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## Targeted *in vivo* knock-in of human alpha-1-antitrypsin cDNA using adenoviral delivery of CRISPR/Cas9

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### Abstract

Serum deficiency diseases such as alpha-1-antitrypsin deficiency are characterized by reduced function of serum proteins, caused by deleterious genetic mutations. These diseases are promising targets for genetic interventions. Gene therapies using viral vectors have been used to introduce correct copies of the disease-causing gene in pre-clinical and clinical studies. However, these studies highlighted that disease-alleviating gene expression is lost over time. Integration into a specific chromosomal site could provide lasting therapeutic expression to overcome this major limitation. Additionally, targeted integration could avoid detrimental mutagenesis associated with integrative vectors, such as tumorigenesis or functional gene perturbation. To test if adenoviral vectors can facilitate long-term gene expression through targeted integration, we somatically incorporated the human alpha-1-antitrypsin gene into the *ROSA26* ‘safe harbor’ locus in murine livers, using CRISPR/Cas9. We found adenoviral-mediated delivery of CRISPR/Cas9 achieved

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#### Ethics approval and consent to participate

Not applicable

#### Consent for publication

Not applicable

#### Availability of data and material

The datasets supporting the conclusions of this article are included within the article and its additional files.

#### Competing interests

The authors declare that they have no financial and non-financial competing interests

#### Authors Contributions

C.S. and D.T.C. formulated and designed the project. C.S. devised, performed, and analyzed data of all experiments. E.K., W.E. and L.K. contributed to animal experiments. C.S., E.K., W.E., and D.T.C. assisted in data interpretation. C.S. and W.E. performed statistical analysis. C.S. and D.T.C. wrote the manuscript with input from all authors.

gene editing outcomes persisting over two hundred days. Furthermore, gene knock-in maintained greater levels of the serum protein than provided by episomal expression. Importantly, our ‘knock-in’ approach is generalizable to other serum proteins and supports *in vivo* cDNA replacement therapy to achieve stable gene expression.

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## INTRODUCTION

Gene therapy can potentially be a curative treatment for inherited metabolic disorders, including serum deficiency diseases. Such interventions for serum deficiencies employ strategies to introduce a corrected copy of the deficient gene. Initial gene therapies have used retro- and lenti-viral vectors to integrate the correct allele copy into the chromosomes of animal models, and human subjects, to provide corrective factor expression (1). Utilization of these vectors in clinical settings, however, has been limited by scaling issues, cost, and difficulty of production (2, 3). Additionally, safety concerns from genotoxicity and oncogene activation, caused by the semi-random integration of these vectors, remain relevant (4, 5). These issues have led to the exploration of therapies based upon non-integrative vectors, such as adeno-associated virus (AAV). Nonetheless, whereas the majority of therapeutic AAV-based gene expression is derived from episomal vector genomes, a fraction of AAV genomes will in fact randomly integrate into the host chromosome (6, 7). Notably, AAV-based treatment of selected plasma deficiency disorders successfully ameliorated disease phenotype in human clinical trials (8). In this context, transient therapeutic gene expression, due to the loss of vector genomes through host immune response and cell division, remains a limiting issue. These interventions have thus illustrated the obstacles which must be overcome before fully curative gene therapies are achieved (9, 10).

In this regard, the integration of therapeutic transgenes in a specific location within a chromosome, through targeted gene editing, represents a promising strategy to traverse the limitation of transient gene expression. In addition, a targeted integration therapy could potentially allow extended gene expression without the risk of insertional mutagenesis associated with available integrating viral vectors. Initial viral vector-based gene editing approaches have used zinc finger nuclease (ZFNs) to induce targeted homologous recombination, showing that correction of a hemophilia disease phenotype is possible using designer nucleases (11). Subsequently, other studies have employed gene editing, with and without designer nucleases, to successfully treat hemophilia (12–15). Several other proof-of-principle studies have also shown the *in vivo* therapeutic benefits that may accrue from viral vector-mediated gene editing with CRISPR/Cas9, supporting the feasibility of gene editing for an extended range of disease targets (16, 17).

Nonetheless, practical employment of such CRISPR/Cas9 strategies are ultimately subservient to the *in vivo* efficiencies of available vector systems. In this regard, it remains unclear whether the CRISPR/Cas9 system can be efficiently delivered *in vivo* to enough cells, or target organs, to treat the range of clinical syndromes caused by serum protein deficiencies. Of note, these disorders will require high efficiency gene transfer, and editing activity, to achieve therapeutic outcomes. On this basis, we explored adenoviral vectors in

combination with CRISPR/Cas9 gene editing. This choice was based on the recognized utility of adenovirus in achieving *in vivo* transduction at high levels of efficiency (18, 19).

In this proof-of-principle study, we show efficient adenoviral-mediated delivery of CRISPR/Cas9 achieved successful targeted “knock-in” of *hAAT* and *EGFP* genes at the *ROSA26* safe harbor locus in murine livers. Most significantly, *hAAT* knock-in enabled long-term augmentation of serum hAAT levels in mice. Our study thus establishes adenovirus *in vivo* delivery of CRISPR/Cas9 can achieve long-term expression of serum protein relevant to a deficiency disorder. This study thus highlights the utilities of adenoviral vector-mediated gene delivery in feasibility *in vivo* gene knock-in. Such an approach potentially provides a generalizable method to realize long-term gene expression from this useful class of vectors.

## MATERIALS AND METHODS

### Cell Culture

Human embryonic kidney 293 (HEK293) cells (Microbix Biosystems Inc., Mississauga, Ontario, Canada) were cultured in sterile conditions with Dulbecco’s Modified Essential Media-F12 Mix (DMEM-F12) (Lonza-BioWhittaker, Basel, Switzerland) supplemented with 10% fetal bovine serum (FBS) (Sigma-Aldrich, St. Louis, MO), or Minimal Essential Media (MEM) (Corning Inc., Corning, NY) with 2% FBS during viral rescue. Human A549 cells (ATTC, Manassas, VA) and murine liver cell line BNL-1NG (ATCC) were cultured in 10% FBS DMEM-F12.

### Mouse Experiments

Male C57Bl/6J (Jackson Laboratory, Bar Harbor, ME) mice were housed in an approved animal housing facility. All experiments were performed in strict accordance with the Guide for the Care and Use of Laboratory Animals of the National Institutes of Health and with a pre-approved Institutional Animal Care and Use Committee protocol (protocol #201510191). All animals were housed under pathogen-free conditions with access to chow and water *ad libitum*. Intravenous injections were administered through the tail vein at four weeks of age. Blood collections were performed through facial vein puncture, into heparin coated capillaries. Plasma was aliquoted and stored at  $-80^{\circ}\text{C}$ . Animals were humanely sacrificed by  $\text{CO}_2$  inhalation; major organs were harvested and snap frozen on dry ice.

### Vector Construction

Viral construction was completed using three different plasmids. Each contained either the gene for *Streptococcus pyrogenes* Cas9, a 20-nucleotide (nt) *ROSA26*-targeting guide RNA (gRNA), or a *ROSA26* homology donor cassette, gifted from the Genome Engineering Core (GEiC) at Washington University in St. Louis (WUSTL). The Cas9 gene was cloned from the first plasmid into the EcoRV site of pShuttle-CMV (Agilent Technologies, Santa Clara, CA). pShuttle-CMV-Cas9 was recombined into the E1 region of E1- and E3-deleted Ad plasmid DNA, pAdEasy1 (Agilent Technologies) in *Escherichia coli* strain BJ5183 (Agilent Technologies), resulting pAd5-Cas9. The gRNA targeting the second intronic region of *ROSA26* (chromosome 6 position-113,076,010, targeting sequence: 5’-GGTCTTCTGAGGGCGGGTAGAAG-3’), expressed from the U6 promoter driven by RNA

polymerase III, was cloned into the BamHI and SalI sites of plasmid pShuttleE3 (20), in order to construct pShuttleE3-U6-gRNA. pShuttleE3-U6-gRNA was recombined into pAdEasy1 as above, resulting pAd5-gRNA. Next, pShuttle-CMV-Cas9 was recombined with pAd5-gRNA to obtain a plasmid expressing both CRISPR components, pAd5-Cas9-gRNA (Figure 1).

Lastly, donor vectors were constructed using a plasmid containing homology arms (HAs) comprising left and right sequences (800 basepair [bp]) surrounding the ROSA26-gRNA target site, modified by a multiple cloning site (MCS) which abolishes gRNA recognition (Figure 1). Separately, the genes for human *AAT* (Vigene Biosciences Inc., Rockville, MD) and *EGFP* (Takara Bio Inc., Shiga, Japan) were subcloned into BamHI and HindIII sites of pBApro-EF1 $\alpha$  (Clontech Laboratories, Mountain View, CA) containing the constitutive mammalian EF1 $\alpha$  promoter and intron. This cloning resulted in pBApro-EF1 $\alpha$ -hAAT and pBApro-EF1 $\alpha$ -EGFP, respectively. The expression cassettes containing promoter, transgene, and polyA from pBApro-EF1 $\alpha$ -hAAT and pBApro-EF1 $\alpha$ -EGFP were separately ligated into the above *ROSA26* donor plasmid at the PmeI restriction site in negative orientation to endogenous *ROSA26*.

DNA fragments extracted from the resultant donor plasmids for ROSA26-EF1 $\alpha$ -hAAT Donor and ROSA26-EF1 $\alpha$ -EGFP expression cassettes flanked by the *ROSA26* homology arms were cloned into the EcoRV site of promoterless pShuttle (Agilent Technologies), resulting in two donor plasmids, pShuttle-EF1 $\alpha$ -hAAT and pShuttle-EF1 $\alpha$ -EGFP. Donor shuttle plasmids were recombined into the E1-deleted region of pAdEasy1, resulting in pAd5-EF1 $\alpha$ -EGFP and pAd5-EF1 $\alpha$ -hAAT, respectively (Figure 1).

### Recombinant Adenovirus (Type-5) Vectors

Adenoviruses were produced using standard procedures (21). Recombinant Ad plasmids were digested with PacI (New England Biolabs, Ipswich, MA), releasing the viral genome, and transfected into HEK293 cells for rescue. We generated five vectors for this study: Ad5-Cas9, Ad5-gRNA, Ad5-Cas9-gRNA, Ad5-EF1 $\alpha$ -EGFP, Ad5-EF1 $\alpha$ -hAAT. Following serial amplification, virus was purified with CsCl (Research Products International, Mount Prospect, IL) gradients, dialyzed against phosphate-buffered saline (PBS) (Sigma-Aldrich) containing 10% glycerol (Pharmco Products Inc., Shelbyville, KY) and validated with partial sequence analysis. Viral preparations were titered as viral particles (VP)/mL using the classical Maizel's method via measurement of A260 absorbance of purified virus particles, with the assumption that  $1.1 \times 10^{12}$  VP/ml has an absorbance of 1.0 at 260 nm (22). Physical vector titer was chosen to reconcile experimental results with prior vectorological studies. Vector transgene expression was validated by Western blot (hAAT and Cas9) and fluorescent microscopy (EGFP) after infection of human A549 or murine BNL-1NG cells. Quality control tests for replication-competent adenovirus (RCA) were performed using titrations on A549 monolayers, to ensure no replicative virus contaminated preparations.

