-represses gene expression in multiple cancer cell lines [61,79]. Similar to HATs, different HDACs often exist in large protein complexes which can be recruited to target promoters by EMT-TFs. For instance, SNAI1 has been shown to recruit HDAC1/2-containing SIN3A complex to deacetylate histones on E-cadherin promoter for gene silencing [61]. During chick neural crest EMT, SIN3A interacts with a PhD domain containing protein PF1 which recruits the complex and SNAI2 for transcription silencing of E-cadherin and Cad6b through histone deacetylation [80]. Although the molecular details of these recruitments are not clear, blocking PF1-SIN3A interaction in triple negative breast cancer cells not only de-represses expression of E-cadherin and ESR1, but also reduces invasive morphogenesis, CSC properties and in vivo metastasis [81]. In addition, SNAI2/SLUG binds to E-box on BRCA2 promoter and recruits HDAC1-containing CtBP complex

for gene silencing [82], suggesting it could suppress E-cadherin expression in a similar manner. ZEB1/2 could also recruit CtBP1/HDAC complex to E-cadherin promoter as they were co-purified in a large co-repressor complex [83] and this possibility is supported by findings that ZEB1 recruits HDAC1/2 to E-cadherin promoter for repression in pancreatic cancer cells [84]. In prostate cancer cells, ZEB1 has been shown to recruit SIRT1, a class III HDAC for E-cadherin silencing and to promote EMT and metastasis in vitro and in vivo [85]. Moreover, ZEB1-induced EMT is accompanied by repression of several other epithelial genes, including EPCAM, ST14, ESRP1 and RAB25 together with reduced H3K9 and H3K27 acetylation on their promoters. A global H3K27 deacetylation was also observed, suggesting it is a key epigenetic event in ZEB1-induced transcriptional reprogramming [86]. In addition to EMT-TFs, HDAC1 is co-purified with TCF12 while the elevated expression of TCF12 and HDAC1 correlates with poor prognosis of gallbladder cancer patients [87], indicating that this HLH transcription factor could also targets HDACs for epithelial gene silencing during EMT. Intriguingly, HDAC6 and SIRT1 have been shown to counteract p300-catalyzed acetylation on Cortactin and thus enhance its F-actin binding ability to facilitate EMT and tumor progression [88,89].

Inhibition of class I HDACs using different inhibitors such as TSA and SAHA displayed antigrowth activities in a variety of cancer cells accompanied by abrogated EMT and metastasis in vitro and in vivo [90–93]. Thus far more than 10 HDAC small molecule inhibitors have been applied in different clinic trials [94]. Although many of them are promising in the treatment of hematological tumors, they exhibited limited effects on solid tumors, particularly on carcinomas [12]. A recent study demonstrates that mocetinostat, but not other HDAC inhibitors, specifically antagonizes ZEB1-mediated miR-203 repression in pancreatic cancer cells by restoring histone acetylation on the promoter. In cancer patients, miR-203 silencing significantly associates with recurrence after gemcitabine treatment [95]. Based on findings that HDAC1/2 are over-expressed in metastatic melanoma tissues, another report reveals that inhibition of class I HDACs sensitizes malignant melanoma cells to apoptosis following temozolomide treatment [96]. Together, these findings highlight the potential of mechanism-based combinations of selected HDAC inhibitors with standard chemotherapy in the treatment of aggressive solid tumors as well. Considering that persistent genes activation may require targeting of multiple epigenetic silencing machineries, HDAC inhibitors have also been utilized in combination with 5-Aza-dC as synergistic strategies [97]. In AML treatment, the combination of incorporated epigenetic inhibitor was developed [98] and led to promising results in a small cohort of patients [99]. However, recent studies uncovered that HDAC inhibitors could promote EMT in prostate and nasopharyngeal cancer cells [100,101], indicating that the application of HDAC inhibitors in anti-cancer therapy has limitations as well.

### Permissive Histone Methylation

Histone methylation occurs on the side chain of both lysine and arginine residues. While arginine can be mono- (me1) and di-methylated (me2, symmetrically or asymmetrically) by protein arginine methyltransferases (PRMTs), lysine can be catalyzed to mono-, di-, and tri-methylated (me3) forms by SET domain containing histone methyltransferases (HMTases) and DOT1/DOT1L [102]. Different from acetylation, histone methylation has diverse

consequences and associates with either transcription activation or repression, depending on methylation sites as well as methylation states. Among them, histone H3K4me<sub>2/3</sub> decorates euchromatic regions particularly enhancers and promoters while H3K36me<sub>3</sub> associates with transcription elongation and thus marks gene bodies and 3'-ends. H3K79 methylation is also associated with transcribed genes, with H3K79me<sub>2/3</sub> near transcription start sites and H3K79me<sub>1</sub> spreading gene bodies [103]. A recent study reveals that the elevated DOT1L expression correlates with the metastatic potential of breast cancer [104]. This H3K79 HMTase forms a complex with c-Myc and p300 on the promoter of SNAI1 and ZEB1/2 while the catalyzed H3K79 methylation coordinates with other epigenetic marks to activate their expression and promote EMT and metastasis [105]. In a TGF- $\beta$  induced EMT model, a global increase of H3K4me<sub>3</sub> and H3K36me<sub>3</sub> was detected, revealing the occurrence of a genome-wide reorganization of these two permissive methylation marks [33]. Furthermore, PRMT1 contributes to EMT, CSC properties and metastasis of breast cancer cells in vitro and in vivo. In this case, PRMT1 directly catalyzes asymmetric H4R3me<sub>2</sub> on ZEB1 promoter to activate gene expression and promote EMT [106].

