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## Gene Expression Profiling of Evening Fatigue in Women Undergoing Chemotherapy for Breast Cancer

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### Abstract

Moderate to severe fatigue occurs in 14% to 96% of oncology patients undergoing active treatment. Current interventions for fatigue are not efficacious. A major impediment to the development of effective treatments is a lack of understanding of the fundamental mechanisms underlying fatigue. In the current study, differences in phenotypic characteristics and gene expression profiles were evaluated in a sample of breast cancer patients undergoing chemotherapy (CTX) who reported low (n=19) and high (n=25) levels of evening fatigue. Compared to the low group, patients in the high evening fatigue group reported lower functional status scores, higher comorbidity scores, and fewer prior cancer treatments. One gene was identified as up-regulated and eleven genes were identified to be down-regulated in the high evening fatigue group. Gene set analysis found 24 down-regulated and 94 simultaneously up and down perturbed pathways between the two fatigue groups. Transcript Origin Analysis found that differential expression originated primarily from monocytes and dendritic cell types. Query of public data sources found 18 gene expression experiments with similar differential expression profiles. Our analyses revealed that inflammation, neurotransmitter regulation, and energy metabolism are likely mechanisms associated with evening fatigue severity; that CTX may contribute to fatigue seen in oncology patients; and that the patterns of gene expression may be shared with other models of fatigue (e.g., physical exercise, pathogen-induced sickness behavior). These results suggest that the mechanisms that underlie fatigue in oncology patients are multi-factorial.

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## Keywords

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## INTRODUCTION

In women undergoing chemotherapy (CTX) for breast cancer, prevalence rates for fatigue range from 30% to 80% (Alcantara-Silva, Freitas-Junior, Freitas, & Machado, 2013). The severity of fatigue varies over the course of a day and displays marked inter-individual variability (Dhruva et al., 2010; B. A. Fletcher et al., 2009; Miaskowski et al., 2008; Molassiotis & Chan, 2004). Some of this inter-individual variability is explained by the fact that morning and evening fatigue are distinct but related symptoms (Dhruva et al., 2013; Dhruva et al., 2010; Miaskowski et al., 2008). Of note, morning and evening fatigue are distinguished by different phenotypic and genotypic characteristics. For example, a higher number of comorbid conditions was associated with more severe morning fatigue, whereas caring for children at home was associated with more severe evening fatigue (Dhruva et al., 2010). Variations in interleukin (IL) 8 and tumor necrosis factor alpha (TNFA) were associated with the severity of morning fatigue, whereas variations in interleukin 1 receptor 2 (IL1R2), IL4, IL6, and TNFA are associated with the severity of evening fatigue (Aouizerat et al., 2009; Dhruva et al., 2014; Miaskowski et al., 2010). A better understanding of the unique phenotypic and molecular characteristics associated with morning and evening fatigue would provide the means to identify high risk patients and to develop and test interventions for these devastating symptoms.

Difficulties in the diagnosis and treatment of fatigue are related to our lack of understanding of the fundamental mechanisms that underlie this debilitating symptom. Work by our group (Aouizerat et al., 2009; Miaskowski et al., 2010) and others (Bower et al., 2013; Bower et al., 2009; Collado-Hidalgo, Bower, Ganz, Irwin, & Cole, 2008; Reinertsen et al., 2011) suggest that inflammation plays a role in the development of fatigue. However, while it is safe to say that the mechanisms for fatigue are multi-factorial (Ryan et al., 2007), the causative pathways remain to be identified. One approach to identify additional mechanism(s) for fatigue is to evaluate for changes in gene expression associated with this symptom.

To date, only six studies, in four independent samples, have evaluated for differences in gene expression associated with fatigue in oncology patients (Bower, Ganz, Irwin, Arevalo, & Cole, 2011; Hsiao, Araneta, Wang, & Saligan, 2013; Hsiao, Wang, Kaushal, & Saligan, 2013; Landmark-Hoyvik et al., 2009; Light et al., 2013; Saligan et al., 2013). One study evaluated changes in gene expression in pathways solely involved in mitochondrial function (Hsiao, Wang, et al., 2013). In another study (Light et al., 2013), gene expression was evaluated for a number of specific pathways (e.g., adrenergic, monoamine and peptides). Of the four studies that collected whole-transcriptome measurements, three focused on select genes and pathways related to mitochondrial function (Hsiao, Araneta, et al., 2013) and/or inflammation and immune function (Bower et al., 2011; Hsiao, Araneta, et al., 2013; Saligan

et al., 2013). Only one study reported findings on the whole transcriptome (Landmark-Hoyvik et al., 2009). In all six studies, a general measure of fatigue was used and diurnal variability in fatigue was not evaluated. Based on previous work on diurnal variations in fatigue (Aouizerat et al., 2009; Dhruva et al., 2013; Miaskowski et al., 2010), an evaluation of one dimension of fatigue (i.e., evening fatigue) may improve our ability to detect differences in gene expression between patients who do and do not experience fatigue. Additional studies are needed that apply hypothesis-generating approaches utilizing the entire transcriptome in order to identify novel pathways and processes associated with fatigue in oncology patients.

In this study, we evaluated for differences in gene expression in peripheral leukocytes of patients with low and high levels of evening fatigue. The role of central versus peripheral mechanisms in the development and maintenance of fatigue continues to be debated (Yavuzsen et al., 2009). However, peripheral changes in the expression of pro-inflammatory cytokine genes can influence neural and endocrine activity (Dantzer, O'Connor, Freund, Johnson, & Kelley, 2008) and contribute to a reciprocal regulation between the neural and innate immune systems termed the "neuro-immune circuit" (Irwin & Cole, 2011). This neuro-immune circuit originates with the innate immune system (Cole, Hawkley, Arevalo, & Cacioppo, 2011; Powell, Mays, Bailey, Hanke, & Sheridan, 2011). Pro-inflammatory cytokines can cross the blood brain barrier (Quan & Banks, 2007). In addition, increased synthesis of cytokines in the brain can occur in response to peripheral input (Quan & Banks, 2007). Therefore, studies of gene expression from peripheral leukocytes will provide valuable information on fatigue.

Evaluation of the parallel expression measures of a genome (e.g., the transcriptome) will increase our understanding of the functions of various genes as well as their contributions to the biology of an organism (Butte, 2002). Moreover, novel statistical approaches permit the identification of differential gene expression patterns at the level of a gene, a biological pathway, and the entire transcriptome. The aim of this study, in a sample of patients undergoing CTX for breast cancer (n=44), was to use high throughput methods to determine if there are changes in gene expression in peripheral leukocytes associated with high and low levels of evening fatigue. The analytic methods employed in this study included: the use of microarray data to identify genes and pathways associated with evening fatigue; the use of bioinformatic analyses to infer the cellular origin for the differences in gene expression detected in peripheral leukocytes; and an interrogation of publically available transcriptome gene expression experiments that share a similar pattern with the gene expression differences identified in our sample.

## METHODS

A detailed description of the methods is found in Supplemental File 1.

### Patients and Settings

This longitudinal study enrolled patients who were 18 years of age; had a diagnosis of breast, gastrointestinal, gynecological, or lung cancer; had received CTX within the preceding four weeks; were scheduled to receive at least two additional cycles of CTX; were

able to read, write, and understand English; and gave written informed consent. Patients were recruited from two Comprehensive Cancer Centers, one Veteran's Affairs hospital, one public hospital, and four community-based oncology practices. The first 44 eligible patients with breast cancer were included in this study.

### Instruments

A demographic questionnaire obtained information on age, gender, ethnicity, marital status, living arrangements, education, employment status, and income. The Karnofsky Performance Status (KPS) scale was used to evaluate patients' functional status (Karnofsky, Abelmann, Craver, & Burchenal, 1948). The Self-administered Comorbidity Questionnaire (SCQ) evaluated the occurrence, treatment, and functional impact of common comorbid conditions (e.g., diabetes, arthritis) (Sangha, Stucki, Liang, Fossel, & Katz, 2003).

The Lee Fatigue Scale (LFS) consists of 13 items designed to assess physical fatigue (K. A. Lee, Hicks, & Nino-Murcia, 1991). A total mean fatigue score was calculated with higher scores indicating greater fatigue severity. Patients were asked to rate each item based on how they felt prior to going to bed each night over the previous week (i.e., evening fatigue). The LFS has well-established validity and reliability. A score of >5.6 indicates a clinically meaningful level of evening fatigue (B. S. Fletcher et al., 2008). From the first 50 patients with breast cancer enrolled in the parent study, data from 44 patients were selected to enrich for low (LFS score <5.6, n=19) and high (LFS score ≥ 5.6, n=25) levels of evening fatigue.