### *In vitro* model of integrative and non-integrative vector dilution

Murine liver cell line BNL-1NG was plated on 96 well plates, grown to 70% confluency, and cell numbers were counted. Then, cells in individual wells were infected as follows: (i) PBS, (ii)  $10^4$  VP/cell Ad5.sham-expressing an irrelevant transgene, (iii)  $4 \times 10^3$  VP/cell Ad5-Cas9

+  $4 \times 10^3$  Ad5-EF1 $\alpha$ -EGFP, (iv)  $6 \times 10^3$  VP/cell Ad5-Cas9 +  $6 \times 10^3$  Ad5-EF1 $\alpha$ -EGFP, (v)  $4 \times 10^3$  VP/cell Ad5-Cas9-gRNA +  $4 \times 10^3$  Ad5-EF1 $\alpha$ -EGFP, and (vi)  $6 \times 10^3$  VP/cell Ad5-Cas9-gRNA +  $6 \times 10^3$  Ad5-EF1 $\alpha$ -EGFP. One well was used per group for each independent experiment, with a total of four independent experiments performed.

Five days later, cells from groups iii, iv, v, and vi were harvested and submitted to flow cytometry sorting (FACS) of GFP+ cells to remove any non-transduced cells (EGFP-), allowing a homogenous starting population for long-term culture (Supplemental Figure 1B). GFP+ cells were plated on 24-well plates and cultured to thirteen days post-infection (dpi) to allow cell division and dilution of episomes. An equal number of GFP- control cells from group i and ii were also plated. Confluent monolayers at 13 dpi were collected to 6 well plates and cultured by splitting at: 17 dpi (split 1:2), 20 dpi (1:4), 27 dpi (1:4), 33 dpi (1:2). Flow cytometry at 36 dpi determined percentage of cells retaining GFP expression and sorted to select potentially integrated cells (Supplemental Figure 1A). These terminally sorted cells were used for junction capture PCRs and qPCR analysis of *EGFP* copy numbers, as well as *hexon* (the main adenoviral capsid protein gene) to enumerate the amount of remaining viral genomes.

### Flow Cytometry

BNL-1NG cells were collected into sterile Hanks Buffer B Solution (HBBS) (Gibco, Grand Island, NY) supplemented with 2% FBS and  $1 \times$  Streptomycin/Penicillin (Sigma-Aldrich) and passed through 30  $\mu$ m filter. Flow cytometry was performed on SONY SY3200 machines at the Siteman Flow Cytometry Core. GFP positive cells were sorted into DMEM/F12 media containing 25% FBS for one day to recover for use in the long-term culture experiments. Total flow results were obtained from four independent experiments.

### Targeted Illumina Deep Sequencing

All DNA extractions were performed via phenol/chloroform extraction following incubation with RNaseA (GE Healthcare, Chicago, IL), 10% SDS (Sigma-Aldrich), and proteinase K (Thermo Fisher Scientific, Waltham, MA). DNA concentration was calculated with a value of absorbance of 1.0 at OD 260 nm equivalent to 50  $\mu$ g/mL of DNA. Whole genomic DNAs (gDNA) extracted from cells and organs were submitted to Illumina targeted deep sequencing via GEiC core services. PCR libraries (approximately 200 bp fragments) are generated using primers surrounding the target site, linkers are ligated, and Next Gen sequencing determines PCR sequences. Amplicon reads from fastq files are aligned using in-house script, based upon defined user-inputted start and end sequences. Individual alleles' abundance are calculated as a percentage of total alleles present in each sample. DNA samples were submitted in duplicate with 15  $\mu$ L of 100 ng/ $\mu$ L template.

### Junction Capture PCR

*EGFP*-integrated *ROSA26* junction capture PCRs were performed with primers annealing within promoter EF1 $\alpha$  (Supplementary Table 1, Oligo-1) or *EGFP* Supplementary Table 1, Oligo-2) and genomic regions past homology sequences (Supplementary Table 1, Oligos-3, 6). 20  $\mu$ L reactions contained 0.4  $\mu$ L Phire II polymerase (Thermo Fisher Scientific), 0.5  $\mu$ M dNTPS (New England Biolabs), 250  $\mu$ M primers, 150 ng of template DNA (*in vitro*) or 250

ng (*in vivo*). *In vitro* and *in vivo* EGFP-integrated junction PCR reactions also contained primers (Supplementary Table, Oligo-4 and -5) to amplify a 616 bp viral sequence to confirm the presence of viral vectors and as a loading control. *In vivo*, *hAAT*-integrated *ROSA26* junction capture PCR was performed with a primer located within the 3' end of *hAAT* (Supplementary Table 1, Oligo-7) and a primer binding to the 3' *ROSA26* locus, past the right homology sequence (Supplementary Table 1, Oligo-8). PCR gel images are representative of six independent PCRs and gels.

## qPCR

*In vitro* DNA copy number quantification was performed on a Light Cycler 480 (Roche, Basel, Switzerland) using 25 ng of purified DNA in 10  $\mu$ L reactions. All samples were run in triplicate. qPCR reactions were performed with FastStart TaqMan Probe Master Mix according to manufacturer instructions (Roche). Standards were prepared as optically pure adenoviral plasmid DNA pAd5-EF1 $\alpha$ -EGFP (*ROSA26* donor) tenfold serially diluted, containing template for *EGFP* (accession-C8CHS1) and *hexon* (accession- E1V2T2) genes. Sigma-Aldrich manufactured primer specificity was checked using BLAST alignment. *EGFP* copies were quantified with specific primers (Supplementary Table 1, Oligos-9, 10) and a TaqMan probe (Supplementary Table 1, Oligo-11). *Hexon* copies were quantified with primers (Supplementary Table 1, Oligo-12, 13) and a probe (Supplementary Table 1, Oligo-14). The quantification of *hexon* and *EGFP* genes was performed separately, with each individual reaction being normalized to the *m-Actin* gene (accession-A1E281) as a template loading control, using *m-Actin* specific primers (Supplementary Table 1, Oligos-15, 16) and probe (Supplementary Table 1, Oligo-17). Cycling conditions consisted of: initial denaturation (2 min at 95  $^{\circ}$ C), 45 cycles of amplification by 15 s at 95  $^{\circ}$ C, annealing 10 s at 58  $^{\circ}$ C, and elongation 45 s at 72  $^{\circ}$ C. Threshold cycle ( $C_t$ ) for each triplicate was determined by the point when fluorescence passed the threshold limit (10-fold the standard deviation of the baseline). Data analysis was performed using fit point method on Light Cycler 480 software (v1.5). Results are from two independent experiments, each containing two biological replicates; individual samples were run in triplicate.

DNA copy number quantification from *in vivo* experiments was performed on an Applied Biosystems StepOnePlus qPCR system using 50 ng of liver-extracted template DNA in 20  $\mu$ L reactions. Standards for the *hAAT* and *hexon* genes were prepared by serial tenfold dilutions of optically pure adenoviral plasmid pAd5-EF1 $\alpha$ -*hAAT* (*ROSA26* donor) containing both *hAAT* (accession- Q13747) and *hexon* targets. A commercial TaqMan Gene Expression assay (Hs00165475) (Applied Biosystems, Foster City, CA) was used to determine *hAAT* copy numbers. *Hexon* gene copies were enumerated as described above. *Hexon* and *hAAT* quantification was performed separately, with individual reactions normalized to *m-Actin*. Cycling conditions and threshold cycle calculation were performed as described above. Data analysis was performed using fit point method on StepOnePlus software. Results are from one animal experiment, with at least three biological replicates (two for negative-control PBS group), and samples were run in quadruplicate qPCR reactions.



## Linear-Amplification Mediated Quantitative PCR (LAM-qPCR)

To determine integrations rates occurring *in vivo* mice were injected with PBS (n=3), 1:1 mixture of Ad5-EF1 $\alpha$ -EGFP to Ad5-gRNA (no nuclease, n=6) or Ad5-EF1 $\alpha$ -EGFP to Ad5-Cas9-gRNA (n=6). Mice were sacrificed at seven, fourteen, and twenty-one dpi. The *EGFP* gene provides a permissible method for calculating large HDR-mediated insertions, via linear amplification-mediated PCR (LAM-PCR) due to a common restriction site equidistant from the 3' homology region in the transgene and genome. This method is used to avoid bias associated with PCR amplification of rare alleles.

LAM-PCR was performed similarly to previous reports, with 40  $\times$  rounds of linear amplification from 100 to 800 ng of starting gDNA template (14, 23). 50  $\mu$ L reactions used a biotin-conjugated primer binding downstream of *ROSA26* in reverse orientation (Supplementary Table 1, Oligo-18) and Phire II polymerase. Linear PCR products were bound to M280 streptavidin-conjugated magnetic beads (Invitrogen, Carlsbad, CA) at 25  $^{\circ}$ C for six hours. Second strand synthesis was performed with Klenow fragment (New England Biolabs) using random oligo-primers (Promega, Madison, WI). Then, dsDNA was restriction digested with NcoI (New England Biolabs) at approximately equal distances in both integrated and non-integrated alleles (2.01 Kb and 1.99 Kb, respectively) to generate equal sized PCR fragments. NcoI sticky end-specific double stranded linker (Supplementary Table 1, Oligos-19, 20), containing nested PCR primer target sites, was annealed to digested PCR products using Fast-Link Ligase (Epicentre Technologies, Madison, WI) or Quick Ligase (New England Biolabs). Linker was generated as described in detail elsewhere (14, 23). Following ligation, two nested PCRs using two primers sets (1<sup>st</sup> nested PCR: Supplementary Table 1, Oligo-21, 22) (2<sup>nd</sup> nested PCR: Supplementary Table 1, Oligo-23, 24) increased template quantity for qPCR.

Lastly, 25 ng of the second nested PCR was used in separate 10  $\mu$ L qPCR reactions with unintegrated-specific (wild-type) primers and Taqman probe or integrated-specific (*EGFP*) primers and probe. Cycling conditions and threshold cycle estimation were performed as described above on a Roche LC480 machine. Data analysis was performed using fit point method. Samples were run in triplicate. Tenfold serially diluted plasmid DNA, containing *EGFP* (integrated *ROSA26* target) and *ROSA26* homology arms (non-integrated wild-type allele target), served as copy number standard (Supplemental Figure 1 C, D). TaqMan primer and probe for *EGFP* are described above. Wild-type primers (Supplementary Table 1, Oligo-25, 26) and probe (Supplementary Table 1, Oligo-27) are specific for the left *ROSA26* homology arm, absent from NcoI-digested *EGFP*LAM-PCR product. Results are from one or more independent LAM-PCR preps. Percent of *EGFP* integrated *ROSA26* alleles are calculated by dividing the number of *EGFP* copies by the total amount of copies present ( $EGFP$  copies/[wild-type copies + *EGFP* copies]), multiplied by 100.