In addition to HMTases, histone methylation is regulated by LSD and Jumoji-domain-containing families of histone demethylases (HDMs). The nuclear amine oxidase homolog LSD1 was the first identified de novo HDM which demethylates H3K4me<sub>1/2</sub> via a FAD-dependent oxidative reaction [107]. Over-expression of LSD1 was detected in a variety of tumors, including colorectal, breast and prostate [108] while its role in E-cadherin gene silencing and EMT is also established. Inhibition of LSD1 in prostate cancer cells de-represses epithelial proteins including E-cadherin and ZO1 and leads to reduced cell migration. Given that LSD1 was co-purified with CoREST, HDAC1/2 [109,110] and SNAI1 [111], it is likely that LSD1/CoREST can be recruited by SNAI1 for H3K4me<sub>2</sub> demethylation on E-cadherin promoter [111]. The findings that LSD1 exists in the ZEB1/2-containing CtBP1 co-repressor complex [83] further suggests that it is a key player in ZEB1/2-mediated epithelial gene silencing and EMT as well. Although these findings suggest a EMT-promoting role of LSD1, one study reveals that LSD1 is also an integral subunit of NuRD chromatin remodeling complex which suppresses TGF- $\beta$ 1 expression and negatively regulates EMT and breast cancer progression [112]. Intriguingly, TGF- $\beta$  treatment up-regulates LSD1 expression in AML12 cells, which is required to confer EMT. However, the enzymatic activity of LSD1 antagonizes the same process [33]. As LSD1 can also remove the transcription repressive H3K9 methylation after forming a complex with transcription activator AR [113], it is possible that TGF $\beta$ -induced co-activators (including AR) could interact with LSD1 and in turn switch its substrate specificity to H3K9 [113,114]. Therefore, LSD1 can modulate EMT through multiple mechanisms. Furthermore, over-expression of another H3K4 HDM JARID1A strongly associates with metastasis of multiple cancers. While JARID1A represses p27 but activates cyclin D1/E1 and ITGB1 expression for lung cancer cell growth and metastasis [115], it up-regulates TNC expression to promote breast cancer cell invasion and metastasis [116]. In gastric cancer cells, TGF- $\beta$ 1 treatment induces expression of JARID1A which is recruited by p-SMAD3 to E-cadherin promoter for silencing and further promotes malignant progression [117]. In breast cancer cells, another JARID family HDM JARID1B was also co-purified with NuRD complex, in which JARID1B and LSD1 coordinate to remove three H3K4 methylation forms on CCL14



promoter and in turn antagonize the chemokine pathway-mediated migration, angiogenesis and metastasis [118]. On the contrary, JARID1B has been shown to promote EMT by suppressing the expression of miR-200s [119] or PTEN [120] through promoter H3K4 demethylation. Together, dynamic regulation of the permissive H3K4 methylation at different genomic loci through different molecular mechanisms can lead to opposite EMT outcomes.

### Repressive Histone Methylation in EMT

Methylations on histone H3K9, H3K27, H4K20 and H4R3 (symmetric di-methylation, me<sub>2</sub>s) often associate with transcriptionally repressed genes. In mammals, H3K9 methylation is mainly introduced by G9a, GLP, SETDB1 and SUV39H1/2 [121]. G9a and GLP catalyze H3K9me<sub>1/2</sub> while the elevated G9a expression has been correlated with poor prognosis in lung cancer patients. Mechanistically, G9a represses a cell adhesion molecule EPCAM by catalyzing H3K9me<sub>2</sub> on the promoter to promotes EMT and cancer metastasis of lung cancer cells in vitro and in vivo [122]. In breast cancer cells, G9a interacts with SNAI1 and is recruited to E-cadherin promoter for transcription silencing. Inhibiting G9a reduces promoter H3K9me<sub>2</sub> as well as DNA methylation and further abrogates EMT and tumor metastasis [36]. The similar mechanism was also reported in head and neck squamous cell carcinoma [123], suggesting SNAI1-G9a mediated repression could be a general mechanism during EMT. Intriguingly, SNAI1 recruits SUV39H1 to E-cadherin promoter for H3K9me<sub>3</sub> which is also important for repression of EMT phenotypes in breast cancer cells [124]. The down-regulation of SETDB1 in breast cancer cells correlates with reduced EMT and CSC properties, suggesting this HMTase has similar EMT-promoting role. However, it has been proposed that SETDB1 indirectly up-regulates STAT3 expression and induces TWIST and C-MYC to potentiate this process [125]. Alternatively, SETDB1 stabilizes Np63 $\alpha$  through protein interaction while Np63 $\alpha$  might recruit SETDB1 to repress multiple EMT-related genes [126]. Additionally, amplification of SETDB1 was observed in melanoma, lung and liver cancers [127–129]. It represses a large set of genes including HOX genes for melanoma progression [127] and confers outgrowth and advantages of liver cancer cells through methylating and stabilizing the oncogenic p53 mutants [128]. Surprisingly, it has also been shown that SETDB1 cooperates with SMAD2/3 to suppress EMT and metastasis of lung cancer cells [130].

As another major transcription repressive modification, H3K27 methylation is catalyzed by EZH2 or its close homolog EZH1 containing Polycomb repressive complex 2 (PRC2), which plays fundamental roles in embryonic development and stem cell differentiation [131]. Early studies uncovered that over-expression of EZH2 correlates with poor prognosis in prostate and breast cancer patients [132]. Comparing with primary tumor, PRC2/EZH2 expression is also elevated in the lymph node metastatic breast cancer where E-cadherin expression is significantly reduced [133], indicating a promoting role of PRC2 in EMT and malignant progression. In pancreatic cancer cells, SNAI1 recruits PRC2 to E-cadherin promoter to catalyze H3K27me<sub>3</sub> by binding to a non-catalytic subunit SUZ12 [134]. SNAI1-PRC2 interaction can also be bridged by Ajuba LIM, PRMT5 or a mediator protein MEP50 [135,136]. In gastric cancer cells, PRC2 is also recruited through SUZ12 and catalyzes H3K27me<sub>3</sub> on KLF2 and E-cadherin promoters [137]. Intriguingly, an H3K4me<sub>3</sub>

to H3K27me3 switch was observed on 102 EMT marker gene promoters including E-cadherin in a TWIST1-induced EMT cell model. The reverse H3K27me3 to H3K4me3 switch occurred on the promoter of another set of up-regulated mesenchymal genes, including ZEB2, N-cadherin, PDGFR $\alpha$  and ESRP1 [138]. Additionally, another set of promoters contains H3K4me3 and H3K27me3 marks simultaneously. This configuration often poises genes for subsequent activation or repression in response to specific differentiation cues and is thus referred as bivalent chromatin state [19]. The amount of genes harboring this bivalent chromatin state also increased significantly during TWIST1-induced EMT, indicating the dedifferentiated mesenchymal cell state also associates with greater plasticity [138]. In breast cancer cells, the bivalent chromatin state was also found on ZEB1 promoter which indeed facilitates the rapid switch a CSC-like state upon different EMT signals [139].

