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## Effects of Maternal Obesity on Fetal Programming: Molecular Approaches

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### Abstract

Maternal obesity has become a worldwide epidemic. Obesity and a high-fat diet have been shown to have deleterious effects on fetal programming, predisposing offspring to adverse cardiometabolic and neurodevelopmental outcomes. Although large epidemiological studies have shown an association between maternal obesity and adverse outcomes for offspring, the underlying mechanisms remain unclear. Molecular approaches have played a key role in elucidating the mechanistic underpinnings of fetal malprogramming in the setting of maternal obesity. These approaches include, among others, characterization of epigenetic modifications, microRNA expression, the gut microbiome, the transcriptome, and evaluation of specific mRNA expression via quantitative reverse transcription polymerase chain reaction (RT-qPCR) in fetuses and offspring of obese females. This work will review the data from animal models and human fluids/cells regarding the effects of maternal obesity on fetal and offspring neurodevelopment and cardiometabolic outcomes, with a particular focus on molecular approaches.

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The prevalence of obesity has doubled since 1980. The World Health Organization estimates that more than 1.4 billion people (~33%) around the world are currently obese (WHO 2013). By 2030, it is projected that up to 58% of the world's adult population (3.3 billion) will be overweight or obese (Kelly et al. 2008). In the United States, >60% of reproductive-age women are overweight at conception and more than one-third are obese, with a 70% increase in prepregnancy obesity in recent decades (Catalano and Ehrenberg 2006; Kim et al. 2007; Flegal et al. 2012). This means that a majority of infants born in the United States and throughout the world will be exposed to an overweight or obese in utero environment and likely to maternal overnutrition or high-fat diet (HFD) during key periods of perinatal development. The maternal obesity epidemic coincides with an increased interest in the

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impact of the intrauterine environment on fetal growth and development (Gluckman et al. 2008).

Hale and Barker's original "thrifty phenotype" hypothesis was based on the observation that individuals born at low-birth weight had an increased risk of cardiometabolic disease as adults (Hales and Barker 1992; Barker et al. 1993; Barker 1995). Historically, the developmental origins of health and disease (DOHaD) hypothesis have focused on the effects of maternal malnutrition and in utero nutritional deficiencies. Although maternal undernutrition remains a problem in many parts of the world, maternal overnutrition now poses a major public health challenge in both the developed and the developing world (Jehn and Brewis 2009). The increasing global burden of obesity creates a new set of concerns for the developing fetus. Maternal obesity has been associated with both intrauterine growth restriction and large-for-gestational age fetuses (Catalano et al. 2003; Nohr et al. 2007), and is contributing to the epidemic of childhood obesity and metabolic disorders (Armitage et al. 2008; Heerwagen et al. 2010). The fetal systems that appear most vulnerable to harmful programming are the central nervous system and the cardiometabolic system (a phenomenon referred to as "metabolic imprinting") (Sullivan et al. 2011).

Epidemiologic data in humans show that offspring of obese parents are significantly more likely to be obese themselves and to have hypertension, type 2 diabetes, and metabolic syndrome (Petitt et al. 1985; Gillman et al. 2003; Boney et al. 2005; Oken et al. 2008; Reynolds et al. 2010). Although paternal obesity may contribute to deleterious fetal programming, an obesogenic in utero environment seems to be the most impactful, with maternal body mass index (BMI) being more significantly associated with offspring risk than paternal BMI (Lawlor et al. 2007). Recent evidence also indicates that maternal obesity is associated with adverse neurodevelopmental outcomes in children, including lower overall cognitive capabilities (Van Lieshout et al. 2011; Tanda et al. 2012); increased incidence of autism spectrum disorders and developmental delay (Hinkle et al. 2012; Krakowiak et al. 2012); increased incidence of attention deficit hyperactivity disorder (ADHD), anxiety, and other psychiatric disorders (Rodriguez et al. 2008); and increased incidence of cerebral palsy (Ahlin et al. 2013; Crisham Janik et al. 2013; Mehta et al. 2014; Pan et al. 2014). Although such studies can show an association between maternal obesity and these adverse outcomes, they cannot prove causality and do not provide information about the underlying mechanisms. Animal models and molecular approaches have played a key role in elucidating the molecular underpinnings of fetal malprogramming in the setting of maternal obesity. Such an understanding is crucial to designing and implementing interventions that can halt the intergenerational propagation of maternal obesity-associated neurodevelopmental morbidity.

In this work, we will review definitions of maternal obesity, followed by an overview of molecular techniques used in the study of maternal obesity and fetal programming. The cardiometabolic and neurodevelopmental programming aspects of maternal obesity will then be reviewed, including mechanistic data from animal models and human fluids/cells, again with a focus on how molecular techniques have elucidated mechanisms underlying fetal and offspring risk.

## DEFINITIONS OF MATERNAL OBESITY

Most investigators use a definition of maternal obesity based on prepregnancy BMI (i.e., a BMI  $\geq 30$  kg/m<sup>2</sup>). This measure has limitations, however. The most complete picture of maternal obesity and the obesogenic perinatal environment is provided by collecting data on prepregnancy BMI, pregnancy weight gain, and postpartum weight retention or loss. Additionally, traditional measures such as BMI or absolute pound or kilogram weight do not quantify the amount of body fat versus lean body mass, nor do they identify the areas of fat deposition, both of which may be relevant to the pathogenesis of conditions for mothers and offspring (Dulloo et al. 2010). Central adiposity has been identified as particularly hazardous to adult health, but it remains unclear what fat deposition pattern during pregnancy might have the greatest impact on fetal development and child health outcomes (Mehta et al. 2014). Studies that use not only maternal BMI, but also some measure of maternal fat versus lean body mass and location of fat deposition would provide the opportunity to investigate some of these unanswered questions. In addition to defining the optimal measure of obesity, another conundrum is the issue of whether obesity itself causes adverse outcomes in offspring or is a marker for other factors/comorbidities which themselves are the causal entities.

## MOLECULAR APPROACHES USED IN THE STUDY OF MATERNAL OBESITY

### Examination of Epigenetic Modifications

One of the underlying mechanisms by which the in utero environment shapes the organism is through epigenetic modifications, which involve persistent and heritable modification of the genome without changes in the DNA sequence itself. Although genome-wide association studies have identified many loci associated with obesity, these loci are thought to contribute <2% to the variation between BMI and weight between individuals (Loos 2009). This suggests that epigenetic phenomena rather than changes in the DNA sequence itself are most likely mediating some of the programming effects on offspring. Epigenetic modifications may involve DNA methylation; posttranslational histone modifications resulting in the stable alteration of chromatin structure (acetylation, methylation, ubiquitylation, and sumoylation); and microRNA variation. The effect of epigenetic variation on gene activation and inactivation is increasingly understood to have a profound effect on one's lifetime risk of obesity, metabolic and cardiovascular disorders, and neurological diseases. Exposure during the early stages of life to an obesogenic in utero environment has been shown to cause epigenetic modifications in the offspring, which may increase susceptibility to diseases such as type 2 diabetes, hypertension, obesity, and metabolic syndrome later in life (Ganu et al. 2012; Ross and Desai 2013).