### Study Procedures

The study was approved by the Committee on Human Research at the University of California, San Francisco (UCSF) and by each of the study sites. A research staff member approached patients who had received at least one cycle of CTX in the infusion unit to discuss participation in the study. All patients signed written informed consent. Depending on the length of their CTX cycles, patients completed study questionnaires in their homes, a total of six times over two cycles of CTX (i.e., prior to the next CTX administration (enrollment), approximately 1 week after CTX administration, approximately 2 weeks after CTX administration). For this paper, mean evening fatigue scores at the time of enrollment were used in the analyses. Medical records were reviewed for disease and treatment information.

### Gene Expression Measurements

**Sample processing**—Total ribonucleic acid (RNA) was extracted from whole blood collected into PAXgene RNA Stabilization tubes and processed using a standard protocol (Qiagen, USA). The blood specimen was collected prior to administration of CTX. RNA concentration was measured by NanoDrop UV spectrophotometry (ThermoScientific, USA). RNA integrity was evaluated using the RNA 6000 Nano Assay (Agilent, USA). All RNA samples were determined to be of good quality (i.e., RNA Integrity Number (RIN) ≥ 8) and were retained for gene expression profiling.

**Microarray hybridization**—For each sample, approximately 100 nanograms of total RNA was labeled using the Illumina Total Prep RNA Amplification Kit (Ambion, USA) and then

hybridized to the HumanHT-12 v4.0 Expression BeadChip (47,214 transcripts) (Illumina, USA). The BeadChips were scanned using iScan system (Illumina, USA) at the UCSF Genomics Core Facility. Each HumanHT-12 BeadChip contains 12 sample BeadArrays. Forty-seven samples were measured (i.e., 44 patient specimens, 3 technical replicates). To assess for between-BeadChip variation, one sample was repeated on each of the four BeadChips at a different physical BeadArray position.

**Microarray preprocessing and normalization**—Summary level data from the uncorrected, non-normalized, and non-transformed summary intensities at the probe level were calculated. Data preparation and analyses were conducted using two well-established protocols (Gentleman et al., 2004; Luo, Friedman, Shedden, Hankenson, & Wolf, 2009). The quality control procedures and associated results are described in detail in Supplemental File 1 and summarized in Supplemental File 2. None of the samples displayed unusual distance between arrays or array signal intensity distributions. Background correction, quantile normalization, and  $\log_2$  transformation were performed using limma (Smyth, 2005). Probes with insufficient expression measurements were excluded, leaving 34,267 assays spanning 16,980 genes for analysis (Supplemental File 2, Supplemental Figure 1, panel B). The reliability of the expression measurements were supported by a high level of correlation between quadruplicate arrays across all filtered assays (mean pairwise Pearson's  $\rho = 0.95$ ). These values were significantly higher than those observed between all samples (mean pairwise Pearson's  $\rho = 0.92$ , Welch two sample t-test  $p < 1.31 \times 10^{-12}$ ). Finally, potential clustering of samples was evaluated by principal components analysis. No obvious clustering by fatigue group was observed (data not shown) and no adjustment for batch effects was warranted.

## Data Analyses

**Demographic and clinical data**—Demographic and clinical data were analyzed using SPSS version 22 (IBM, Armonk, NY) and Stata version 13.0 (StataCorp, College Station, TX). Descriptive statistics and frequency distributions were calculated for demographic and clinical characteristics as well as for fatigue severity. The two evening fatigue groups were defined as individuals with LFS scores below ( $< 5.6$ ,  $n=19$ ) or above ( $\geq 5.6$ ,  $n=25$ ) the clinically meaningful cut-off score for evening fatigue (B. S. Fletcher et al., 2008). Independent sample t-tests, Mann-Whitney U tests, Chi square tests, and Fisher's Exact tests were used to evaluate for differences in demographic and clinical characteristics between the two evening fatigue groups. Effect size was calculated using Cohen's  $d$  statistic (Cohen, 1988).

**Differential gene expression**—Differential expression (DE) of genes can offer insights into the biological processes that influence inter-individual variability in evening fatigue. Although numerous approaches are used to identify between group differences in DE (Jeanmougin et al., 2010), we selected two well-known methods, namely the  $t$ -test using GenePattern and an estimation of gene-by-gene variance with 'limma' (Figure 1, blue outline).

Unsupervised clustering was used to evaluate the resolution of the two evening fatigue groups by the DE genes identified using limma and GenePattern. Evening fatigue group membership was then mapped onto the samples to visualize the degree to which the DE genes distinguished between patients with high versus low levels of evening fatigue (Figure 1, blue outline).

**Differential pathway perturbation**—Since pathway analysis is performed at the level of the gene, a summary signal estimate of expression was calculated from all valid probes spanning each gene (Reimers, 2010). Summary signal intensities were obtained for 16,980 genes. Differential pathway perturbation was performed using competitive analysis with the R package ‘GAGE’ (Generally Applicable Gene Set Enrichment) (Luo et al., 2009). By excluding genes with insufficient background expression levels and utilizing a whole-genome gene expression microarray, the impact of known limitations and spurious results associated with competitive approaches was minimized (Figure 1, purple outline) (Tripathi, Glazko, & Emmert-Streib, 2013).

Pathways and gene sets were defined using the 177 Kyoto Encyclopedia of Genes (KEGG) (Aoki-Kinoshita & Kanehisa, 2007), 259 BioCarta (Nishimura, 2001) and 17,202 Gene Ontology (GO) (Harris et al., 2004) annotated sets provided by the ‘gageData’ R package. Pathways model the complex interactions between genes in a biological setting and are not expected to be solely simultaneously all up- (or down-) regulated. Rather, perturbations are more likely to consist of a mixture of up- and down-regulation. As such, we tested for differential perturbations under three models: up-regulation, down-regulation, and both (simultaneous up/down or “2d”). While all of the genes in each pathway were included in this analysis, only a subset of these genes had discernible expression changes above background (termed “essential contributing (EC) genes”).

**Transcript Origin Analysis (TOA)**—Peripheral blood contains a heterogeneous population of nucleated immune cells (e.g., B-cells, CD4<sup>+</sup> T-cells, CD8<sup>+</sup> T-cells, dendritic cells, monocytes, natural killer (NK) cells). Each cell type is involved in unique biological processes and expresses different subsets of genes (e.g., genes involved in different biological pathways). In order to identify the cell type(s) of origin for genes and/or pathways that were significantly DE between the high and low evening fatigue groups, the TOA test was used (Figure 1, red outline) (Cole et al., 2011). We implemented the TOA methodology described by Cole et al. (Cole et al., 2011) using Python. Our implementation was diagnostic for B-cells, CD4<sup>+</sup> T-cells, dendritic cells, monocytes, and NK cells (Supplemental File 3). We were not able to sufficiently validate for diagnosticity of CD8<sup>+</sup> T cells and consider any results that identified this class of cells as unreliable.

**Objective query of publically available transcriptome experiments**—To better categorize and understand the biological significance of the “molecular signatures” associated with evening fatigue, a data driven approach was employed to leverage the collection of over 1800 data sets available in the National Center for Biotechnology Information (NCBI) Gene Expression Omnibus (GEO) and to identify curated datasets with similar DE patterns (Figure 1, orange outline). Specifically, we employed ProfileChaser (Engreitz et al., 2010) (<http://profilechaser.stanford.edu/>) to identify DE profiles that existed



in GEO similar to the ones that we identified between the low and high evening fatigue groups.

Two independent reviewers curated the abstracts for each published study from the candidate profiles with similar DE patterns for conceptual relevance to evening fatigue. If either reviewer selected an abstract for consideration, it was included for further evaluation. Then, the factor comparison identified by ProfileChaser was evaluated in order to exclude uninterpretable comparisons. While a large number of significant hits were expected, false positives needed to be identified and culled (Engreitz et al., 2010). As hypothesized, many of the significantly similar profiles were not appropriate for our study due to the content-agnostic fashion (i.e., no pairwise comparisons are excluded) in which the universe of target profiles were generated (e.g., a “sample ID” factor which splits samples based on the individual ID of samples), or easily interpretable in the context of our current study (e.g., a factor splitting samples based on expression at different time points of a yeast colony’s development). Manuscripts from profile matches for all studies of interest to either reviewer (KMK, BEA) were retained. From this list, the full manuscript and supplemental materials were collected and reviewed.

