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The self-assembling process and applications in tissue engineering

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Abstract

Tissue engineering strives to create neotissues capable of restoring function. Scaffold-free technologies have emerged that can recapitulate native tissue function without the use of an exogenous scaffold. This chapter will survey, in particular, the self-assembling and self-organization processes as scaffold-free techniques. Characteristics and benefits of each process are described, and key examples of tissues created using these scaffold-free processes are examined to provide guidance for future tissue engineering developments. This chapter aims to explore the potential of self-assembly and self-organization scaffold-free approaches, detailing the recent progress in the *in vitro* tissue engineering of biomimetic tissues with these methods, toward generating functional tissue replacements.

Introduction

The field of tissue engineering aims to recapitulate native tissue function toward replacing damaged or diseased tissues and organs. The tissue-engineering paradigm is traditionally composed of living cells, scaffolds, and signals. The scaffolds used in tissue engineering are highly diverse, ranging from synthetic to natural polymers, hydrogels to woven meshes. The emergence of scaffold-free processes—tissue-engineering platforms that do not require the addition of an exogenous scaffold—have expanded the capabilities of the field. Scaffold-free techniques have been successfully used in engineering musculoskeletal (1) as well as cardiovascular, metabolic, and corneal tissues (2). Within scaffold-free approaches, two primary, thermodynamically driven modalities have been described: self-organization and self-assembly (2) (Figure 1).

In this chapter, a summary of the progress in tissue engineering will be covered. While a wide variety of cell sources and stimuli can be applied in tissue engineering, the focus of this chapter will be related to scaffold-free approaches. Herein, we focus specifically on the *in*

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vitro tissue-engineering techniques that generate biomimetic tissues (i.e., those that recapitulate native tissue). *In vivo* tissue engineering can also include the injection of cell suspensions and matrix-associated cells for continued development and maturation in an *in vivo* environment, but are not extensively covered in this chapter. Finally, the progress in engineering functional tissues with a particular emphasis on self-assembling and scaffold-free techniques to treat a wide range of diseases will be highlighted.

Tissue engineering

Classical tissue engineering approaches combine cells, biomaterials, and bioactive stimuli to generate robust implants capable of restoring the structure and function of tissues damaged by trauma, pathology, or age. Often referred to as the tissue-engineering “triad,” this foundational concept of cells, scaffolds, and signals has informed strategies for numerous outcomes, such as bone regeneration following complex fractures or the development of vasculature *in vitro* to replace diseased vessels (3, 4). Significant advances in the field have resulted from this paradigm. However, scaffold-free techniques have emerged which may better apply to certain tissues where cells may not require exogenous scaffolds. In this manner, biomimetic and functional tissues of clinically relevant dimensions may be created. Scaffold-free techniques may thus improve the likelihood for clinical translation, which remains the ultimate goal of the field.

Self-assembling process

One promising tissue-engineering technique, especially in cartilage tissue engineering, is the self-assembling process (2, 5). Without the influence of external energy, self-assembly mimics developmental events to generate functional cartilaginous tissue with characteristics reminiscent of native tissue (Figure 2). Non-adherent culture substrates—typically agarose—support high density chondrocyte seeding, prevent cell attachment, and encourage cell-cell interactions, facilitating the chondrogenic phenotype. Indeed, cell adhesion is up-regulated in chondrocytes (6) during the initial phase of the self-assembling process, reminiscent of mesenchymal condensation during cartilage morphogenesis (7, 8). For example, increased levels of N-cadherin on the cell surface can minimize free energy, according to the differential adhesion hypothesis, as described below. Critically, no external energy is provided to the system during self-assembly (i.e., it is a closed system). Since the development of the self-assembling process, substantial efforts toward understanding the mechanisms of action have been investigated to refine the technique further. In particular, the differential adhesion and differential interfacial tension hypotheses have been used to describe the self-assembling process.

Informing the mechanism of the self-assembling process, the differential adhesion hypothesis posits that tissues minimize free energy via cell-cell binding. The type and number of adhesion proteins present on a cell surface give rise to cell-cell interactions. Correspondingly, a mass of cells behaves analogously to a liquid and will minimize its surface tension, known as tissue surface tension. This tension will determine the sorting behavior of cells in a mixed population, as cells with higher surface tension will sort to the center, maximizing intercellular adhesion. Consequently, tissue surface tension will be

minimized. Similarly, in the self-assembling process, the non-adherent substrate forces a homogeneous cell population to minimize free energy via cell-cell adherence, facilitated by increased levels of N-cadherin and other adhesion molecules (6, 9). The development of this continuous aggregate is critical to neotissue development, reflects the process of mesenchymal condensation, and can potentially drive chondrogenic gene expression (6, 9). The mechanism of self-assembly can thus be partly explained by the differential adhesion of surface-bound molecules.

Another mechanism that may contribute to the self-assembling process is differential interfacial tension. Cortical cell tension, driven by contractility of the actin cytoskeleton and cell surface tension, has been implicated in cell sorting (10–12). As in differential adhesion, the minimization of free energy drives the cellular behavior in the differential interfacial tension hypothesis, with cell sorting dictated by forces generated by the cell cytoskeleton and at the cell membrane. Specifically, cells generating similar tensions will tend to aggregate as compared to those exhibiting different tensions. The differential adhesion and differential interfacial tension hypotheses may be related (12). Increased understanding of the relative contributions and/or interactions of these processes would help elucidate self-assembly mechanisms.

Drawing from knowledge of developmental biology, biomedical engineers can utilize the self-assembling process to drive cell sorting, gene expression, and tissue formation in a manner similar to morphogenesis. Our enhanced understanding of underlying mechanisms in self-assembly will drive the rational selection of agents that can positively modulate the formation of tissues with increased functional properties.

Other scaffold-free techniques

While promising, self-assembly is but one example of a variety of scaffold-free tissue engineering methodologies that have gained traction and present unique advantages. For instance, scaffold-free systems do not produce synthetic degradation by-products, can maintain the rounded phenotype of cells such as chondrocytes, and do not require harsh processing chemicals involved in scaffold production (13). Many of these alternate techniques can be grouped within the self-organizing tissue-engineering paradigm, which is a distinct subset of scaffold-free tissue engineering that requires external energy input into the system for tissue formation (Figure 1). Moreover, these varied techniques are able to form tissues with a range of dimensions (Figure 3). Within this paradigm exist methods such as pellet culture, aggregate culture, and cell sheet engineering.