Among five characterized H4K20 HMTases, SET8 and SUV420H1/2 are major enzymes catalyzing H4K20me1 and H4K20me2/3 respectively [140]. In breast cancer cells SET8 interacts with TWIST1 which targets SET8 to E-cadherin and N-cadherin promoters for repression and activation respectively. Although the mechanism of action is unclear, these opposite transcription regulations require the catalyzed H4K20me1 [141]. The bipolar roles of SET8 in regulating E/N-cadherin expression and EMT were also observed in prostate cancer cells, which are mediated through protein interactions with ZEB1 [142]. Additionally, H4K20me3 plays a pivotal role in chromatin integrity while the global loss of H4K20me3 is observed in a wide-range of human cancers [143]. In breast cancer cells, loss of H4K20me3 correlates with reduced SUV420H2 expression and activation of a focal adhesion protein TNS3. Given that H4K20me3 on TNS3 promoter is necessary for gene silencing, this modification could also attenuate EMT and tumor progression through transcription regulation [144].

Furthermore, symmetric di-methylation of H4R3 (H4R3me2s) has been associated with transcriptional repression. Catalyzed by PRMT5 or PRMT7, H4R3me2s prevents binding of MLL4 to nucleosome through its PHD domain and indirectly reduces MLL4-mediated H3K4me3 [145]. PRMT5 interacts with SNAI1 through a bridging protein AJUBA while knockdown of PRMT5 activates E-cadherin expression [135], demonstrating that PRMT5-mediated H4R3me2s is another crucial epigenetic event in SNAI1-induced repression and EMT. Consistently, PRMT5 expression reversely correlates with E-cadherin expression in a larger set of lung cell lines and has been linked to EMT and the loss of the bronchial epithelial phenotype of lung adenocarcinoma [146]. Similarly, over-expression of PRMT7 associates with EMT and breast cancer metastasis. Through a different mechanism, PRMT7 interacts with YY1 and HDAC3 and is in turn recruited to E-cadherin promoter for transcription silencing [147].

In addition to LSD1-AR complex we discussed, H3K9 methylation can be removed by several JmjC domain-containing HDMs, including JMJD1A/B, JMJD2, PHF2/8 and KIAA1718 [108]. Among them, JMJD1A has been shown to up-regulate a long noncoding RNA MALAT1 and induce migration, invasion and EMT in neuroblastoma cells [148]. JMJD2B is co-purified with the H3K4 HMTase MLL-2 complex and is required for ER $\alpha$ -activated transcription [149]. In gastric cancer cells, JMJD2B interacts with  $\beta$ -catenin to

activate Vimentin expression through promoter H3K9 demethylation and thus facilitates EMT and metastasis [150]. Differently, PHF8 removes H3K9 methylation on the promoter of Integrin genes and ROCK kinase to induce gene expression and promote migration and invasion of prostate cancer cells. Consistently, elevated PHF8 expression also correlates with poor prognosis of prostate cancer patients [151]. Similar to JMJD2B, a H3K27 HDM UTX interacts with another H3K4 HMTase MLL4 complex to activate expression of several oncogenes and prometastatic genes including MMP-9/11 and SIX1, which leads to increased EMT and metastasis of breast cancer in vitro and in vivo [152]. In colon cancer cells, UTX not only demethylates H3K27me3 on the E-cadherin promoter but also recruits CBP for H3K27 acetylation. These epigenetic events delocalize PRC2 from the promoter and result in active transcription [153]. In breast cancer cells, UTX-MLL4 forms a complex with LSD1/HDAC1/DNMT1 on the promoter of several EMT-TF. Through a histone demethylation independent mechanism, UTX represses SNAIL and ZEB1/2 expression and negatively regulates EMT and CSC-like properties [154]. In mammary epithelial cells, TGF- $\beta$  treatment also induces expression of JMJD3. This H3K27 HDM further activates SNAIL expression through promoter H3K27 demethylation to facilitate EMT [155].

Moreover, many HMTases and HDMs inhibitors have been developed and characterized. Chaetocin is the first developed HMTase inhibitor targeting SUV39H1 without high selectivity [156]. Treatment with Chaetocin induces expression of E-cadherin and p15INK4B while reduces H3K9me3 levels on their promoters in a large panel of tumor cells, but does not affect global H3K9 methylation [157]. On the contrary, BIX01294 specifically inhibits enzymatic activity of G9a and GLP by occupying the histone peptide binding pocket [158,159]. BIX01294 treatment also activates E-cadherin expression and reverses EMT phenotypes in different cancer cells, accompanied by reduced H3K9me2 and increased H3K9 acetylation on the promoter [36,123]. As BIX01294 is toxic to cells at higher concentration [159], another G9a/GLP inhibitor UNC0638 was developed with higher potency and selectivity [160]. UNC0638 treatment not only reduces H3K9me2 on G9a/GLP target gene promoters and up-regulates their expression, but also decreases H3K9me2 globally. A recent study reported that UNC0638 treatment activates E-cadherin expression in PANC-1-R cells, reverses EMT and thus inhibits tumor growth in vivo [161]. Because of the importance of H3K27 methylation in cancer, several highly specific EZH2 inhibitors have also been developed, including GSK2816126 and EPZ-6438 which are currently in clinical trials for lymphoma and solid tumor/lymphoma respectively [162]. However, whether they are able to reverse EMT under different clinical settings is unknown. In breast cancer and lung cancer cells, a LSD1 inhibitor Pargyline is able to reverse EMT phenotypes [111,163]. Treatment with Parnate, another LSD1 inhibitor or blocking LSD1-SNAIL interaction using a permeable peptide corresponding to the SNAG domain also activates E-cadherin expression and suppresses motility and invasiveness of multiple cancer cells derived from different origins in vitro and in vivo [164]. Recently, two highly specific LSD1 inhibitors, GSK2879552 and ORY-1001 have also been applied to clinical trials for the treatment of small cell lung cancer and acute leukemia [162]. Several inhibitors targeting JMJC-domain containing HDMs have been reported as well. While JIB-04 has been shown to inhibit activity of H3K4 and H4K9 HDMs and alters a subset of transcriptional pathways to attenuate lung cancer cell proliferation [165], YUKA1/2 specifically targets JARID1A

with high potency and inhibit growth of different tumor cells. Although the action of mechanism is currently unknown, the ability of YUKA1 to mitigate drug tolerance to targeted therapy of breast and lung cancers highlight its clinic potential [166].