## hAAT ELISA

Mice were injected with 10<sup>11</sup> total VP mixture of a Ad5-EF1 $\alpha$ -hAAT (donor) to Ad5-Cas9-gRNA mixture (1:1 donor: Cas9-gRNA [n=6], or 3:1 donor: Cas9-gRNA [n=5]), as well as a 1:3 mixture (n=5). Concurrently, other mice received an equivalent amount of Ad5-EF1 $\alpha$ -

hAAT (donor) to Ad5-CMV-EGFP mixtures (sham) into mice at a ratio of 1:1 (n=4) or 3:1 (n=6) (donor: sham). Plasma was collected approximately biweekly.

hAAT concentrations in plasma were determined by a sandwich ELISA assay (Supplemental Figure 2A). Polyclonal goat anti-hAAT (4 µg/mL) (A80-122A, lot 3 and 9) (Bethyl Laboratories Inc., Montgomery, TX) antibody coated MaxiSorp plates (Thermo Fisher Scientific) in coating buffer (sodium bicarbonate [pH 8.5]) at 4 °C. Recombinant hAAT was applied to each ELISA plate as standard concentrations ranging from 0.977 ng/ml to 62.5 ng/ml (10306-H08H, lot LCL06NO2242) (Sino Biologicals, Beijing, China). Diluent was 1× PBS, 0.05 % Tween 20 (Sigma-Aldrich) and 0.5% bovine serum albumin (BSA) (Santa Cruz Biotechnology, Dallas, TX). Results were from OD readings of diluted samples in the linear range of the assay (Supplemental Figure 2B). No cross-reactivity to mouse plasma or BSA was observed (Supplemental Figure 2C).

### Western Blot

hAAT Western blots were performed using 2 µL of murine plasma diluted into 8 µL of 5× SDS buffer (1M Tris-HCl [pH 6.8], 10 g SDS, 50 mL glycerol, 25 mg bromophenol blue, 25 mL beta mercaptoethanol, DI H<sub>2</sub>O to 100 ml) and 30 µL of PBS. Half of the diluted samples or Spectra MultiColor BroadRange Marker (Thermo Fisher Scientific) was loaded after denaturation and ran in 10% TGX Mini Protean SDS-PAGE gels (Bio-Rad Laboratories, Hercules, CA). Protein was transferred to PVDF membrane (Bio-Rad) after electrophoresis. Membranes were incubated 4 °C overnight with anti-tetra-His mouse IgG antibody (Qiagen, Hilden, Germany). Secondary antibody incubation with goat polyclonal anti-mouse IgG (Agilent), was followed by West Pico SuperSignal chemiluminescence detection (Thermo Fisher Scientific). Results shown are from four independent blots.

### Off-target site identification

Potential off-target sites of the *ROSA26*-gRNA in the murine genome were predicted using two different computational approaches. First, in-house script from the GEiC was utilized with the most strict of conditions (>2 bp mismatch, up to 8 bp); secondly, the online tool GT-SCAN (<http://gt-scan.csiro.au/>), Australia Bioinformatics Resource) was used with the highest max specificity (off-target=2) and the 23 nt rule. Sites with the highest chance of gRNA targeting, based upon fewest basepair mismatches and distance from PAM site, were chosen from loci present in both computational analyses. Most chosen off-target sites contained 1 bp mismatch in the distal, non-seed, region of gRNA target sequence.

### Histopathology and Vector Toxicity Analysis

Mice were submitted to the Pathology Core at the Department of Comparative Medicine at WUSTL for the following analyses: gross necropsy, liver weight and liver histopathology, CBC white cell counts, and ALT/AST levels as markers of liver toxicity. Samples were submitted in a blinded manner and consisted of two negative control PBS-injected mice and three mice from integrative and non-integrative vector groups.



## Immunohistochemistry (IHC)

At seven, twenty-one, and forty-two dpi mice were sacrificed for IHC staining of vector transgene expression. Tissue processing and section staining was performed as described elsewhere (24). EFGP staining was performed with 1:1000 diluted primary chicken anti-GFP IgY antibody (Invitrogen), followed by incubation with secondary donkey IgG anti-chicken IgY conjugated to AlexaFluor488 (Jackson Immuno Research, West Grove, PA), stained with SlowFade/Dapi (Invitrogen), and imaged on a confocal microscope.

## Statistics

ELISA data statistics was performed using parametric testing of individual time points between equivalent mouse groups. Statistical testing was completed using Real Stats Software (<http://www.real-statistics.com/free-download/>) suite in Microsoft Excel). An F-test determined if variance between the two groups compared was significant or not, at each individual time point. If the F-test showed no significant difference in distribution, a non-paired student *t*-test#2 was used. If the F-test showed significant variance, a heteroskedastic *t*-test#3 was used as a conservative test of samples with unequal variance. All *t*-tests were analyzed by two-tailed. Additional Kruskal-Wallis testing, a non-parametric ranked statistical test, was used to transform non-normally distributed data (such as that of ELISAs) and validate significant differences between groups at individual time points. Animal numbers were chosen to allow ideal statistical power within technically feasible experimental analysis.

Statistics on qPCR data was performed using non-parametric unpaired *t*-test (two-tailed) of groups receiving equal amounts of initial donor vector and CRISPR/Cas9 or sham encoding vector. Significance testing was completed using Mann-Whitney U-test on GraphPad StatMade software. Significance was based on *p*-values with > 0.05 being considered non-significant (N.S.) and <0.05 as significant.

## RESULTS

### Adenovirus delivery of CRISPR/Cas9 results in knock-in of the EGFP gene at the *ROSA26* locus

To test if adenoviral delivery of CRISPR/Cas9 enables gene editing, a vector encoding Cas9 and guide RNA (gRNA) (an artificial construct of trans-activating and CRISPR-associated RNA) targeted to murine 'safe harbor' *ROSA26* locus was produced (Ad5-Cas9-gRNA) (Figure 1). The murine liver cell line BNL-1NG was infected with this vector at various numbers of viral particles (VP) per cell (VP/cell). Seventy-two hours later cells were harvested and genomic DNA (gDNA) extracted. Targeted deep sequencing of *ROSA26* loci in the gDNA samples was performed to identify insertions and deletions (indels), indices of non-homologous end joining (NHEJ) DNA repair of double stranded breaks (DSBs). Of note, increasing VP per cell resulted in increased indel formation at *ROSA26* (Figure 2A). The most frequent mutations were small single base pair indels with larger indels occurring less frequently, while larger mutations were also detected (Supplemental Table 2A). This formation of indels demonstrated successful DSBs mediated by Ad5-Cas9-gRNA.

Next, we sought to explore if (i) homology directed repair (HDR) of DSBs could mediate transgene insertion at *ROSA26* and (ii) if such an insertion could provide long-term gene expression. To test these theories, we used co-infection of DSB-inducing Ad5-Cas9-gRNA and donor vector Ad5-EF1 $\alpha$ -EGFP (containing an EGFP expression cassette with 0.8 Kb of *ROSA26* homology sequences) (Figure 1). BNL-1NG cells were co-infected with a 1:1 mixture of Ad5-Cas9-gRNA and donor vector Ad5-EF1 $\alpha$ -EGFP, the “integrative group”, or equivalent amounts of Ad5-Cas9 (no gRNA) and Ad5-EF1 $\alpha$ -EGFP as ectopic episomal expression controls, the “non-integrative group”.

First, to assay for targeted integration, a junction capture assay was performed on gDNA extracted from all viral-infected groups. PCR primers flanking the 5' and 3' homology sequences of the genomic *ROSA26* insertion site and primers within the promoter or the *EGFP* transgene were used to obviate any PCR products from episomal vector DNA or un-integrated loci. PCR products were produced in integrative groups receiving full CRISPR/Cas9 integration components, Ad5-Cas9-gRNA and Ad5-EF1 $\alpha$ -EGFP (Figure 2B). PCR product Sanger sequencing confirmed targeted genomic integration occurred (Supplemental Figures 3 and 4).

Second, we utilized a long-term culture strategy to test episomal dilution's versus targeted integration's role in maintaining gene expression. Following a 36 day culture to dilute episomal vectors, we observed a non-significant increase in cells retaining EGFP expression (percentage GFP+) in integrative groups at two doses versus equivalent episomal expression controls (Supplemental Figure 5A). EGFP positivity was gated on the PBS negative control group, whereby all cells within this population were considered GFP negative (Supplemental Figure 1A). Non-integrative groups also retained some residual expression. Flow sorting of GFP+ cells was also performed to enrich for putative integrated cells. These GFP+ sorted cells from integrative and non-integrated groups were also used for junction capture PCR and determined HDR-mediated *EGFP* alleles were still present. Additionally, we used qPCR to investigate if *EGFP* copy numbers increased relative to ectopic control groups, due to cellular replication of integrated *EGFP*. In other words, we sought to enumerate remaining (non-replicative) episomal viral genomes within the cells and the *EGFP* transgene (potentially replicative via integration). The major Ad capsid protein gene, *hexon*, was used to quantify viral genomes. qPCR analysis detected viral genomes in both integrative and non-integrative groups, with a non-significant difference of  $1.1 \times 10^5$  copies/ng mActin (Supplemental Figure 5B). Transgene copies (derived from both episomal and integrated genomic sources) showed a larger difference (difference of  $6.4 \times 10^6$  copies/ng mActin) between groups; however this difference was also found to be non-significant (Supplemental Figure 5B). Although, *in vitro* dilution experiments did not generate a significant difference between integrative and episomal persistence of a reporter transgene, junction capture PCR determined integrated *ROSA26* loci could persist through a 36-day long-term culture.

### **Somatic integration of the hAAT gene in mice provides long-term gene expression**

To determine if cDNA knock-in could provide long-term expression of a therapeutic serum protein, we used a hAAT expression model in mice. Various ratios of Ad5-EF1 $\alpha$ -hAAT (donor) to Ad5-Cas9-gRNA (Cas9-gRNA/integrative) or an equivalent amount of Ad5-

EF1 $\alpha$ -hAAT (donor) to Ad5-CMV-EGFP (sham/non-integrative) mixtures were injected into mice ( $10^{11}$  total VP for all mice). The use of Ad5-CMV-EGFP, a classical control vector, ensured uniform amount of total virus administered to mice. Plasma was collected to determine hAAT levels using a human-specific ELISA. Initial hAAT levels (peaking at approximately 250  $\mu\text{g}/\text{mL}$ ) at seven dpi showed non-significant differences between equivalent groups, with the exception of the 3:1 equivalent groups in which non-integrative mice had significantly higher hAAT levels. No other significant differences were seen until approximately 100 dpi, when non-integrative groups' (donor + sham) hAAT levels decreased to levels below their integrative counterparts (donor + Cas9-gRNA). This trend continued to a point whereby 3:1 and 1:1 ratios of Ad5-EF1 $\alpha$ -hAAT to Ad5-Cas9-gRNA (donor to Cas9-gRNA) administered mice had significantly higher gene expression than any non-integrative groups (Figure 3A). By 210 dpi, mice receiving the integration system (donor to Cas9-gRNA) had 6.5- (1:1 ratio groups) and 2.9-fold (3:1 ratio groups) greater levels of hAAT expression versus their non-integrating counterparts (donor to sham), even though mice had received equivalent amounts of total and hAAT-expressing virus (Figure 3A). Furthermore, the 1:3 ratio of Ad5-EF1 $\alpha$ -hAAT to Ad5-Cas9-gRNA group, receiving the least amount of hAAT-encoding vector of any group, maintained nearly equal or higher levels of hAAT than any non-integrative group, including positive control mice receiving  $1 \times 10^{11}$  VP of adenovirus vector expressing hAAT from the CMV promoter (Supplemental Figure 6). Western blotting of multiple plasma samples from 112 dpi showed stronger AAT detection among integrative mice relative to equivalent non-integrative mice samples, supporting ELISA results (Figure 3B). Taken together, these data showed *hAAT* cDNA knock-in achieved long-term gene expression lasting over two hundred days.