## RESULTS

### Patient Characteristics

The total sample consisted of 44 women undergoing CTX for breast cancer. As shown in Table 1, the majority of the patients were college graduates and Caucasian with a mean age of 56.1 ( $\pm$  9.5) years. Patients had a mean KPS score of 80.9 ( $\pm$  12.9) and a mean SCQ score of 5.8 ( $\pm$  3.5). The mean fatigue score for the total sample was 5.6 ( $\pm$  2.2). The scores for the low (n=19) versus the high (n=25) evening fatigue groups were 3.7 ( $\pm$  1.7) and 7.1 ( $\pm$  1.2), respectively (p<0.001).

### Differences in Demographic and Clinical Characteristics Between the Two Fatigue Groups

Table 1 summarizes the differences in demographic and clinical characteristics between the low and high evening fatigue groups. Compared to the low group, patients in the high evening fatigue group reported a lower KPS score, had a higher comorbidity score, and had a lower number of prior cancer treatments.

### Differences in Gene Expression Between the Two Fatigue Groups

The transcriptomic analysis was done using the Human HT-12 Expression BeadChip. One DE gene was identified by limma and eleven DE genes were identified by GenePattern (Table 2). Among these twelve genes, one gene was up-regulated and eleven genes were down-regulated in the high evening fatigue group. A heatmap of the two class cluster analysis of these twelve genes revealed that the DE genes noticeably, but incompletely, distinguished between the low and high evening fatigue groups (Supplemental File 4 Figure S2).

Three of the genes identified (i.e., cDNA FLJ25030 fis, clone CBL02631 (Hs.650028); *Homo sapiens* hypothetical protein MGC13005; chromosome 1 open reading frame 61

(C1orf61)) do not have established functional roles. However, the remaining eight genes can be categorized into three groups based on their known function(s) (Summarized in Supplemental File 5 Table S2): immune activation (i.e., Calpain, small subunit 1 (CAPSN1), COMM domain containing 9 (COMMD9), Cathepsin Z (CTSZ), Defensin, beta 103B (DEF103B), Docking protein 2, 56kDa (DOK2), Transcription elongation factor A (SII)-like 1, transcript variant 2 (TCEAL1), Yip1 interacting factor homolog B (*S. cerevisiae*), transcript variant 4 (YIF1B)); energy metabolism and physical activity (i.e., TCEAL1, Enoyl Coenzyme A hydratase 1, peroxisomal (ECH1), YIF1B, Fructose-1,5-bisphosphatase 1 (FBP1)); and serotonergic activation (i.e., YIF1B).

### Differentially Perturbed Pathways Between the Two Fatigue Groups

Gene set analysis was performed to discover differences between the low and high evening fatigue groups in perturbations of genes that operate together in pathways. KEGG pathways are the primary focus of this manuscript given their superior depth of annotation and rich usage in pathway analysis. No up-regulated, 24 down-regulated, and 94 2d perturbed KEGG pathways were identified that differentiated between the two evening fatigue groups (Table 3). Only those down-regulated pathways that were not identified as 2d perturbed are listed in Table 3 (i.e., 5 of 24). A listing of all 19 KEGG down-regulated, GO, and BioCarta analyses are provided in Supplemental File 6.

Differentially expressed pathways were broadly categorized into those associated with immune cell replenishment and activation (n=30); cellular metabolism and protein synthesis (n=56); DNA synthesis, repair and cell division (n=10); and neurological activity (n=3). TOA inferred that the DE pathways originated from B-cells, dendritic cells, monocytes, and NK cells. A dearth of DE pathways were identified as unambiguously originating from CD4+ T-cells.

When the two fatigue groups were compared, significantly differentially perturbed cytokine pathways were identified from KEGG (KEGG: hsa04920), GO (GO: 0019221, GO: 0071345, GO: 0034097, GO: 0019221, GO: 0071345, GO: 0034097), and BioCarta (gata3pathway), as well as inflammation and immune-response pathways from GO (GO: 0006954, GO:0002472, GO:0002252) and BioCarta (il1rpathway, il2pathway, il3pathway, il4pathway, il6pathway, il10pathway, il22pathway, nthipathway) (Table 3 and Supplemental File 6).

Prior to multiple hypothesis correction, several cytokine-related genes were DE between the fatigue groups. Among 57 (94 probes) of 70 measured genes that are involved in the adipocytokine signaling pathway (KEGG: hsa04920), five genes had probes identified by limma to be DE prior to statistical correction: retinoid X receptor, gamma (RXRG), v-akt murine thymoma viral oncogene homolog 3 (AKT3), acyl-CoA synthetase long-chain family member 4 (ACSL4), inhibitor of kappa light polypeptide gene enhancer in B-cells, kinase gamma (IKBKG), and signal transducer and activator of transcription 3 (acute-phase response factor) (STAT3) (all  $p < 0.03$ ). Among 114 genes (160 probes) of 265 measured genes that are involved in the cytokine-cytokine receptor interaction pathway (KEGG: ksa04060), two genes had probes identified by limma to be DE prior to statistical correction: platelet factor 4 (PF4) and chemokine (C-X-C motif) receptor 5 (CXCR5) (all  $p < 0.02$ ). Gene



expression studies of fatigue in a larger sample may result in the detection of cytokine gene expression differences that survive statistical correction for multiple testing.

Differentially perturbed pathways related to DNA synthesis, repair, and cell division included DNA replication (hsa03030), cell cycle (hsa04110), nucleotide excision repair (hsa03420), and base excision repair (hsa03410) (Table 3 and Supplemental File 6).

Cellular metabolism and protein synthesis pathways that were differentially perturbed include glycolysis/gluconeogenesis (hsa00010), oxidative phosphorylation (hsa000190), fructose and mannose metabolism (hsa00051), amino sugar and nucleotide sugar metabolism (hsa00520) and the citrate cycle (TCA cycle) (hsa00020) from KEGG, and mitochondrial matrix (GO:0005759), membrane (GO:0031966), inner membrane (GO:0005743) and envelope (GO:0005740), generation of precursor metabolites and energy (GO:0006091), oxidative phosphorylation (GO:0006119), and respiratory chain (GO:0070469) from GO (Table 3 and Supplemental File 6).

Finally, differentially perturbed pathways related to neurotransmission included: Long-term potentiation (hsa04720), soluble NSF [N-ethylmaleimide-sensitive factor] attachment protein receptors (SNARE) interactions in vesicular transport (hsa04130), Mitogen-activated protein kinase (MAPK) signaling (hsa04010) and epidermal growth factor receptor (avian erythroblastic leukemia viral (v-erb-b) oncogene homolog) (ErbB) signaling (hsa04012) pathways in KEGG and the gamma-aminobutyric acid (GABA) receptor lifecycle (gabapathway) and MAPKinase Signaling (mapkpathway) pathways in BioCarta (Table 3 and Supplemental File 6).

### Transcript Origin Analysis (TOA)

Peripheral blood contains a heterogeneous population of nucleated cells from which gene expression data are derived. TOA is used to identify the cell lineage with the highest degree of statistical significance for a group of DE genes (Cole et al., 2011). The cell types that can be distinguished included B cells, CD4+ T-cells, dendritic cells, monocytes, and NK cells. Diagnosticity scores were successfully mapped for: twelve out of the combined set of the thirteen genes in Table 2 that were found to be DE between fatigue groups; for 70 of 77 EC genes from all 22 down-regulated KEGG pathways; and for 907 of 980 EC genes from 94 2d perturbed KEGG pathways (Supplemental File 6). In total, TOA unambiguously inferred the origin of 77 of the 99 (78%) differentially perturbed KEGG pathways listed in Table 3. While the individual perturbed pathways originated primarily from monocytes, some originated from dendritic cells, NK cells, B-cells, and CD4+ T-cells (Table 4). The transcript origin for each differentially perturbed pathway is identified in Supplemental File 6 and is summarized in Supplemental File 7.

### Identification of similar whole-transcriptome gene expression experiments

ProfileChaser was used to identify publically available gene expression studies and associated publications that shared a similar whole-transcriptome pattern of differential gene expression. The significant GEO DataSets (GDSs) identified across all five rounds of the split analyses (n=108) were retained (Supplemental Files 8 and 9). Abstracts for the original source publications obtained from the 108 GDSs (n=102), were reviewed by two

independent reviewers (BEA, KMK) for their relevance to fatigue. A total of 44 abstracts were selected and the complete publication was evaluated. Of these 44 manuscripts, 20 were retained after full evaluation of the experimental profiles. These 20 publications, representing 18 unique GDSs, were reviewed and categorized in terms of providing “strong” (n=14), “moderate” (n=1), or “weak” (n=5) insights into the mechanism(s) that contribute to fatigue (Supplemental File 9).