## Histone Modification Binding Proteins in EMT

In some cases, histone modifications directly contribute to the regulation of chromatin dynamic. For example, H4K16 acetylation inhibits folding of chromatin fiber in vitro and could thus impede the formation of chromatin compaction [167,168]. In most cases, however, they are recognized by proteins containing distinct recognition domains. Bromodomain (BD) functions as an acetylated lysine binding motif while two tandem BDs of BET family proteins (i.e., BRD4) recognize acetylated histones and in turn recruit transcription machineries to chromatin [169]. Recently, numerous studies reveal that pharmacologic inhibition of BRD4 with BET-specific BD inhibitors effectively blocks MYC expression and function in different type of cancer cells [170]. This inhibition also reduces WNT5A expression and suppresses invasion, CSC-like properties and tumorigenicity of breast cancer cells in vitro and in vivo [171].

Given that the addition of methyl groups to lysine or arginine does not alter the charge of their side chains, histone methylation is believed to generate docking sites for binding proteins harboring specific motifs, including Chromodomain (CD), MBT, WD40 repeat, PHD finger, PWWP, Tudor and Ankyrin repeat. These binding modules are able to distinguish methylation marks on different residues as well as different methylation states on the same residue and in turn mediate distinct downstream functions [172]. CD-containing HP1 proteins are the first identified methyl-lysine binding proteins which recognize methylated-H3K9 (methyl-H3K9) [173]. The conserved CD of MPP8 also functions as a specific methyl-H3K9 binding motif [174–176] while both HP1 and MPP8 have been implicated in EMT (see below). Several MBT domain family proteins have been shown to recognize mono- and di-methylated lysines although these bindings lack sequence selectivity [177]. Four MBT-repeats domain of SFMBT1 recognize H3K4me<sub>2/3</sub> and form a stable complex with LSD1. During TGF- $\beta$  induced EMT, SFMBT1 recruits LSD1 to E-cadherin promoter to remove H3K4me<sub>2</sub> for gene silencing. Elevated SFMBT1 expression also correlates with several mesenchymal markers and unfavorable prognosis in breast cancer patients [178]. H3K4me<sub>2/3</sub> is also recognized by WD40 repeat domain of WDR5 [179] and this binding lacks the lysine recognition motif in the crystal structure as well [180,181]. However, WDR5 is important for the assembly and activity of SET1 protein complex catalyzing H3K4me<sub>3</sub> [179]. Under hypoxia condition, WDR5 is induced, interacts with HDAC3 and further recruits SET1 complex to activate expression of mesenchymal genes to confer EMT [182]. Furthermore, WD40 repeat exists in PRC2 component EED and recognizes H3K27me<sub>3</sub>. This binding recruits PRC2 to chromatin with pre-existing H3K27me<sub>3</sub> to further spread the same methylation into adjacent regions [183]. Intriguingly, several chromatin modifying enzymes also contain methyl-lysine binding motifs. DNMT3A possesses a PWWP domain which recognizes H3K36me<sub>3</sub> and this binding facilitates nucleosomal DNA methylation [184]. The ankyrin repeats of G9a and GLP are involved in H3K9me<sub>1/2</sub> binding which could retain G9a/GLP on chromatin for additional H3K9 methylation [185]. In addition, JMJD2A harbors a double Tudor domain which recognizes

H3K4me3 and H4K20me3 [186]. Although these enzymes are involved in EMT, the importance of their methyl lysine binding modules still remains elusive.

In addition to inhibiting catalytic activities of chromatin modifying enzymes, another strategy is to target specific binding proteins recognizing different histone modifications. Small molecules targeting the acetylated-histone binding motif BD have been well characterized and the best example is the compounds selectively blocking the binding to acetylated lysine by BRD4, a well-studied BD-containing transcription co-activator [187,188]. Given that BRD4 localizes in the super enhancer region of the key oncogene MYC, BRD4 inhibition preferentially abrogates MYC function in a variety of tumor cells [189]. It has been recently shown that the treatment of BRD4 inhibitor MS417 reduces distal metastasis of colorectal cancer in mouse xenograft models by modulating expression of several key EMT genes [190]. MS417 and another BRD4 inhibitor JQ1 also disrupt BRD4 binding to di-acetylated K73/K76 on TWIST and thus repress WNT5A expression to reverse EMT and metastasis of breast cancer in vitro and in vivo [171]. Currently both BRD4 inhibitors are in multiple clinical trials to treat different types of cancers. Several small molecule compounds targeting specific methylated histone binding proteins have also been developed, including UNC1215 and UNC3866 which block the methyl-lysine binding mediated by MBT domain-containing protein L3MBTL3 [191] and CD-containing protein CBX4/7 [192] respectively. However, their function in reversing EMT and tumor progression is unknown.

## Coordinated Epigenetic Regulations in EMT

Given that different chromatin modifying proteins and the catalyzed modifications have been implicated in regulating the same set of genes, it is likely that they act in concert for the same pathway during EMT. One underlying mechanism is that multiple chromatin modifiers coexist in the same protein complex to orchestrate transcription regulation [193]. Since HDAC1/2, G9a/GLP, LSD1, HP1 and ZEB1/2 were co-purified in CtBP1 co-repressor complex [107,83], ZEB1/2 could first target the complex to E-cadherin promoter to initiate repression. HDAC1/2 next deacetylate histones while the primed H3K9 will be methylated by G9a/GLP. Meanwhile, LSD1 removes H3K4me1/2 and the un-methylated H3K4 could also prevent H3K9 from re-acetylation (Fig. 1a) [194,195]. The established H3K9 methylation recruits HP1 proteins to lock the local chromatin in a repressive state. Through protein interactions, HP1 could further recruit DNMT1 for DNA methylation [196] and turn off transcription (Fig. 1b). Although this scenario suggests an EMT-promoting role of HP1, its expression is down-regulated in invasive breast cancer cells. Knockdown of HP1 increases invasiveness without affecting cell growth whereas over-expression of HP1 reduces it [197], suggesting that HP1 proteins could also attenuate EMT through mechanisms independent of E-cadherin silencing.