### **Somatic integration of hAAT gene occurred at the target *ROSA26* locus and contributed to the increased hAAT gene maintenance over time**

To validate *hAAT* integration at *ROSA26*, junction capture PCR of liver genomic DNA was performed. Mice receiving Ad5-EF1 $\alpha$ -hAAT and Ad5-Cas9-gRNA showed PCR amplification of 3' integrated genomic junctions, while control mice (Ad5-EF1 $\alpha$ -hAAT and Ad5-CMV-EGFP) showed no PCR product (Figure 4A). PCR amplification of 5' junctions from *in vivo* was unsuccessfully imaged due to strong secondary structures as this junction contains long GC repeat tracks, which are known to inhibit PCR amplification (Supplemental Figure 3B) (25). At 210 dpi residual hAAT serum levels from integration groups was between 2.2 to 5.5% (averaging 4%) of their initial expression level at one week, while our non-integrating episomal expression groups showed between 0.08 to 1.4% (average 0.8%) of their initial week one expression (Figure 4B). To quantify the presence of remaining virus, viral genomes from DNA samples extracted from liver tissue were counted using qPCR. Non-integrative groups (donor to sham) showed the highest quantity of vector genomes remaining (Figure 4C). Integrative groups showed significantly less viral genomes. However, equal copy numbers of *hAAT* were detected between groups (Figure 4D), resulting in a higher ratio of *hAAT* copies present per *hexon* copy present in integrative groups than seen in non-integrative groups (Figure 4E). Non-integrative mice lost less virus genomes than equivalent integrative counterparts, but integrative groups maintained similar numbers of *hAAT* copies despite a greater loss of virus. Thus, the maintenance of *hAAT* copies likely occurred due to integration of *hAAT* at *ROSA26*, whereby integrated *hAAT*

copies would be passed down to both daughter cells of a proliferating cell. If integration did not influence *hAAT* genetic persistence, non-integrated *hAAT* copies would be expected to dilute and be lost at a rate similar to the observed loss of viral genomes. We also hypothesize that the presence of Cas9, a large bacterial nuclease, may have contributed to the greater loss of viral genomes in integrative groups. However, this loss of vector was compensated by the maintenance of *hAAT* copies through targeted integration. Random integration was not thought to contribute to the persistence of *hAAT* copies because if whole viral genomes integrated, both groups could be expected to have similar remaining *hexon* copy numbers. Together, evidence of targeted integration at *ROSA26* and the maintenance of *hAAT* alleles despite a significant loss of virus in integrative groups confirms integration's contribution to the long-term expression of the serum protein.

### **Adenoviral-mediated delivery and knock-in of the *EGFP* gene at *ROSA26* shows persistent gene expression occurs in both integrated and non-integrated mice**

To quantify gene editing rates, a second *in vivo* experiment was performed. Mice were injected with either PBS, Ad5-EF1 $\alpha$ -EGFP (donor) and Ad5-Cas9-gRNA (CRISPR), or Ad5-EF1 $\alpha$ -EGFP (donor) and Ad5-gRNA (no nuclease, non-integrative). The EGFP gene allows calculation of large insertion editing rates via linear-amplification mediated PCR (LAM-PCR) (see Methods). To confirm the EGFP donor vector could also facilitate integration *in vivo* following induction of DSB, liver gDNA was used as template for junction capture as described above. Mice receiving Ad5-EF1 $\alpha$ -EGFP and Ad5-Cas9-gRNA showed *EGFP* cDNA integration at the *ROSA26* locus (Figure 5A). No integrated alleles in mice receiving the *EGFP* donor vector and gRNA vector (no nuclease) were detected, supporting the idea that integration did not occur at *ROSA26* in the absence of DSB. To qualitatively explore transduction, IHC staining of EGFP in the liver tissue of mice was performed, showing extensive viral transduction in all virus-injected animals and persistent gene expression from all groups (Supplemental Figure 7). Next, HDR-mediated insertion rates at *ROSA26* in mice receiving Ad5-EF1 $\alpha$ -EGFP (donor) was quantified using LAM-PCR. Calculated integration rates ranged between approximately 5.0 to 26 % of the total detected *ROSA26* alleles in five of the six mice receiving Ad5-Cas9-gRNA in conjunction with the *EGFP* donor (Figure 5B). Estimation of the number of liver cells harboring *EGFP* integrated *ROSA26* is likely to be dependent on whether integration events were mono-allelic, bi-allelic, or both. For example, if all integration events were bi-allelic then integration rates would correlate to 2.5 % to 13 % of total liver cells harboring *EGFP*-integrated *ROSA26* loci. Importantly, the one integrative mouse that failed to display integrated junctions also lacked detectable integration via LAM-qPCR.

Next, liver gDNA extracted from livers of mice receiving Ad5-Cas9-gRNA from both *in vivo* experiments was submitted to deep sequencing of *ROSA26* for quantification of NHEJ gene editing events. Comparing vector doses showed editing activity was dependent on vector dosage (Figure 5C). Indel formation favored smaller mutations, similar to *in vitro* findings (Supplemental Table 2B). When an equal dose of Ad5-Cas9-gRNA was graphed by time since injection, a general decrease in abundance of genetically altered *ROSA26* alleles was observed. Yet, genetically altered alleles were still detectable over 200 days post-injection (Supplemental Figure 8). Detection of NHEJ gene editing in other organs of a

mouse was explored and found to be majorly in the liver of the animal (Supplemental Figure 9).

### Vector toxicity and off-target analysis show no overt long-term consequences of gene editing

Lastly, we sought to determine whether *in vivo* gene editing resulted in unintended editing within the mouse genome. The top four computationally derived off-target candidate loci were submitted to targeted deep sequencing. Results showed little to no detectable off-target gene editing at the four chosen loci regardless of vector dose (Figure 6A). Additionally, we sought to explore long-term consequences of virus-mediated gene editing. Mice from all groups in the hAAT long-term gene expression experiment were analyzed for pathology and indices of liver toxicity by trained pathologist 200 dpi. All mice appeared in overall good health and no gross lesions were seen in any mice, although one virally-treated mouse had a dilated left ventricle in the heart without overall cardiomegaly (Supplemental Figure 10 A, B). Histopathology of livers showed no abnormal pathology and only normal signs of aging including vacuolation of hepatocytes and hematopoiesis, including mice receiving PBS-only (no virus) injections. Indices of liver toxicity, transaminase levels, were normal in all mice and complete blood counts showed no noteworthy differences between groups (Figure 6B, Supplemental Figure 10 C, D).

## DISCUSSION

In this study, we employed adenovirus to achieve *in vivo* gene transfer at efficiency levels which feasibilized gene editing based knock-in of corrective alleles. The ability of the delivered CRISPR/Cas9 system to achieve a targeted knock-in at a defined genomic locus potentially provides a strategy to circumvent genotoxic risk associated with integrating vectors. The efficiency of the process was fostered by the unparalleled *in vivo* gene delivery capacity of adenovirus. On this basis, knock-in was achieved at levels allowing serum augmentation based on functional expression of the corrective allele. Of note, the combination of CRISPR/Cas9 with adenovirus now provides a novel platform that potentially accrues the advantages of adenovirus, in combination with long-term gene expression.

First, successful gene transfer of the CRISPR/Cas9 system was confirmed by *in vitro* evidence of NHEJ repair of DSBs (Figure 2A). High efficiency gene editing was achieved at higher doses, approximately 50%. We would note that this high efficiency *in vitro* editing was at a short time period after transduction. Next *in vitro* co-transduction with a donor vector containing homology sequences confirmed targeted insertion was possible at the *ROSA26* locus via HDR of DSBs (Figure 2B). By modeling cellular division through a long-term culture scheme we observed cells were able to retain *EGFP*-integrated *ROSA26* alleles over the 36 day time course (Figure 2B). However, *EGFP* cDNA copy numbers were not significantly different between integrative and non-integrative groups in long term culture, potentially due to a lack of selectable marker and the arbitrary selection imposed by random culture splits during each passaging. In essence, cells with *EGFP*-integrated alleles that would retain *EGFP* expression could have been lost unevenly during any of the four

splits during culturing. Overall, our *in vitro* model of episomal dilution and cellular proliferation suggested that genetically altered alleles could persist over time *in vitro*. Interestingly, viral genomes were detected in both integrative and non-integrative groups even after 36 days of culturing, likely the result of residual episomal virus persisting through passaging. Adenoviral vectors have been well documented to persist both *in vitro* and *in vivo* (26–28).

Next, we used targeted *hAAT* knock-in to explore long-term gene expression using adenoviral vectors in an immune-competent model, as cellular turnover and episomal dilution could proceed differently *in vivo*. Our study found serum protein levels lasted the duration of the experiment, approximately two hundred days, in both integrative and non-integrative mouse groups (Figure 3A). This study represents the first *in vivo* *hAAT* full cDNA knock-in and the longest tracking of somatic gene editing using adenoviral-delivery of CRISPR/Cas9. Importantly, *hAAT* expression in all mice receiving episomal non-integrative vectors fell to a residual level of approximately 2.2 µg/mL or less, while integration-capable groups averaged 2.9- and 6.5-fold greater levels of *hAAT* in serum, representing a marked divergence from their non-integrative counterparts (Figure 3). By the end of the time course, mice receiving the integrative system had serum levels nearly equivalent to or greater than the highest expressing non-integrative mice, even when as little as one-third *hAAT* encoding virus was administered. Thus, *in vivo* somatic knock-in appears to be an effective approach to maintaining expression of a serum protein. Interestingly, the group maintaining the highest *hAAT* serum levels received three parts *hAAT* donor vector to one part CRISPR/Cas9-encoding vector. This trend suggests the importance of multiple copies of repair template to achieve efficient integration, as seen in another integration study (11).

