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Clinical Use of Precision Oncology Decision Support

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Abstract

PURPOSE—Precision oncology is hindered by the lack of decision support for determining the functional and therapeutic significance of genomic alterations in tumors and relevant clinically

Declaration of Interests:

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available options. To bridge this knowledge gap, we established a Precision Oncology Decision Support (PODS) team that provides annotations at the alteration-level and subsequently determined if clinical decision-making was influenced.

METHODS—Genomic alterations were annotated to determine actionability based on a variant's known or potential functional and/or therapeutic significance. The medical records of a subset of patients annotated in 2015 were manually reviewed to assess trial enrollment. A web-based survey was implemented to capture the reasons why genotype-matched therapies were not pursued.

RESULTS—PODS processed 1,669 requests for annotation of 4,084 alterations (2,254 unique) across 49 tumor types for 1,197 patients. 2,444 annotations for 669 patients included an actionable variant call: 32.5% actionable, 9.4% potentially, 29.7% unknown, 28.4% non-actionable. 66% of patients had at least one actionable/potentially actionable alteration. 20.6% (110/535) patients annotated enrolled on a genotype-matched trial. Trial enrolment was significantly higher for patients with actionable/potentially actionable alterations (92/333, 27.6%) than those with unknown (16/136, 11.8%) and non-actionable (2/66, 3%) alterations (p=0.00004). Actionable alterations in *PTEN*, *PIK3CA*, and *ERBB2* most frequently led to enrollment on genotype-matched trials. Clinicians cited a variety of reasons why patients with actionable alterations did not enroll on trials.

CONCLUSION—Over half of alterations annotated were of unknown significance or nonactionable. Physicians were more likely to enroll a patient on a genotype-matched trial when an annotation supported actionability. Future studies are needed to demonstrate the impact of decision support on trial enrollment and oncologic outcomes.

Introduction

In precision oncology, next-generation sequencing (NGS) is becoming routinely utilized for diagnostic and therapeutic decision-making. However, as the number of genes tested within clinically utilized platforms increases, so does the rate of detected aberrations; yet, the majority of these are "passenger mutations" that do not drive tumorigenesis¹. Importantly, an alteration may occur within an actionable gene, but the alteration itself confers no functional change or imposes a change that does not confer an oncogenic advantage. Therefore, the oncologist needs to assess whether the specific variants are actionable.

A study conducted at a leading cancer center revealed that many oncologists have low confidence in their knowledge of genomics². Further, earlier studies showed that few patients with potentially actionable alterations were enrolled on genotype-matched trials, indicating low clinical utility of such information^{3–7}. Limited resources available to assist with interpretation of genomic reports and to facilitate identification of genomically-matched therapies may be contributing factors^{5,7–9}. In recent years, teams have recognized this knowledge gap and publically available resources such as Personalized Cancer Therapy (http://pct.mdanderson.org), My Cancer Genome (http://www.mycancergenome.org), Targeted Cancer Care (https://targetedcancercare.massgeneral.org), and OncoKB (http:// oncokb.org) were developed as decision-making tools. Yet, these resources have potential limitations. First, usability research indicates that clinicians are unlikely to utilize sources that take longer than 30 seconds to retrieve data¹⁰ and that information presented in

graphical summaries enhances interpretation, improving healthcare quality^{11,12}. Second, limited information may be exposed because these websites are public-facing. Third, novel alterations continue to be discovered and information is rapidly evolving, generally outpacing the rate of updates. Thus, there is a need for an on-demand, real-time clinical interpretation service that annotates all requested alterations, beyond those available within accessible knowledgebases, to determine their actionability.

The Precision Oncology Decision Support System was established at The University of Texas MD Anderson Cancer Center in recognition of these needs¹³. Herein, we report our experience with large-scale, institution-wide decision support and its initial clinical utility. These data are among the first to suggest that providing alteration-level decision support may have a measurable effect on clinical action.

Methods

Analysis of Patient Annotation Requests and Reports

Annotation requests originated from CLIA-validated (90.2%) and research panels (9.8%). Annotations in all final reports were analyzed. All requestors indicating the same physician as contact for correspondence were considered a team.