Owing to the importance of H3K9 methylation in E-cadherin silencing and EMT, we have characterized MPP8 as another methyl-H3K9 binding protein. Different from HP1s, MPP8 expression is elevated in various invasive breast and lung cancer cells. Knockdown of MPP8 de-represses E-cadherin expression and reduces cell migration and invasion, indicating it has an EMT-promoting role. MPP8 not only targets E-cadherin promoter through methyl-H3K9

binding, but also interacts with G9a/GLP and DNMT3A. Knocking-down MPP8 or disabling its methyl-H3K9 binding impairs DNMT3A binding on E-cadherin and reduces DNA methylation but does not affect GLP and H3K9 methylation. These findings suggest a repression pathway in which G9a/GLP methylate H3K9 on E-cadherin promoter for MPP8 targeting while MPP8 further recruits DNMT3A for CpG methylation [175]. Furthermore, G9a/GLP methylate DNMT3A at K47 as well as their N-termini at G9a-K185 and GLP-K205 respectively [198,199]. Intriguingly, MPP8 binding to G9a/GLP and DNMT3A are mediated through these methylated lysines. Given that the CD of MPP8 forms a dimer in structures [200,201], MPP8 could bridge DNMT3A and G9a/GLP to assemble a repressive trimeric protein complex on the chromatin through binding to different methyl-lysines and couple H3K9 methylation and DNA methylation for epithelial genes silencing and EMT (Fig. 1c–d).

Although inhibition of HDAC1/2 also activates E-cadherin expression in multiple cancer cell lines, MPP8-mediated repression is independent of class I/II HDACs [175]. However, MPP8 interacts with a class III HDAC SIRT1 to coordinate silencing of E-cadherin and other genes [202]. In prostate and breast cancer cells, knocking-down SIRT1 or MPP8, or disabling methyl-H3K9 binding by MPP8 or MPP8-SIRT1 interaction leads to similar de-repression of E-cadherin, restoration of epithelial cell morphology and reduction of cell migration and invasion [202]. Disabling methyl-H3K9 binding by MPP8 or MPP8-SIRT1 interaction also leads to decreased SIRT1 localization on target promoters and increased H4K16 acetylation, suggesting that MPP8 binds to methyl-H3K9 and recruits SIRT1 for deacetylation [202]. As H4K16 acetylation is able to de-compact chromatin directly [168], removing this modification could be critical for MPP8-mediated transcription repression. Intriguingly, SIRT1 also removes acetylation on MPP8-K439 which is catalyzed by PCAF. Given that K439 acetylation facilitates MPP8 protein degradation through the ubiquitin-proteasome pathway, SIRT1-mediated deacetylation stabilizes MPP8 as a feed-forward regulatory loop in MPP8-mediated repression pathway (Fig. 1c–d). Although SIRT1 is methylated by G9a at K622 [203], MPP8-SIRT1 interaction is independent of SIRT1-K622 methylation, revealing that MPP8 recruits SIRT1 and DNMT3A through different mechanisms. While CpG methylation prevents RNA Pol II binding on target promoters, H4K16 acetylation facilitates the release of RNA Pol II from promoter-proximal pausing and the transition into active elongation [204]. Therefore, MPP8-directed promoter DNA methylation and H4K16 deacetylation could introduce multiple barriers for transcription machineries.

Since most chromatin modifying enzymes lack DNA binding motifs, they are normally recruited to target promoters by different EMT-TFs through protein interactions. Consistent with findings that SNAI1 associates with SIN3A and PRC2 complexes, SNAI1 is required for histone deacetylation and H3K27 methylation on E-cadherin promoter and gene silencing [134,61]. A recent study uncovered SNAI1-LSD1 interaction which not only recruits LSD1 demethylate H3K4 on E-cadherin promoter for repression, but also increases protein stability to enhance the function of SNAI1-LSD1 co-repressor complex in EMT and metastasis of breast cancer cells [111]. SNAI1 also targets G9a and SUV39H1 to E-cadherin promoter through different motifs while the catalyzed H3K9me2 and H3K9me3 are required for gene silencing [124,36]. Inhibition of LSD1, G9a or SUV39H1 results in similar reversed EMT phenotypes and reduced breast cancer progression and metastasis in vivo.

Although these results demonstrate the role of SNAI1 in targeting different chromatin modifiers for E-cadherin silencing and EMT (Fig. 1e), whether these co-repressor protein complexes are recruited simultaneously and how they coordinate for transcription repression are currently unknown.

In addition to reduced H3K9 methylation, inhibiting G9a or SUV39H1 leads to increased H3K9 acetylation and decreased DNA methylation on E-cadherin promoter, indicating that DNA methylation has an important role in SNAI1-mediated repression and EMT as well. Consistent with genome-wide correlation between H3K9 methylation and DNA methylation [205], G9a has been shown to interact with DNMT1 and DNMT3A/B through its N-terminal and Ankyrin-repeat domains respectively [206,207]. However, these protein interactions may not be the major mechanism to target DNMTs since inhibiting G9a and GLP's enzymatic activity is sufficient to reduce DNA methylation on E-cadherin promoter [36]. The findings that HP1 proteins interact with different DNMTs [207,208] suggest another possibility that DNMTs are recruited after HP1 binds to H3K9 methylation catalyzed by G9a/GLP and SUV39H1. SUV39H1 could also directly recruit HP1 proteins because they form a heterodimer through their Chromo-Shadow domains (CSDs) [209]. Although MPP8 does not interact with SUV39H1, it recognizes self-methylated lysines in G9a/GLP [198]. Binding to these methyl-lysines could contribute to MPP8 promoter targeting after G9a/GLP are recruited by SNAI1 or other EMT-TFs (Fig. 1c). Owing to the higher binding affinity to methyl-H3K9 [200], MPP8 could rapidly switch to methyl-H3K9 binding on target promoters and further recruit DNMT3A through DNMT3A-K47 methylation to establish H3K9 methylation coupled DNA methylation (Fig. 1d) [198,175]. PRC2 could direct promoter DNA methylation after being recruited by SNAI1 [134] because its catalytic subunit EZH2 also associates with different DNMTs [210]. Intriguingly, H3 acetylation and H3K4 methylation are able to disrupt DNMT3A's chromatin binding through its ADD domain [211,212]. In this case, SNAI1-recruited LSD1 and HDAC1/2 could remove these inhibitory modifications to facilitate chromatin DNA methylation by DNMT3A. Together, these findings suggest that SNAI1 not only directly recruits histone modifying enzymes for repression, but also promotes DNA methylation through different indirect mechanisms (Fig. 1f).