**DNA Methylation**—DNA methylation patterns are established during embryogenesis, fetal development, and early postnatal life. They are important for promoting the silencing of specific gene regions, such as imprinted genes and repetitive nucleic acid sequences. Early embryonic DNA is hypomethylated. Organogenesis and tissue differentiation are associated with progressive increases in DNA methylation in response to environmental signals. Increased methylation is associated with transcriptional silencing. Anomalous DNA

methylation may be associated with inappropriate gene silencing. Intrauterine conditions, such as growth and nutrient restriction, can epigenetically modify gene expression by altering the methylation level of DNA in gene promoter regions (Lillicrop et al. 2005; Burdge et al. 2007; Turner et al. 2010; van Straten et al. 2010). Less is known regarding alternative DNA methylation in the case of maternal overnutrition (Heerwagen et al. 2010).

Investigators have used a variety of molecular techniques to characterize methylation patterns and to provide quantitative DNA methylation measurements. Characterization of DNA methylation is typically followed by validation of downstream targets using quantitative reverse-transcription polymerase chain reaction (RT-qPCR amplification). Bioinformatics resources have also been used to determine potentially altered pathways and functions resulting from differential DNA methylation. Differential DNA methylation in the setting of maternal obesity has been examined in a variety of animal and human tissues (Vucetic et al. 2010; Borengasser et al. 2013; Guénard et al. 2013). Global genome-wide DNA methylation has been assessed in offspring brains of obese female mice using commercially available colorimetric kits followed by immunoprecipitation of methylated DNA via antibody against 5-methylcytosine (5mC, also called the MeDIP assay) (Vucetic et al. 2010). This study found global and gene-specific promoter DNA hypomethylation in offspring brains. The hypomethylated genes included those involved in dopamine reuptake and opioid binding, both of which might predispose to reward-based eating and higher consumption of palatable and energy-dense food (Vucetic et al. 2010; Vucetic and Reyes 2010). In a rodent model of a maternal HFD, differential methylation levels in white adipose tissue of offspring were quantified using reduced representation bisulfite sequencing (RRBS) (Borengasser et al. 2013). RRBS is accomplished by digesting genomic DNA with the restriction endonuclease MspI (methylation-insensitive restriction enzyme), followed by end repair, A-tailing, adapter ligation, bisulfite conversion, sequencing, and digital alignment. This permits digital quantification of CpG methylation on a genomic scale. RRBS has greater resolution compared with MeDIP, is more efficient in analyzing CpG-poor regions of the genome, and is not subject to cross-reactivity of the 5' mC antibodies used in the MeDIP procedure. A study in siblings born to obese mothers before and after gastric bypass surgery used human methylation bead chips that contain probes to detect a wide variety of known DNA methylation sites. Although some DNA methylation chips use MeDIP technology, others—like the chip used in this experiment—use bisulfite-converted genomic DNA and provide single-nucleotide resolution (Guénard et al. 2013).

**Histone Code Variations**—Differential packaging of chromatin into open (euchromatic) or closed (heterochromatic) states around histones is another important mechanism of gene expression and silencing. Posttranslational modification of histone tail residues, including acetylation, methylation, phosphorylation, ubiquitination, and sumoylation, has the potential to change the way histones interact with DNA (Heerwagen et al. 2010). A variety of molecular techniques have been used to study histone modifications in the setting of maternal obesity. The most commonly used is chromatin immunoprecipitation (ChIP) (Aagaard-Tillery et al. 2008; Shankar et al. 2010; Suter et al. 2011; Borengasser et al. 2014). The ChIP assay assesses the epigenetic status of histones at a given locus, and RT-qPCR amplification can then be used to measure the transcription level of the corresponding

gene(s) of interest (Aagaard-Tillery et al. 2008; Suter et al. 2011; Borengasser et al. 2014). Histone modifications on different promoter regions of target genes have also been studied by using Western blotting with specific antibodies (Aagaard-Tillery et al. 2008).

Experiments in animal models have implicated histone modification as an epigenetic mechanism by which maternal obesity could affect developmental outcomes in their offspring. In Japanese macaques, in utero exposure to maternal HFD resulted in site-specific fetal histone code variation leading to alterations in gene expression (Aagaard-Tillery et al. 2008). The site-specific modifications of the histone code led to reprogrammed expression of fetal genes involved in circadian rhythm regulation, feeding behavior, and glucose and lipid homeostasis. This pattern of altered gene expression was hypothesized to favor an obese postnatal phenotype in an anticipatory fashion (Aagaard-Tillery et al. 2008). Histone modification was subsequently showed to disrupt the expression of hepatic genes implicated in the maintenance of circadian rhythms and metabolic homeostasis in Japanese macaque and rat models (Suter et al. 2011; Borengasser et al. 2014).

**MicroRNA Variations**—MicroRNAs (miRNAs) are a third epigenetic or peritranscriptional mechanism by which maternal obesity and diet can affect long-term morbidity in offspring. miRNAs are small (~21 nucleotide) noncoding RNAs that regulate gene function mainly through degradation of mRNAs or via inhibition of protein translation (Zamore and Haley 2005). miRNAs are involved in the regulation of key biological processes, including organismal development, differentiation, cell proliferation, cell cycle regulation, and energy metabolism (Wienholds and Plasterk 2005; Boehm and Slack 2006; Gauthier and Wollheim 2006; Oakley and Van Zant 2007). It has been estimated that ~30% of all protein-coding genes are regulated by miRNAs (Lewis et al. 2003). In animal models of maternal obesity, miRNAs have been shown to be differentially regulated in the offspring in multiple tissues, including liver, heart, and skeletal muscle (Zhang et al. 2009; Maloyan et al. 2013; Yan et al. 2013; Benatti et al. 2014). miRNA microarrays have been the most commonly used technique to examine global miRNA expression (Zhang et al. 2009; Maloyan et al. 2013; Yan et al. 2013), with differentially regulated miRNAs often validated using real time RT-PCR (Zhang et al. 2009; Maloyan et al. 2013; Yan et al. 2013; Benatti et al. 2014). miRNA targets have been identified using prediction algorithms such as TargetScan (Lewis et al. 2003), Miranda (John et al. 2004), and PicTar (Krek et al. 2005). miRNA sequencing has been performed on hearts of fetal baboons of HFD-fed mothers (Maloyan et al. 2013). Commercially available bioinformatics resources were then used to determine specific pathways and biological functions impacted by the differential miRNA expression. Downstream mRNA and protein targets from miRNAs known to be tissue specific can be quantified by RT-qPCR (Maloyan et al. 2013) and via immunoblotting with specific antibodies (Maloyan et al. 2013; Benatti et al. 2014).