Annotation Process and Return of Reports

PODS scientists receive requests, access our knowledgebase of variant annotations, review and update content as applicable^{9,14}. Reports consist of data summary with references and alteration frequency from external (cBIO, COSMIC) and internal databases. In 2015, reports evolved to routinely contain a functional significance, gene and alteration-level actionability call (Table 1)^{7,12}. All reports are reviewed by the medical director and returned via email. Starting in August 2015, reports referencing CLIA-validated panels were deposited within MD Anderson's electronic health record (EHR).

Manual Review of Clinical Data

Medical records of 539 patients annotated in 2015 through clinician-initiated requests or for treatment planning conferences were reviewed for the presence of a clinical trial entrance note in the patient's EHR. Potential matches between the annotated variants and targeted therapy utilized within the trial were manually recorded.

Clinical Decision Follow-up Surveys

A web-based survey was initiated in 2015, and 236 surveys were sent to annotation requestors one month post-annotation delivery date to ascertain whether the patient matched to genomically-guided therapy. Responses received by January 2016 were analyzed and manually validated through EHR review. For patients not enrolled on therapy based on the annotation provided, the survey inquired as to the reasons why.

Statistical Analysis

Statistical significance was evaluated by a Chi-square test.

Results

Patient Annotation Requests

The PODS team processed 1,669 requests for 1,197 patients, most in 2014 and 2015 (Supplemental Figure 1). Multiple requests for the same patient may be due to: 1) additional alterations requested for annotation, 2) different clinicians requesting annotation of the same molecular report, or 3) the same molecular report requested for different venues in which the patient is evaluated (e.g. clinic visit versus treatment planning conference). Requests were of two main categories: Program-initiated (60%) and clinician-initiated (40%). Program-initiated requests were on behalf of genomically-informed program leaders requesting annotations for all participating patients, and accounted for reports to 58 physicians for 600 patients. Clinician-initiated requests originate from treating physicians and team members for point-of-care decisions based on molecular testing or from clinical trial teams screening for patients to enroll on genotype-matched trials. 56 physician teams initiated requests for 724 patients, and ranged from 1-176 per team, with 2 requests being the median (Supplemental Figure 2A). Requests were received for patients with 49 different tumor types, of which colorectal (16%) was most common (Figure 1A).

Alterations Requested for Annotation

PODS offered requestors an option to select between "full panel" (all reported alterations within an assay) and "select alterations" (requestor-specified select alterations within an assay) annotation types. Across all requests, 712 (43%) were for full panel and 957 (57%) were for select alterations (Figure 1B). Clinician-initiated requests were largely (88%) for select alterations, likely derived from clinicians screening patients with alterations in select genes for trial enrolment.

Overall, 4,084 variant-level annotations were provided for 2,254 unique alterations. Multiple annotations may be provided for a single alteration due to multiple requests to annotate the same or different patients harboring the same alteration. Alterations spanned 356 genes; each gene fusion partner counted individually. Genes most commonly annotated as part of a full panel request include *TP53*, *KRAS*, and *PIK3CA*, representing the 3 most commonly mutated genes in the genome (Figure 1C). Conversely, requests to annotate select alterations were most frequently for *PTEN*, *EGFR*, and *SMO* (Figure 1D). The range of unique alterations annotated per gene was 1-179, the largest number in *TP53* and *PTEN* (Supplemental Figure 3). Annotated alterations originated from 32 different testing platforms (Supplemental Figure 4) and were primarily missense mutations (Supplemental Figure 5).