Pellet culture is a fundamental method of scaffold-free tissue engineering. Requiring substantial external energy, pellet culture is mediated by centrifugation of cells inside a conical-shaped tube. Subsequently, cell pellets are cultured in medium specific to a certain tissue, driving cellular differentiation to achieve tissue-specific gene expression and extracellular matrix (ECM) deposition. Bone, liver, and cartilage tissues have all been formed in this manner (14–16). Despite the ability to create tissues with relevant ECM components, pellet culture fails to meet many clinical translation criteria, such as robust mechanical properties and anatomically relevant geometries and dimensions. Thus,

biomedical engineers interested in translational medicine typically focus on strategies other than pellet culture for use in *in vitro* biomimetic tissue engineering.

A variety of methods exist to generate cellular aggregates or spheroids, and these terms are often used interchangeably in the literature. Similar to pellet culture, aggregate culture maintains cells in a 3D environment and can be used to enhance tissue-specific gene and protein expression. Multiple methods are used to induce aggregate formation: hanging drop, round or v-bottom well plates, and rotational culture. In hanging drop culture, cell suspensions are placed in droplets on the lid of a culture plate; after inverting, gravity assists cells in coalescing at the base of the drop and forming an aggregate. Similar to self-assembly, the well plate method uses non-adherent round- or v-bottom plates to statically induce aggregate formation. Finally, by subjecting a cell population to rotational culture in the presence of tissue-specific growth factors, aggregation and differentiation is encouraged through cell-cell and cell-matrix interactions. Subsequently, relevant ECM proteins are synthesized and neotissue begins to form. Though these methods are able to form aggregates that can serve as important tools in understanding mechanisms of differentiation and phenotypic maintenance, they may not be suitable for *in vitro*, biomimetic tissue engineering.

Aggregate or spheroid culture, like pellet culture, produces small-diameter cellular aggregates and may not be a feasible approach to engineer mechanically functional tissues when used alone, likely due to the limited number of cells in each aggregate. If the approach is part of a larger tissue engineering effort (17), however, then it can be used to engineer anatomically relevant tissues. For instance, aggregate culture can encourage both differentiation of stem cells and redifferentiation of passaged primary cells, followed by their application in other tissue engineering methods, such as self-assembly, to create larger constructs (18). Bioprinting has emerged as a method to employ directly these small diameter aggregates as “bioink,” which are fed through a small nozzle and deposited in specific locations during 3D printing. Additionally, with fusion of multiple spheroids, larger, continuous constructs can be generated. Indeed, application of compression to mesenchymal stem cell (MSC) aggregates within a mold has been able to generate large and continuous cartilage constructs (19). Thus, though the tissues formed by both pellet and aggregate/spheroid culture may not reach clinically relevant dimensions, these methods are important for the phenotypic maintenance of many cell types and can be integrated as part of a larger tissue engineering process.

Cell sheet engineering is a scaffold-free approach using external manipulations and thermal energy to form 3D tissues. Cells are cultured in monolayer on functionalized substrates or on a thermoresponsive polymer. In the case of direct cellular attachment, cells are removed via enzymatic cleavage of their cell-matrix attachments to the surface; to avoid enzymatic detachment, cell scraping can also be used. The cell sheet can be draped over a mandrel to form, for example, a hollow vascular structure (20). Alternatively, thermoresponsive polymers have been developed where, subject to a change in temperature, the polymer changes conformation and induces detachment of the cell layer (21). The polymer method avoids the use of mechanical or enzymatic cell detachment to preserve the cell-matrix binding interactions. Further, fusion of multiple cell sheet layers can be employed to

generate tissues of greater thickness to recapitulate the zonal architecture of target tissues (22). As compared to pellet and aggregate culture techniques, cell sheet engineering can form substantially larger structures.

Advantages of scaffold-free techniques

The process of engineering tissues using scaffold-free techniques exhibits distinct advantages. For instance, scaffold-free methods may promote native tissue integration, facilitate enhanced matrix deposition and, thus, more direct mechanotransduction, and avoid the release of harmful byproducts. In the case of self-assembled articular cartilage, the neotissue has an abundance of cells at the construct edge, which likely encourages tissue growth into native cartilage and promotes integration (23). Direct cell-ECM interactions in self-assembled cartilage prevent cells from experiencing stress-shielding, which has been shown to impede matrix production and remodeling (5). Scaffold-free approaches can avoid issues of cytotoxicity due to the harsh processing conditions—particulate-leaching polymerizing chemicals and plasticizers, for example—required for manufacturing of some biomaterials (24). Without the use of foreign materials, scaffold-free processes can reduce the likelihood of a foreign material-associated immune response; this response is known to limit the durability of the implanted construct and potentially compromise the health of the patient (25). Biocompatibility issues, assuming a cell source is chosen appropriately, are mitigated in the scaffold-free paradigm since synthetic materials are avoided. Scaffold-free techniques thus possess several advantages for use in tissue engineering strategies.

Yet, there are limitations associated with the scaffold-free paradigm. If scaffold-free techniques cannot match native tissue functional properties at implantation, clinical translation is complicated. For instance, if engineered cartilage with inferior mechanical properties were placed into a femoral focal defect, stress concentrations could develop within the engineered cartilage and at the native-engineered tissue interface. Especially in the case of load-bearing tissues, mismatch in functional properties could result in destruction of the implant if not appropriately unloaded post-surgery. Physicians may have to devise rehabilitation regimens specific to scaffold-free constructs to improve the clinical viability of these approaches. To ensure clinical success of scaffold-free approaches, application of biomimetic stimuli (13, 26, 27) is crucial to driving the development of functionally relevant neotissues.

Scaffold-free approaches often require a high cell seeding density, which brings into question the issue of cell sourcing. It is important to note that certain cell types are anchorage-dependent and require the presence of an exogenous scaffold at seeding; these cells may not be suitable for a scaffold-free approach. Primary autologous cell harvesting techniques often do not meet cell number requirements and can be associated with donor site morbidity (28). Passaged primary autologous cells are available in higher quantities, but may be limited by expansion potential and do not address the issue of donor site morbidity. Furthermore, passaged primary cells may experience epigenetic changes that affect gene expression (29), leading to an altered ECM profile and, potentially, reduced functional properties. Stem cells, such as MSCs and dermis-derived stem cells, represent an attractive cell source, as they are more widely available and have demonstrated the ability to

differentiate into many different cell and tissue types. In many cases, however, stem cells do not fully differentiate into the target cell, which may affect the ultimate properties of generated neotissue. Moreover, differentiation protocols are often complex and may result in non-homogeneous cell populations. Cell sourcing remains a critical issue in tissue engineering and must be solved to improve the prospects of clinical translation for scaffold-free approaches.