Changes in skeletal muscle miRNAs involved in intramuscular adipogenesis were noted in fetuses of obese ewes (Yan et al. 2013). In a mouse model of maternal HFD exposure, global miRNA profiling of pup livers showed an altered miRNA profile in offspring of females fed a HFD with reduced expression of miRNAs implicated in developmental timing/early fetal growth, lipid metabolism, and regulation of the gene *Insulin-like Growth Factor 2 (Igf2)* (Zhang et al. 2009). Similar findings were observed in murine model of maternal HFD

exposure, which showed differential expression of offspring hepatic miRNAs involved in lipogenic gene activation and fatty acid oxidation (Benatti et al. 2014). Alterations in miRNA expression were also found in fetal hearts of obese baboons (Maloyan et al. 2013). Expression of the miRNAs that was affected by maternal HFD were similar to those shown to be changed in adult cardiac diseases such cardiac hypertrophy, heart failure, and myocardial infarction. The investigators concluded that epigenetic modifications in the form of differential miRNA regulation may represent one of the mechanisms by which maternal obesity results in an increased risk of cardiovascular disease in offspring (Maloyan et al. 2013).

### **RNA Studies: Transcriptomics, RT-qPCR, and RNA Sequencing**

One step downstream from epigenetic modifications is the examination of RNA via transcriptomics, RT-qPCR, or RNA sequencing (RNA-Seq). RNA can be extracted from fluids and tissues of interest to investigate the effects of maternal obesity on fetal gene expression. A variety of fetal and offspring transcriptomes have been studied to help elucidate programming effects of maternal obesity, including the amniotic fluid (Edlow et al. 2014), umbilical cord (Thakali et al. 2014), liver (Shankar et al. 2010; Mischke et al. 2013), brain (Stachowiak et al. 2013a), and white adipose tissue transcriptomes (Borengasser et al. 2013). Transcriptomic analysis has even been performed on the obese maternal uterus and on the blastocyst in the setting of maternal obesity (Shankar et al. 2011).

Transcriptomic analysis can provide real-time information about fetal disease, physiology, and functional development of fetal tissues (Edlow and Bianchi 2012). Whole-genome microarray has been the most commonly used technique to examine the global RNA expression profile. Separate arrays exist for genomes from humans (Hui et al. 2013; Edlow et al. 2014), mice (Mischke et al. 2013), and rats (Shankar et al. 2011; Borengasser et al. 2013). Functional analysis of the fetal transcriptome via bioinformatics resources such as gene set enrichment analysis (GSEA), database for annotation, visualization and integrated discovery (DAVID), and ingenuity pathways analysis (IPA), and others can highlight particular pathways, biological functions, or physiological systems that are dysregulated in a condition of interest, such as maternal obesity. Transcriptomics have largely been used as hypothesis-generating tools in this regard, and further investigation of results has often been undertaken via targeted RT-qPCR and animal models.

Pregestational maternal obesity has been shown to have effects on the fetus as early as implantation. Transcriptomic profiling of the blastocyst and maternal uterus in a rat model of preconceptional obesity showed proinflammatory changes in the maternal uterus and the blastocyst itself, as well as gene expression changes consistent with lipotoxicity (Shankar et al. 2011). Transcriptomic profiling of offspring white adipose tissue in a rat model of maternal obesity showed significant changes in gene expression in lipogenic pathways, suggesting increased propensity for adipogenesis in offspring (Borengasser et al. 2013). Transcriptomic analysis of human amniotic fluid in the second trimester suggested a proestrogenic, proinflammatory milieu for the developing fetus, with up-regulation of antiapoptotic genes and genes implicated in response to oxidative stress (Edlow et al. 2014). Transcriptomic analysis has also been used to evaluate the effect of maternal obesity on fetal



brain (Stachowiak et al. 2013a) and offspring liver development (Mischke et al. 2013), with both organs demonstrating significant changes in gene expression in fetal and pup mice exposed to an obesogenic intrauterine environment.

RNA-Seq is a newer technique used to survey global gene expression. RNA-Seq provides improved resolution over microarrays, which require knowledge of an organism's genome and are typically not designed to detect rare allelic variants. RNA-Seq allows examination of alternatively spliced gene transcripts and posttranscriptional modification; determination of exon/intron boundaries; and characterization of a wide variety of RNA populations outside of mRNA (such as microRNA, transcript RNA, and long noncoding RNAs, among others). RNA-Seq has been used to examine skeletal muscle (soleus) transcriptional profiles of adult male offspring exposed to a HFD in utero. GSEA was used to identify dysregulated biological functions based on the RNA-Seq signatures of differential expression. The offspring soleus transcriptome showed evidence of reprogramming of skeletal muscle by maternal exposure to a HFD, with increased muscle cytokine activation, inflammation, and mitochondrial dysfunction compared with controls (Latouche et al. 2014).

### **Maternal Obesity and the Gut Microbiome**

Commensal gut microbiota is essential for metabolizing polysaccharides, producing key nutrients, and protecting against pathogenic bacteria in the mammalian gut (Chow et al. 2010; Gohir et al. 2014). The gut microbiome functions as an integral part of the gut barrier and plays an important role in the immune system by controlling antigen exposure to host tissues (Luoto et al. 2013). Emerging evidence suggests that the bacterial composition of the gut microbiome modulates weight gain, alters metabolism, and contributes to the inflammatory status that drives obesity and chronic diseases (Ley et al. 2005; Turnbaugh et al. 2006; Santacruz et al. 2010; Harris et al. 2012). Alterations of commensal flora in a variety of pathological conditions have been referred to as "gut dysbiosis" (Gohir et al. 2014). Putative mechanisms by which gut microbiota could impact obesity center around the concept that specific microbes have the ability to induce excessive energy extraction and storage from ingested nutrients (Turnbaugh et al. 2006; Harris et al. 2012; Gohir et al. 2014). Gut microbiota are known to affect lipid metabolism (Harris et al. 2012) and host immunity (Myles et al. 2013), and thus may contribute to aberrant chronic low-grade inflammation in conditions such as obesity (Gohir et al. 2014). RT-qPCR and shotgun sequencing of the 16S rRNA/rDNA gene sequence, and fluorescent in situ hybridization coupled with flow cytometry have been used to characterize the gut microbiome in human and animal models of obesity (discussed below) (Ley et al. 2005; Turnbaugh et al. 2006; Collado et al. 2008; Turnbaugh and Gordon 2009; Ma et al. 2014).