TWIST1 has also been shown to associate with different chromatin modifying enzymes. An affinity purification of Flag-TWIST identified several components of NuRD chromatin remodeling complex. Among them, TWIST directly interacts with Mi2 $\beta$ , MTA2 and RbAp46 and likely targets NuRD complex for histone deacetylation and chromatin remodeling on E-cadherin promoter. These epigenetic events together lead to gene silencing and promote EMT and breast cancer metastasis [53]. Under the hypoxia condition, up-regulated HIF-1 $\alpha$  activates TWIST1 expression by directly binding to the hypoxia-response element (HRE) on the promoter and thus promotes EMT and metastatic progression [213]. In head and neck squamous cell carcinoma cells, HIF1 $\alpha$ -induced TWIST1 binds to the E-box element in BMI1 gene, activates expression of this PRC1 (Polycomb Repressive Complex 1) subunit and potentiates EMT and CSC-like properties. Mechanistically, TWIST1 also interacts with BMI1 and targets PRC1 to E-cadherin and p16INK4a promoters to mediate H2AK119 mono-ubiquitination and gene silencing [214]. Intriguingly, knockdown of TWIST1 reduces PRC2 promoter targeting and H3K27me3, indicating that

TWIST1 could also recruit PRC2. It has been shown that the PRC1 core subunits HPCs can recognize methyl-H3K27 and this H3K27me3 binding contributes to the recruitment of PRC1 [131], suggesting that TWIST1 could also target PRC1 indirectly (Fig.1g). It is possible that the indirect PRC1 targeting is also facilitated by SNAI1 through recruitment of PRC2 [134]. Moreover, inhibition of HDACs reduces both PRC1 and PRC2 promoter localizations [215,214]. This can be interpreted as H3K27 deacetylation is a prerequisite for PRC2-catalyzed H3K27me3 methylation. In this case, TWIST1-recruited NuRD complex [53] or other HDAC-containing complexes recruited by SNAI1 or ZEB1 [61,83] could thus facilitate PRC1/2 recruitment as well. Together, these results illustrate a complex regulatory network for transcription repression which is orchestrated by different chromatin modifying proteins and EMT-TFs.

Moreover, TWIST has been shown to associate with different set of chromatin modifying proteins in different cell lines. While NuRD complex was co-purified with Flag-TWIST1 from HEK-293 cells [53], a similar Flag-TWIST1 purification using HeLa-S3 cells identified E12/E47, BRD4 and NuA4 HAT complex. This finding leads to a discovery that TWIST1 is di-acetylated at K73/K76 by TIP60, the catalytic subunit of NuA4 complex which also di-acetylates H4K5/K8 [171]. Intriguingly, di-acetylated H4 and TWIST1 are recognized by BRD4 through its first and second BDs respectively. Although mutation of both acetylation sites on TWIST1 does not affect its localization on WNT5A promoter/enhancer, it abrogates the sequential recruitment of BRD4, P-TEFb and RNA-Pol II. These results support a model in which TWIST1 assembles an active transcription elongation complex through its acetylated K73/K76 and BRD4 binding, and in turn activates WNT5A expression to promote EMT and tumorigenicity of breast cancer cells (Fig.1h) [171]. Furthermore, Flag-TWIST1 was co-purified with SET8, BRCA1-associated protein BRAP, NF- $\kappa$ B subunit RELA, PPP2CA and HES6 in MCF7 breast cancer cells [141]. Surprisingly, SET8 and TWIST1 mediate E-cadherin repression and N-cadherin activation simultaneously to promote cell invasion and EMT. While SET8 localization on both promoters relies on TWIST1, TWIST1-mediated repression/activation also requires SET8 and the catalyzed H4K20me1, arguing that the same repressive protein complex could contribute to opposite functions on different genomic loci (Fig.1g-h). Although H4K20me1 has been implicated in transcription activation and repression [216,217], the molecular mechanism underlying the bipolar contextual roles of TWIST1-SET8 is not clear. One possibility is that H4K20me1 could further coordinate different chromatin modifications or recruit distinct group of effectors to different promoters. In addition, the findings of three distinct TWIST1 protein complexes in different cell lines suggests that the diverse functions of TWIST1 could be defined by different group of interacting proteins as well as different chromatin environments.

## microRNA and lncRNAs in EMT

In addition to various chromatin modifying proteins we described above, the importance of several classes of non-coding RNAs (ncRNAs) in transcription regulation and EMT is now being appreciated, representing another category of epigenetic mechanisms. ncRNAs can be basically classified into small ncRNA (<200nt) and long ncRNA (lncRNA) and contribute to a variety of cellular functions. Among them, miRNAs are the best-studied class in epithelial-



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mesenchymal plasticity [218]. Derived from long primary transcripts and processed through sequential cleavage steps, miRNAs function post-transcriptionally and target mRNAs for degradation, inhibition of translation or a combination of both [219]. Dozens of miRNAs have been identified to target 3'UTR of ZEB1/2 mRNAs to suppress their expression in various cancer cells [220] while five miR-200 family members are essential regulators of differentiation and epithelial characteristics of a wide range of cell types and tissues [221–223]. During EMT, ZEB1/2 bind to miR-200s and miR-205 promoters and repress their transcription. Conversely, miR-200s and miR-205 suppress ZEB1/2 expression by directly targeting 3'-UTR of their mRNAs to maintain epithelial properties [224,225]. Therefore, ZEB1/2 and miR-200s/205 form a reciprocal feedback regulatory loop which functions as a molecular motor for EMT, tumor progression and metastasis [226–228]. Additionally, miR-200s repress TGF- $\beta$ 2 and  $\beta$ -catenin expression to attenuate EMT [227,229]. They also target mRNA of several chromatin modifying enzymes, such as BMI1, SUZ12 and SIRT1 to negatively regulate EMT and CSC-like properties [230–232]. In tongue cancer cells, SNAI1/2 recruits PRC2 to suppress transcription of miR-101 which also targets 3'-UTR of EZH2 mRNA [233], representing another axis of miRNA signaling for EMT [234]. Furthermore, mRNA of SNAI1/2 and TWIST1/2 is targeted by different large groups of miRNAs while the reciprocal regulatory loop was not reported [220]. Intriguingly, SNAI1/2 mRNAs are directly targeted by miR34 family members in a variety of cancer cells, whereas expression of miR34s is positively regulated by wild-type p53. These findings reveal a miR34-SNAI1/2 axis in p53 loss-of-function induced EMT [235]. In hepatocellular carcinoma cells, p53 also up-regulates miR-200s and miR-192 family members which further target ZEB1/2 mRNAs to abrogate EMT [236]. Moreover, oncogenic p53 mutant represses transcription of miR130b which also targets ZEB1 mRNA and inhibits EMT [237]. In addition to p53, the expression of many miRNAs is regulated by different transcription factors including EMT-TFs. These miRNAs in turn target multiple EMT-related genes and contributes to EMT [220]. While MYC-activated miR-9 targets 3'-UTR of E-cadherin mRNA to facilitate EMT and metastasis of breast carcinoma cells [238], TWIST1-induced miR-424 activates N-cadherin and Fibronectin expression through unknown mechanism without affecting E-cadherin expression [239].