The presence of *ROSA26 hAAT*-integrated alleles remaining at the end of the two hundred day experiment was confirmed by junction amplification PCR from liver extracted gDNA (Figure 4A). The role of integration in maintaining long-term gene expression was further evidenced by qPCR data (Figure 4B). Between integrative and non-integrative groups, there was a significant reduction in remaining adenoviral genomes in integrative groups relative to equivalent non-integrative groups (Figure 4C). We believe that the increased loss of vector genomes in mice receiving the integrating system, compared to control treated mice, is potentially related to immunogenicity and cytotoxicity of the large bacterial Cas9 nuclease protein (29, 30). However, *hAAT* copy numbers were not significantly different between the two groups (Figure 4D). These results show that for the same amount of DNA present, there were the same amount of *hAAT* copies in both groups, but more adenoviral vector genomes in non-integrative mice. As the integrative groups lost more viral genomes, the compensatory increase in *hAAT* copies (in integrative groups) is indicative of integrated transgene copy replication during cellular proliferation. If substantial integration did not occur, then *hAAT* copies would be significantly reduced in integrative groups similar to the observed significant reduction in remaining viral genomes. Although random integration could influence *hAAT* copy numbers, it is thought to be unlikely due to Ad5's natural resistance to spontaneous integration which has been calculated to be approximately  $6.72 \times 10^{-5}$  heterologous integration events per transduced hepatocyte (31). Thus, persistent gene expression in non-integrative groups was likely due to residual episomal persistence, a well-



documented characteristic of Ad5 vectors (26–28). This theory is supported by the high degree of similarity between our residual *hAAT* levels in episomal controls and published values (27, 28). Additionally, recent studies have shown adenoviral vectors can achieve long-term gene expression in certain contexts (32–34). Furthermore, a simultaneous positive control experiment using an adenovirus expressing *hAAT* under the control of the CMV promoter, lacking any integrative components, maintained some residual gene expression over the 210 day length of our experiment (Supplemental Figure 6). IHC staining of murine livers at 7, 21, and 42 days-post infection also displayed continued gene expression in both integrating and non-integrating vectors, supporting the data seen in our *in vitro* model, the *hAAT* ELISA, and qPCR assays (Supplemental Figure 7). Contribution of other organs to extended gene expression was likely minimal as we observed the most appreciable gene editing in the liver of the mice (Supplemental Figure 9). However, we would note that while HDR repair results on-target integration, it is also possible that other DNA repair mechanisms can result in on-target integration (35, 36). Taken together, these results are indicative of integrated transgene copy replication with simultaneous loss of vector genomes, from cell division as the juvenile mice aged or cellular proliferation that can occur following high doses of adenovirus vectors (37). These findings also suggest integrated genomic gene expression is potentially more stable or more robust than residual episomal-based expression. In this scenario, the gene expression differences despite equal *hAAT* copy numbers could be explained by potential transcriptional differences between the ubiquitously expressed and silencing-resistant *ROSA26* locus and an episomal environment (38–40). The well-documented silencing of vector-based gene expression is also a possible explanation of gene expression differences despite the equal *hAAT* copies (41–43). The last explanation would be the difference in expression is an artefact of the ELISA analysis; however, this explanation is thought to be unlikely, as all integrative mice had significantly greater expression than the one to one non-integrative group, even integrative groups receiving half the amount of *hAAT* expressing virus. Furthermore, non-integrative controls groups received equal amounts of total virus and theoretically should persist similarly to the integrative groups, should integration not be a major driver of expression persistence. Thus, increased stable expression levels of the serum protein in integrative groups was likely a result of the prolonged persistence of genetically edited alleles.

In this study, we show that the level of integration occurring with adenoviral vector-mediated gene editing is high enough to effect the temporal expression of a transgene. Quantification of *in vivo* knock-in rates at *ROSA26* using LAM-PCR and an *EGFP* cDNA donor averaged 13.7% of total *ROSA26* alleles from genomic liver DNA (Figure 5). Quantification of NHEJ mediated by CRISPR/Cas9 activity ranged from approximately 0.5 %, at the lowest doses or later time points, to 13.5 %. We would note that the *in vivo* NHEJ quantification in Figure 5C is from samples harvested between 7 and 200 dpi, while *in vitro* NHEJ quantification in Figure 2A is from 3 dpi. The amount of time since transduction could potentially effect editing rates if cells are lost due to cellular turnover, toxicity, or immune clearance. Overall, the rate of NHEJ detected *in vivo* suggests high transduction, similar to approximately 500 to 1,000 VP/cell *in vitro*. The robust HDR rates are potentially a result of the immature age of the mice and adenovirus' reported ability to inhibit the NHEJ pathway leading to a compensatory increase in HDR activity (44–46). Additionally, our high transduction coupled

with sizable donor homology arms, an active gRNA, permissibility of integration at *ROSA26*, and induction of cellular cycling due to vector-mediated toxicity may have also contributed to the high insertional rate, as previously reported (47–49) (Supplemental Figure 7). Furthermore, larger indel formations not detectable by targeted deep sequencing may occur, potentially limiting detection of NHEJ activity (50).

Importantly, we found little off-target editing at four computationally derived top off-target candidate loci, even at the highest vector dose (Figure 6). Although a recent controversial report identified unexpected mutations occurring upon CRISPR/Cas9 editing, it is beyond the scope of our project to identify all potential genome-wide off-target effects (51). We also note this study identifying unexpected editing consequences was performed with embryonic injection, in the context of a developing organism with highly variable DNA repair activity and cellular differentiation. Conversely, our approach is based upon somatic editing of limited organ tissues. Although we cannot rule out damage-induced cellular proliferation as a contributor to persistent gene expression, no elevated transaminases were detected at the conclusion of the experiment (Figure 6). This data showed that any vector-associated liver toxicity was absent by this later time point (Figure 6). Early transaminase level spikes are well-documented with adenoviral gene delivery (52). However, we measured ALT/AST at a later time point to assay for the presence of long-term liver damage, consistent with our focus on long-term outcomes of *in vivo* gene editing. Additionally, late time point increases in transaminase levels have also been documented with viral vectors (10). Importantly, necropsy showed no lesions, malignancies or other major signs of adverse health consequences in the animals receiving integrative vector treatment (Figure 6, Supplemental Figure 10).

Until now, it has remained unclear whether adenovirus can accomplish relevant lasting gene expression of a serum protein using CRISPR/Cas9. In this regard, most knock-in strategies have been largely based upon AAV vectors, showing much promise for conditions in which rare editing events are sufficient to significantly impact a disease phenotype (11–13). However, AAV-based strategies are cautioned by increasing reports of unintended and potentially hazardous off-target integration events (53–58). Despite a well-characterized immune response to adenoviral vectors (59) and a likely *in vivo* immune response against Cas9 per se (60), our work showed that knock-in gene editing via adenoviral vector is efficient enough to persist over time and did not have overt detrimental effects on the animal's health. Our results, taken with an overall lack of mortality in the study, allow the conclusion that adenovirus-vector mediated knock-in was well tolerated in the animals, contrary to a previous report of adenovirus as unsuitable for therapeutic gene editing (12). Yet, for such a strategy to be applicable to humans, a safe harbor must be identified and thoroughly characterized; this endeavor is an area of active study with several genomic locales being proposed (61, 62).

Recently, *in-situ* gene editing and knock-in at a safe locus have become promising strategies for long-term correction of inherited diseases. Our study, the first to report *in vivo* knock-in of several kilo-bases of an expression cassette encoding a serum protein at *ROSA26*, highlights that the gene editing approach is equally important as the vector used. For example, we target a neutral locus to avoid detrimental NHEJ-induced mutations, as this

unintended consequence can limit the therapeutic index of *in-situ* correction of an endogenous gene (63). The gene editing study asserting adenovirus toxicity as the cause of no therapeutic gains successfully accomplished about 5.0 % HDR-mediated correction of a disease allele. However, a 25 % induction of detrimental NHEJ mutations at the endogenous locus likely abolished phenotypic correction from HDR (12). Additionally, strategies targeting a safe harbor locus for full cDNA knock-in could be more commercially and clinically feasible with the ability to treat patients regardless of causative mutation. Such a strategy could be applied to many serum deficiencies, including AAT-deficiency, as numerous causative mutations with varying disease phenotypes have been characterized (64). Conversely, *in situ* gene correction of a specific mutations may be more efficacious or necessary for very rare disorders.

Moreover, adenoviral-mediated gene editing may have other benefits including fidelity as a donor template, a large packaging capacity (up to 35 kb with gutless Ads) for knock-in of large genes, and modifiable tropism (65, 66). Many studies, including ours, target the liver as it is an optimal organ for corrective therapy of serum deficiencies. Although the use of adenoviral vectors for liver-directed gene therapy of genetic disorders is not suitable due to adenovirus' toxicity, retargeting to different cell types or organ tissues may allow increased systemic transduction, thus increasing the number of cells that can be genetically altered while avoiding liver toxicity. In this regard, targeted vectors to specific cell types or tissues will potentially be powerful tools for efforts to correct some serum protein deficiency diseases, especially those that are not hepatocyte-sourced (67, 68). For example, transduction of lung endothelium for AAT production may provide increased therapeutic benefits for patients with lung pathology at lower systemic levels of gene expression than those achieved in this study, via local expression in the disease-afflicted tissue. Furthermore, the use of stronger promoters than EF1 $\alpha$  or optimized expression cassettes could also result in greater *AAT* expression (69). Future gene therapies for serum deficiencies could also benefit from the use of hyper-active proteins or motifs that prolong protein *in vivo* stability (70, 71).

Although we did not seek to treat a disease state in this study, we show a generalizable knock-in at a specific genomic location can achieve lasting gene expression by altering a non-integrative vector into a system capable of site-specific integration. Approaches such as ours may be a feasible method to overcome issues associated with random integration, as is the nature of some vectors. Next, we will seek to determine if the ability of gene editing to persist *in-vivo* and maintain stable levels of a serum factor can phenotypically alter a disease state in future studies. Additionally, further studies will be necessary to fully characterize immune responses to both CRISPR/Cas9 and the vectors which deliver it. These potential immunological and genotoxic consequences of gene editing using viral vectors will require exhaustive studies before clinical use should be advanced. Ultimately, our data supports the development of viral-based gene editing strategies as a feasible approach to providing lasting therapeutic gene expression of serum proteins.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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## List of abbreviations

|                               |   |
|-------------------------------|---|
| <b>AAV</b>                    | adeno-associated virus  |
| <b>Ad5</b>                    | adenovirus serotype 5   |
| <b>CRISPR</b>                 | Clustered regularly interspaced short palindromic repeats             |
| <b>DSB</b>                    | double stranded break   |
| <b>EF1<math>\alpha</math></b> | human elongation factor one alpha promoter/intron                     |
| <b>EGFP</b>                   | enhanced green fluorescent protein                                    |
| <b>E1, E3</b>                 | adenovirus early transcribed region 1, 3                              |
| <b>gDNA</b>                   | genomic DNA   |
| <b>GEiC</b>                   | Genome Engineering and induced Pluripotent Stem Cell Center           |
| <b>gRNA</b>                   | guide RNA (artificial construct of trans- and CRISPR- associated RNA) |
| <b>hAAT</b>                   | human alpha-1-antitrypsin   |
| <b>Hexon</b>                  | major adenovirus capsid structural protein                            |
| <b>HDR</b>                    | homology-directed repair  |
| <b>Indel</b>                  | insertion/deletion  |
| <b>LAM-PCR</b>                | linear amplification-mediated PCR                                     |
| <b>m-Actin</b>                | mouse Actin   |
| <b>NHEJ</b>                   | non-homologous end joining  |
| <b>POLIII</b>                 | DNA polymerase III  |
| <b>ROSA26</b>                 | ROSA $\beta$ geo26 murine locus                                       |
| <b>VP</b>                     | viral particles   |
| <b>WUSTL</b>                  | Washington University in St. Louis                                    |