## DISCUSSION

### Differences in Demographic and Clinical Characteristics

This study is the first to evaluate for differences in gene expressions and perturbed pathways in breast cancer patients who reported low and high levels of evening fatigue during CTX. The between group differences in fatigue severity scores represent not only statistically significant, but clinically meaningful differences (Cohen’s  $d=1.5$ ) (Osoba, 1999). Consistent with previous reports, patients in the high fatigue group had a poorer functional status (Dhruva et al., 2013; Hofso, Miaskowski, Bjordal, Cooper, & Rustoen, 2012) and a more severe comorbidity profile (Berger, Gerber, & Mayer, 2012).

The more surprising and intriguing finding was the associations identified between a lower number of previous cancer treatments and membership in the high fatigue group. While this finding needs to be validated in an independent sample, a number of plausible explanations can be postulated. Patients who are in later stages of their disease trajectory may experience a “response shift” in their perception of fatigue. First used in oncology to describe changes over time in QOL (Schwartz & Sprangers, 1999), a “response shift” is an age-related psychological shift that represents a change in a person’s internal framework for the assessment of experiences (Costanzo, Ryff, & Singer, 2009). In this context, patients in the low fatigue group who had received prior cancer treatments may have changed their internal conceptualization of fatigue based on their previous experiences with the symptom. An alternative hypothesis is that with prolonged cancer treatment, patients may develop tolerance to the physiologic responses that contribute to the development of fatigue. Another alternative hypothesis is that there may be a “selection bias”, where more women who had previous cancer treatments (e.g., due to tolerance or increased survival) volunteered to participate in this study. Longitudinal studies, that assess both phenotypic and epigenetic trajectories associated with fatigue, are needed to confirm or refute these hypotheses.

### Differences in Gene Expression

In this study, a data driven analysis was performed at the levels of the gene, pathway, and the entire transcriptome to evaluate for differences in gene expression between patients who reported high versus low levels of evening fatigue (Figure 1). Using TOA, differential gene expression and perturbed pathway expression originated from several cell types (Supplemental File 7), but the majority of the signals originated from monocytes, dendritic cells, B-cells, and NK cells (Table 4). Our profile is consistent with gene expression experiments that evaluated the effects of exhaustive physical exercise (Supplemental File 9). In addition, the expression profiles for the high evening fatigue group were consistent with a number of gene expression studies that evaluated sickness behavior (Calvano et al., 2005;

Dennehy, 2007; Foteinou, Calvano, Lowry, & Androulakis, 2010; Rodriguez et al., 2007; Wurfel et al., 2005), circadian rhythm disruption (Bailey et al., 2009; Yang et al., 2007), and mechanisms of neuro-inflammation (Irwin & Cole, 2011). Of note, the DE genes (Table 2) and pathways (Table 3) identified using transcriptomic analyses in the current study are consistent in that these genes play roles in sickness behavior, inflammation, mitochondrial dysfunction, circadian rhythm disruption, and serotonin regulation. Taken together, our results and those of others described below provide a more complete picture of the mechanisms that underlie evening fatigue in oncology patients.

Twelve genes were identified that were DE between the low and high evening fatigue groups (Table 2). While the expression pattern of these twelve genes distinguished between patients in the two fatigue groups (Figure 2), this distinction was not perfect and suggests that additional genes remain to be identified. TOA of this set of twelve DE genes found that the expression patterns originated predominantly from monocytes. This finding is consistent with previous reports that noted that altered cytokine production in monocytes is associated with fatigue in oncology patients with breast cancer (Collado-Hidalgo, Bower, Ganz, Cole, & Irwin, 2006; Saligan & Kim, 2012).

### **Inflammation and immune response**

In general, cytotoxic CTX kills rapidly proliferating cancer cells (Mitchison, 2012). While the targets are cancer cells, other rapidly dividing cells, including peripheral leukocytes, are depleted by CTX. The need for peripheral blood counts to recover is the primary reason that CTX regimens are administered in cycles. In addition, immune system effectors are impacted during and following CTX (Saligan & Kim, 2012). For example, increased CD4 T-cell counts, which may result in a prolonged pro-inflammatory state, are associated with increased fatigue in breast cancer survivors (Bower, Ganz, Aziz, Fahey, & Cole, 2003). Down-regulation of DEF103B in the high fatigue group may favor the production of pro-inflammatory cytokines, that are associated with increased fatigue severity (Aouizerat et al., 2009; Bower et al., 2011; Jager, Sleijfer, & van der Rijt, 2008; Miaskowski et al., 2010). Decreased expression of DOK2 in the high fatigue group may result in prolonged immune cell activation, which could lead to higher levels of evening fatigue. Decreased ECH1 gene expression in patients with higher evening fatigue may be associated with decreased energy production and delayed immune system recovery following CTX. While the function of YIF1B in peripheral leukocytes is unknown, reduction of YIF1B in the high fatigue group may result in decreases in HTR1A expression; decreases in intracellular cAMP; and decreases in immune activation (i.e., creates a pro-inflammatory state that results in fatigue). While it is not known whether lower YIF1B gene expression in the periphery is associated with lower gene expression in the central nervous system, increasing evidence suggests that peripheral gene expression reflects system wide biology (Liew, Ma, Tang, Zheng, & Dempsey, 2006). In addition to the identification of these DE genes with plausible inflammatory and immune mechanisms for evening fatigue, we identified a number of DE pathways associated with immune cell recovery following CTX.

Consistent with established associations between inflammatory cytokines and fatigue in oncology patients (Aouizerat et al., 2009; Bower et al., 2009; Miaskowski et al., 2010), two

fatigue groups were compared, we identified significantly differentially perturbed cytokine pathways as well as inflammation-related pathways. In addition, the BioCarta pathway (nthipathway) that was significantly differentially perturbed between the two evening fatigue groups (Supplemental File 6) is consistent with the over-representation of the NF- $\kappa$ B response elements reported by Bower and colleagues in breast cancer survivors (Bower et al., 2011). Common elements (i.e., genes) of the identified KEGG, GO, and Biocarta pathways that were identified as harboring polymorphisms associated with fatigue in oncology patients include: IL1B (Bower et al., 2013; Collado-Hidalgo et al., 2008; Reinertsen et al., 2011), IL4 (Doong et al., 2014), IL6 (Bower et al., 2013; Miaskowski et al., 2010; Reinertsen et al., 2011), and TNFA (Aouizerat et al., 2009; Bower et al., 2013; Dhruva et al., 2014). Genetic association studies with other members of the above-identified pathways should be evaluated in future studies.

Although DE genes and differentially perturbed pathways related to inflammation were observed, DE cytokines genes were not detected. This finding is consistent with previous research that failed to find an association between cytokine gene expression and levels of fatigue (Landmark-Hoyvik et al., 2009; Reinertsen et al., 2011). The lack of detectable differences in gene expression despite the repeated associations reported between cytokine genes and fatigue may be due to the timing of cytokine gene expression in relation to the experience of fatigue (i.e., may occur prior to the perception of fatigue). Alternatively, the DE genes and perturbed pathways detected in the current study represent upstream and/or downstream events in relation to cytokine gene expression. Finally, the conservative adjustment for multiple hypothesis testing may have resulted in the exclusion of gene expression signals that would be identified with larger samples.

### **Circadian rhythm**

The co-occurrence of (Davidson, MacLean, Brundage, & Schulze, 2002) and common genetic risk factors for (Aouizerat et al., 2009; Miaskowski et al., 2010), fatigue and sleep disturbance suggest that their mechanisms may overlap. Circadian influences on immune function may be particularly relevant to explain the relationship between sleep and fatigue in oncology patients undergoing CTX. Altered expression of circadian clock genes in peripheral leukocytes was observed in healthy individuals who experienced sickness behavior when exposed to endotoxin (Haimovich et al., 2010). The majority of immune cells (including NK cells) demonstrate circadian rhythmicity in healthy individuals (Mazzocchi et al., 2011). This rhythmicity may be perturbed during CTX. In support of this hypothesis, the KEGG circadian rhythm pathway (hs04710) was differentially perturbed in the high fatigue group. NK cells were the cell type of origin for this perturbation (Table 3). This observation is bolstered by the observation of malfunctioning NK cells in patients with chronic fatigue syndrome (Meeus, Mistiaen, Lambrecht, & Nijs, 2009) and warrants further study.