### **Animal Models: Mechanistic Insights**

Animal models have advanced our understanding of the gut microbiome and its relationship to obesity and fetal programming. Shotgun sequencing of the gut microbiome of obese mice showed enrichment in genes coding for enzymes involved in energy extraction (Turnbaugh et al. 2006). These data are consistent with the idea that certain bacterial populations allow obese individuals to harvest more energy from a given amount of nutrient intake. Colonizing germ-free mice with microbiota from genetically and diet-induced obese mice resulted in

increased body fat compared with germ-free mice colonized with microbiota from lean mice (Turnbaugh et al. 2006). Genetically obese ob/ob mice show shifts in the proportion of the two most abundant phyla in the mammalian gut, *Firmicutes* and *Bacterioides*, compared with lean control mice (Ley et al. 2005). Multiple studies have suggested that these two bacterial populations are particularly responsive to dietary changes in fat and carbohydrate content, and to weight gain or loss (Ley et al. 2006; Turnbaugh and Gordon 2009).

Several mechanisms have been proposed by which maternal obesity might impact neonatal microbiota composition and subsequently inform the risk of offspring obesity and metabolic dysregulation. The effects of maternal dietary composition and nutritional status may be transferred to the fetus via the placenta and to the infant via lactation. Dysbiosis is thought to promote intestinal monosaccharide absorption and energy extraction from carbohydrates, resulting in short-chain fatty acid production and hepatic lipogenesis. These undesirable metabolic changes could occur in both the obese mother and the developing fetus or neonate. Given that rapid weight gain in the first six months of life is associated with an increased risk of childhood obesity, harmful gestational “programming” of gut microbiota could have permanent effects on the offspring (Collado et al. 2010; Luoto et al. 2013).

Modifications in the gut microbiome of obese mothers have been well documented (Gohir et al. 2014). In a macaque model of maternal HFD, the intestinal microbiome of both mothers and offspring was altered (Ma et al. 2014). Gestational exposure to HFD resulted in gut dysbiosis in the juvenile primates that persisted despite a control diet postweaning. These changes in the juvenile gut microbiome were associated with dysregulation of pathways involved in amino acid, carbohydrate, and lipid metabolism (Ma et al. 2014). In both rodent and swine models, manipulation of maternal microbiota either through antibiotics or probiotics was shown to impact the structural and functional development of the gut in their offspring, suggesting that the maternal microbiome and factors impacting it have a significant impact on neonatal gut flora (Fak et al. 2008; Arnal et al. 2014). A murine model of parental HFD consumption found that offspring of Western diet breeders had a significantly increased *Firmicutes*-to-*Bacterioides* ratio compared with control offspring, and showed heightened colonic inflammatory responses and dysregulated autoimmunity and allergic sensitization. This study did not separate the effects of paternal and maternal diet, but concluded that parental HFD leaves a “lard legacy” that can impact offspring immunity (Myles et al. 2013).

### Human Studies: Mechanistic Insights

Similar deleterious changes in the maternal and infant microbiome have also been noted in the setting of human maternal obesity. Obese or overweight pregnant women and those with excessive gestational weight gain (GWG) were shown to have a more proinflammatory gut microbiome than their lean counterparts (Collado et al. 2008). A follow-up study of infants of these same women showed that infant gut microbiota at one and six months were influenced by maternal prepregnancy BMI and GWG, with infants of obese and high GWG women demonstrating lower concentrations of protective bacteria, higher concentrations of proinflammatory bacteria, and alterations in the *Bacterioides*, *Clostridium*, and *Staphylococcus* profiles that could predispose offspring to enhanced energy storage, obesity,



and decreased control of chronic low-grade systemic inflammation (Collado et al. 2010). The potentially harmful effects of maternal gut dysbiosis in the setting of maternal obesity may be amenable to therapeutic intervention. Oral administration of probiotic bacteria to pregnant women was shown to result in colonization of the infant gut lasting from six to 24 months postpartum (Schultz et al. 2004).

Further mechanistic studies, especially in humans, are needed to better understand how gut microbiota interact with the host immune response in the context of obesity. Pregnancy and early infancy appear to be the key stages for targeted interventions to reduce the risk of obesity metabolic syndrome and chronic disease in future generations.

## **MATERNAL OBESITY AND OFFSPRING METABOLIC PROGRAMMING**

### **Data from Epidemiological Studies**

Associations between maternal obesity and offspring risk of early-onset obesity and metabolic syndrome have been repeatedly shown in large epidemiological studies (Boney et al. 2005; Hochner et al. 2012; Sridhar et al. 2014). In fact, all-cause mortality has been shown to be increased in offspring of obese women compared with those with a normal BMI, even after adjusting for confounding variables (Reynolds et al. 2013). Both prepregnancy obesity and excess GWG are associated with large-for-gestational-age (LGA) or macrosomic newborns (Boney et al. 2005; Oken et al. 2008). LGA newborns have increased adipose tissue mass and are at increased risk of obesity and diabetes in adulthood (Armitage et al. 2008; Schellong et al. 2012; Sparano et al. 2013). Although epidemiological studies can show an association between maternal obesity and offspring cardiometabolic morbidity, such studies cannot establish causality nor can they elucidate mechanism. Animal models and the use of molecular techniques to analyze animal and human samples have provided more insight into the possible underlying molecular mechanisms.

### **Animal Models: Mechanistic Insights**

Animal models of maternal obesity and its exposure to a high-fat or Western diet are numerous and are the subject of several recent dedicated reviews (Nathanielsz et al. 2007; Alfaradhi and Ozanne 2011; Li et al. 2011; Williams et al. 2014). Rodent, sheep, swine, and nonhuman primate models have all been used to pinpoint specific mechanisms involved in the fetal programming effects of maternal obesity (Fig. 1). These effects have been examined in a variety of fetal and offspring organs, including liver, bone, skeletal muscle, adipose tissue, pancreas, thyroid, and heart (Williams et al. 2014). Studies on animal models have reported insulin and leptin resistance (Morris and Chen 2009), hypertension (Elahi et al. 2009), dyslipidemia (Elahi et al. 2009), hepatic inflammation and steatosis (Howie et al. 2009; Li et al. 2013), hyperphagia (Samuelsson et al. 2008), and obesity (Li et al. 2013) in offspring. These effects do not appear to be reversible with postnatal diet alterations (Howie et al. 2009), but switching obese dams to a low-fat diet for a subsequent pregnancy has been shown to ameliorate the unfavorable metabolic profile of offspring in C57BL/6 mice (Krasnow et al. 2011).