Actionable Genes and Alterations

A gene is actionable if there are clinically available therapies that directly or indirectly target alterations in the gene and/or there are clinical trials selecting for alterations in the gene. Of the 4,084 annotations, 3,166 (78%) were within a gene defined as actionable at time of annotation (Figure 2A, Supplemental Table 1). Of the 918 annotations delivered in non-actionable genes, 816 (89%) were part of a full panel annotation. In 2015, annotation reports evolved to contain an actionable variant call describing the alteration's functional and

therapeutic significance, with classification into 4 broad categories: actionable (further subcategorized by source: literature-based, inferred, functional genomics), potentially actionable, unknown, and non-actionable (Table 1)⁹, which was provided with 2,444 annotations delivered for 669 patients. 794 (32.5%) annotations were actionable, 230 (9.4%) potentially actionable, 725 (29.7%) unknown, and 697 (28.4%) not actionable (Figure 2B). Considering alterations within actionable genes, 40.7% of annotations were actionable, 11.9% potentially, 36.1% unknown, and 11.3% non-actionable (Figure 2C). 648 (97%) of the 669 patients had at least one alteration within an actionable gene, and 66% had at least one actionable/potentially actionable alteration (data not shown).

The majority of actionable/potentially actionable annotations are for alterations residing within *KRAS*, *PTEN*, and *PIK3CA* (Figure 2D and Supplemental Figure 6A, respectively). The total number of annotations in 2015 and the subset that are actionable/potentially actionable are shown per patient's tumor type (Figure 2E and Supplemental Figure 6B, respectively). The actionability of *KRAS* has been controversial, particularly in colorectal cancer^{15–17}. When excluding *KRAS* as actionable, most actionable annotations were provided for breast cancer patients (90) (Supplemental Figure 7).

Clinical Utility of Annotations

We then asked whether physicians acted more often on alterations with evidence of actionability (Supplemental Figure 1). We manually recorded clinical follow-up data by accessing the EHR of 539 patients requested for annotation by clinicians or for treatment planning conference. Four patients were excluded: two had mutations that were actionable only for resistance to therapy, and two due to insufficient follow-up since physician notification. For remaining 535 patients, variant annotation data was filtered, such that each patient in the dataset is represented by a single alteration (Figure 3A). To achieve this, one of two filters were applied: (1) for patients enrolled onto genotype-matched therapy, the alteration leading to enrollment was recorded, while all other variants were filtered out; or (2) for patients not enrolled onto genotype-matched therapy, the alteration with the highest variant call (YES: Literature Based > YES: Inferred > Potentially > Unknown > No) was recorded, while all other variants were filtered out.

Overall, 20.6% (110/535) patients enrolled on a targeted trial. Alterations of 214 (40%) patients were classified into the "YES: Literature Based", 54 (10.1%) – "YES: Inferred", 65 (12.1%) – "Potentially", 136 (25.4%) – "Unknown", and 66 (12.3%) – "No" (non-actionable) categories (Figure 3A, yellow column). 92 (27.6%) of 333 patients with actionable/potentially actionable alterations were enrolled on genomically-matched trials compared to 16 (11.8%) of 136 patients with unknown, and 2 (3%) of 66 patients with non-actionable alterations (Figure 3B, p=0.00004). Patients with actionable alterations in *PTEN* (20), *PIK3CA* (11), and *ERBB2* (10) most frequently enrolled on a trial (Figure 4), paralleling the data showing a large number of actionable/potentially actionable annotations delivered for *PIK3CA* and *PTEN* (Figure 2D and Supplemental Figure 6A).

To understand why physicians may not have acted on potentially actionable alterations, we introduced a web-based survey in 2015 to accompany physician-initiated requests. Of 236 surveys sent, 223 were returned (94.5% response rate). Two were excluded because patients

enrolled onto genotype-matched trials prior to the annotation (Figure 3C). All reports were classified by actionability as described above (Figure 3C, yellow column). A combination of survey responses and manual review revealed that 26.8% (59/221) of annotations led to genotype-matched trial (Figure 3C, green column). Similar to data in Figure 3B, patient enrollment onto genotype-matched trials in the survey group was significantly higher based on actionable/potentially actionable versus unknown versus not actionable variants (p=0.0007).

Of the 90 patients with unknown/not actionable variants, representing 55.9% of total patients not enrolled on trial, 57 (63.3%) did not enroll because the respondents agreed with the PODS annotation indicating that the alteration function did not support trial enrollment (Table 2). Another 7 (7.8%) patients were responding to current treatment, and physicians acted on another alteration in 4 (4.4%) patients. The latter had another well-characterized oncogenic variant (*IDH1* R132C, *AKT1* E17K, or *FGF3* and *FGF4* amplifications) or an alteration clearly inferable as actionable (early truncating *PTCH1* mutation) where either decision support was not needed or was previously supplied outside the survey group. Finally, 3 (3.3%) patients elected to be treated elsewhere.