### **Neurotransmission**

An unexpected finding from the pathway analyses was the identification of pathways that participate in regulation of neurotransmission, including long-term potentiation of neurons (hsa04720, hsa04260), GABA receptor lifecycle (gabapathway), SNARE interactions in

vesicular transport (hsa04130), and ErbB signaling pathway (hsa04012) (Table 3 and Supplemental File 6). It should be noted that the “long-term potentiation of neurons” pathway may be a misnomer (i.e., because it share genes with other pathways not related to neurons). In addition, the long-term potentiation of neurons has not been characterized specifically in PBMC. Centrally, long-term potentiation plays an important role in a number of physiologic processes (e.g., learning, memory, pain). While GABA has an effect in peripheral tissue (Erdo & Wolff, 1990), it is the main inhibitory neurotransmitter in the cortex (Petroff, 2002). Serotonin is a neurotransmitter that modulates GABA. SNARE proteins are involved in cell signaling in neurons (Gotte & von Mollard, 1998). ErbB receptor tyrosine kinases perform a complex array of functions including regulation of neurotransmitter receptors (Bublil & Yarden, 2007). However, many patients with breast cancer undergo treatment to block ErbB2 (Yarden & Sliwkowski, 2001), so this pattern may reflect differences in treatment rather than levels of fatigue. While these results, combined with the potential roles of the DE genes CAPNS1 and YIF1B in neurotransmission, are intriguing, it is unclear if these peripherally perturbed genes and pathways reflect changes in gene expression in the central nervous system (Cole, 2013; Liew et al., 2006) that are associated with fatigue. If replicated in an independent sample, these pathways would warrant further study.

### Energy metabolism

Regulation and control of the expression of energy metabolism genes occur through a variety of processes that may be altered by the cancer or its treatment (Andrews, Morrow, Hickok, Roscoe, & Stone, 2004). Radiation treatment or CTX may result in a decrease in adenosine triphosphate (ATP) regeneration. This disruption of ATP metabolism may lead to a reduction in mechanical ability (Ryan et al., 2007). The differences in expression patterns in pathways related to mitochondrial or energy metabolism found in our study, parallel a report of associations between fatigue and mitochondrial function genes in patients receiving radiation therapy for prostate cancer (Hsiao, Wang, et al., 2013). The decreased expression of FBP1 in our high fatigue group may be associated with decreased energy production and an attempt to increase intracellular glucose in order to restore energy reserves. Importantly, mitochondrial dysfunction has pleiotropic effects (Chan, 2006) and is closely tied with other processes (e.g., inflammation (Liu et al., 2012)).

### Whole-transcriptome differential expression similarities with other studies

An evaluation of the studies identified by ProfileChaser suggests that the mechanisms that underlie fatigue in oncology patients are multi-factorial. Cytokine-induced sickness behavior (B. N. Lee et al., 2004) is a long-standing mechanism associated with common symptoms experienced by oncology patients, including fatigue. Interrogation of publically available transcriptome data sets using ProfileChaser revealed a preponderance of similarities between our gene expression study and studies that featured an inflammatory component. Five of the studies identified by ProfileChaser and retained after in-depth review employed various experimental models (e.g., healthy adults, acute pediatric viral infection) that incorporated an endotoxin or viral challenge (Calvano et al., 2005; Foteinou et al., 2010; Rodriguez et al., 2007; Wang et al., 2007; Wurfel et al., 2005). Similar to pathogen-mediated induction of cytokines, four studies employed a more direct induction of cytokines (e.g., interferon, IL2)

in a wide variety of experimental models (e.g., multiple sclerosis, rheumatoid arthritis) (Indraccolo et al., 2007; Zhang, Martino, & Faulon, 2007). Interestingly, in one murine model study that focused on radiation therapy (RT) (Goldrath, Luckey, Park, Benoist, & Mathis, 2004), a similar transcriptome profile to our study was found, which supports the extant literature that cancer treatments induce a pro-inflammatory response in peripheral leukocytes that is associated with increased fatigue.

One study described differences in the transcriptome of healthy volunteers who underwent exhaustive as compared to none or moderate physical exercise (Buttner, Mosig, Lechtermann, Funke, & Mooren, 2007). The gene expression differences between our low and high fatigue groups are similar to the differences found between the non-exhaustive and exhaustive exercise groups. These findings suggest that exhaustive exercise may result in gene expression differences that overlap with those that occur in patients with high evening fatigue.

Fatigue displays a diurnal variability (B. A. Fletcher et al., 2009; Miaskowski et al., 2008). In general, evening fatigue is more severe than morning fatigue and is associated with different risk factors (Dhruva et al., 2010). A novel study from ProfileChaser found diurnal variations in gene expression in the prefrontal cortex of rodents (Yang et al., 2007). The prefrontal cortex plays an important role in the regulation of sleep and fatigue. These findings corroborated the DE genes from our study known to be involved in diurnal processes (i.e., COMMD9 and CTSZ) (Yang et al., 2007). A complementary study identified diurnal variations in gene expression in the pineal gland of rodents (Bailey et al., 2009), that plays an important role in chronobiology and in diurnal variability of genes involved in immune/inflammatory responses.

To date, only two gene expression studies of fatigue in breast cancer survivors are published (Bower et al., 2011; Landmark-Hoyvik et al., 2009). While our findings and those of the previous studies identified differences in gene expression related to immune function and mitochondrial dysregulation, the specific genes and pathways differed. The lack of congruence among these studies may be due in part to different designs and study populations. The studies by Bower et al (Bower et al., 2011) and Landmark-Høyvik et al (Landmark-Hoyvik et al., 2009) evaluated breast cancer survivors with persistent fatigue and did not account for diurnal variations in fatigue severity. In the study by Bower et al. (Bower et al., 2011), only genes responsive to NF- $\kappa$ B or down-regulation of glucocorticoid-responsiveness were evaluated and discussed. The analytic approaches employed in our study and the one by Landmark-Høyvik et al (Landmark-Hoyvik et al., 2009) were different. ProfileChaser did not identify either study because one (i.e., Bower et al) did not exist in the Gene Expression Omnibus and the other (i.e., Landmark-Høyvik et al) was submitted but not curated as a GEO dataset (which are used exclusively by ProfileChaser).

## Limitations

Although the study findings do not provide direct support for the causal mechanisms for evening fatigue, they offer strong candidates for future functional, as well as intervention studies. Several limitations need to be acknowledged. While the sample size for this study is adequate or slightly larger than the typical gene expression study (Bower et al., 2011), a



larger independent sample may identify additional DE genes and pathways that differentiate between patients with high and low levels of evening fatigue. While all of the gene expression studies of fatigue in oncology patients, including our own, identified differences in genes involved in inflammation, the specific genes and pathways identified differed, which may be due to differences in the patients studied (e.g., patient receiving CTX versus survivors). The study sample is heterogenous for type of treatment received, disease stage, and number of previous CTX cycles. Finally, because the preponderance of DE genes and pathways identified by ourselves and others are related to inflammation, one can hypothesize that this finding may be a byproduct of the tissues studied (i.e., peripheral leukocytes). However, the transcriptome analyses (i.e., ProfileChaser) identified gene expression studies that were performed in non-immune cells, in numerous tissues, and under different experimental conditions. Until they are replicated, the current findings must be viewed as preliminary.