Tissue-specific design criteria must be considered when choosing a particular tissue-engineering approach. In some cases, a self-assembly model may be ideal. For example, chondrocytes are particularly amenable to the self-assembling process: passaged rabbit cells treated with a combination of bioactive (i.e., transforming growth factor- β 1 (TGF- β 1) and chondroitinase-ABC) and mechanical (i.e., hydrostatic pressure) stimuli can create tissues with clinically relevant dimensions with a tensile stiffness reaching 6.3MPa (30). On the other hand, a scaffold may be necessary for recapitulating the structure-function relationship in a large segmental defect of bone, as osteoblasts require a scaffold for survival. Researchers must continue to refine these techniques and further define native tissue structure and function to develop the most effective tissue-engineering strategies. We would like to emphasize the importance of biomimetic, functional tissue engineering, which will be discussed at length later in this chapter.

Scaffold-free tissue engineering in the clinic

While obstacles still exist, promisingly, a few scaffold-free processes have reached the clinic. Autologous chondrocyte implantation (ACI), while not an *in vitro* biomimetic tissue-engineering method, was established in 1994 as a clinical treatment for focal articular cartilage defects (31). Requiring two surgical steps—one to harvest tissue, the other to implant cells into a defect—ACI has been shown to be superior to other surgical techniques such as mosaicplasty (32), although other studies have demonstrated that ACI is no better functionally than other cheaper orthopaedic procedures like microdrilling or microfracture (33, 34). In ACI, the defect may be filled with reparative tissue synthesized by implanted articular chondrocytes; this fibrocartilaginous tissue exhibits functional properties inferior to native hyaline articular cartilage. Thus, ACI may lead to a limited repair response. Furthermore, this technique may be less effective in older patients, who are more likely to suffer from musculoskeletal maladies, due to the reduced proliferative and regenerative capabilities of aged cells. However, the clinical development pathway for ACI can inform the translation of scaffold-free, *in vitro* biomimetic tissue-engineering techniques.

In a biomimetic, scaffold-free approach, cell-sheet engineered vasculature for end-stage renal disease patients undergoing hemodialysis has demonstrated promise in clinical trials (35). Scaffold-free techniques for vascular tissue engineering have demonstrated a higher propensity for achieving native tissue structure and can withstand higher burst pressures (36). Additionally, removing the influence of a biomaterial reduces the chance of dangerous thrombosis formation and leukocyte activation. In this clinical trial, concerns related to unrolling of the cell sheet arose (35). Reported cases of unrolling identify a critical shortcoming of cell-sheet engineering for vascular tissue engineering; methods to ensure fusion of the cell sheet via improved nutrient transport may promote long-term closure (35).

If the issues of extended culture times and the potential for unrolling can be solved, *in vitro* cell-sheet engineering for diseased vasculature may experience broad clinical use.

In a biomimetic tissue-engineering approach akin to self-assembly, ISTO Technologies, in concert with Zimmer Biologics, has produced scaffold-free neocartilage that successfully completed Phase I/II trials and continues on the path of commercialization. The RevaFlex product is generated from a high-density cell culture derived from juvenile donors; the authors previously determined that chondrocytes derived from younger donors possessed enhanced neocartilage generation potential (37). RevaFlex was implanted into 12 patients in a Phase I/II clinical trial initiated in late 2006 (38). In this clinical study, clinical efficacy was assessed via patient-reported outcome measures, MRI, and elective second-look arthroscopy and biopsy (38). Of second-look arthroscopies (9/12 patients), RevaFlex reportedly resulted in “~66% of lesions demonstrat[ing] gross anatomical cartilage characteristics with adequate fill with promising histologic characteristics” (38). Additionally, no immunological response to allograft neocartilage was found; these findings of a lack of graft rejection address many clinical concerns associated with using juvenile cells from an allogeneic source for graft production (38, 39). RevaFlex received FDA approval for an Investigational New Drug (IND) Application prior to clinical trials and is thus regulated as a biologic, rather than a device. Ultimately, the commercial success of RevaFlex paves the way in regulatory aspects of scaffold-free tissues—particularly in terms of cell sourcing and immune rejection—and will inform the clinical development of cartilage constructs generated from self-assembling or self-organization techniques.

Though translation of scaffold-free techniques to the clinic is limited, these advances serve as informative examples of the required regulatory path for clinical success. For instance, cell-based therapies like ACI may provide some insight into clinical translation of scaffold-free tissue-engineered therapies. Though these cell therapies do not fall in the scope of biomimetic *in vitro* tissue engineering, they are informative examples of clinical translation of cell-derived products. RevaFlex is an example of an *in vitro* engineered, biomimetic articular cartilage tissue replacement; the path to FDA approval of RevaFlex highlights the challenges of clinical translation of such a product. Given the similarities between the self-assembling process and the methods used to generate the RevaFlex graft, the RevaFlex pathway provides guidance for clinical translation of a self-assembled articular cartilage replacement. While continued refinement of these scaffold-free tissue-engineering techniques is required, lessons from approved, clinically available cell-based and biomimetic tissue-engineered therapies should be noted.

Functional tissue engineering

Biomimetic tissue engineering aims to generate functional tissues *in vitro*, toward achieving certain properties of target tissues; these properties depend on the tissues' primary roles *in vivo*. The benchmarks for engineered tissues are derived from their native counterparts. For instance, musculoskeletal tissue engineers focus on forming tissues with appropriate mechanical strength and stiffness to function in the tissues' native load-bearing capacities. Hepatic tissue engineers focus on forming tissues able to express specific enzymes and proteins necessary to mimic liver function. Some of these commonly reported parameters

are presented in Table 1. Scaffold-free tissue engineering techniques aiming to recapitulate native tissue function should bear in mind these reported properties and strive to bring them closer to native tissue values. The following sections highlight recent progress in engineering musculoskeletal, cardiovascular, liver, and corneal tissues using scaffold-free, biomimetic *in vitro* tissue engineering.

Primarily mechanically functional tissues

Bone

Bone is a mineralized collagen matrix responsible for primary load bearing in the musculoskeletal system. In addition, bone serves as an anchorage point for ligaments, tendons, and muscles to facilitate movement. Clinical applications of tissue-engineered bone often relate to the repair of critical-sized defects that cannot heal on their own. The primary objective of tissue engineers, therefore, is to engineer bone with compressive and tensile stiffness and strength reminiscent of native bone. Additionally, indicators of vascularization and mineralization are used to assess bone quality. Scaffold-free approaches used in bone engineering are primarily cell sheet-based, with few using aggregate culture.