Moreover, expression level of miRNAs associates with various epigenetic modifications on their promoters. For example, expression of miR-200s/205 reversely correlates with promoter CpG methylation in various tumor cells [41,42]. This DNA methylation pattern can be readily shifted during EMT or MET in vitro and in vivo [40,240], indicating that DNA methylation is a critical to regulate miRNA expression. Consistent with this notion, a global analysis uncovered a strong inter-individual concordance between miRNA expression and epigenetic states on their promoters in human mammary epithelial cells and mammary fibroblasts. While repression of miR-200c/141 and miR-200a/200b/429 associates with promoter CpG methylation and H3K27me3 respectively, silencing of miR-205 requires DNA methylation and H3K27me3 in fibroblasts [41]. During EMT, the promoter of miR-200a/200b/429 and miR-200c/141 is also decorated by distinct group of repressive histone modifications [41], suggesting a possibility that different chromatin modifying enzymes and DNMTs are also orchestrated with EMT-TFs to regulate transcription of these

miRNAs. However, molecular details underlying these epigenetic regulations are largely unknown.

Recent transcriptome studies identified a large group of lncRNAs which are differentially transcribed in various metastatic tumors and contribute to EMT and tumor progression through diverse mechanisms [241]. Functioning as a chromatin modulator, lncRNA HOTAIR interacts with both PRC2 and LSD1 and targets these histone modifying enzymes to repress HOX genes and many metastasis suppressor genes across genome [242]. HOTAIR expression is significantly elevated in several types of cancers and associates with metastasis and poor prognosis [243]. In contrast, lncRNA SCHLAP1 impedes genome-wide chromatin targeting of SWI/SNF remodeling complex and repress its target gene expression. SCHLAP1 is over-expressed in ~25% of prostate cancers and is also critical for invasiveness and metastasis [244]. In breast cancer cells, lncRNA-ROR promotes EMT and metastasis in vitro and in vivo by antagonizing miR-205-mediated repression of ZEB2, revealing a crosstalk between lncRNA and miRNA [245]. Furthermore, ncRNA-a7 (also known as treRNA) functions as an enhancer lncRNA to facilitate transcription of its neighboring gene SNAI1 [246]. Enforced expression of ncRNA-a7 in breast cancer cells promotes EMT and metastasis while its overexpression was detected in metastatic breast cancer tissues. Intriguingly, ncRNA-a7 also associates with a hRNP complex which binds to 3'-UTR of E-cadherin mRNA and suppress its translation [247]. TGF- $\beta$  induced lncRNA-HIT also inhibits E-cadherin expression to promote EMT and breast cancer metastasis. However, it suppresses E-cadherin transcription via unknown mechanism [248]. Together, these examples demonstrate the critical regulatory roles of lncRNAs in control of EMT and cancer metastasis at multiple molecular layers [249].

## Conclusions and Perspectives

The abnormal activation of EMT a developmental program has been proposed to play important roles in the initiation and progression of carcinomas. This process involves a complex transcriptional reprogramming of a large set of EMT-related genes and is triggered and regulated by different EMT-TFs. The studies within the past decade have revealed that different chromatin modifications are also essential mediators of the activity of EMT-TFs and have an indispensable role in EMT and the reverse MET processes. In concert with different EMT-TFs and classical oncogenic pathways, a variety of chromatin modifications constitute a sophisticated regulatory network to coordinate the simultaneous up- and down-regulation of various mesenchymal and epithelial genes. This orchestrated action also occurs at multiple molecular layers to ensure the precise control of epithelial-mesenchymal plasticity which associates with cell motility and invasiveness that could have detrimental effects on the organism.

In spite of fast-growing information about epigenetic modifications in EMT, it will be very important to dissect mechanisms by which different chromatin modifying enzymes and the catalyzed modifications contribute to this process. The functional importance of the non-catalytic domains of various chromatin modifying enzymes and molecular details underlying different modification signals are recognized and transduced into biological functions are also limited. Thus, further elucidation will provide greater insights into the coordinated

epigenetic regulation of gene expression programs during EMT. Furthermore, understand mechanisms underlying the regulation of EMT-TFs and chromatin modifying proteins by different EMT-inducing stimuli, ncRNAs and alternative splicing is also important to illustrate the complex epigenetic regulatory network.

Furthermore, the biochemically reversible nature of epigenetic modifications not only provides a platform for rapid switch of a variety of epithelia and mesenchymal genes during EMT and MET, but also presents multiple therapeutic opportunities. Over the last decade, considerable progress has been achieved in the development of potent and selective small molecule inhibitors targeting specific chromatin modifiers and many of them are currently being evaluated in clinic studies. The application of BRD4 inhibitors also highlights the possibility to interfere specific bindings to different histone methylation marks. Comparing with inhibiting catalytic activity of chromatin modifying enzymes, blocking specific binding event could render higher selectivity to target given epigenetic pathways in different clinic applications. Although reversing EMT is conceptually attractive for anti-cancer therapy, current understanding of EMT-induced metastasis is derived from in vitro and mouse studies. Using different mouse models, two recent studies provide evidence that EMT is not a prerequisite for metastatic dissemination, suggesting that the in vivo regulation of tumor progression and metastasis is more sophisticated. However, both studies demonstrate that EMT cells significantly contribute to chemoresistance and recurrent metastasis after standard therapy [250,251]. Therefore, selected EMT-targeting epigenetic drugs can also be utilized to synergize conventional chemotherapy and overcome drug resistance. Additionally, specific epigenetic inhibitors could serve as powerful tools to facilitate the functional characterization of different epigenetic pathways in EMT in vivo as well as many other important physiological and pathological processes.