Molecular techniques, including transcriptomic analysis of RNA extracted from fetal and offspring organs such as liver, adipose tissue, heart, pancreas, and brain; quantification of DNA methylation via bead chips or RBBS; chromatin immunoprecipitation assays to evaluate histone modification; miRNA profiling and RT-qPCR have provided significant insight into the mechanisms underlying fetal programming in maternal obesity. Insights from these molecular investigations suggest that the liver is a major target for metabolic programming during fetal development. Investigators have discovered increased expression of hepatic lipogenic genes in fetuses and offspring of females on a HFD (Bruce et al. 2009; Hartil et al. 2009; Gregorio et al. 2010); reduced mitochondrial function, dysregulation of inflammatory gene expression, and increased expression of genes involved in glycolysis, gluconeogenesis, and oxidative stress in the liver of offspring exposed to a HFD in utero (Bruce et al. 2009; Vuguin et al. 2013); and epigenetic changes in offspring liver, including histone modification and altered CpG methylation patterns (Aagaard-Tillery et al. 2008; Strakovsky et al. 2011; Hoile et al. 2013).

The effects of maternal obesity and HFD on fetal programming are not limited to the fetal liver. There is evidence of increased expression of glucose and amino acid transporters in the placentas of dams on a HFD (Jones et al. 2009), dysregulation of pancreatic beta cell gene expression predisposing offspring to premature beta cell failure (Cerf et al. 2005, 2009; Williams et al. 2014); insulin resistance and altered expression of insulin signaling proteins in skeletal muscle (Buckley et al. 2005), alterations in bone marrow adiposity and trabecular structure (Lanham et al. 2010); and epigenetic changes in adipocytokine gene expression (Masuyama and Hiramatsu 2012).

### Human Studies: Mechanistic Insights

Human studies of the programming effects of maternal obesity have also elucidated underlying mechanisms, largely through the use of molecular techniques (Fig. 2). Several studies have shown a lower prevalence of obesity and improved metabolic parameters (greater insulin sensitivity, less adiposity, hypertension, and dyslipidemia) in children born after maternal gastric bypass surgery compared with siblings born before maternal bariatric surgery (Kral et al. 2006; Smith et al. 2009; Barisione et al. 2012). Findings of these well-designed sibling studies suggest that such improvements in offspring obesity and metabolic profile may be because of a change in the intrauterine environment. Investigators subsequently compared DNA methylation and global gene expression of children born to the same mothers before and after maternal bariatric surgery (Guénard et al. 2013). Using BeadChip arrays they identified ~5700 differentially methylated genes in siblings born before versus after maternal bariatric surgery. Functional analysis showed that these genes were principally involved in glycemic control, immune and inflammatory response, and cardiovascular functions.

Several transcriptomic and DNA methylation analyses have also suggested molecular mechanisms underlying fetal programming and offspring risk in the setting of maternal obesity. Global gene expression analysis of second trimester amniotic fluid supernatant in obese compared with lean women identified 205 significantly differentially expressed genes in fetuses of obese women. Gene expression patterns suggested dysregulation of genes

related to lipid metabolism, inflammatory signaling, and neurodevelopment (see below) (Edlow et al. 2014). Evaluation of umbilical cord gene methylation and gene expression has also showed a significant effect of maternal obesity on the fetus (Gemma et al. 2009; Godfrey et al. 2011; Thakali et al. 2014). Maternal prepregnancy BMI was found to be positively correlated with methylation of the peroxisome proliferator-activated receptor gamma (PPAR $\gamma$ ) C1A promoter in the umbilical cord of newborns (Gemma et al. 2009). PPAR $\gamma$  is known to be involved in cellular assimilation of lipids via anabolic pathways, and PPAR $\gamma$  gene variants have been found to be associated with the abnormal lipid and carbohydrate metabolism that characterizes metabolic syndrome (Semple et al. 2006). An umbilical cord transcriptomic analysis showed differential expression of genes related to lipid, glucose, and protein metabolism; insulin and inflammatory signaling; and vascular reactivity in term fetuses of overweight and obese women (Thakali et al. 2014). An examination of differential methylation in DNA extracted from umbilical cord blood leukocytes of newborns born to obese versus lean parents found that both maternal and paternal obesity were associated with altered methylation patterns in offspring (Soubry et al. 2013). Another study of umbilical cord blood and neonatal adiposity at birth showed that fetuses of obese mothers had higher percent body fat, insulin resistance, cord leptin levels, and proinflammatory cytokine levels than those born to lean women (Catalano et al. 2009). Interestingly, a study examining miRNA expression in the fetuses of obese compared with lean women at term found no significant differences in expression of miRNA in umbilical cord blood (Ghaffari et al. 2014). The investigators concluded that mechanisms other than miRNA may underlie the association between maternal obesity and fetal developmental programming. This is in contrast to a study that reported a specific miRNA signature associated with maternal obesity, although these investigators examined the amnion rather than umbilical cord blood. (Nardelli et al. 2014).

## MATERNAL OBESITY AND OFFSPRING NEURODEVELOPMENT

### Data from Epidemiological Studies

The adverse impact of maternal obesity on intrauterine programming of the fetus appears to extend beyond the cardiovascular and endocrine systems to the central nervous system. Compelling data from large epidemiologic studies suggest an association between maternal obesity and adverse neurodevelopmental outcomes in human offspring. These adverse outcomes include:

1. Increased odds of developing autism spectrum disorders in offspring of obese women or those with large GWG compared with controls (Krakowiak et al. 2012; Bilder et al. 2013; Reynolds et al. 2014). Obese mothers were ~70% more likely to have a child with autism spectrum disorder compared with their lean counterparts (Krakowiak et al. 2012).
2. Increased odds of mild intellectual disability or cognitive deficits in children of obese women noted in several United States cohorts (Neggers et al. 2003; Tanda et al. 2012; Huang et al. 2014; Paulson et al. 2014) as well as in United Kingdom (Basatemur et al. 2013) and Finnish birth cohorts (Heikura et al. 2008). Obese women were twice as likely to have a child with developmental delay (Tanne

2012). Excessive GWG was found to augment the association with lower child IQ (Huang et al. 2014).