Osteogenic cell sheets are used to generate tissue-engineered bone targeting the compressive and mineral properties of native bone. Osteogenically differentiated mesenchymal stem cell sheets rolled into cylindrical constructs exhibited mineralization and a compressive strength of nearly 1.6MPa (40). The cell sheet structure can be placed into critical-sized defects to facilitate new tissue formation, though additional validation of the mechanical properties of the newly formed bone is needed (41). *In vivo* implantation promoted expression of bone genetic markers (i.e., collagen type I, osteocalcin, and osterix) in cell sheet co-cultures of osteogenic stromal cells and endothelial cells as compared to monocultures of osteogenic cells (42). Though bone tissue engineering with scaffold-free cell sheets has been attempted, most of these studies do not assess the mechanical properties of formed tissues. As such, additional work analyzing the functional mechanical properties of cell sheet-based, *in vitro* tissue-engineered bone is needed.

Few studies examine aggregate or spheroid culture for engineering large bone tissue constructs, as aggregate studies are primarily used for differentiating progenitor cells into osteogenic cells for future application in larger scaffold-free or scaffold-based techniques. Various scaffold-free aggregate techniques are able to generate mineralizing spheroids, but larger constructs are not often formed in subsequent steps (43). Studies using scaffold-free processes from cellular differentiation to large construct formation would improve our understanding of the potential applicability of scaffold-free techniques in bone tissue engineering.

Cartilage

Articular cartilage is a load-bearing tissue that also serves to facilitate the smooth translation of diarthrodial joints. Unlike bone, however, articular cartilage does not heal itself; clinical application of tissue-engineered articular cartilage, then, serves to replace degenerated cartilage tissues. The primary benchmarks of articular cartilage tissue engineering are

sufficient compressive and tensile properties. In addition, lubrication properties and integration ability are desirable. Scaffold-free methods including the self-assembling process, cell sheet engineering, and aggregate culture have been applied in articular cartilage tissue engineering toward achieving the mechanical properties of native tissues.

The self-assembling process as a scaffold-free tissue formation technique has been extensively studied (5) for engineering of articular cartilage. With this scaffold-free process, primary articular chondrocytes treated with matrix cross-linking enzyme lysyl oxidase-like protein 2 (LOXL2) can generate tissues achieving compressive and tensile stiffnesses up to 220kPa and 2.3MPa, respectively (44). Using combinations of bioactive stimuli (i.e., TGF- β 1, chondroitinase-ABC) and/or mechanical stimuli (i.e., hydrostatic pressure), tissues with tensile stiffnesses up to 6.3MPa and 2.1MPa can be generated from passaged leporine and porcine cells, respectively (30, 45). Self-assembled articular cartilage derived from primary chondrocytes cultured in chondrogenic-inducing medium expressed superficial zone protein, imparting the tissue with lubrication capacity and frictional properties approaching those of native articular cartilage (46). The self-assembling process, thus, can be used to engineer native tissue-like neocartilage. Future work investigating the implantation of these lubricated and mechanically robust tissues in an orthotopic location would lead the field in generating functional tissue-engineered cartilage.

Other scaffold-free methods for cartilage tissue engineering include cell sheet engineering and aggregate culture. In a technique similar to self-assembly, chondrocytes placed in a non-adherent well self-aggregate into “cartilage tissue analogs” expressing collagen type II and native tissue levels of glycosaminoglycan (47). Moreover, these tissues were reported to have an equilibrium compressive Young’s modulus on par with native tissue (48). Cell sheet engineering has also been used to engineer cartilage tissues; contraction of a MSC-derived cell sheet led to a tensile strength of ~1.2MPa (49). Scaffold-free aggregate and cell sheet culture methods are able to generate cartilage tissues that are mechanically viable. Additional investigation using these methods to achieve native tissue-like mechanical properties as well as lubrication would benefit efforts to engineer scaffold-free cartilage.

Ligaments and tendons

Ruptured ligaments and tendons are often repaired with autologous or cadaver-derived grafts, which are limited in supply. Tissue engineering of ligaments and tendons thus aims to fill this need for mechanically robust replacements. Engineered ligaments and tendons are commonly assessed for various tensile testing criteria, such as tensile stiffness, strength, and force. Scaffold-free methods used in forming these tissues are akin to cell sheet engineering, while spheroids have been used to a limited extent.

Cell sheet methods in ligament and tendon engineering rely on the strong contractile forces of seeded cells. Monolayers of stromal cells cultured on laminin-coated substrates detached and organized into rod-like tissues anchored by silk sutures; these tissues reached a tensile force of 0.26N, a tangent modulus up to 15.4MPa, and a tensile stress of 2.11MPa (50). Rolling of a tenocyte-derived cell sheet stimulated with ascorbic acid and connective tissue growth factor achieved a reported tensile stiffness of nearly 200N/mm² (MPa) and strongly expressed collagen type I and tenomodulin (51). Additional work exploring layering or

bundling of scaffold-free ligaments and tendons to achieve mechanically robust tissues with larger geometries would move the field closer to a replacement ligament or tendon.

The use of spheroid culture in tendon and ligament engineering is limited primarily to differentiation and phenotypic maintenance of cells for seeding on woven scaffolds. Scaffold-free spheroids derived from anterior cruciate ligament cells became smaller over time, but increasingly expressed collagen and tenascin C and could colonize scaffolds (52). Hanging-drop spheroid culture of tenocytes similarly enhanced expression of tendon-associated genes (e.g., collagen type III, scleraxis) as compared to monolayer cultures, with ascorbic acid, insulin, and TGF- β 1 achieving higher expression levels than TGF- β 1 and insulin-like growth factor-1 (IGF-1) use (53). Though the use of spheroids in tendon and ligament engineering demonstrates the ability of scaffold-free culture to enhance relevant gene expression, these studies did not examine the functional mechanical properties of engineered tissues. As such, additional work exploring the mechanical properties achievable through a combination of scaffold-free and scaffold-based methods for tendon and ligament engineering would benefit the field.

Cardiac

Cardiac tissues function primarily in contraction, relying on rapid electrical conductance to synchronize the heart beat. In cases of myocardial infarction, large portions of the heart are damaged and cannot properly conduct these electrical signals. Cardiac tissue engineering aims to repair and replace damaged tissues to restore electrical conductivity and contractility toward reestablishing normal heart function. Cell sheet engineering as a scaffold-free method dominates cardiac tissue engineering and aims primarily to achieve electrical conductance for synchronous contractility. More recently, efforts to vascularize engineered cardiac tissues prior to implantation have emerged.