## CONCLUSIONS

This study is novel in that differences between patients with high and low levels of evening fatigue were evaluated at the level of genes, pathways, and the entire transcriptome. Our analyses revealed that pathways involved in inflammation, neurotransmitter regulation, and energy metabolism are likely associated with evening fatigue severity; that CTX may contribute to the severity of evening fatigue; and that the patterns of gene expression may be shared with other models of fatigue (e.g., physical exercise, pathogen-induced sickness behavior). Importantly, our findings suggest that the molecular mechanisms associated with evening fatigue are multifactorial and that these mechanisms interplay among themselves (e.g., neurotransmitter regulation and inflammation; inflammation and mitochondrial dysfunction). Future research will need to evaluate this potential interplay among pathways to determine the mechanisms that underlie evening fatigue.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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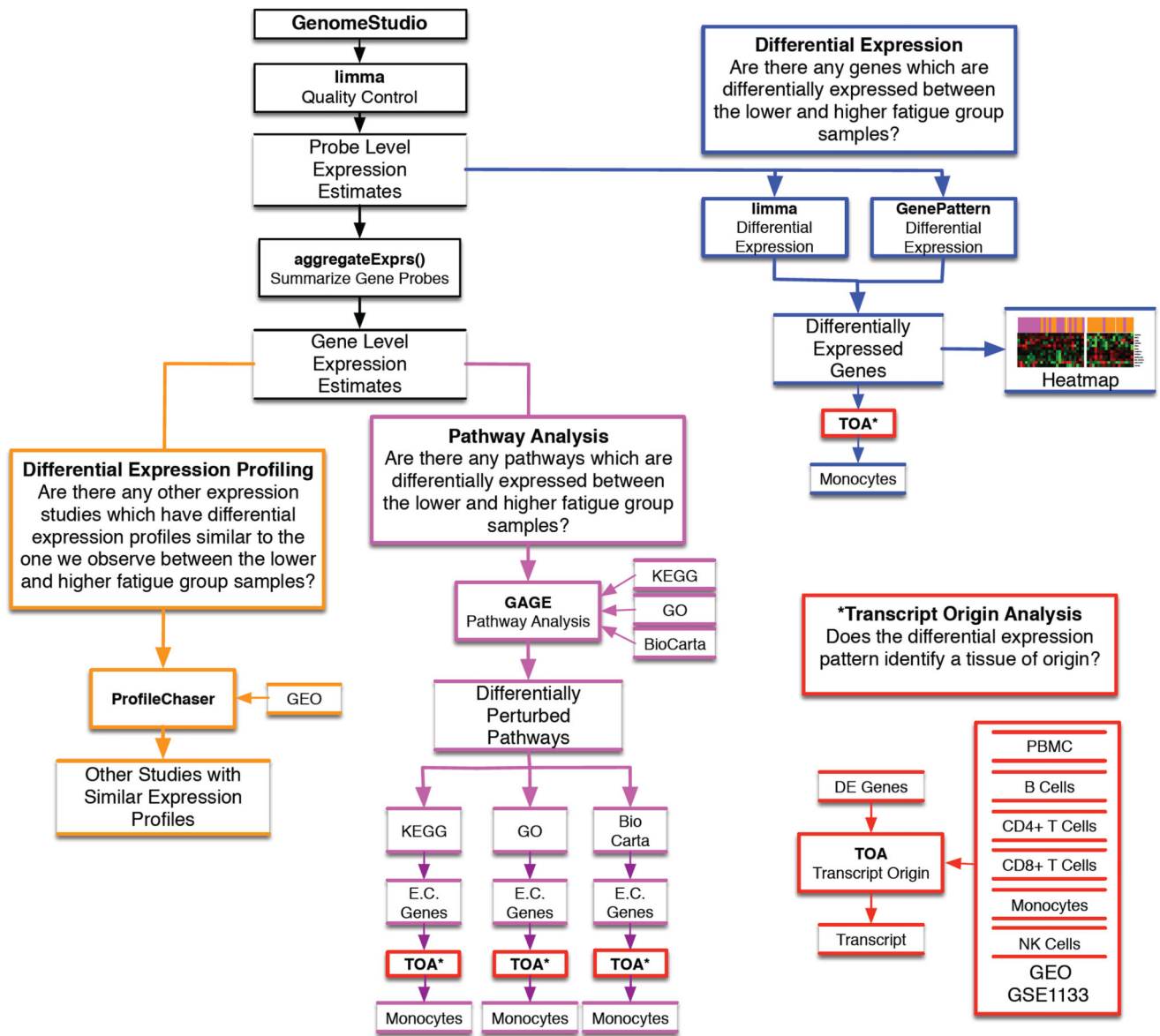
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**Figure 1. Experimental approach and analysis plan**

The diagram illustrates the analysis plan for the study, which includes differential expression (blue outline), differential expression profiling (orange outline), pathway analysis (purple outline), transcript origin analysis (red outline), and ProfileChaser (orange outline). Closed boxes denote analysis or software, and open boxes denote data or results.

Abbreviations: aggregateExprs() = a function contained in the PGSEA R statistical software package; DE = differentially expressed; E.C. Genes = essential contributing genes; GAGE = generally applicable gene set enrichment; GEO = Gene expression omnibus; GO = Gene Ontogeny; GSE = GEO series; KEGG = Kyoto Encyclopedia of Genes; NK = natural killer; PBMC = peripheral blood mononuclear cell (dendritic); TOA = Transcript Origin analysis.

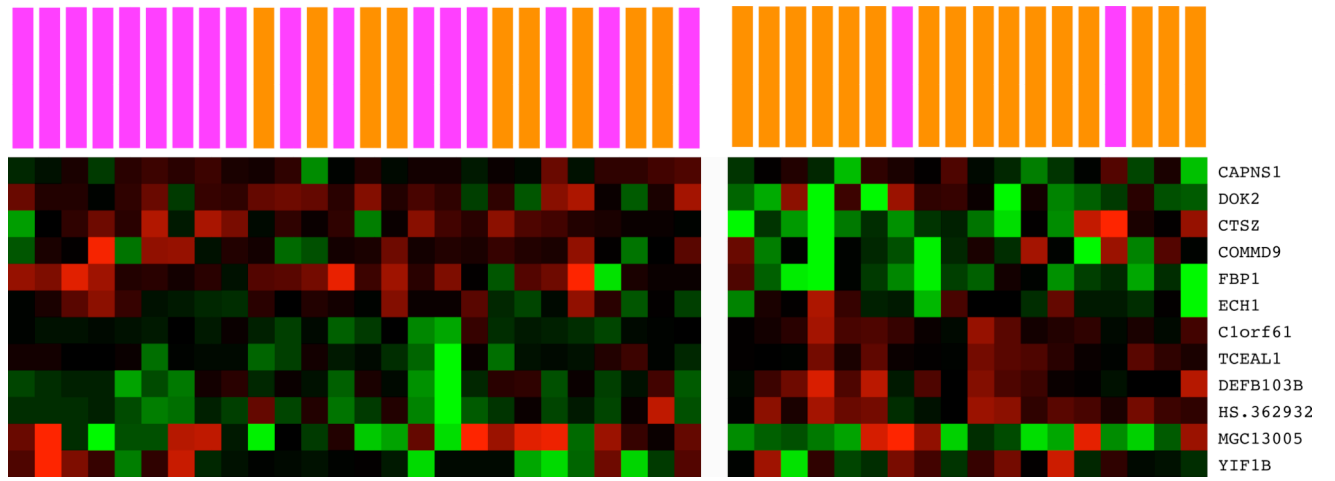


Figure 2.

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**Table 1**

Differences in Demographic and Clinical Characteristics Between the Low and High Evening Fatigue Groups

Characteristic	Total Sample (n=44) Mean (SD)	Low Evening Fatigue (n=19; 43.2%) Mean (SD)	High Evening Fatigue (n=25; 56.8%) Mean (SD)	Statistics
Age (years)	56.1 (9.5)	57.3 (7.8)	55.2 (10.7)	t=0.72, p=.474
Education (years)	16.4 (2.4)	15.9 (2.1)	16.8 (2.5)	t=-1.27, p=.211
Body mass index (kg/m <sup>2</sup> )	27.1 (6.4)	25.4 (3.9)	28.4 (7.6)	t=-1.64, p=.111
Karnofsky Performance Status score	80.9 (12.9)	86.3 (11.6)	76.8 (12.5)	t=2.58, p=.014
Self-administered Comorbidity Questionnaire score	5.8 (3.5)	4.5 (2.8)	6.8 (3.8)	t=-2.23, p=.031
Time since diagnosis (years)	4.6 (7.7)	5.5 (6.1)	3.9 (8.8)	U, p=.142
Time since diagnosis (median, years)	0.45	3.75	0.37	
Number of prior cancer treatments	2.1 (1.8)	2.8 (1.9)	1.6 (1.5)	t=2.32, p=.025
Number of metastatic sites including lymph node involvement <sup>A</sup>	1.6 (1.7)	2.1 (1.9)	1.3 (1.4)	t=1.56, p=.128
Number of metastatic sites excluding lymph node involvement	1.1 (1.4)	1.4 (1.5)	0.8 (1.2)	t=1.26, p=.215
LFS evening fatigue score	5.6 (2.2)	3.7 (1.7)	7.1 (1.2)	t=-7.66, p<.001
	% (n)	% (n)	% (n)	
Self-reported ethnicity				$\chi^2=4.96$ , p=.292
White	77.3 (35)	68.4 (13)	80.0 (20)	
Asian/Pacific Islander	11.4 (5)	15.8 (3)	8.0 (2)	
Black Non-Hispanic	4.5 (2)	10.5 (2)	4.0 (1)	
Hispanic/Mixed/Other	6.8 (3)	5.3 (1)	8.0 (2)	
Married or partnered (% yes)	72.7 (32)	84.2 (16)	64.0 (16)	FE, p=.181
Lives alone (% yes)	15.9 (7)	10.5 (2)	20.0 (5)	FE, p=.680
Currently employed (% yes)	29.5 (13)	31.6 (6)	28.0 (7)	FE, p=1.00
Annual household income				$\chi^2=2.83$ , p=.419
Less than \$30,000	2.8 (1)	0.0 (0)	4.3 (1)	
\$30,000 to \$70,000	16.7 (6)	23.1 (3)	13.0 (3)	
\$70,000 to \$100,000	8.3 (3)	0.0 (0)	13.0 (3)	
Greater than \$100,000	72.2 (26)	76.9 (10)	69.6 (16)	
Exercise on a regular basis (% yes)	77.3 (34)	78.9 (15)	76.0 (19)	FE, p=1.00
Child care responsibilities (% yes)	28.9 (11)	17.6 (3)	38.1 (8)	FE, p=.282