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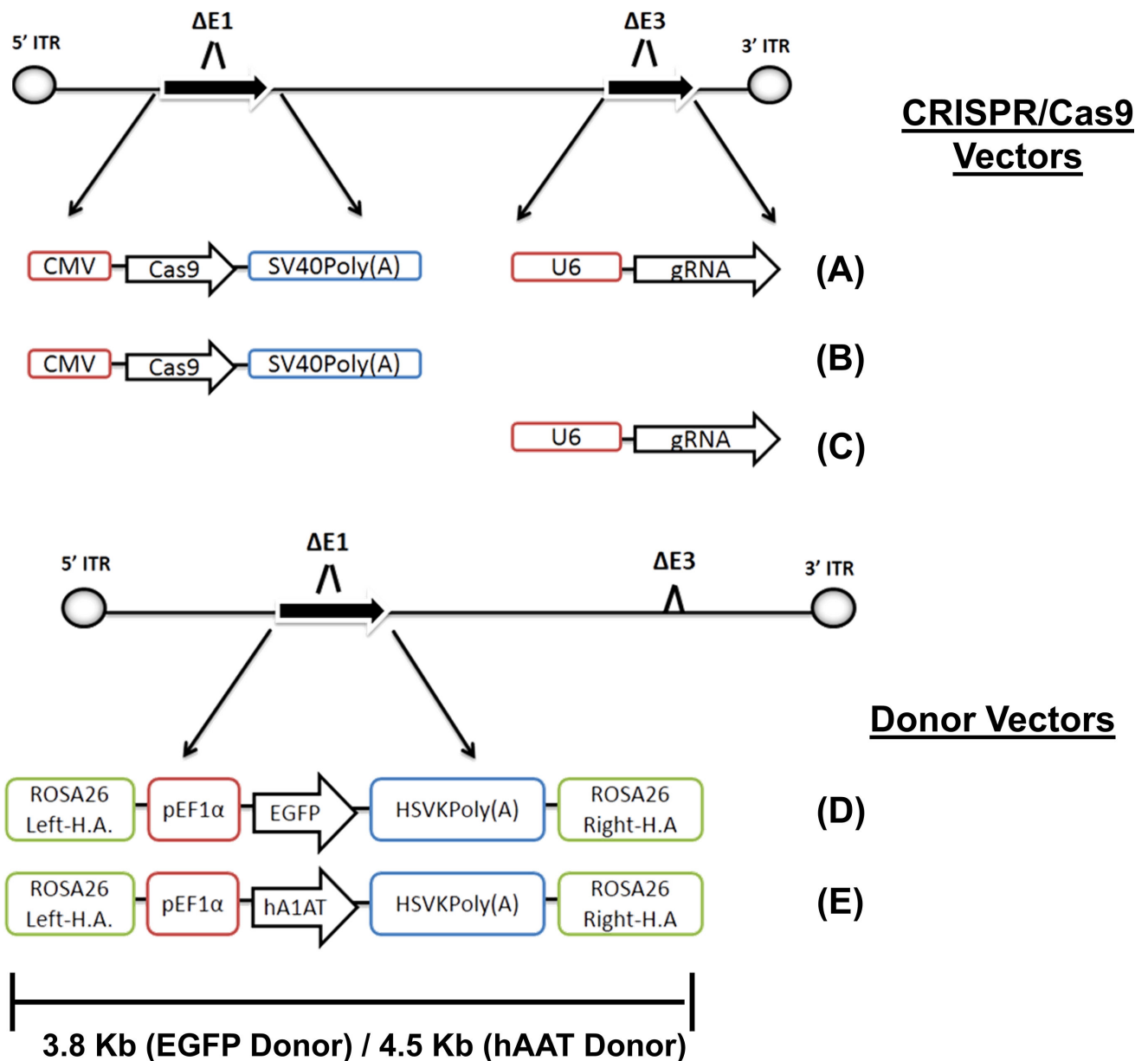
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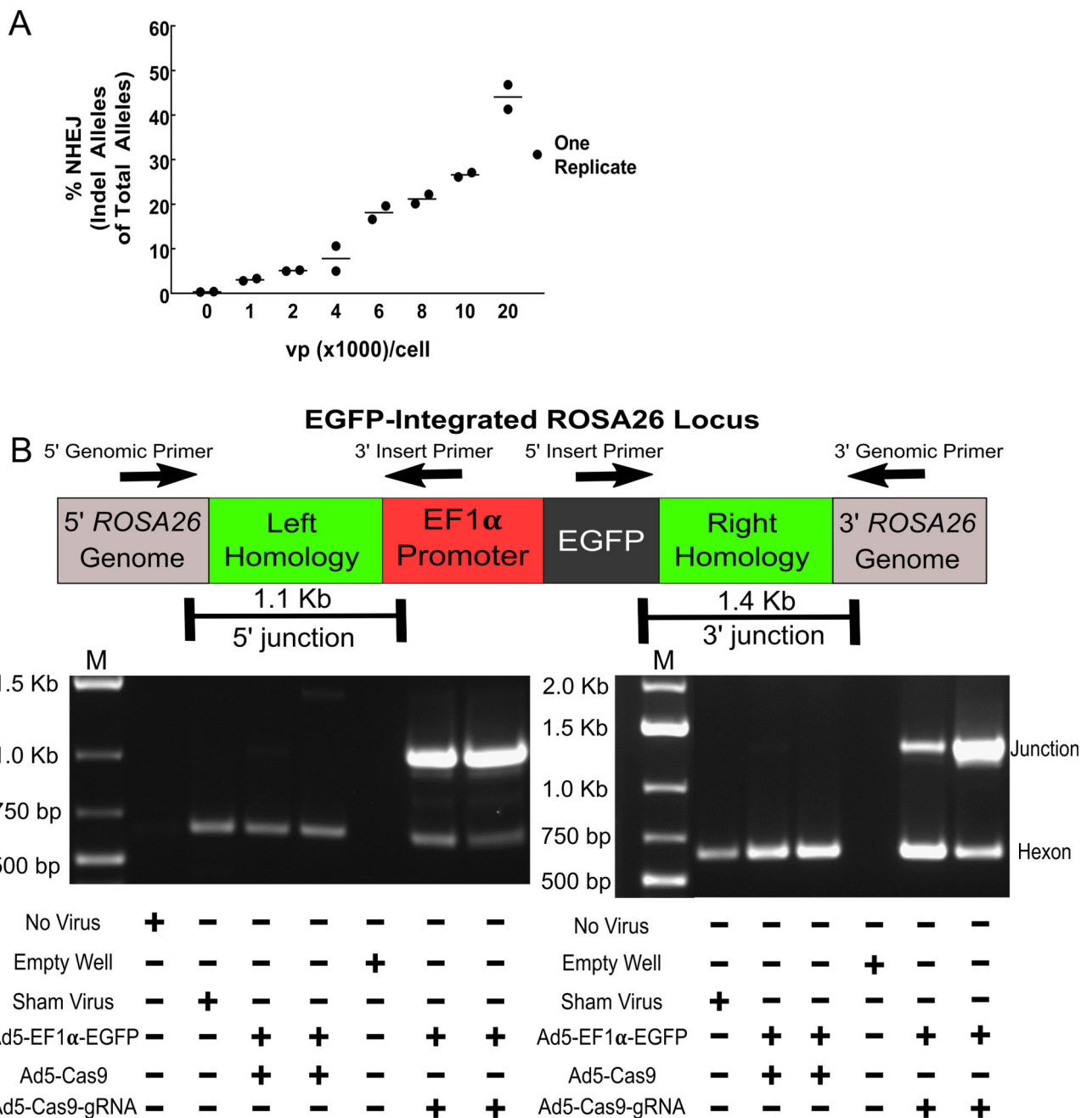


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**Figure 1. Adenoviral-mediated delivery of CRISPR/Cas9 for targeted gene editing**  
Genetic designs of a CRISPR/Cas9-based strategy for targeted homologous recombination. Ad5-Cas9-gRNA (A) expresses both the *Cas9* gene and a *ROSA26*-targeting gRNA sequence from the E1- and the E3-deleted regions, respectively. Ad5-Cas9 (B) expresses the *Cas9* gene from the E1-deleted region. Ad5-gRNA (C) contains an E1-deleted region and expresses the *ROSA26* gRNA from the E3-deleted region. Ad5-EF1 $\alpha$ -EGFP (D) expresses the *EGFP* gene from the mammalian EF1 $\alpha$  promoter/intron and contains 0.8 kb length homology arms to the *ROSA26* locus surrounding the expression cassette, in the E1-deleted region. Ad5-EF1 $\alpha$ -hAAT (E) expresses the *hAAT* gene from the same expression cassette and homology arms as the EGFP donor vector.



**Figure 2. Targeted *in vitro* knock-in occurs following adenoviral delivery of CRISPR/Cas9**  
 (A) Targeted Illumina deep sequencing of the *ROSA26* locus was performed on genomic DNA extracted from the BNL-1NG murine liver cell line following transduction with Ad5-Cas9-gRNA infection at various amounts of viral particles per cell (VP/cell), to determine insertion and deletion (indel) formation resulting from NHEJ DNA repair of DSBs. (B) BNL-1NG cells were transduced with either Ad5-EF1α-EGFP (Donor) and Ad5-Cas9 (no gRNA) or Ad5.EF1α-EGFP (Donor) and Ad5-Cas9-gRNA. Additional controls consisted of no virus and a sham Ad5 virus expressing an irrelevant coagulation factor gene driven by the CMV gene and lacking any CRISPR/Cas9 components. Genomic DNA was extracted for