Cell sheet engineering techniques have been developed to form 3D cardiac tissues capable of electrical communication. Synchronous and spontaneous beating was achieved by layering cardiac cell sheets derived from embryonic cardiomyocytes (54). Electrical connectivity via the formation of gap junctions, as indicated by connexin43 staining, has been observed in layered cardiac tissues (22, 55). Due to the metabolic requirements of cardiac cells, vascularization of layered cardiac sheets is important for *in vivo* survival of the graft (56). Multi-step transplantation of ten three-layer cardiac sheets co-cultured with endothelial cells promoted vascularization *in vivo*, resulting in a fused, 30-layer-thick cardiac tissue beating simultaneously (22). Electrical conductivity and synchronous beating in engineered cardiac tissues can thus be achieved with cell sheet engineering. These studies demonstrate that *in vivo* tissue engineering of cell sheets induces vascularization. Additional studies exploring *in vitro* vascularization and *in vivo* integration of vascular cardiac tissues are needed to promote repair of damaged heart tissues.

Vascular

Diseases affecting the vascular system can lead to myocardial infarction, stroke, or peripheral limb ischemia. Vascular tissue engineering aims to replace segments of diseased vessels. To recapitulate native tissue function, engineered vessels should be able to withstand

physiological burst pressures; additionally, they should resist cyclic loading fatigue and maintain an endothelium layer (57). In the last two decades, vascular tissue engineering has diversified to include scaffold-free systems that include self-assembly, cell sheet, and spheroid-based techniques.

The self-assembling process has seen limited application in vascular tissue engineering. Vascular rings were formed through self-assembly of smooth muscle cells before they were placed sequentially on a silicone mandrel and underwent fusion (self-organization) (58). Though the tensile mechanical properties of individual vascular rings were assessed (ultimate tensile strength of 100-500kPa), the functional properties of the fused tubular structure were not. In addition to examining burst pressure, future work using both self-assembly and self-organization could control cell placement (e.g., endothelial cells at the vascular ring center, smooth muscle cells in the media layer, and fibroblasts at the outermost edge) to create a tissue-engineered vessel with structural morphology and mechanical properties reminiscent of vascular tissues.

Vascular tissue formation using cell sheet engineering is the most popular scaffold-free system used. Sequential sheets of human vascular smooth muscle cells and fibroblasts wrapped around a mandrel fused to form a tubular vessel capable of endothelialization and exhibited a reported “burst strength” over 2,000mmHg (~265kPa) (20). Subsequent iterations of this method produced fibroblast- and endothelial cell-based vessels with reported burst pressures of more than 3,500mmHg (~465kPa) (36). Ascorbic acid-treated MSCs can also be used in a similar process to generate a cell sheet-based vascular tissue with suitable suture loading strength (59). Finally, cell sheet methods can be combined with scaffold-based technologies to enhance functional properties. Primary smooth muscle cell-derived sheets seeded onto electrospun collagen/poly(ϵ -caprolactone) scaffolds achieved additional increases in tensile strength of vessels compared to the scaffold alone (60). These increases in tensile properties are encouraging, though burst pressure was not assessed in this study (60). These studies demonstrate that cell sheet-based vascular tissue engineering can achieve functional burst pressure properties exceeding those of native tissue. Toward potentially engineering contractile arterial vessels, future work should include smooth muscle cell phenotypic maintenance and/or differentiation and their incorporation into a mechanically robust vascular graft.

Finally, a combination of scaffold-free spheroid formation and the self-organizing technique of bioprinting has been used to a limited extent in vascular tissue engineering. This process used agarose as a mold to support the build process in bioprinting spheroids (formed via pellet culture) composed of smooth muscle cells and fibroblasts (61). Layer-by-layer composition in this study allowed for the design of a double-layered vascular wall exhibiting patterns of smooth muscle cell and fibroblast organization (61). Interestingly, placement of stem cell-based spheroids on a pre-stretched electrospun scaffold resulted in incomplete fusion and hole formation in tissue-engineered vessels, suggesting that the scaffold may impede fusion (62). Though burst pressure as a functional parameter was not assessed in these spheroid-based studies, the results demonstrate the ability to finely control structural architecture in vascular tissue engineering and achieve small-diameter vessels (<5mm). Additional work to enhance fusion of spheroid structures and produce mechanically viable

vessels, toward achieving branching vasculature capable of withstanding burst pressures, would greatly benefit the field.

Non-mechanical tissues

Liver

Liver diseases including fibrosis and viral infections have driven the need for alternative sources of healthy liver tissue since donors are limited. The most successful option for complete liver failure remains liver transplantation. Primary hepatocyte transplantation involving injection of a cell suspension has been used, but transplantation of engineered tissues is still under development. Liver tissue engineering is meant to both maintain hepatocyte phenotype in culture and to differentiate progenitor cells into mature hepatocytes. To create a functional tissue-engineered solution, engineers focus on protein and metabolite secretion—primarily, production of albumin, alpha 1 anti-trypsin (A1AT), and the P450 cytochrome enzyme. Scaffold-free methods of aggregate or spheroid culture and cell sheet techniques have been used to achieve these objectives.

Scaffold-free spheroids are formed from a variety of cell sources and are the primary scaffold-free method used in liver tissue engineering, as spheroids provide a means to maintain the phenotype of liver cells. The dimensions of pelleted aggregates can influence both the immediate and long-term expression levels of liver-specific albumin (63) and should be considered in liver tissue engineering. Additionally, hepatocyte spheroids have been shown to survive and maintain their phenotype at least 3 days when implanted *in vivo* (64). Co-culture of hepatocytes and other cell types can further enhance the phenotypic maintenance of hepatocytes. For instance, co-cultures of hepatocytes and hepatic stellate cells induced increased expression of albumin and cytochrome P450 compared to hepatocytes alone (65). Similarly, aggregates formed via co-culture of hepatocytes and pancreatic islet cells not only maintained hepatocytic (and pancreatic) phenotypes, but enhanced expression of liver-specific proteins over hepatocyte aggregates (66). These studies thus demonstrate the importance of scaffold-free spheroid culture not only to maintain but also to enhance hepatocyte phenotype, especially when hepatocytes are cultured with support cells. Future work should validate the long-term phenotypic stability of hepatocytes cultured as spheroids and their potential in long-term restoration of liver function when implanted *in vivo*.