Among 161 patients with variants classified as "YES: Literature Based" and "YES: Inferred", there were only 42 (26.1%) who did not enroll onto a genotype-matched trial (Table 2). Reasons included: another alteration pursued (2 cases), patient responding to current therapy (6 cases), and patient elected treatment elsewhere (9 cases). Other reasons included non-genotype-matched treatment options pursued (5 cases) and ineligibility (11 cases). In five cases, physicians indicated that the annotation did not support trial enrollment, which we investigated further. In one case, although the function of the alteration was clearly activating, the mutation was subclonal. In another, a patient had an activating *BRAF* mutation; however, their prior treatment precluded treatment with other BRAF inhibitors. In yet another case, the activating *BRAF* mutation was found in only one of two biopsies, raising concern about tumor heterogeneity.

Discussion

Meta-analyses comparing precision oncology with non-personalized approaches reveal higher response rates, longer survival rates, and fewer toxicity-related deaths in patients treated with targeted therapies^{18–21}. However, few biomarkers have an indication for treatment with an FDA approved drug specific for the patient's tumor type^{22–28}, and a limited number of patients with potentially actionable alterations receive genotype-matched therapies in experimental contexts^{3–7}. One contributing factor may be a lack of decision support, as suggested by the Cancer Genome Evaluation Committee that found physicians are often overwhelmed by data of uncertain significance and that sound guidelines are essential for determining clinical action²⁹. Moreover, we previously reported very modest differences in trial enrolment between patients with or without potentially actionable alterations³. Assessing a new population of patients where decision support was provided, we observed that physicians acted more often when the function of the alteration was known.

PODS team is frequently asked to provide an annotation for sequencing reports from commercial vendors that produce end-to-end reports, some already containing alteration-level annotations Distinguishing factors of PODS reports are a clear call of functional effect⁹ (e.g. Activating, Inactivating, Unknown), a range of variant-level actionability categories based on experimental evidence, and inclusion of all MD Anderson genotype-matched clinical trials in current reports.

Previous studies reported high rates of alterations in actionable genes^{4,30–34}, and we provided an annotation in at least one actionable gene for 97% of patients in our cohort. Utilizing the TARGET database and PHIAL algorithm to rank alterations followed by manual annotation for only selected patients, a study concluded that 90% of patients have clinically relevant alterations³⁵. Conversely, we found that 66% of patients annotated have at least one potentially actionable alteration based on manual curation of all aberrations. Potential differences between the studies include: 1) the inclusion of potentially actionable diagnostic or prognostic alterations by Van Allen *et al.* and not in our study, 2) we provided annotations for only requested alterations and few annotation requests were obtained for well-established alterations (e.g. *BRAF*V600E), and 3) the difference in the number of patients assessed by manual curation in the two studies.

Amongst all annotations with an actionable variant call, only 32.5% were classified as actionable, with another 9.4% as potentially. Even within genes that are considered actionable, 47.4% of the annotations either had no evidence to support actionability or were not actionable. Moreover, 58% of the genomic alterations annotated and evaluable for frequency have not been reported in COSMIC (Supplemental Figure 8). These data highlight the need to define actionability at the variant, rather than gene level.

To determine if physicians acted according to the evidence presented in PODS reports, we followed 535 patients. Only trial enrolment was assessed, as few annotation requests were elicited to determine the appropriateness of off- or on-label treatment, as indicated by our survey data. We found that patients with potentially actionable/actionable alterations more often enrolled on genotype-matched trials than those with unknown/not actionable alterations. Thus, physicians more often act on alterations when sufficient evidence is provided that they may be driver events.