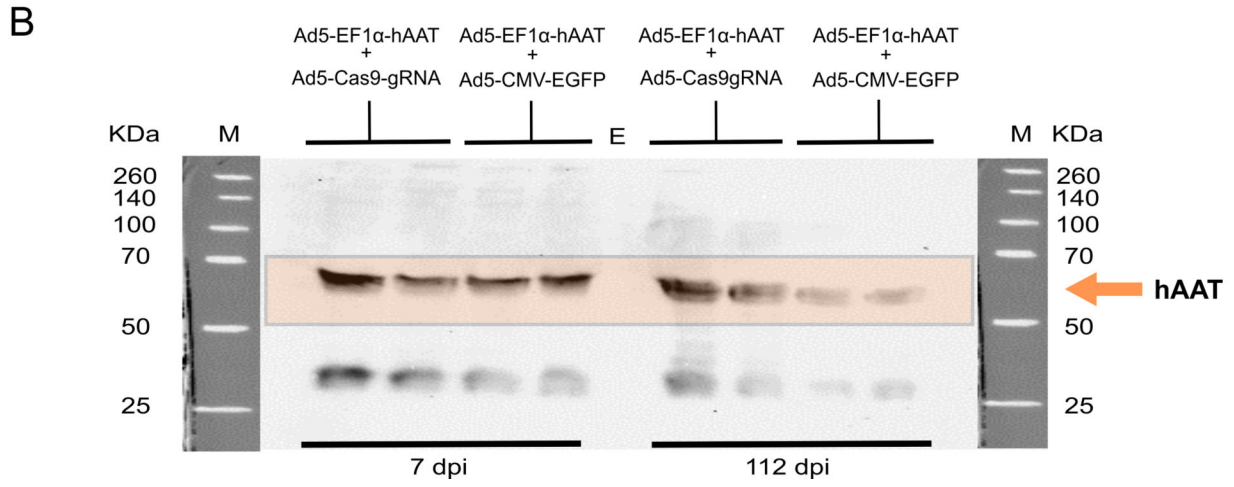
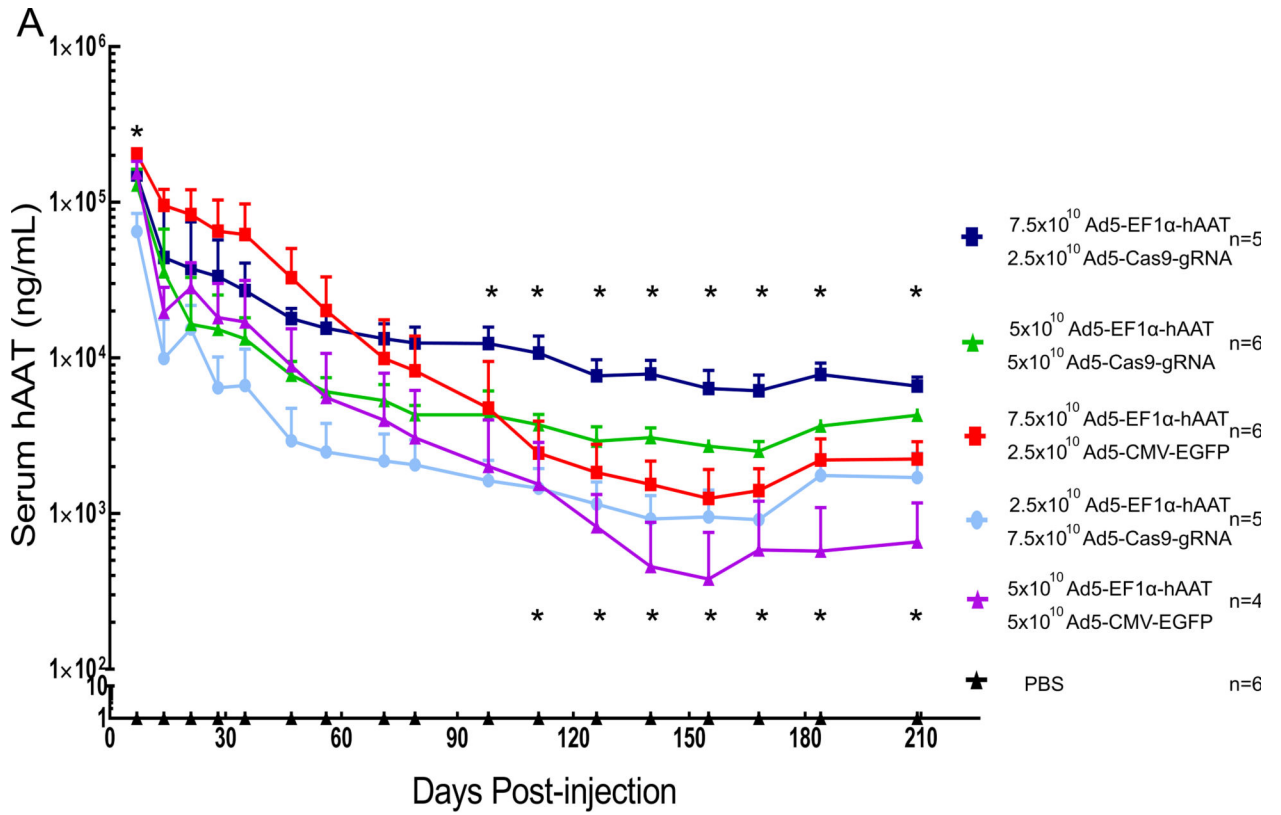
PCR amplification of integration junctions and 612 bp amplicon of viral *hexon* gene as a loading control to confirm the presence of viral vector transduction. Primer sets are composed of one primer within the genome (outside of the homology arms) and one primer within the donor transgene, to confirm on-target insertions. Junction PCR products were gel extracted and Sanger sequenced to confirm targeted integration (Supplemental Figures 3 and 4). Gels are representative of four independent experiments with junction amplification being confirmed from early and late time points. Arrows show direction and position of PCR primers.

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**Figure 3. Somatic integration of hAAT maintains stable long term gene expression in mice**  
 (A) Mice were infected with a total of  $1.0 \times 10^{11}$  VP recombinant adenoviruses or PBS (negative control). Over a 210 day period, plasma levels of hAAT were determined intermittently via ELISA. Black triangles represent mice injected PBS ( $n = 6$ ). Red squares and a line indicate mice ( $n = 6$ ) injected with Ad5-EF1 $\alpha$ -hAAT ( $7.5 \times 10^{10}$  VP) and Ad5-CMV-EGFP ( $2.5 \times 10^{10}$  VP). Purple triangles and a line represent mice ( $n = 4$ ) injected with Ad5-EF1 $\alpha$ -hAAT ( $5.0 \times 10^{10}$  VP) and Ad5-CMV-EGFP ( $5.0 \times 10^{10}$  VP). Dark blue squares and line indicate mice ( $n = 5$ ) injected with Ad5-EF1 $\alpha$ -hAAT ( $7.5 \times 10^{10}$  VP) and Ad5-Cas9-gRNA ( $2.5 \times 10^{10}$  VP). Green triangles and line show mice ( $n = 6$ ) injected with Ad5-

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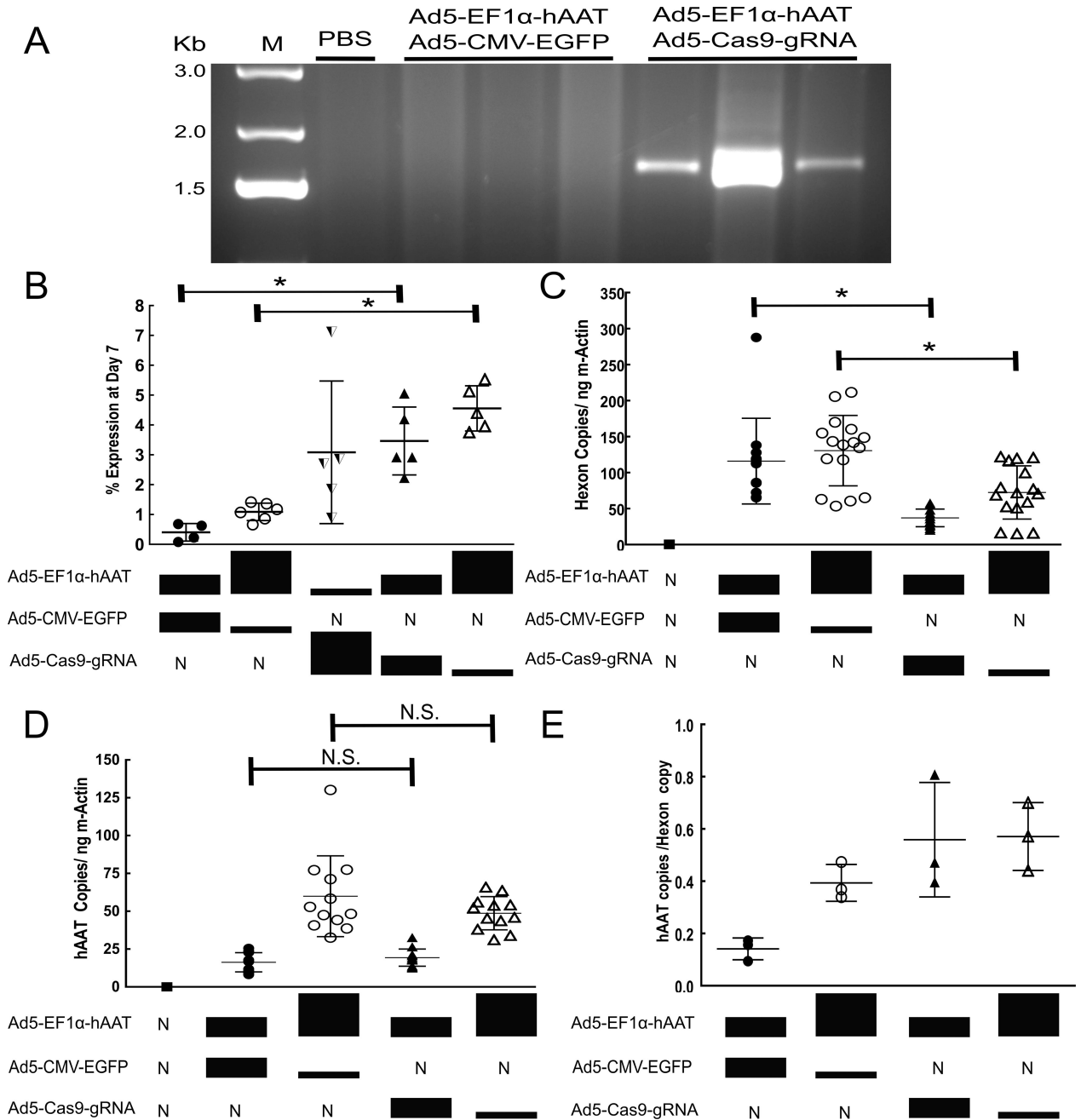
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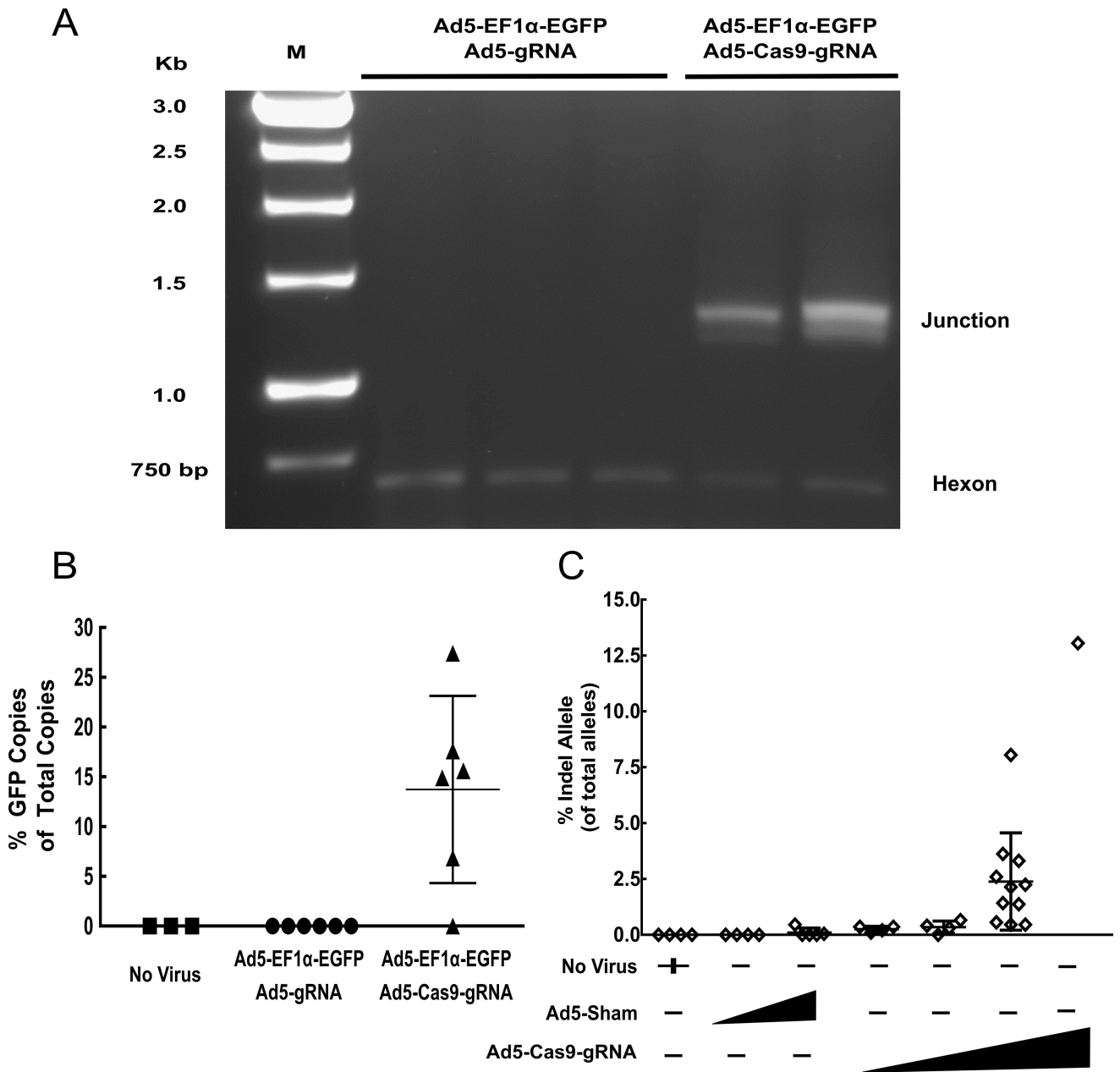
EF1 $\alpha$ -hAAT ( $5.0 \times 10^{10}$  VP) and Ad5-Cas9-gRNA ( $5.0 \times 10^{10}$  VP). Closed circles and line with a light blue color indicate a mice group ( $n = 5$ ) injected with Ad5-EF1 $\alpha$ -hAAT ( $2.5 \times 10^{10}$  VP) and Ad5-Cas9-gRNA ( $7.5 \times 10^{10}$  VP). \* denotes significant differences with a  $p$ -value  $< 0.05$  between equivalent groups, while an absence of asterisk represents no significant difference. The top asterisk row represents the 3:1 vector group comparison (Ad5-EF1 $\alpha$ -hAAT: Ad5-CMV-EGFP or Ad5-EF1 $\alpha$ -hAAT: Ad5-Cas9-gRNA) (squares) and the bottom asterisk row represents 1:1 vector groups (triangles). Error bars are s.d. of the mean. (B) One microliter of plasma samples were run on SDS-PAGE followed by western blot analysis to visualize the temporal change of gene expression between day 7 and day 112 in integrative versus episomal groups. Each lane represents one sample from one mouse. Image is representative of three blots. M and kDA indicate molecular mass marker and kilo-Daltons, respectively.