3. A dose-dependent increase in ADHD symptoms in children was noted in prospective pregnancy cohorts from Sweden, Denmark, and Finland as maternal prepregnancy BMI increased from overweight to obese (Rodriguez et al. 2008). Excessive GWG was noted to have a synergistic effect with maternal prepregnancy obesity on increased incidence of ADHD in offspring (Rodriguez et al. 2008).
4. An association between maternal obesity and schizophrenia in adult offspring has been noted in a large retrospective cohort study (Schaefer et al. 2000), but other studies have failed to confirm this association (Khandaker et al. 2012).
5. A dose-dependent increase in relative risk of cerebral palsy in offspring has been noted as maternal BMI increased from overweight to morbidly obese (Ahlin et al. 2013; Crisham Janik et al. 2013; Mehta et al. 2014; Pan et al. 2014).

The mechanisms by which maternal obesity might result in adverse neurodevelopmental outcomes for offspring remain unclear. Putative mechanisms include excess circulating maternal free fatty acids or glucose (from dietary intake); maternal deficiencies of Vitamin D, B12, folate, and/or iron; n-3/n-6 fatty acid imbalance or relative n-3 fatty acid deficiency, alterations in serotonergic and dopaminergic signaling; and a proinflammatory, lipotoxic environment for the developing fetal brain (Bilbo and Tsang 2010; Sullivan et al. 2010, 2014; Bernard et al. 2013; Heerwagen et al. 2013; Kang et al. 2014; Mehta et al. 2014; Szostak-Wegierek 2014). Data from animal models and the use of molecular techniques to analyze human samples have provided further insights into the possible underlying mechanisms.

### **Animal Models: Mechanistic Insights**

In utero nutritional imbalances and resulting growth restriction or macrosomia have both been linked to abnormal hypothalamic neurohormonal signaling in offspring (Ornoy 2011). Unique brain gene expression signatures and alterations in hypothalamic orexigenic signaling have also been noted in fetuses of obese dams, with changes in the expression of gene clusters associated with myelination, insulin signaling and metabolism, among others (Stachowiak et al. 2013a). Alteration of specific fetal brain networks related to hunger and satiety signaling could therefore be one factor contributing to both metabolic and neurodevelopmental morbidity in offspring (Stachowiak et al. 2013a). The same investigators noted structural brain changes including diminished proliferation, migration, and maturation of stem-like cells in fetal brains of obese dams, which they hypothesized, might predispose offspring to neurodevelopmental abnormalities (Stachowiak et al. 2013a).

Consumption of dietary fats has been shown in multiple rodent models to promote hypothalamic resistance to the anorexigenic hormones, leptin, and insulin (Munzberg et al. 2004; De Souza et al. 2005; Milanski et al. 2009). This is thought to be a consequence of diet-induced proinflammatory signaling (Moraes et al. 2009). Inflammatory signal transduction may activate apoptotic signaling pathways. Consistent with this concept,

increased apoptosis of hypothalamic neurons and increased hypothalamic expression of proapoptotic genes has been noted in rats fed a HFD (Moraes et al. 2009). The same mechanisms may be recapitulated in offspring, particularly as dysregulation of leptin and insulin signaling and inflammation have been noted in fetuses and pups of obese dams.

Dysregulated leptin signaling has significant neurodevelopmental consequences. Leptin is a critical neurotrophic factor during development, and abnormalities in leptin signaling may lead to reduced neuronal stem cell differentiation and growth (Coupe et al. 2010; Desai et al. 2011a,b; Desai and Ross 2011) and impaired development of satiety pathways in the fetus (Bouret and Simerly 2004; Desai et al. 2011b). Reduced hypothalamic leptin-dependent signal transducer and activator of transcription 3 (STAT3) phosphorylation has been noted in molecular analyses of fetal and offspring brains exposed to maternal obesity and HFD in utero (Ferezou-Viala et al. 2007; Franco et al. 2012; Sun et al. 2012). The effects of maternal HFD and obesity on the hypothalamus are not limited to appetite-related signaling. High maternal intake of (n-6) polyunsaturated fatty acids (PUFAs) during pregnancy led to increased locomotor activity and aggression in offspring, and increased (n-6) PUFA-induced protein kinase C(PKC) activity in the hypothalamus (Raygada et al. 1998).

The hippocampus is another brain region affected by maternal obesity in animal studies. Rodent models have shown impaired hippocampal growth, dysregulated hippocampal progenitor cell proliferation, and decreased hippocampal apoptosis in fetuses and pups of dams on a HFD (Niculescu and Lupu 2009; Tozuka et al. 2009, 2010). Molecular changes in the offspring hippocampus were associated with increased anxiety behavior in a mouse model of maternal HFD exposure (Peleg-Raibstein et al. 2012). Maternal obesity was found to induce lipid peroxidation in the early postnatal offspring hippocampus, and was associated with impaired hippocampal brain-derived neurotrophic factor (BDNF) production and dendritic arborization of new hippocampal neurons in a murine model (Tozuka et al. 2010). These hippocampal structural changes were associated with impaired acquisition of spatial learning in the pups.

Like the metabolic programming effects of maternal obesity, many of the harmful neurodevelopmental consequences of maternal obesity may be mediated by inflammation. The impact of inflammation on offspring neurodevelopment has been well-characterized in the setting of chorioamnionitis and adverse outcomes such as cerebral palsy (Wu and Colford 2000). Obesity is an inflammatory condition characterized by chronic activation of the innate immune system, with high levels of circulating proinflammatory cytokines (Mehta et al. 2014). Some investigators have proposed that this inflammatory environment results in increased metabolic stress and subsequent lipotoxicity, which may be mediating the abnormal neurodevelopment (Jarvie et al. 2010). Increased glial activation and oxidative stress has been reported in the cortex of rats born to dams fed a HFD (White et al. 2009). The developing serotonergic and dopaminergic systems have also been shown to be sensitive to high levels of circulating inflammatory cytokines (Sullivan et al. 2014). Dysregulation of the serotonergic and dopaminergic systems (Sullivan et al. 2010; Vucetic and Reyes 2010; Vucetic et al. 2010), leading to mood disorders and reward-based eating, may perpetuate a vicious cycle in the offspring of obese females (Dallman et al. 2005; Adam and Epel 2007; Maniam and Morris 2010).