The most often cited reason for why patients did not enroll on genotype-matched trials was that the annotation does not support trial enrollment. This was most frequently given for alterations annotated as unknown/not actionable, indicating physicians' agreement with our annotation. In several cases, physicians acted on well-known, oncogenic variants that did not require an interpretation by PODS, highlighting that at least at this institution, physicians do not require decision support for the evaluation of most common genomic alterations.

Overall, enrollment on genotype-matched clinical trials was 20.6% (110/535), dramatically higher than other independent evaluations^{3–7}. The increase we observe from our prior study (11%) may be due to several factors besides delivery of PODS annotations: 1) PODS proactively provided genotype-matched trials in select reports, 2) an expanded portfolio of genotype-relevant trials open at MD Anderson, and 3) email alerts to physicians regarding potential genotype-matched trials for patients with specific alterations.

In conclusion, a decision support system for annotations of patients' molecular profiles was widely utilized at a major cancer center. PODS reports provided alteration-level actionability information that translated into more patients enrolled on genotype-matched trials with actionable/potentially actionable alterations than those unknown/not actionable alterations.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Figure 1.

Requests received and annotations provided. (A) The percentage of requests for each indicated tumor type (top 25), (B) and per annotation type (full panel or select alterations) is shown. (C, D) The number of annotations provided within each gene is shown for full panel (C) or select alteration (D) annotations. Genes with 20 or more annotations are shown.

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Figure 2.

Actionable genes and variants reported. (A) The percentage of annotations provided in an actionable or non-actionable gene, as defined at the time of annotation. (B, C) The percentage of annotations delivered with the indicated actionable variant calls for all (B) or only actionable (C) genes. (D) The number of actionable annotations provided within each specified gene (minimum of 10 actionable annotations per gene). (E) The total number of annotations provided with an actionable variant call (blue bars) and the subset that are actionable (green bars) is shown per tumor type for types with at least 10 annotations.



Figure 3.

Clinical decisions made for a subset of patients annotated with actionable variant calls. (A) Patients annotated in 2015 through clinician-initiated requests and for treatment planning conferences. The fraction of patients represented by the highest variant call (yellow column) and the corresponding fraction of patients with that call enrolled on a trial (green column). (B) Accrual onto genomically-matched clinical trials based on variant actionability (p=0.00004). (C) A subset of patients annotated in 2015 through a clinician-initiated request and for whom a survey was returned. The fraction of patients represented by the highest

variant call (yellow column) and the corresponding fraction of patients with that call enrolled on a trial (green column). Trial enrollment varied significantly by variant actionability (p=0.0007).

20 18 Patients enrolled on genomically-matched trials 16 14 12 10 8 6 4 2 PAKINA COMPA ALPOST P. E PASTIN' 0 ALCH B CORE C BR WE ALOS -jean NAP24 ALER PECTER CONCERCENCE JE. FANC E.

Figure 4.

The distribution of genes that led to patient trial enrollment after annotation. 110 of 535 patients annotated by PODS in 2015 enrolled on a genotype-matched trial based on one of the 32 genes shown.

Table 1

Actionable Variant Call Definitions.