Characteristic	Total Sample (n=44) Mean (SD)	Low Evening Fatigue (n=19; 43.2%) Mean (SD)	High Evening Fatigue (n=25; 56.8%) Mean (SD)	Statistics
Elder care responsibilities (% yes)	13.9 (5)	12.5 (2)	15.0 (3)	FE, p=1.00
AJCC Status				$\chi^2=1.36, p=.715$
Stage 0	59.1 (26)	63.2 (12)	56.0 (14)	
Stage I	4.5 (2)	5.2 (1)	4.0 (1)	
Stage IIA, IIB	18.2 (8)	10.5 (2)	25.0 (6)	
Stage IIA,IIIB,IIIC,IV	18.2 (8)	21.1 (4)	16.7 (4)	
Prior cancer treatment <sup>B</sup>				$\chi^2=8.58, p=.035$
No prior treatment	14.3 (6)	11.8 (2)	16.0 (4)	
Only surgery, CTX, or RT	42.9 (18)	23.5 (4)	56.0 (14)	
Surgery and CTX, or surgery and RT, or CTX and RT	9.5 (4)	5.9 (1)	12.0 (3)	
Surgery and CTX and RT	33.3 (14)	58.8 (10)	16.0 (4)	
Metastatic sites				$\chi^2=6.99, p=.073$
No metastasis	36.4 (16)	31.6 (6)	40.0 (10)	
Only lymph node metastasis	20.5 (9)	15.8 (3)	24.0 (6)	
Only metastatic disease in other sites	9.1 (4)	0.0 (0)	16.0 (4)	
Metastatic disease in lymph nodes and other sites	34.1 (15)	52.6 (10)	20.0 (5)	

<sup>A</sup>Total number of metastatic sites evaluated was 9.

<sup>B</sup>Post-hoc contrasts failed to reveal the subgroup(s) underlying the differences in prior cancer treatments observed in the high compared to the low evening fatigue groups.

Abbreviations: AJCC = American Joint Committee on Cancer; CTX = chemotherapy; FE = Fisher's Exact; kg = kilograms; LFS = Lee Fatigue Scale; m<sup>2</sup> = meters squared; SD = standard deviation; RT = radiation therapy; U = Mann-Whitney test;  $\chi^2$  = Chi-square test.

Table 2

## Differentially Expressed Genes

Probe	Symbol	Name	Fold Change	ENTREZ Gene ID	Direction	P <sub>adjusted</sub>	Primary Role
<b>Limma</b>							
ILMN_1_684308	DEFB103B	Defensin, beta 103B (DEFB103B)	0.10	55894	Down	0.0489	I
<b>GenePattern</b>							
ILMN_1_873793	Hs.650028	cDNA FLJ25030 fis, clone CBL02631	0.18		Up	<0.0001	U
ILMN_1655418	CAPNS1	Calpain, small subunit 1	0.58	826	Down	<0.0001	I
ILMN_1705605	MGC13005	PREDICTED: <i>Homo sapiens</i> hypothetical protein MGC13005	0.83		Down	<0.0001	U
ILMN_1728799	FBP1	Fructose-1,6-bisphosphatase 1	0.75	2203	Down	<0.0001	M
ILMN_1808821	COMMD9	COMM domain containing 9	0.59	29099	Down	<0.0001	I
ILMN_2398408	TCEAL1	Transcription elongation factor A (SID)-like 1, transcript variant 2	0.18	9338	Down	<0.0001	I/M
ILMN_1653115	ECHI	Enoyl Coenzyme A hydratase 1, peroxisomal	0.46	1891	Down	<0.0001	M
ILMN_1759652	C1orf61	chromosome 1 open reading frame 61	0.16	10485	Down	<0.0001	U
ILMN_1666269	CTS2	Cathepsin Z	0.77	1522	Down	<0.0001	I
ILMN_2363668	YIF1B	Yip1 interacting factor homolog B ( <i>S. cerevisiae</i> ), transcript variant 4	0.55	90522	Down	<0.0001	I/M/S
ILMN_1791211	DOK2	Docking protein 2, 56kDa	0.75	9046	Down	<0.0001	I

Abbreviations: Direction = Direction of gene expression in the high as compared to the low evening fatigue group; P<sub>adjusted</sub> = Benjamini-Hochberg adjusted p-value; Fold = fold change of the log<sub>2</sub> transformed, background-corrected, quantile-normalized intensity gene expression values in the high as compared to low evening fatigue group.

The primary functional role(s) of a given gene is identified as follows: M = Metabolism, protein synthesis (n=4); I = Immune activation and immune cell replenishment (n=7); S = serotonergic activation (n=1); U = unknown (n=3).



Table 3

## Differentially Perturbed Pathways

KEGG ID	KEGG Pathway description	p-value	q-value	Fundamental Role <sup>A</sup>	TOA <sup>B</sup>
<b>Down-regulated</b>					
hsa00280	Valine, leucine and isoleucine degradation	1.007E-03	1.612E-02	M	M
hsa00410	beta-Alanine metabolism	1.946E-03	2.616E-02	M	B
hsa00970	Aminoacyl-tRNA biosynthesis	2.610E-03	2.984E-02	D	NK
hsa00640	Propanoate metabolism	4.953E-03	4.055E-02	M	DC
hsa00980	Metabolism of xenobiotics by cytochrome P450	5.068E-03	4.055E-02	M	M
<b>2D perturbed</b>					
hsa04145	Phagosome	1.626E-56	2.601E-54	M	M
hsa04612	Antigen processing and presentation	8.693E-51	6.955E-49	I	DC
hsa04640	Hematopoietic cell lineage	5.206E-47	2.777E-45	I	DC
hsa04142	Lysosome	1.416E-36	5.663E-35	M	M
hsa04380	Osteoclast differentiation	2.512E-35	8.038E-34	I	M
hsa03010	Ribosome	5.333E-32	1.422E-30	D	B
hsa00190	Oxidative phosphorylation	3.901E-24	8.916E-23	M	M
hsa04141	Protein processing in endoplasmic reticulum	1.087E-22	2.174E-21	M	DC
hsa04514	Cell adhesion molecules (CAMs)	3.541E-22	6.296E-21	I	M
hsa03040	Spliceosome	5.169E-22	8.270E-21	M	DC
hsa04650	Natural killer cell mediated cytotoxicity	3.430E-20	4.990E-19	I	M
hsa04062	Chemokine signaling pathway	1.390E-18	1.853E-17	I	id
hsa04660	T cell receptor signaling pathway	2.625E-18	3.230E-17	I	NK
hsa04144	Endocytosis	9.210E-18	1.053E-16	M	NK
hsa04666	Fc gamma R-mediated phagocytosis	5.354E-17	5.711E-16	I	M
hsa04670	Leukocyte transendothelial migration	2.283E-16	2.283E-15	I	id
hsa04662	B cell receptor signaling pathway	2.625E-16	2.471E-15	I	M
hsa03050	Proteasome	2.087E-15	1.855E-14	M	M
hsa04672	Intestinal immune network for IgA production	5.226E-14	4.401E-13	I	DC
hsa04620	Toll-like receptor signaling pathway	8.205E-14	6.564E-13	I	M
hsa04920	Adipocytokine signaling pathway	3.765E-13	2.869E-12	I	M