Cell sheet culture in liver tissue engineering involves co-culture of hepatocytes with an additional cell source or layering of multiple hepatocyte sheets. Hepatocyte cell sheets demonstrated robust expression of albumin as evaluated via immunohistochemistry; increases in protein production correlated with enhanced liver tissue volume as a result of layering multiple cell sheets (67). In addition to robust albumin and A1AT production, cell sheets derived from hepatocytes co-cultured with fibroblasts promoted enhanced vascularization after subcutaneous implantation when compared to hepatocyte-derived sheets (68). This scaffold-free co-culture technique could address the pressing need for vascularization after transplantation to ensure survival of engineered liver tissues. It is important to note that the *in vivo* vascularization of engineered liver tissues is encompassed within the *in vivo* tissue engineering methodology; successful *in vitro* engineering of liver

vasculature would greatly advance the field. Scaffold-free cell sheets of hepatocytes alone or in co-culture are thus able to express proteins indicative of liver function and induce vascularization of implanted tissues.

Cornea

The cornea is a transparent and avascular ocular structure that provides physical protection to the eye and serves as an optical interface. An epithelium and endothelium layer comprise a combined ~10% of the corneal thickness and act primarily as a barrier and integration point to the remainder of the eye, respectively. Structurally, aligned collagen fibrils, termed lamellae, comprise the bulk of the corneal stroma, which represents 90% of corneal thickness (69). Proteoglycans between lamellae layers contribute to corneal transparency, a unique attribute of this tissue. Diseased or damaged corneal tissues can lead to vision loss and blindness, creating a clinical need for tissue-engineered corneas for transplantation. The primary challenge of engineering the cornea is to create a transparent structure with suitable matrix organization that confers substantial refractive power and mechanical protection (69). Full-thickness corneal tissue engineering has primarily been completed with scaffold-based methods, though cell sheet systems have been used to engineer certain layers.

Cell sheet engineering is the predominant scaffold-free method studied for corneal regeneration. Researchers created autologous epithelial-cell sheets that were able to restore the cornea's transparency and natural barrier function and improve visual acuity in human patients (70); this technique was previously demonstrated in a rabbit corneal model (21). Though these studies successfully created an epithelial cell sheet, they did not create the stroma. Other cell sheet work attempted to create thicker corneal tissues by altering the cell sheet growth substrate (71) or by engineering specifically the endothelium layer (72). Despite this exciting work in stroma or endothelium engineering, these studies have not yet examined the functional parameters of transparency and physical protection. Cell sheets are promising for the creation of transparent and protective corneal layers. Additional studies combining various cell sheet layers may elucidate the potential of generating full-thickness corneal transplants.

Conclusions and future directions

In the last few decades, the tissue-engineering field has made tremendous strides toward creating functional tissues able to replace those damaged by disease, trauma, or age. Scaffold-free tissue engineering recently emerged as an alternative approach that uses only cells and signals, aiming to exploit the benefits of scaffold-free systems. Within scaffold-free systems, self-organization and self-assembling processes can be defined based on whether external energy is applied (Figure 1). As the field progresses in the continued use of scaffold-free systems, it will become critical to create stricter definitions for terminology used to denote various techniques; this issue is particularly important in the development of spheroid, aggregate, and pellet based technologies, terms often used interchangeably. Depending on the target tissue of interest, a given scaffold-free (or even scaffold-based) method may be preferable. This chapter summarized the current progress in the tissue-engineering field, focusing primarily on scaffold-free techniques. Specifically, we highlight the recent advances and existing limitations in biomimetic *in vitro* tissue engineering, toward

creating tissues that truly restore the function of the intended tissue targets. Though the field has seen expansive growth with the advent of new technologies, this chapter highlighted remaining hurdles that need to be addressed for clinical translation.

Extensive progress in tissue engineering has resulted in tissues that recapitulate certain metrics of target tissues; to assess the long-term functionality and facilitate clinical translation, however, increased development and standardization of appropriate animal models are needed. These tissue-engineering models should match not only the disease characteristic, but the defect characteristics as well. For example, osteoarthritis models should aim to better recapitulate not only the inflammatory environment, but also the size and shape of a cartilage defect. In addition to appropriately modeling the disease state, standardization of animal models across research groups would assist in direct comparisons of studies. Use of select FDA-approved models may guide animal selection. In scaffold-free systems, specifically, achievement of sufficient mechanical properties is critical to the survival of the implant within the host environment. In the case of articular cartilage replacement, until mechanically biomimetic tissues can be engineered using scaffold-free systems, it may be advisable to explore rehabilitation techniques that use unloading of the patient's joint until the neotissue has matured mechanically. Due to the dependence on high numbers of cells, scaffold-free tissue-engineered constructs may need to address the permanence of these cells within the construct to determine that cells do not leave the implanted neotissue and elicit adverse effects elsewhere in the host. Continued improvements to animal models will facilitate the translation of engineered tissues to human patients. Until truly biomimetic tissue is created, use of scaffold-free techniques in the clinic may progress with appropriate rehabilitation and post-operative procedures in place to ensure neotissue maturation and development.

Cell sourcing is arguably the most limiting step of tissue engineering—both scaffolds and signals can be synthetically created while cells must be derived from a natural source and are thus a limited resource. The high cell numbers needed for scaffold-free techniques renders them particularly vulnerable to cell sourcing issues. Using aggregate culture protocols, cell-sourcing limitations can be addressed, as these approaches can be an effective means to promote the desired cell phenotype in a 3D environment. Because primary cells are limited in availability, most tissue engineers select progenitor cells that can be differentiated using aggregate culture. Once the desired phenotype has been obtained, these cells can be employed in a subsequent scaffold-free or scaffold-based method to generate constructs of clinically relevant dimensions. Increased development of our ability to differentiate progenitor cells or maintain primary cells in 3D culture can ultimately address the significant cell numbers needed in scaffold-free, *in vitro* biomimetic tissue engineering.

Though this chapter focused on scaffold-free systems, continued progress in tissue engineering may require the simultaneous use of scaffold-free and scaffold-based techniques as the complexity of engineered tissues increases. As mentioned previously, many cells are anchorage-dependent and are not suitable for use in scaffold-free systems. For instance, osteoblasts require a scaffold for their survival. Therefore, to form a biphasic osteochondral graft, a cell-laden bone scaffold may be used in conjunction with scaffold-free neocartilage. Identification of the appropriate system—scaffold-free or scaffold-based—for independent

cell types will further the field in developing more complex tissues through the combination of various systems.