Actionable Variant Call	Definition				
Yes: Literature based	There is peer-reviewed published data that the alteration is:				
	Activating and occurs in an oncogene				
	Inactivating and occurs in a tumor suppressor				
	• Inactivating with a concomitant tumor-promoting, neomorphic gain of function occurring in a tumor suppressor.				
	Confers sensitivity to a clinically available therapy				
	Confers resistance to a clinically available therapy				
Yes: Inferred	The alteration occurs in an oncogene and the functional significance was inferred to be activating				
	 Inferred Activating: The alteration results in the loss or mis-translation of an inhibitory domain, and there is peer-reviewed published evidence that loss of the domain increases the activity of th protein and/or results in increased tumorigenic properties. Additionally, gene amplification that not been proven in the published literature to result in increased protein expression and signaling tumors. 				
	The alteration occurs in a tumor suppressor and the functional significance was inferred to be inactivating.				
	• The alteration results in loss or mis-translation of functionally significant domains, and there is peer-reviewed published evidence that loss of these domain(s) results in loss of the expression or activity of the encoded protein.				
Yes: Functional Genomics	The genetic alteration shows a growth/viability advantage when compared to cells expressing the wildtype counterpart of the gene, as assessed in a functional genomics platform utilizing cells such as BaF3 or MCF10A cells.				
Potentially	The alteration is of unknown functional significance but meets one of the following criteria:				
	• The alteration is located in a functional domain, and there is peer-reviewed published evidence that other mutations in this domain alter the function of the protein in a manner that could be tumor-promoting.				
	• The alteration is located near proximity to other alterations known to have oncogenic functions.				
	• Other alterations of the same codon are oncogenic; however, the function of the exact alteration is unknown (e.g. Q61R vs Q61H).				
	• Alterations found to be functional within a conserved domain of a related gene family member				
	• Splice-site alterations that occur prior to domains critical for the function of the protein				
Unknown	The alteration is of unknown functional significance and is not located within a functional domain or in near proximity to other functional alterations (i.e. does not meet the criteria for categorization as potentially actionable).				
No	An alteration is categorized as not actionable if:				
	• The gene is not defined as actionable				
	• The alteration type is not determined to be actionable for the gene				
	• The functional significance is determined to be likely benign				
	O Likely benign: An alteration is characterized as likely benign if: 1) it is reported as a germline polymorphism (>1% of the population) in controlled population studies, and there is no available data in the published literature indicating this variant may be tumor-promoting, 2) there is peer-reviewed published evidence that the alteration has no effect on the function of the protein or any tumorigenic properties, 3) there is peer-reviewed published evidence that the alteration does not segregate with disease. Since not all aspects of protein function can possibly be determined the functional significance is "Likely benign".				
	• There is peer-reviewed published literature showing that the alteration has an inactivating or inferred inactivating effect on an oncogene.				

Actionable Variant Call	Definition	
	•	There is peer-reviewed published literature showing that the alteration has an activating or inferred activating effect on a tumor suppressor that enhances its function as a tumor suppressor.

Table 2

Reasons patients did not enroll on clinical trials. The distribution of reasons why patients did not enroll on genotype-matched trials following PODS annotations, as stated by the survey respondents.

Reasons patient did not go on trial	All patients (N=161)	Patients with "YES: Literature Based" and "YES: Inferred" variants (N=42)	Patients with variants annotated as "Potentially" actionable (N=29)	Patients with variants annotated as "Unknown" and "No" for actionability (N=90)
Physician stated annotation does not support trial enrollment	71 (44.1%)	5 (11.9%)	9 (31.0%)	57 (63.3%)
Acted on another alteration	9 (5.6%)	2 (4.8%)	3 (10.3%)	4 (4.4%)
Stable disease/Responding on current treatment	21 (13.0%)	6 (14.3%)	8 (27.6%)	7 (7.8%)
Elected local treatment/Elected not to travel	14 (8.7%)	9 (21.4%)	2 (6.9%)	3 (3.3%)
Elected other treatment:	11 (6.8%)	6 (14.3%)	2 (6.9%)	3 (3.3%)
Elected non-investigational treatment	3	1	2	0
Elected non-targeted therapy	2	1	0	1
Enrolled on another trial	5	3	0	2
Screened for trials not relevant to alterations	1	1	0	0
Ineligible:	25 (15.5%)	11 (26.2%)	5 (17.2%)	9 (10.0%)
No measurable disease	2	2	0	0
Active brain metastases	2	1	0	1
Specific morbidities	1	0	1	0
Other morbidities	4	2	1	1
Poor performance status	10	4	1	5
Poor trial candidate - specific comorbidities	6	2	2	2
Deceased	3 (1.9%)	1 (2.4%)	0 (0%)	2 (2.2%)
Eligible, but no slots	2 (1.2%)	1 (2.4%)	0 (0%)	1 (1.1%)
No available trial options	1 (0.6%)	0 (0%)	0 (0%)	1 (1.1%)
Patient did not return for a follow-up appointment	2 (1.2%)	1 (2.4%)	0 (0%)	1 (1.1%)
Previously acted on this alteration	2 (1.2%)	0 (0%)	0 (0%)	2 (2.2%)