## Human Studies: Mechanistic Insights

There are limited human data available that provide insights into the molecular mechanisms underlying neurodevelopmental morbidity in offspring (Fig. 2). In a study using cell-free RNA extracted from mid-trimester amniotic fluid supernatant of obese and lean women, global gene expression analysis showed significant up-regulation of the central nervous system-specific gene *Apolipoprotein D (APOD)* (Edlow et al. 2014). This gene is highly expressed in fetal central nervous system tissues (Drayna et al. 1986; Seguin et al. 1995), and its protein product, ApoD, has been shown to exert neuroprotective effects in cell culture and in a rodent model of excitotoxic brain injury, possibly by protecting against oxidative stress (Franz et al. 1999; He et al. 2009; Bajo-Graneras et al. 2011b). Overexpression of *APOD* in fetuses of obese women may be a compensatory response to increased oxidative stress (Ganforina et al. 2008; Bajo-Graneras et al. 2011a,b) or may itself be deleterious (Raygada et al. 1998; Khan et al. 2003; Dassati et al. 2014). Functional analysis of gene expression suggested significantly decreased apoptosis in fetuses of obese women, particularly in pathways related to the cerebral cortex and hippocampus. Because apoptosis is critical to normal neurodevelopment (Blaschke et al. 1998; Rice and Barone 2000; Depaepe et al. 2005), the investigators hypothesized that decreased apoptosis may be one of the mechanisms mediating abnormal neurodevelopment in offspring of obese women (Edlow et al. 2014). Taken together, these findings suggest that the neurodevelopmental abnormalities noted in offspring of obese women may be mediated in part by decreased brain apoptosis and increased oxidative stress during critical developmental periods.

Supporting the concept that maternal obesity is associated with excessive oxidative stress in the fetus, RNA-Seq analysis of term placentas from obese and lean subjects found significant differences in gene expression, with obese placentas demonstrating expression patterns consistent with lipotoxicity, increased inflammation, and oxidative stress (Saben et al. 2014). Decreased expression of ferritin has also been noted in the placentas of obese human women compared with normal weight controls (Oliva et al. 2012). Decreased placental expression of ferritin may negatively impact the rate of iron uptake from the maternal circulation and transfer to the fetal circulation. Human studies have shown evidence of impaired iron status in newborns of obese women (Phillips et al. 2014) and in infants born to diabetic mothers (Siddappa et al. 2004). Iron deficiency is known to be associated with impaired brain development (Lozoff et al. 1991). Thus, decreased placental expression of ferritin and subsequent relative iron deficiency in the fetus may provide another mechanistic explanation for altered brain development in fetuses of obese women. More research in human fluids and tissues is needed to further elucidate molecular mechanisms underlying the increased risk for neurodevelopmental morbidity observed in offspring of obese women.

## SEX-SPECIFIC EFFECTS OF MATERNAL OBESITY OR HIGH-FAT DIET ON FETAL PROGRAMMING

### Cardiometabolic Programming

Diet-induced maternal obesity has been shown to induce sex-specific effects on fetal metabolic phenotype, gene expression, and epigenetic regulation in rodent models and



human placentas (Gabory et al. 2012; Dahlhoff et al. 2014; Walker et al. 2014). For example, in a murine model of maternal HFD consumption, early life catch-up growth and associated increased adiposity, impaired glucose tolerance and reduced insulin sensitivity occurred only in male offspring (Vuguin et al. 2013). In another murine model of maternal pregestational obesity and gestational HFD exposure, male adult offspring developed overweight, insulin resistance, hyperleptinemia, hyperuricemia, and hepatic steatosis. Females also showed impaired fasting glucose, but had reduced fat mass and adipocyte size (Dahlhoff et al. 2014). Another study also showed increased adiposity of male offspring exposed to a HFD during gestation, regardless of postweaning diet, whereas female offspring adiposity was more amenable to reversal with a control postweaning diet (Burgueno et al. 2013). Consistent with these findings of more adverse cardiometabolic effects for male offspring, examination of placentas in obese versus control mice found increased macrophage activation and cytokine gene expression in placentas of male fetuses exposed to maternal obesity (Kim et al. 2014).

There are conflicting data on increased versus decreased vulnerability of female offspring to cardiometabolic programming in the setting of maternal obesity. One study found that female offspring exposed to a HFD in utero and weaned to a junk food diet had lower mean arterial and diastolic pressure than controls (Mitra et al. 2009). However, other studies have suggested that female offspring have greater vulnerability to certain adverse cardiometabolic outcomes when exposed to maternal obesity or HFD in utero. For example, in a rat model, female offspring developed hypertension if exposed to a HFD during gestation or lactation, whereas male offspring did not (Khan et al. 2005). In another rodent study, female offspring were found to have greater adiposity than males even when fed a control diet postweaning, and had more elevated C-reactive protein levels (Elahi et al. 2009). Transcriptomic profiling of pup livers exposed to maternal Western-style diet in utero found significant changes in hepatic gene expression profiles compared with controls, but also a striking sexual dimorphism. Males showed alterations of genes related to developmental signaling (such as Wnt/beta-catenin signaling), whereas females showed altered expression of genes related to cholesterol synthesis and lipid metabolism (Mischke et al. 2013).

### Neurodevelopmental Programming

Sexually dimorphic effects of maternal obesity on fetal neurodevelopment have also been noted. In an obese swine model, lower hypothalamic expression of anorexigenic peptides (leptin receptor and proopiomelanocortin) was noted in female but not male offspring exposed to a restricted diet in utero (Ovilo et al. 2014). The female offspring later developed increased adiposity in adulthood, suggesting a female-specific programming effect of maternal obesity and response to gestational diet. In a murine model, exposure to maternal HFD during gestation and lactation resulted in increased hyperactivity in male offspring, and increased anxiety and decreased sociability in female offspring (Kang et al. 2014). Amelioration of the female, but not the male, neurobehavioral phenotype was noted after switching dams to a control diet during lactation. A control diet during lactation also decreased microglial activation and reduced proinflammatory cytokines in female offspring (Kang et al. 2014). In a nonhuman primate model of maternal obesity, perturbations in serotonergic signaling were noted in offspring, which predisposed female offspring to increased anxiety (Sullivan et al. 2010). However, in a rat model of maternal HFD, male

offspring displayed increased anxiety and deficits in spatial learning compared with female offspring and controls (Bilbo and Tsang 2010). Thus, it is clear that some programming effects of maternal obesity and maternal HFD are sex-specific, but it remains unclear whether one sex is more adversely affected from a metabolic and neurodevelopmental standpoint.

## CONCLUDING REMARKS

As maternal obesity and HFD consumption increase worldwide, future generations will continue to be at risk for metabolic and neurodevelopmental morbidities. Elucidating the molecular underpinnings of fetal and offspring morbidity is crucial in designing effective therapeutic strategies that can prevent or ameliorate maternal obesity-induced malprogramming.