Finally, enhanced understanding of the development of various tissue types will aid in identification of whether scaffold-free or scaffold-based systems are most appropriate. In this chapter, we highlight the parallels between scaffold-free neocartilage generation and *in vivo* development of articular cartilage (Figure 2). Mesenchymal condensation of cartilaginous precursors occurs in the absence of a scaffold; tissue engineering with chondrocytes in a scaffold-free process thus reflects the developmental environment. The work in developmental biology can thus inform the selection of tissue engineering modalities. Increased understanding of the processes by which various tissues and organs develop will aid the selection of scaffold-free or scaffold-based techniques.

A key focus of this chapter is the self-assembling process, which results in functional tissue formation in a cell-driven manner that requires no external input of energy. In some tissue types (i.e., cartilage), the self-assembling process mimics natural mechanisms of developmental biology. By studying the self-assembling platform, enhanced understanding of development may be achieved. Conversely, our current understanding of developmental processes may be applied to self-assembling techniques, toward discovering methods to enhance the functional properties of neotissue. Ultimately, engineered neotissue will reach a level of complexity recapitulating native tissue functions, allowing neotissue not just to repair, but also to regenerate diseased tissues.

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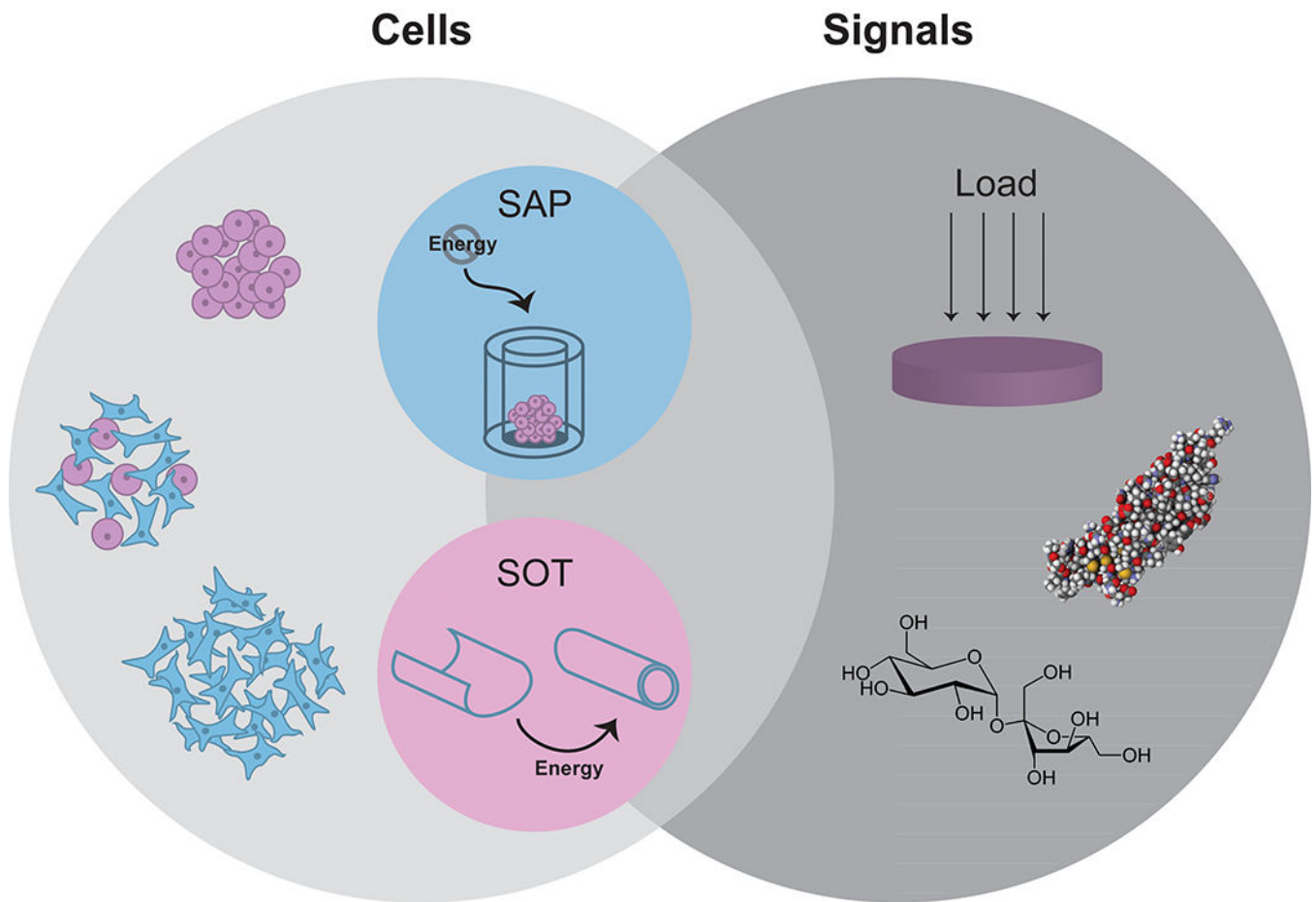


Figure 1. Scaffold-free tissue engineering

The tissue-engineering paradigm typically consists of cells, scaffolds, and signals. The benefits of scaffold-free approaches have motivated the use of only cells and signals. Depicted here are example modalities within this paradigm, using homogeneous or heterogeneous cell populations in concert with mechanical (e.g., compressive loading) and/or biochemical stimuli (e.g., TGF- β 1 or sucrose) to enhance neotissue properties. Two distinct forms of scaffold-free tissue engineering exist, termed the self-assembling process (SAP) and the self-organization technique (SOT). While self-organization requires the exogenous input of energy, self-assembly occurs in a closed system.