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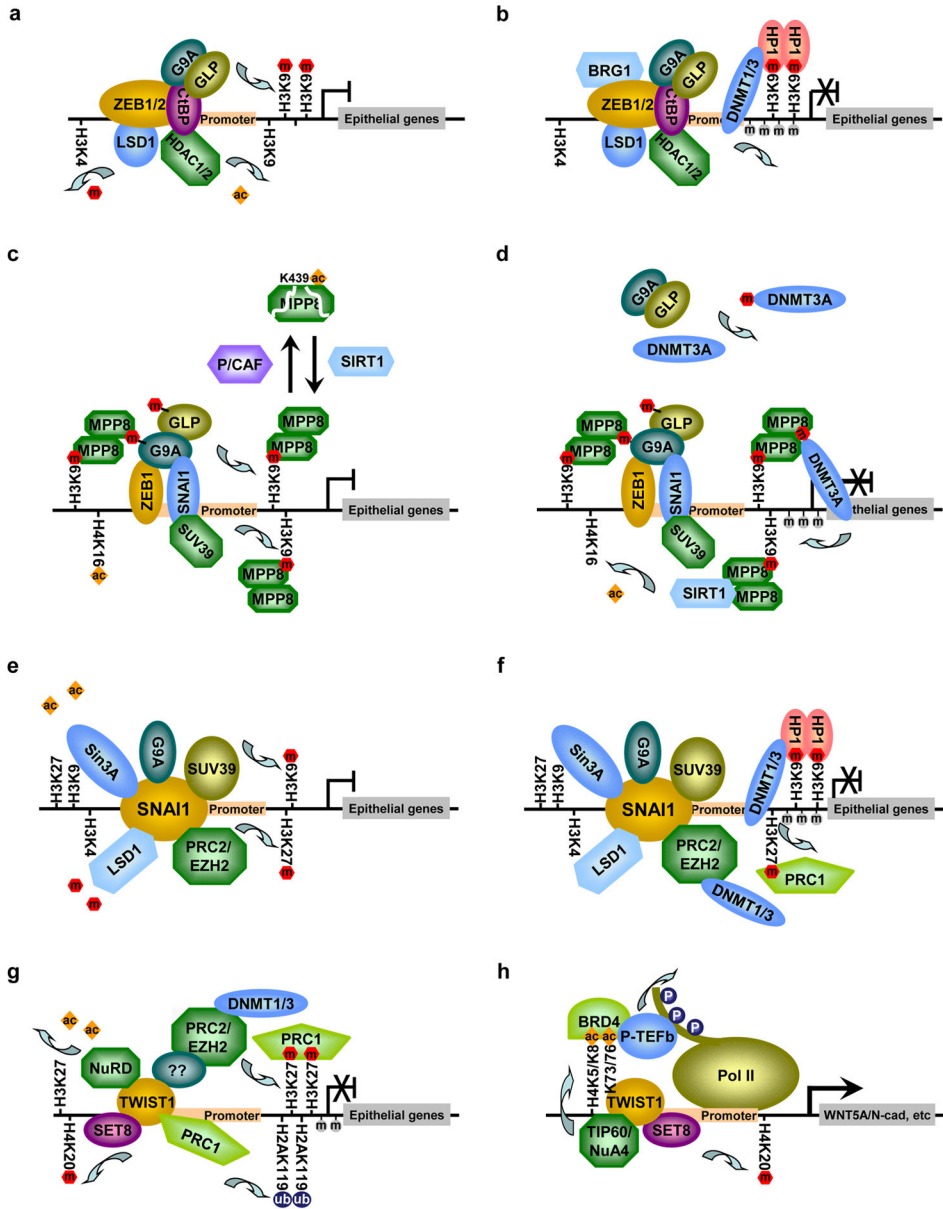
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**Figure 1. The coordinated transcription regulations mediated by different EMT-TFs and chromatin modifying proteins**  
**(A)** ZEB1/2 recruit CtBP co-repressor complex in which HDAC1/2 and LSD1 remove histone acetylation and H3K4 methylation respectively whereas G9a/GLP introduce H3K9 methylation. **(B)** H3K9 methylation marks are recognized by HP1 proteins which further recruit DNMTs for promoter CpG methylation. **(C)** ZEB1 and SNAI1 recruit multiple H3K9 HMTases to target promoters while the resulting methylation and G9a/GLP self-methylation could target MPP8 for methyl-H3K9 binding. MPP8 protein stability is dynamically regulated by P/CAF- and SIRT1-catalyzed MPP8-K439 acetylation and deacetylation respectively. **(D)** G9a/GLP also methylate DNMT3A while the resulting K47 methylation facilitates DNMT3A recruitment by MPP8. **(E)** SNAI1 recruits multiple histone modifying enzymes to remove permissive histone acetylation and H3K4 methylation to introduce

repressive H3K9 methylation and H3K27 methylations. **(F)** DNMTs are further recruited by PRC2 and HP1 proteins to catalyze promoter CpG methylation. PRC1 is also recruited by binding to methyl-H3K27 marks on the promoter. **(G)** On epithelial gene promoters, TWIST1 recruits NuRD complex, SET8 and PRC2 for histone deacetylation, H4K20 methylation and H3K27 methylation respectively. It also recruits PRC1 directly or indirectly to introduce H2AK119 mono-ubiquitination. **(H)** On mesenchymal gene promoters, SET8-catalyzed H4K20 methylation serves as transcription activation mark (N-cadherin promoter). TWIST1 also forms a protein complex with TIP60/NuA4 which acetylates histone H4 and TWIST1. The acetylated lysines are recognized by BRD4 which in turn recruits P-TEFb and Super Elongation Complex to facilitate transcription (WNT5a promoter).