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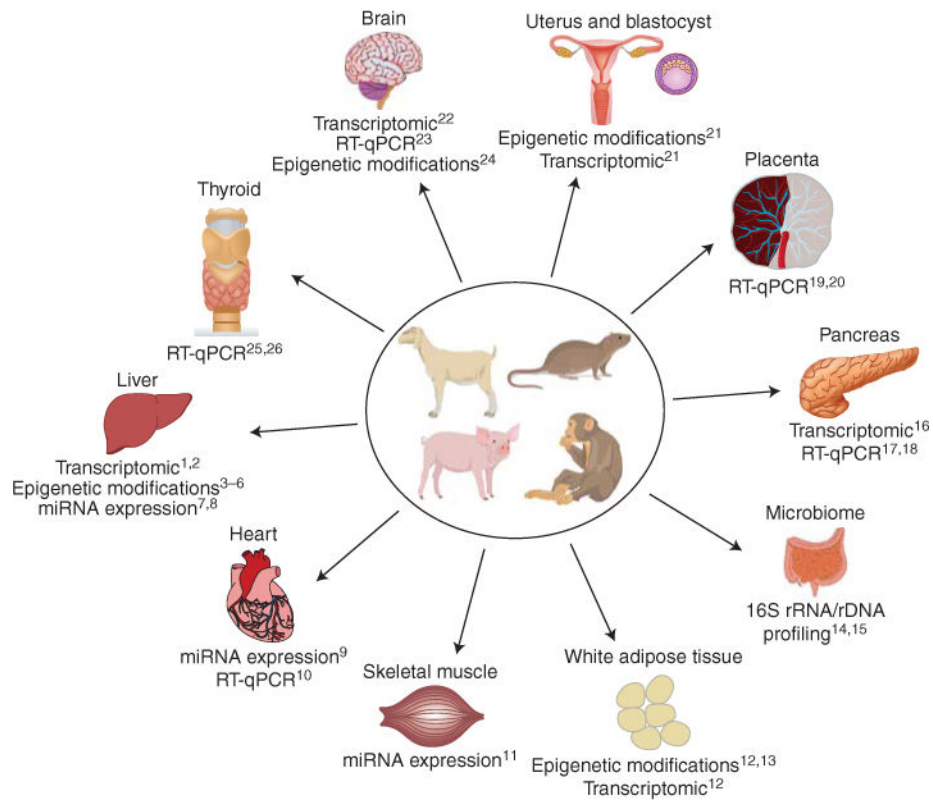
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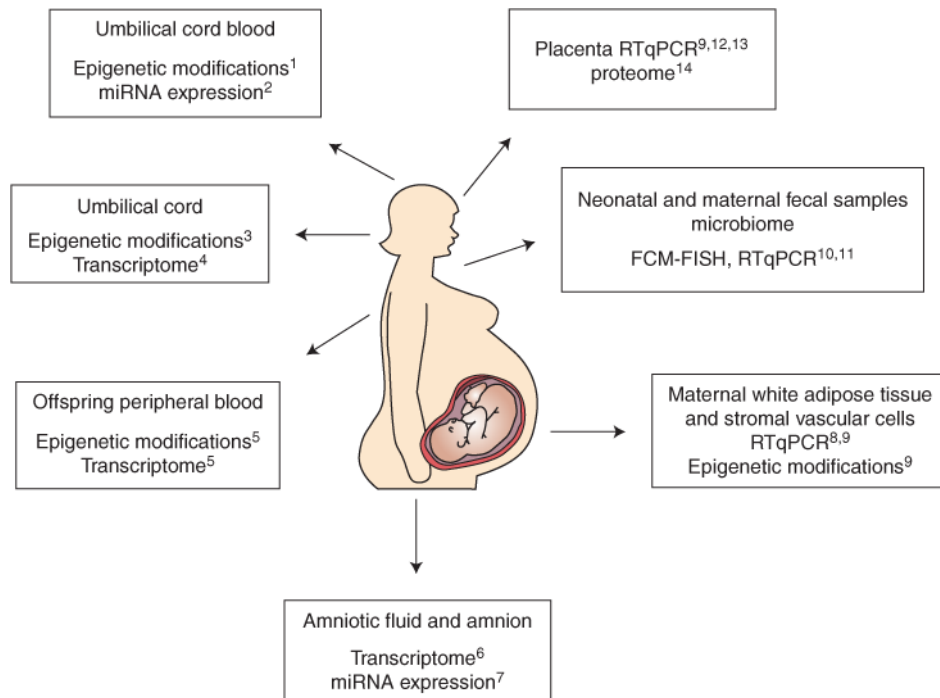
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**Figure 1.**

Molecular techniques provide insight into fetal organ developmental programming in animal models of maternal obesity. RT-qPCR, quantitative reverse transcription PCR. (Data for the figure from the following references: <sup>1</sup>Mischke et al. 2013; <sup>2</sup>Bruce et al. 2009; <sup>3</sup>Vucetic et al. 2010; <sup>4</sup>Aagaard-Tillery et al. 2008; <sup>5</sup>Suter et al. 2011; <sup>6</sup>Borengasser et al. 2014; <sup>7</sup>Zhang et al. 2009; <sup>8</sup>Benatti et al. 2014; <sup>9</sup>Maloyan et al. 2013; <sup>10</sup>Elahi and Matata 2014; <sup>11</sup>Yan et al. 2013; <sup>12</sup>Borengasser et al. 2013; <sup>13</sup>Masuyama and Hiramatsu 2012; <sup>14</sup>Ma et al. 2014; <sup>15</sup>Myles et al. 2013; <sup>16</sup>Ng et al. 2014; <sup>17</sup>Cerf et al. 2006; <sup>18</sup>Cerf et al. 2009; <sup>19</sup>Jones et al. 2009; <sup>20</sup>Zhu et al. 2010; <sup>21</sup>Shankar et al. 2011; <sup>22</sup>Stachowiak et al. 2013b; <sup>23</sup>Moraes et al. 2009; <sup>24</sup>Vucetic et al. 2010; <sup>25</sup>Franco et al. 2012; <sup>26</sup>Suter et al. 2012.)



**Figure 2.**

Molecular techniques provide insight into fetal developmental programming using human fluids and tissues. FCM-FISH, fluorescent in situ hybridization coupled with flow cytometry; RT-qPCR, quantitative reverse transcription PCR. (Data for the figure from the following references: <sup>1</sup>Soubry et al. 2013; <sup>2</sup>Ghaffari et al. 2014; <sup>3</sup>Gemma et al. 2009; <sup>4</sup>Thakali et al. 2014; <sup>5</sup>Guénard et al. 2013; <sup>6</sup>Edlow et al. 2014; <sup>7</sup>Nardelli et al. 2014; <sup>8</sup>Basu et al. 2011; <sup>9</sup>Haghiac et al. 2014; <sup>10</sup>Collado et al. 2008; <sup>11</sup>Collado et al. 2010; <sup>12</sup>Challier et al. 2008; <sup>13</sup>Tsai et al. 2015; <sup>14</sup>Oliva et al. 2012.)