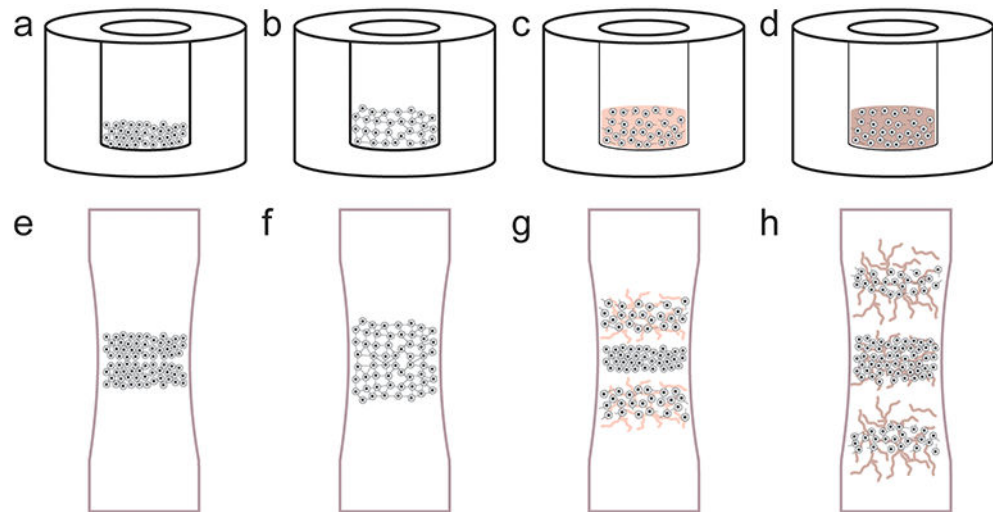


Figure 2. The phases of self-assembly and cartilage development

Self-assembling articular cartilage forms in a manner reminiscent of cartilage morphogenesis. In the first phase of self-assembly (a), a high-density cell solution is seeded in a non-adherent well. During phase two (b), minimization of free energy occurs as cells bind to one another via cell-adhesion receptors like N-cadherin. In phase three (c), extracellular matrix synthesis is up-regulated. Finally, the engineered tissue matures as distinct regions develop and native tissue-like functional properties are approached (d). Similarly, the process of long bone formation is mediated first by mesenchymal condensation (e). Robust matrix deposition begins as cells differentiate (f), following chemotactic agents to elongate the bone in opposite directions (g). Over time, the core forms a site for vascularization to become bone (h).

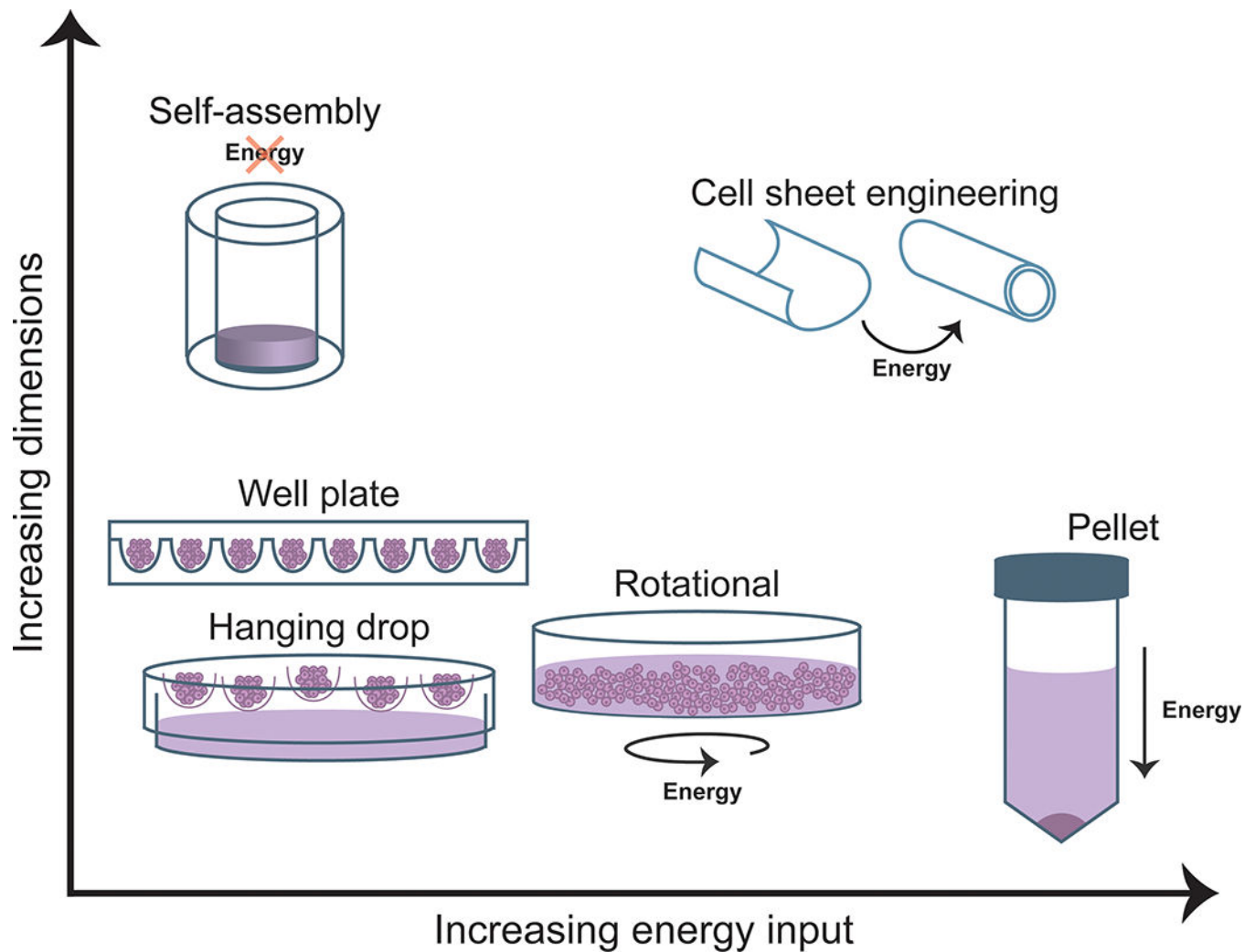


Figure 3. Energy usage and achievable dimensions in scaffold-free processes

Scaffold-free processes differ with respect to the energy required for tissue formation and the dimensions that can be achieved. Spheroid or aggregate formation based on the methods of hanging drop, round or v-bottom well plates, or rotational culture requires minimal energy and forms aggregates of small dimensions. Pellet culture requires substantial energy in the form of centrifugation and similarly forms small-diameter cellular aggregates. Finally, self-assembly and larger self-organization techniques such as cell sheet engineering are able to generate sizeable constructs of clinically relevant dimensions.

Table 1

Advantages of scaffold-free techniques.

Target tissues	Examples of commonly reported parameters
Primarily mechanical function (e.g., musculoskeletal, cardiovascular)	
Bone	Compressive and tensile stiffness, strength
Articular cartilage	Compressive and tensile stiffness, strength
Tendon, ligament	Tensile stiffness, strength; maximum force
Heart	Electrical conductance, contractility
Vessel	Burst pressure; recapitulation of layered structures
Non-mechanical tissues (e.g., metabolic, corneal)	
Liver	Albumin; α -1 anti-trypsin; P450 cytochrome
Cornea	Transparency; refractive power

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