**Figure 4. Targeted gene integration contributes to persistence of gene expression**

(A) A 3' junction capture PCR of genomic DNA was performed to determine the presence of *hAAT*-integrated *ROSA26* alleles (expected size, 1.7 Kb) at 210 days post-injection. Each lane represents amplicons from an individual mouse's genomic DNA from groups in Figure 3A. (B) Serum levels of hAAT at 210 days were compared to initial serum levels at day 7 for individual mice. Each data point represents one mouse. \* Denotes significance with  $p$ -value  $< 0.05$  ( $p = 0.0159$  and  $0.0043$ , respectively). "N" denotes none. (C) Liver extracted DNA was used as a template to quantify *hexon* to determine the amount of residual viral episomes ( $p = < 0.0001$  and  $p = 0.0017$ , respectively). Each dot represents one qPCR replicate with four

replicates per mouse. (D) *hAAT* copy numbers remaining at 210 dpi were quantified by qPCR, ( $p= 0.1957$  and  $p= 0.3724$ , respectively). Each dot represents one qPCR replicate with four replicates per mouse. N.S. represents non-significance with p-value of  $> 0.05$ . (E) The amount of *hAAT* copies per *hexon* copy present is shown per group. Each dot represents one mouse, with three mice per group. Error bars are s.d. of the mean. Closed circles represent mice injected with Ad5-EF1 $\alpha$ -hAAT ( $5.0 \times 10^{10}$  VP) and Ad5-CMV-EGFP ( $5.0 \times 10^{10}$  VP). Open circles are mice injected with Ad5-EF1 $\alpha$ -hAAT ( $7.5 \times 10^{10}$  VP) and Ad5-CMV-EGFP ( $2.5 \times 10^{10}$  VP). Half closed, downwards triangles represent mice injected with Ad5-EF1 $\alpha$ -hAAT ( $2.5 \times 10^{10}$  VP) and Ad5-Cas9-gRNA ( $7.5 \times 10^{10}$  VP). Closed triangles are mice injected with Ad5-EF1 $\alpha$ -hAAT ( $5.0 \times 10^{10}$  VP) and Ad5-Cas9-gRNA ( $5.0 \times 10^{10}$  VP). Open triangles represent mice injected with Ad5-EF1 $\alpha$ -hAAT ( $7.5 \times 10^{10}$  VP) and Ad5-Cas9-gRNA ( $2.5 \times 10^{10}$  VP).



**Figure 5. Knock-in and NHEJ rates following *in vivo* delivery with adenovirus is variable and persists over time**

A total of  $1 \times 10^{11}$  viral particles of Ad5-EF1 $\alpha$ -EGFP and Ad5-Cas9-gRNA (n=6), or Ad5-EF1 $\alpha$ -EGFP and sham Ad5-gRNA (n=6), were injected into mice at a ratio of 1:1. PBS mock injected mice served as a no virus control (n=3). (A) Junction capture PCR was used to amplify 3' junctions from *EGFP*-integrated *ROSA26* alleles from genomic DNA from the mice. Each lane represents genomic DNA template from one mouse. Viral amplification of 612bp of the *hexon* gene served as a loading control and confirmed viral transduction. (B) LAM-PCR was performed by production of single stranded linear PCR product from a biotinylated primer binding downstream of the 3' homology region of *ROSA26* in the mouse genome, whereby both integrated and non-integrated alleles are amplified. qPCR analysis to

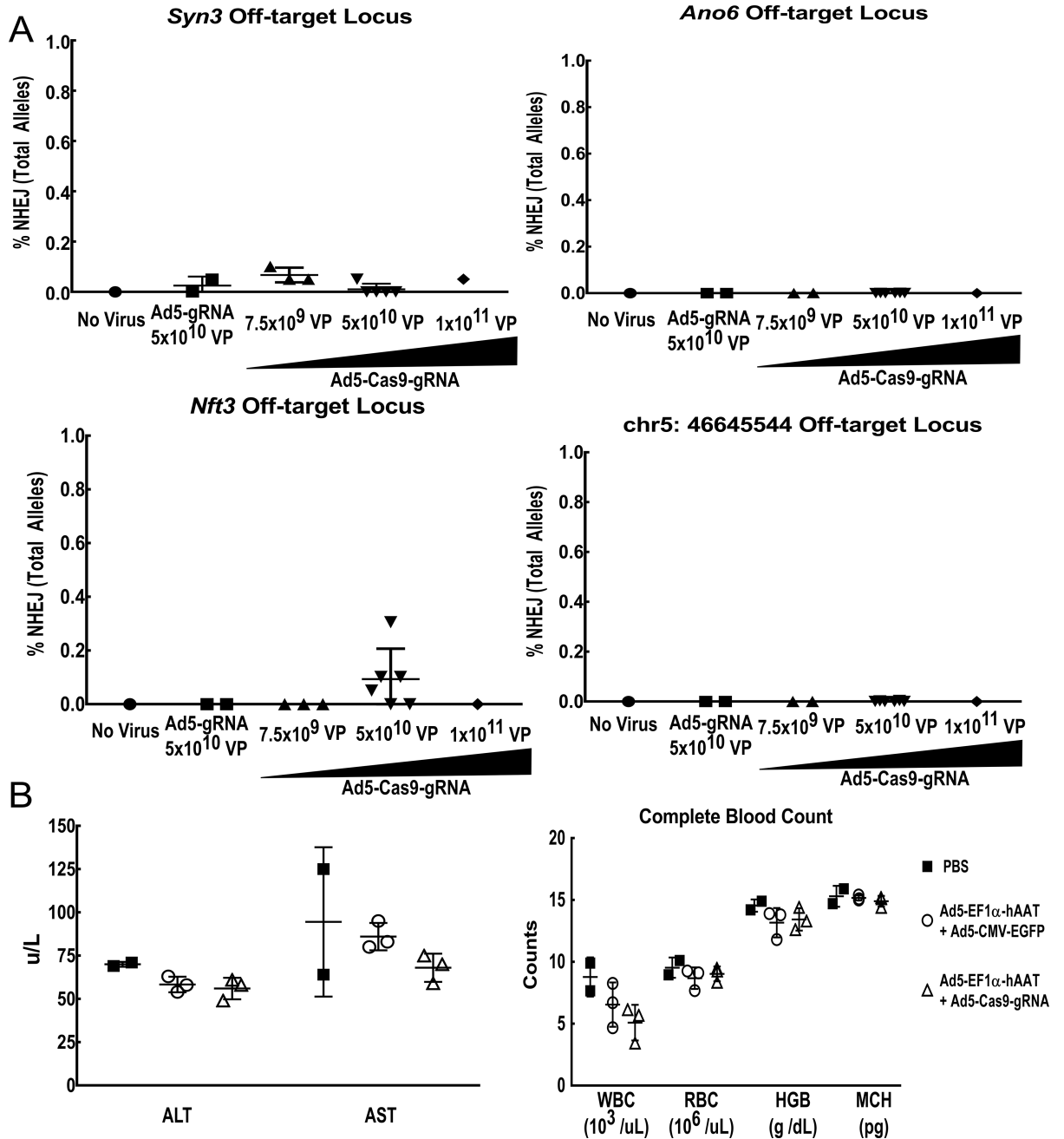
quantify HDR-mediated integration rates is displayed as percent *EGFP*-integrated alleles out of total *ROSA26* alleles. Error bars are s.d. of the mean. (C) Mice injected with Ad5-Cas9-gRNA or a sham virus were sacrificed time points ranging between 7 and 210 days and genomic DNA extracted. This DNA, and genomic DNA extracted at the conclusion of the hAAT experiment in Figures 3 and 4, was used as template for targeted Illumina deep sequencing of the *ROSA26* for the presence of edited alleles, witnessed by NHEJ-induced indels.

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**Figure 6. Off-target analysis and vector toxicity show little long-term consequences of gene knock-in**

(a) Computationally derived top off-target candidate sites (*Syn3*, *Ano6*, *Nft3*, and chr5: 46645544) were submitted to targeted Illumina deep sequencing for evidence of NHEJ activity based upon indel formation following imperfect DNA repair. Amount of virus administered had no effect on presence of indel formation at the selected candidate off-target sites. Each dot represents data from one individual mouse. (b) Mice were submitted in a blinded manner to gross pathology examination with an emphasis on liver toxicity markers (ALT/AST transaminases) and whole blood cell counts levels, seven months after receiving hAAT encoding vectors. Each dot represents data from one mouse. Error bars are s.d. of the



mean. hAAT donor is Ad5-EF1 $\alpha$ -hAAT and GFP sham is Ad5-CMV-EGFP. WBC is white blood count, RBC is red blood count, HGB is hemoglobin, and MCH is mean cell hemoglobin.

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