KEGG ID	KEGG Pathway description	p-value	q-value	Fundamental Role A	TOA B
hsa04621	NOD-like receptor signaling pathway	1.408E-12	1.024E-11	I	DC
hsa04210	Apoptosis	2.992E-11	2.081E-10	D	M
hsa00051	Fructose and mannose metabolism	3.914E-11	2.610E-10	M	id
hsa04722	Neurotrophin signaling pathway	2.686E-10	1.719E-09	I	M
hsa00240	Pyrimidine metabolism	1.290E-09	7.937E-09	M	id
hsa03018	RNA degradation	2.241E-08	1.328E-07	M	DC
hsa00030	Pentose phosphate pathway	3.228E-08	1.845E-07	M	DC
hsa04910	Insulin signaling pathway	4.674E-08	2.579E-07	M	NK
hsa00520	Amino sugar and nucleotide sugar metabolism	5.161E-08	2.752E-07	M	id
hsa04330	Notch signaling pathway	6.687E-08	3.451E-07	I	DC
hsa00010	Glycolysis / Gluconeogenesis	7.849E-08	3.925E-07	M	DC
hsa00480	Glutathione metabolism	1.485E-07	7.201E-07	M	M
hsa04630	Jak-STAT signaling pathway	1.625E-07	7.649E-07	I	DC
hsa00910	Nitrogen metabolism	1.804E-07	8.248E-07	M	M
hsa04120	Ubiquitin mediated proteolysis	4.660E-07	2.071E-06	M	DC
hsa00052	Galactose metabolism	9.313E-07	4.027E-06	M	DC
hsa00230	Purine metabolism	1.036E-06	4.364E-06	M	DC
hsa04623	Cytosolic DNA-sensing pathway	4.788E-06	1.964E-05	I	M
hsa04110	Cell cycle	5.049E-06	2.020E-05	D	NK
hsa04146	Peroxisome	1.758E-05	6.861E-05	M	M
hsa00760	Nicotinate and nicotinamide metabolism	1.870E-05	7.125E-05	M	M
hsa03013	RNA transport	2.209E-05	8.221E-05	M	NK
hsa04070	Phosphatidylinositol signaling system	2.989E-05	1.087E-04	I	NK
hsa00020	Citrate cycle (TCA cycle)	3.237E-05	1.151E-04	M	M
hsa04010	MAPK signaling pathway	4.111E-05	1.430E-04	I	M
hsa03015	mRNA surveillance pathway	5.529E-05	1.882E-04	M	id
hsa03410	Base excision repair	6.074E-05	2.025E-04	D	id
hsa00532	Glycosaminoglycan biosynthesis - chondroitin sulfate	7.306E-05	2.386E-04	M	id
hsa04966	Collecting duct acid secretion	7.703E-05	2.465E-04	M	M
hsa04810	Regulation of actin cytoskeleton	9.502E-05	2.981E-04	D	M
hsa04973	Carbohydrate digestion and absorption	9.893E-05	3.044E-04	M	DC

KEGG ID	KEGG Pathway description	p-value	q-value	Fundamental Role A	TOA B
hsa04130	SNARE interactions in vesicular transport	1.226E-04	3.703E-04	M	M
hsa04710	Circadian rhythm – mammal	1.460E-04	4.325E-04	C	NK
hsa04664	Fe epsilon RI signaling pathway	1.702E-04	4.950E-04	I	DC
hsa00563	Glycosylphosphatidylinositol(GPI) -anchor biosynthesis	2.136E-04	6.102E-04	M	DC
hsa00620	Pyruvate metabolism	2.266E-04	6.360E-04	M	DC
hsa03450	Non-homologous end-joining	2.368E-04	6.533E-04	D	id
hsa04520	Adherens junction	2.501E-04	6.781E-04	I	NK
hsa00511	Other glycan degradation	3.379E-04	9.012E-04	M	M
hsa00071	Fatty acid metabolism	3.661E-04	9.516E-04	M	DC
hsa04964	Proximal tubule bicarbonate reclamation	3.687E-04	9.516E-04	M	NK
hsa04012	ErbB signaling pathway	4.779E-04	1.214E-03	I	id
hsa00604	Glycosphingolipid biosynthesis - ganglio series	5.013E-04	1.253E-03	M	B
hsa00564	Glycerophospholipid metabolism	9.206E-04	2.266E-03	M	id
hsa04370	VEGF signaling pathway	2.024E-03	4.907E-03	I	id
hsa04960	Aldosterone-regulated sodium reabsorption	2.122E-03	5.061E-03	M	id
hsa00270	Cysteine and methionine metabolism	2.151E-03	5.061E-03	M	id
hsa03060	Protein export	2.188E-03	5.073E-03	M	DC
hsa03320	PPAR signaling pathway	2.616E-03	5.979E-03	M	M
hsa04622	RIG-I-like receptor signaling pathway	3.298E-03	7.433E-03	I	id
hsa03030	DNA replication	3.354E-03	7.452E-03	D	DC
hsa04971	Gastric acid secretion	5.221E-03	1.144E-02	M	id
hsa04150	mTOR signaling pathway	5.602E-03	1.211E-02	I	id
hsa04510	Focal adhesion	6.216E-03	1.326E-02	I	M
hsa00561	Glycerolipid metabolism	7.320E-03	1.541E-02	M	M
hsa03008	Ribosome biogenesis in eukaryotes	7.485E-03	1.555E-02	M	DC
hsa00860	Porphyrin and chlorophyll metabolism	7.594E-03	1.558E-02	M	NK
hsa04530	Tight junction	7.703E-03	1.560E-02	I	B
hsa00900	Terpenoid backbone biosynthesis	8.277E-03	1.655E-02	M	NK
hsa03420	Nucleotide excision repair	8.925E-03	1.763E-02	D	NK
hsa00330	Arginine and proline metabolism	9.351E-03	1.825E-02	M	DC
hsa04962	Vasopressin-regulated water reabsorption	1.022E-02	1.971E-02	M	NK

KEGG ID	KEGG Pathway description	p-value	q-value	Fundamental Role <sup>A</sup>	TOA <sup>B</sup>
hsa04310	Wnt signaling pathway	1.085E-02	2.048E-02	I	id
hsa04720	Long-term potentiation	1.088E-02	2.048E-02	L	id
hsa00510	N-Glycan biosynthesis	1.298E-02	2.414E-02	M	id
hsa00770	Pantothenate and CoA biosynthesis	1.365E-02	2.511E-02	M	M
hsa00380	Tryptophan metabolism	1.760E-02	3.199E-02	M	M
hsa00531	Glycosaminoglycan degradation	1.889E-02	3.395E-02	M	M
hsa00450	Selenocompound metabolism	2.839E-02	5.047E-02	M	M
hsa04614	Renin-angiotensin system	2.943E-02	5.174E-02	I	id
hsa00790	Folate biosynthesis	3.327E-02	5.787E-02	M	id
hsa04260	Cardiac muscle contraction	4.953E-02	8.521E-02	L	M
hsa03020	RNA polymerase	5.568E-02	9.477E-02	D	id

<sup>A</sup>The primary functional role of a given pathway is identified as follows: M = Metabolism, protein synthesis (n=56); I = Immune activation and immune cell replenishment (n=30); D = DNA synthesis and repair, cell division (n=10); L = Long term potentiation (n=2); C = Circadian dysfunction (n=1).

<sup>B</sup>Tissue of origin analysis (TOA) was employed in order to infer the cell type from which a differentially expressed pathway originates. The cell types identified by TOA are abbreviated as follows: M = monocytes (n=34); DC = dendritic cells (n=24); id = insufficient data to perform TOA (n=22); NK = natural killer cells (n=14); B = B cells (n=4).

Abbreviations: KEGG = Kyoto Encyclopedia of Genes and Genomes; id = insufficient data; TOA = Transcript Origin Analysis; 2D = Simultaneous two dimensional perturbation (up and down).

**Table 4**  
Summary of Transcript Origin Analysis results for differentially perturbed gene sets

	KEGG Down	KEGG 2d	GO Down	GO 2d	BioCarta Down	BioCarta 2d
SPS	22	94	249	2685	1	67
SPS with E.C. Genes	22	94	249	2685	1	67
SPS with TOA signal	22	72	230	2201	1	45
Monocytes	<b>15</b>	13	<b>84</b>	<b>1081</b>	0	<b>16</b>
Dendritic cells	14	<b>23</b>	80	617	0	13
NK cells	5	13	32	226	<b>1</b>	10
B-cells	2	3	31	213	0	4
CD4 <sup>+</sup> T-cells	0	0	3	33	0	0

Abbreviation: E.C. Genes = Essential contributing genes; Genes with substantial or above-background expression changes in the set; GO = Gene Ontology; KEGG = Kyoto Encyclopedia of Genes and Genomes; NK = natural killer; SPS = Significantly perturbed sets; TOA = Transcript origin analysis; 2d = Simultaneous two dimensional perturbation (up and down).